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## CHAPTER 1: ARTICLES OF INCORPORATION OF ARABIAN HORSE ASSOCIATION

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The natural person of the age of eighteen years or more whose name and address is listed in Article IX below, acting as the incorporator of a nonprofit corporation under the Colorado Revised Nonprofit Corporation Act, adopts the following Articles of Incorporation for such nonprofit corporation.

### ARTICLE I.

#### NAME AND OFFICE

- 1.1. The name of the corporation is: Arabian Horse Association.
- 1.2. The initial principal office of the corporation is 10805 East Bethany Drive, Aurora, CO.

### ARTICLE II.

#### PURPOSE AND POWERS

- 2.1. Purpose. The nonprofit corporation is organized and shall carry out such purposes as an organization described in Section 501(c)(5) of the Internal Revenue Code of 1986, as amended from time to time, or under the corresponding provisions of any future United States Internal Revenue law, (as applicable, the "Code"). More specifically, the purposes for which the corporation is formed include the following:
  1. Aid, promote and foster the preservation and use of purebred Arabian Horses and the Arabian breed and to engage in all activities and undertakings incidental to or designated to further or promote this objective.
  2. Foster and encourage good relations between the corporation, its member organizations, and the Canadian Arabian Horse Registry; maintain and protect the heritage of the purebred Arabian Horse; and implement any and all necessary rules and procedures to accomplish this end.
  3. Aid and encourage the breeding, exhibiting, and perpetuation of the purebred Arabian Horse as well as Half-Arabian and Anglo-Arabian Horses; coordinate the activities of all Arabian Horse organizations, associations, Member Organizations and societies in the United States and in all countries; promote and encourage the participation of Arabian Horses in open events, activities, and multiple disciplines, including recreation; coordinate all Arabian Horse show activities, registration activities and other discipline competitions and recreational activities utilizing Arabian Horses which are intended to promote the growth and enjoyment of the Arabian Horse wherever conducted.
  4. Provide for boards of judges and officials necessary for events and activities conducted for Arabian Horses and to devise and promulgate horse show and other activity rules, regulations, and standards.
  5. Promote, encourage, and stimulate popular interest in the outstanding qualities of the Arabian Horse.
  6. Effectively maintain, operate, and promote the corporation's registries of Arabian, Half-Arabian and Anglo-Arabian Horses.
  7. Maintain records, support and promote the racing of purebred Arabian Horses in the United States.
  8. Determine matters of national and international importance in relation to Arabian Horses and maintain and protect the heritage of the purebred Arabian Horse.
  9. Formulate publicity and educational programs and other activities in the interest of Arabian Horses and their proper care and propagation; investigate and study the history and characteristics of Arabian Horses and their breeding, genetics and husbandry and collect, preserve and disseminate useful information concerning them; and coordinate the activities of organizations and individuals in developing and maintaining the highest quality of this incomparable breed.

10. Coordinate, encourage, aid, and conduct exhibits and shows for the purpose of advancing the outstanding qualities of the purebred Arabian Horses.

11. Engage in any manner of business to raise funding for the purposes in Paragraphs (1-10) above recited.
12. Engage in all activities incidental to the above purposes.
13. Engage in any and all forms of business transactions or enterprises that a natural person might do, except as limited by law.
14. Receive donations, bequests, and devises of property, both real and personal.
15. Own, hold, purchase, trade, sell, exchange, and deal in, and otherwise dispose of, all kinds of real and personal property.

- 2.2. Powers. The corporation shall have and may exercise, either as principal or agent and either alone or in connection with other corporations, partnerships, firms, businesses, associations or individuals, any and all of the powers, rights and privileges now or hereafter permitted, given or granted by the laws of the State of Colorado and may do everything necessary or convenient for the accomplishment of any of the corporate purposes, subject to such limitations as are or may be prescribed by law.
- 2.3. Restrictions. Notwithstanding any other provision of these articles of incorporation, the corporation shall not carry on any activities not permitted to be carried on by a corporation exempt from federal income tax as an organization described in Section 501(c)(5) of the Code.

### ARTICLE III.

#### DURATION

The corporation shall have perpetual existence.

### ARTICLE IV.

#### MEMBERS

- 4.1. The corporation shall have voting and nonvoting members.
- 4.2. The characteristics, qualifications, rights, limitations, and obligations of each class of members shall be as provided in the corporation's Bylaws.

### ARTICLE V.

#### REGISTERED OFFICE AND AGENT

- 5.1. Registered Office. The address of the initial registered office of the corporation is 1560 Broadway, Denver, CO 80209.
- 5.2. Registered Agent. The name of the initial registered agent at the address of the registered office of the corporation is Corporation Service Company, 1560 Broadway, Denver, CO 80209.

### ARTICLE VI.

#### BOARD OF DIRECTORS

The management of the affairs of the corporation shall be vested in a board of directors, except as otherwise provided in the Colorado Revised Nonprofit Corporation Act, these articles of incorporation or the bylaws of the corporation. The number of directors, their classifications, if any, their terms of office and the manner of their election or appointment shall be as provided from time to time in the bylaws of the corporation. Until the initial board of directors has been elected and shall qualify, the incorporator shall serve as the sole director of the corporation and shall have all the powers and authority and shall perform all of the duties of the board of directors.

### ARTICLE VII.

#### NO BENEFITS TO MEMBERS, DIRECTORS, OR OFFICERS

No part of the net earnings of the corporation shall inure to the benefit of or be distributable to its members, directors, officers or others, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article II hereof. No substantial part of the activities of the corporation shall be participation in, or intervention in (including the

publishing or distribution of statements), any political campaign on behalf of any candidate for public office.

Upon dissolution of the corporation, the corporation shall, after paying or making provision for the payment of all liabilities of the corporation, dispose of the assets of the corporation exclusively to a successor organization or organizations having like purposes and which is an exempt organization pursuant to Section 501(c) of the Code. The organizations to receive such property, and their respective shares and interests, shall be determined by the board of directors.

**ARTICLE VIII.**  
**LIMITATION OF LIABILITY**

No director shall be personally liable to the corporation or to its members for monetary damages for breach of fiduciary duty as a director, except that the foregoing shall not eliminate or limit liability of a director to the corporation or to its members for monetary damages for the following: (a) any breach of the director's duty of loyalty to the corporation or to its members, (b) acts or omissions not in good faith or which involve intentional misconduct or a knowing violation of law, (c) acts specified in C.R.S. Sections 7-128-403 or 7-128-501, as they now exist or hereafter may be amended, or (d) any transaction from which the director directly or indirectly derived an improper personal benefit. This provision is in the corporation's original articles of incorporation and thus is effective on the date of the corporation's incorporation. This provision shall not limit the rights of directors of the corporation for indemnification or other assistance from the corporation. This provision shall not restrict or otherwise diminish the provisions of C.R.S. Section 13-21-115.7 (concerning no liability of directors except for wanton and willful acts or omissions), any amendment or successor provision to such Section, or any other law limiting or eliminating liabilities. If the Colorado Revised Nonprofit Corporation Act hereafter is amended to authorize the further elimination or limitation of the liability of directors, then the liability of a director of the corporation, in addition to the limitation on personal liability provided herein, shall be further eliminated, or limited to the fullest extent permitted by the Colorado Revised Nonprofit Corporation Act. Any repeal or modification of this Article VIII shall be prospective only and shall not adversely affect any right or protection of a director of the corporation existing at the time of such repeal or modification.

**ARTICLE IX.**  
**INCORPORATOR**

The name and address of the incorporator of the Corporation is William C. Hughes, 3217 English Road, Chino Hills, CA 91709-1502.

The name and mailing address of the individual who caused this document to be delivered for filing and to whom the Secretary of State may deliver notice if filing of this document is refused is Jill Chalmers, Esq., Holme Roberts & Owen LLP, 90 South Cascade Avenue, Suite 1300, Colorado Springs, CO 80903.

## CHAPTER 2: BYLAWS OF THE ARABIAN HORSE ASSOCIATION

### ARTICLE I.

#### NAME AND BACKGROUND

##### Section 1. Name

Pursuant to its Articles of Incorporation under the laws of the State of Colorado, the name of this organization shall be Arabian Horse Association, hereinafter may be referred to as "AHA" and it shall be a non-profit corporation. (Res. 1-15)

##### Section 2. Background Information

Following the incorporation of the Arabian Horse Association, the International Arabian Horse Association, Inc., a Colorado non-profit corporation ("IAHA"), and Arabian Horse Registry of American, Inc., a Colorado non-profit corporation ("AHRA"), were merged into the Arabian Horse Association (the "Merger") in accordance with an Agreement and Plan of Merger dated as of December 12, 2002 (the "Merger Agreement"). Prior to the Merger, AHRA established The Purebred Arabian Trust (the "Purebred Trust"), which trust is managed by a Board of Trustees (the "Trustees").

AHA<sup>SM</sup> is a service mark of the Arabian Horse Association.

### ARTICLE II.

#### OBJECTS AND PURPOSES (Res. 1-15)

The objects and purposes of Arabian Horse Association shall be those stated in Article II of its Articles of Incorporation, and more specifically to:

1. Be cognizant of the importance of promoting the purebred Arabian Horse and the Half-Arabian/Anglo-Arabian Horse at all times during the performance of activities furthering AHA's objects and purposes (as stated in the Articles of Incorporation and these Bylaws).
2. Foster and encourage good relations between AHA and Member Organizations.
3. Foster and encourage good relations, especially and specifically, between AHA and the Canadian Arabian Horse Registry and the Canadian Partbred Arabian Registry.
4. To maintain and protect the heritage of the purebred Arabian Horse by allowing the Purebred Arabian Registry Members of the Registry Commission to establish and implement any and all necessary rules and procedures to accomplish this end.
5. Aid and encourage the breeding, exhibiting, use and perpetuation of the purebred Arabian Horse as well as Half-Arabian and Anglo-Arabian Horses.
6. Coordinate the activities with all Arabian Horse Organizations in all countries.
7. Promote and encourage the participation of Arabian Horses in open events, activities and multiple disciplines, including recreation.
8. Coordinate and cooperate with the U.S. Equestrian Federation, Inc. and Equestrian Canada in providing qualified officials and judges for Arabian Horse events and activities.
9. Devise and adopt Arabian event and activity rules, regulations and standards.
10. Formulate publicity and educational programs and other activities in the interest of Arabian Horse owners, Arabian Horse organizations, Members Organizations and enthusiasts.
11. Aid, promote and foster the preservation and use of purebred Arabian Horses and the Arabian breed and to engage in all activities and undertakings incidental to or designated to further or promote this objective.
12. Promote, encourage, and stimulate popular interest in the outstanding qualities of the Arabian Horse.
13. Effectively maintain, operate, and promote the Arabian Horse Registry, Half-Arabian Horse Registry and Anglo-Arabian Horse Registry.
14. Maintain records, support, and promote the racing of purebred Arabian Horses in the United States.

15. Do any and all things necessary or appropriate to accomplish the objects and purposes as stated herein and as set forth in the Articles of Incorporation.

### ARTICLE III

#### MEMBERSHIP (Res. 2B-04)

##### Section 1. Membership Categories

Membership privileges and benefits for each category are established by the Board of Directors and may be modified by the Board of Directors from time to time. All individual members may serve on an Arabian Horse Association committee, commission or board as provided in the Arabian Horse Association rules.

###### Paragraph a. Adult Member.

Individuals may become Adult Members of Arabian Horse Association by paying dues, as set by the delegates at the Annual Convention. An Adult Member is entitled to all membership privileges excluding the privilege to compete in Arabian Horse Association Recognized Competitions.

- i) An individual Adult Member whose name appears on a membership list of a Member Organization is also granted the rights to:

Vote in his/her Member Organization;  
Be counted towards the delegation strength of that Member Organization;  
Be eligible for election as an officer or member of the board of directors at the Member Organization or Region level, or Arabian Horse Association Board of Directors.

Have the opportunity to be a Voting Delegate at the Arabian Horse Association Annual Convention, as prescribed herein.

- ii) An individual Adult Member may be appointed by the Purebred Arabian Trustees as an At-Large Director or an At-Large Vice-President of the Arabian Horse Association Board of Directors and be a Voting Delegate at the Arabian Horse Association Annual Convention.

- iii) An individual Adult Member may purchase a Competition Card/Insurance (U.S. residents only) Card to participate in Arabian Horse Association's Recognized Competitions and Excess Personal Liability Insurance Program by paying the fee as set by the Board of Directors. The Competition/Insurance Card will become effective the date of purchase and will expire with the Adult membership. (The Competition Fee is required for members who compete, members whose horses compete, and officials who officiate at Recognized AHA functions. This includes Recognized shows, endurance and competitive trail rides.)

###### Paragraph b. Life Member.

Individuals may become Life Members of Arabian Horse Association by paying a one-time fee as set by the Board of Directors. Life Members are entitled to all Adult Member membership privileges, benefits, discounts and, for no additional fee, the annual Competition Card. Honorary Directors of AHRA prior to the Merger and Life Members of IAHA prior to the Merger shall be Life Members of Arabian Horse Association without paying an additional Life Member fee.

###### Paragraph c. Youth Member.

Any individual under the age of 19 as of December 1 of the previous calendar year may become a Youth Member by paying dues as set by the Board of Directors. A Youth Member is entitled to membership in the Arabian Horse Youth Association and all Adult Member membership privileges, benefits and discounts except for the rights specifically granted to Adult Members in Article III, Section 1, Paragraph (a)(i). Youth Members who are members of a Member Organization shall not count towards determination of that Member Organization's number of Voting Delegates. (Res. 1-11)

- i) An individual Youth Member may purchase a Competition Card/Insurance (U.S. residents only) Card to participate in Arabian Horse Association's Recognized Competitions and Excess Personal Liability Insurance Program by paying the fee as set by the Board of

Directors. The Competition/Insurance Card will become effective on the date of purchase and will expire with the Youth membership. (The Competition Fee is required for youth members who compete and members whose horses compete at Recognized AHA functions. This includes Recognized shows, endurance, and competitive trail rides.)

Paragraph d. Business Member.

Entities including but not limited to corporations, farm/ranches, trusts, syndicates, partnerships, and estates may become Business Members by paying dues as set by the Board of Directors. A Business Member is entitled to all Adult Member membership privileges, benefits and discounts except for the rights specifically granted to Adult Members in Article III, Section 1, Paragraph (a) (i) (ii).

Paragraph e. Single Event Member.

Individuals may become Single Event Members of Arabian Horse Association for participation in Arabian Horse Association's Recognized Competitions (with the exception of Regional and National Competitions) by paying dues as set by the Board of Directors. Single Event Members shall only have exhibiting privileges for the duration of the event where the membership is purchased and are subject to all Arabian Horse Association rules and procedures.

Paragraph f. Multi-Owners Member. (Res. EE-17)

Individuals (two or more) may become members by submission of Registry Service work and are entitled to all Adult Member membership privileges, benefits and discounts except for the rights specifically granted to Adult Members in Article III, Section 1, Paragraph (a) (i), (ii), (iii). The dues shall be set by the Board of Directors and the membership is subject to those rules defined in AHA Article III, Section 2- Membership year and dues.

## Section 2. Membership Year and Dues

Paragraph a. Membership Year (Res. 2-04)

Membership year for all membership categories will commence upon the postmarked/electronic transaction date of payment to the AHA Office and expire on the last day of that month, twelve months later.

Paragraph b. Payment of Dues

Full annual dues are payable to the AHA Office before the end of the expiration month. Renewals paid any time prior to the expiration date will retain the same original expiration month.

The member will lose all membership privileges as of the expiration date if dues are not paid as of the expiration date.

Renewals paid after the expiration date are subject to a late fee.

## ARTICLE IV. (Res. 8-14)

### STRUCTURE

#### Section 1. Regions

The Arabian Horse Association is divided into eighteen (18) Regions which encompass the United States and Canada. These Regions may be redefined from time to time by the Arabian Horse Association. These Regions are composed of the Member Organizations as defined in Article IV, Section 2 below.

Paragraph a. Regional Board of Delegates

- (i) In each of the Region's the Voting Delegates, as defined in these Bylaws, shall serve as a Regional Board of Delegates.
- (ii) The Regional Board of Delegates of each Region shall nominate and elect one (1) Regional Director and one (1) Regional Vice-Chair every other year. Voting by proxy shall not be permitted. Odd and even-numbered Regions shall elect Regional Directors in odd and even-numbered years respectively.
- (iii) The election of the Regional Director shall be completed no later than thirty (30) days before the opening day of the AHA Annual Convention held during the calendar year of the election.
- (iv) The Regional Board of Delegates may elect their Regional Director and Regional Vice-Chair by mail, email or any other electronic means if provided for and in accordance with the Region's Bylaws. (Res. 2-19)

Paragraph b. Voting Delegate(s) and Alternate Delegate(s)

- (i) Each Member Organization shall submit to the Region the name(s) of its Voting Delegate(s) and Alternate Delegate(s) in a manner as defined in the Region's Bylaws and consistent with the AHA Bylaws.
- (ii) A Voting Delegate may represent only one (1) of the Member Organizations on whose membership list his or her name appears.
- (iii) An At-Large Director as defined in Article VIII, Section 2, Paragraph d. attending a Regional meeting shall have floor privileges at the meeting but may not vote, unless otherwise entitled to vote.
- (iv) AHA Officers as defined in Article IX, Section 1 shall not vote in Regional Director elections unless otherwise entitled to vote.

Paragraph c. Regional Director Duties.

- (i) The Regional Director shall act as the Chair of the Regional Board of Delegates during his or her term in office.
- (ii) Each Regional Director's term of office shall be approximately two (2) years, beginning at the close of the AHA Annual Convention following his or her election, and lasting until his or her successor is selected and takes office at the close of the AHA Annual Convention following the successor's selection.
- (iii) No person shall be eligible to hold the office of Regional Director for a continuous period in excess of two (2) consecutive terms (four years). The foregoing limitation shall not preclude a person from serving a term of one year or less or any Regional Director elected to serve the remainder of an unexpired term and thereafter being elected and re-elected to serve two (2) consecutive two-year terms.
- (iv) If the Regional Director position becomes vacant, the Regional Vice-Chair shall become the Regional Director and serve out the remainder of the Regional Director's term. If the Regional Vice-Chair position becomes vacant the Regional Board of Delegates shall elect a new Vice-Chair.
- (v) Each Regional Director as defined in Article IV., Section 1., shall be a member of the National Council established pursuant to paragraph (f) of this section. (Res. 1-25)

Paragraph d. Other Regional Director Duties.

- (i) The Regional Director shall provide notice of all meetings of the Regional Board of Delegates pursuant to Article IV, Section 1, Paragraph d., (ii).
- (ii) Notice shall be provided to the Voting Delegates in the Region and to each At-Large Director residing within such Region not less than ten (10) nor more than ninety (90) days before the date of such meeting. Such notice shall specify the date, place and hour of the meeting, the names of all those who are nominees at the time notice is given and the general nature of any other business to be transacted at such meeting. Notice shall be given in writing and delivered by first-class, prepaid mail, telecopy, personal delivery, overnight courier, or electronic mail to each Member Organization, each Voting Delegate and each At-Large Director in the Region. Any notice must be given to the last address, telecopy number or electronic mail address of the Member Organization, the Voting Delegate and the At-Large Director(s) shown on AHA records. Notice shall be deemed given at the time of delivery through the means of delivery utilized.
- (iii) The Regional Director may comment to the Board of Directors of AHA on any new Member Organization's application that may have been forwarded to the Regional Director.
- (iv) The Regional Director shall have such additional powers as may be delegated by the Regional Board of Delegates and the Bylaws of the Region.

Paragraph e. Levy of Dues to Member Organization by Region

- (i) A Region may levy dues on the Member Organization within its area provided the dues are based on number of Individual Adult Members or Life Members or number of Voting Delegates per Member Organization.

(ii) The Regional Director shall notify the AHA office and the affected Member Organization at least forty-five (45) days prior to the opening day of the Annual Convention if the Member Organization is in the arrears for not paying dues to the Region pursuant to Article IV, Section 2, Paragraph f., 5) and that the Member Organization's voting rights have been suspended. Such notification shall be given in writing and delivered by first-class, prepaid mail, telecopy, personal delivery, overnight courier, or electronic mail. Any notice must be given to the last address, telecopy number or electronic mail address of the Member Organization shown on AHA's records. Notice shall be deemed given at the time of delivery through the means of delivery utilized.

## Section 2. Member Organizations

The Arabian Horse Association shall be composed of those non-profit organizations, associations, and corporations that:

- (i) provide for membership of individuals and corporations, and
- (ii) have aims and purposes similar to those of AHA, and
- (iii) have been duly admitted to membership in AHA and will hereinafter be referred to as Member Organizations.

### Paragraph a. Member Organization Application.

A non-profit organization desiring to become a Member Organization shall make written application to, (on a form provided by), the AHA office. A copy of the application shall be forwarded to the Regional Director (by the AHA office), who will be provided an opportunity to comment should he or she wish to do so.

### Paragraph b. Approval of Member Organizations.

Upon a favorable vote of the AHA Board of Directors, such application shall be approved, and such organization shall thereafter be a Member Organization, subject to the AHA Articles of Incorporation, AHA Bylaws, adopted AHA Convention resolutions and actions of the AHA Board of Directors.

### Paragraph c. Voting Rights of Member Organizations.

The Member Organizations shall have the right to vote for the election of Regional Directors in the manner described in Article IV, Section 1, Paragraph a. and shall have the right to vote on all matters decided at the AHA Annual Convention, as described in Article VI, Sections 3 & 4 of these Bylaws. All voting rights of the Member Organizations shall be exclusively vested in, and exercised by, Voting Delegates, as described in Article VII, Section 1, Paragraphs a., b., c., & d. of the AHA Bylaws.

### Paragraph d. Voting Rights of a new Member Organization.

Member Organization Membership approved by the AHA Board of Directors after the thirtieth day of June of each year and prior to the AHA Annual Convention shall abide by the provisions in Article VII Section 1, Paragraph c.

### Paragraph e. Suspension of Voting Rights

(i) A Member Organization ninety (90) days or more in arrears on Regional dues as defined in Article IV, Section 1, Paragraph e shall not be entitled to Voting Delegates at the Annual Convention and the affected Member Organization will be notified by the Region at least forty-five (45) days prior to the opening day of the Annual Convention if their Voting Rights have been suspended.

(ii) The affected Member Organization shall have the opportunity to object in writing to the suspension of its voting rights and submit such objections to the Regional Director at any time prior to the opening day of the Annual Convention subject to review by the AHA Executive Committee as appropriate.

### Paragraph f. Termination of Member Organization Membership.

A Member Organization may be terminated from membership for any of the following reasons:

- 1) Violation of the Articles of Incorporation, Bylaws, or a duly adopted resolution(s) of AHA;
- 2) Failure to comply with an action by the AHA Board of Directors;

- 3) Conduct that is deleterious to the best interests of the Arabian Horse or to the best interests of AHA;
- 4) Upon action by such Member Organization withdrawing from AHA;
- 5) Upon failure of such Member Organization to pay assessed dues to AHA or the Region in which the Member Organization is located; or
- 6) If a Member Organization falls below two (2) Individual Adult Members and/or Life Members as of **June 30th** of any year. (Res. 1-16)

### Paragraph g. Procedure for Termination.

The Membership Committee shall make investigation of all matters, which may afford a basis of termination from membership (other than voluntary withdrawal). After such investigation, if the Committee finds that probable cause for termination exists, it serves notice on the Member Organization affected, stating:

The grounds of the proposed termination; and

That the Membership Committee will recommend the Member Organization's termination from membership on such grounds; and

That such recommendation will be made to the next Annual Convention at which time and place such Member Organization is entitled to appear and be heard; and

That after such hearing, the matter of termination will be submitted to the Convention for decision; and

Such notice shall be given to the Member Organization at least forty-five (45) days before the opening day of the Annual Convention at which the Member Organization is to be given an opportunity to be heard. Notice shall be given in writing and delivered by first-class, prepaid mail, telecopy, personal delivery, overnight courier, or electronic mail.

Any notice must be given to the last address, telecopy number or electronic mail address of the Member Organization shown on AHA's records. Notice shall be deemed given at the time of delivery through the means of delivery utilized.

### Paragraph h. Termination of Member Organization.

A Member Organization may be terminated from membership, after hearing, by action of the Annual Convention. In the event of such termination, the Arabian Horse Association shall promptly inform such Member Organization of its termination from membership. The termination shall become effective no sooner than five (5) days after the date of the hearing afforded the Member Organization.

### Paragraph i. Reinstatement of Member Organization.

A Member Organization may be reinstated by favorable action of an Annual Convention.

## Section 3. National Council (Res. 1-25)

- (i) A National Council consisting of the eighteen Regional Directors is hereby established for the purposes set forth herein.
- (ii) The Members of the National Council at its first meeting shall elect a Presiding Officer and Secretary to serve until a successor is elected. Any vacancy occurring shall be filled at the next meeting of the National Council.
- (iii) The National Council shall meet at least once at the Annual Convention for the purpose of electing three (3) members of the National Council to serve as Directors on the Association's Board of Directors; one each from the Western, Central and Eastern Regions. (All 18 members of the National Council shall vote for all three directors)
  - a. Western Region (1,2,3,4,5,7,17)
  - b. Central Region (6,8,9,10,11)
  - c. Eastern Region (12,13,14,15,16,18)
- (iv) The Secretary of the National Council shall certify the names of each of the elected Directors to the President of the Association.

- (v) The National Council's roles and responsibilities shall be determined by the Board of Directors and included in the AHA Directors Manual.
- (vi) Regional Vice Chairs shall be entitled to attend any National Council meeting and vote and shall count in determining a quorum of the National Council if, and only if, the Regional Director is absent

## **ARTICLE V. (Res. 2-15)**

### CORPORATE POWERS

#### **Section 1. Division of Powers**

The corporate powers of Arabian Horse Association shall be administered as provided in this Article.

#### **Section 2. Powers of the Annual Convention**

##### Paragraph a. Exclusive Powers.

Except as limited by Article XVI of these Bylaws, the Annual Convention provided for in Article VI hereof, shall have exclusive power to enact, repeal and amend Bylaws, amend the Articles of Incorporation, and dissolve Arabian Horse Association.

##### Paragraph b. Other Powers.

The Annual Convention may, by resolution, take any other action not inconsistent with law, with the Articles of Incorporation, the Bylaws, or the Merger Agreement. Notwithstanding the foregoing, the Annual Convention shall have no power to approve or amend Arabian Horse Association's budget or take any action regarding the rules, policies, practices, and procedures applied to the Arabian Horse Registry or the Half-Arabian/Anglo-Arabian Horse Registries.

#### **Section 3. Powers of the Board of Directors**

##### Paragraph a. Annual Budget and Authority. (Res. 1-25)

**The Board of Directors shall have final authority to approve the annual operating budget and shall have all power to take any action not inconsistent with law, with the Articles of Incorporation, the Bylaws, the Merger Agreement, or with any duly enacted resolution of the Annual Convention. Notwithstanding the foregoing, the Board of Directors shall have no power to take any action regarding the rules, policies, practices, and procedures applied to the Arabian Horse Registry or the Half-Arabian/Anglo-Arabian Horse Registry.**

- (i) The Board of Directors shall have final authority to approve the annual operating budget and shall have all power to take any action not inconsistent with law, with the Articles of Incorporation, the Bylaws, the Merger Agreement, or except as provided in subparagraph (ii) herein, with any duly enacted resolution of the Annual Convention. Notwithstanding the foregoing, the Board of Directors shall have no power to take any action regarding the rules, policies, practices, and procedures applied to the Arabian Horse Registry or the Half-Arabian/Anglo-Arabian Horse Registry.
- (ii) A Resolution by the Board that amends or replaces a resolution previously adopted by the Delegates at an Annual Convention (an Amendatory Resolution) shall take effect on the thirtieth (30<sup>th</sup>) day following adoption unless prior to that date the Secretary of the Association has received either:
  - (a) a written certification from the Presiding Officer of the National Council that at a meeting of the National Council no fewer than a majority of all members of the National Council voted to disapprove the Amendatory Resolution; or
  - (b) written objection to the adoption of the Amendatory Resolution from no fewer than a majority of all members of the National Council.
- (iii) Immediately upon adoption by the Board of an Amendatory Resolution, the Secretary of the Association shall furnish each member of the National Council by US mail, postage prepaid, by email or by personal service, as well as each Adult and Life Member (having an email address on file),
  - (a) a copy of the Amendatory Resolution adopted by the Board;
  - (b) a statement from the Board explaining why the Amendatory Resolution is necessary and in the best interests of the Association;

- (c) the date upon which the Amendatory Resolution will become effective unless disapproved in the manner provided above.

##### Paragraph b. Delegation of Power.

The Board of Directors may delegate to any committee or officer any portion of its power, subject to any limitation imposed by the Colorado Nonprofit Corporation Act or which the Board may see fit to impose.

##### Paragraph c. Power to Employ. (Res. 2-15)

The Board of Directors shall have power to employ an Executive Director subject to the provisions in Article X. (Res. 3-20)

##### Paragraph d. Executive Committee.

- (i) Enumeration: The Executive Committee shall be composed of the President, a Vice President, two (2) At-Large Vice Presidents, Secretary, Treasurer, and Immediate Past President.
- (ii) Powers: The Executive Committee is empowered between meetings of the Board of Directors to handle all matters as enumerated in the Arabian Horse Association's "Policies to Govern the Business Operations of AHA".
- (iii) Limitations: The limitations that the Board of Directors places on the authority of the Executive Committee are to be set forth in the policy directives approved by the Board of Directors as same may be modified from time-to-time by the Board of Directors. (Res. 5-14)
- (iv) Emergency Provisions: The Executive Committee will have the authority to postpone or cancel an Annual Convention pursuant to Article VI, Section 1, Paragraph b, subject to those limitations provided in Article VIII, Section 6, Paragraph g, sub-paragraphs i) and ii). (Res 2-20)

#### **Section 4. Powers of Officers**

The Officers of Arabian Horse Association, as enumerated in Article IX, shall have those powers delegated to them by these Bylaws and such additional powers as may be delegated by the Annual Convention or by the Board of Directors.

#### **Section 5. Indemnification**

Arabian Horse Association shall indemnify and hold all directors, officers, employees, agents, and committee, commission and board members harmless from all liabilities, obligations, claims, causes of action, or expenses of any kind, including without limitation reasonable attorneys' fees, that may arise or be incurred by them as a result of the performance of their duties for or on behalf of Arabian Horse Association, to the full extent allowed under the Colorado Revised Nonprofit Corporation Act.

## **ARTICLE VI.**

### ANNUAL CONVENTION

#### **Section 1. Conventions**

- (a) Time and Place: The Annual Convention of Arabian Horse Association shall be held annually at such time and place as shall be determined by a previous Annual Convention. For the purposes of defining a place see (i) or (ii) below. (Res. 1-22)
  - (i) An In-person Convention is defined as a convention held in a city, in a single room large enough to adequately seat the delegates assembled for the session. (Res. 1-22)
  - (ii) A Virtual Convention is defined as a convention where delegates (in various places) are electronically assembled using an internet connected platform. (Res. 1-22)
- (b) Emergency Provision: If circumstances arise such as a national or local crisis for example war, natural disaster, pandemic or other national or local emergency that prevent the holding of an In-Person Annual Convention, the Executive Committee, voting at a meeting, by mail, by electronic communications or by telephone, is authorized to cancel or convert to a Virtual Convention, the In-Person Annual Convention, and to take such action necessary to effect the cancellation, conversion or postponement. In the event of the cancellation of the In-Person Annual Convention, the election of the Officers of the Arabian Horse Association (if scheduled for the cancelled Convention) and the election of the Annual Convention elected committee members will be as provided by a method deemed appropriate by the Board of Directors. See Article VIII

Directors, Section 6, Paragraph g, Emergency Provision, sub-paragraphs (i) and (ii). (Res. 1-22)

## Section 2. Notice

Notice of the Annual Convention shall be given to all Member Organizations and registered Delegates as described herein (such Delegates together with Member Organizations, being the "Notice Recipients") not less than forty-five (45) nor more than ninety (90) days before the date of the Annual Convention. The notice shall specify the place, date and hour of the Annual Convention and those matters which the Board of Directors, at the time of giving notice, intends to present for action by the Notice Delegates. Notice shall be given in writing and delivered by first-class, prepaid mail, telecopy, personal delivery, overnight courier, or electronic mail. Any notice given by mail must be given to the last address, telecopy number or electronic mail address of the Notice Recipient shown on Arabian Horse Association's records. Notice shall be deemed given at the time of delivery through the means of delivery utilized.

## Section 3. Attendance

### Paragraph a. Convention.

All members of Member Organizations who are in good standing with their Member Organizations, Life Members and Adult Members shall be entitled to attend any Annual Convention, or any other meeting called for a similar purpose, and shall have floor privileges; provided, however, they shall not, solely by reason of such membership, be entitled to vote.

### Paragraph b. Delegates.

All Delegates, selected in accordance with Article VII hereof, shall be entitled to attend the Annual Convention and shall be entitled to the floor privileges. Voting Delegates shall be entitled to vote on all questions properly coming before the Convention and Non-Voting Delegates shall not be entitled to vote.

## Section 4. Voting

### Paragraph a. Quorum.

A quorum to do business shall consist of a majority of all Voting Delegates (as defined and authorized by Article VII hereof to vote at the Annual Convention) who have been duly registered and credentialed at the Annual Convention; provided, however, a lesser number may adjourn the Annual Convention from time to time until a quorum shall be attained.

### Paragraph b. Special Measures.

The favorable vote of two-thirds of all the Voting Delegates present and voting, authorized by Article VII hereof who have been duly registered and credentialed at the Annual Convention, shall be required to adopt the following:  
Enact, repeal, or amend a Bylaw (subject to Article XVI hereof);  
Amend the Articles of Incorporation;  
Dissolve Arabian Horse Association; or  
Revoke a Member Organization membership.

### Paragraph c. Ordinary Measures.

A majority of the votes cast shall be necessary for the election of an Officer or for the adoption of any other measure (with the exception of the two (2) At-Large Vice Presidents, who shall be appointed as provided in Article IX, Section 2).

### Paragraph d. Presiding Officer.

The presiding officer of the Annual Convention shall not vote except in the case of a tie and in that case the presiding officer may cast the deciding vote, regardless of whether the presiding officer is a Voting Delegate.

### Paragraph e. Proxies.

Voting by proxy shall not be permitted.

### Paragraph f. Eligibility to Vote. (Res. 1-25)

Only persons who are adult or life members in good standing of the Association on June 30 of the year in which an Annual Convention is held and who have been certified by Credentials and who are in attendance at the Convention shall be entitled to vote for the election of a President, a Vice President and the election of three Directors.

## ARTICLE VII. DELEGATES

### Section 1. Voting Delegates

#### Paragraph a. Delegate Qualifications.

Each Voting Delegate and each Alternate Voting Delegate shall be an Adult or Life Member, in accordance with Article III, Section 1, Paragraph a., or be a Life Member who is qualified to vote under Article III, Section 1, Paragraph b. and in good standing of the Arabian Horse Association. The Voting Delegate and Alternate Voting Delegate's name must appear on the membership roster of the Member Organization he or she represents. The term Voting Delegate as it applies to the Annual Convention shall also include the Voting Delegates At-Large and officer Voting Delegates described in this Article VII. Alternate Voting Delegates meeting the same criteria as Voting Delegates selected by Member Organizations shall not be entitled to vote unless the Voting Delegates initially selected are not present or are otherwise unable to vote.

#### Paragraph b. Selection of Delegates.

Each Voting Delegate and each Alternate Voting Delegate shall be selected for such office by the Member Organization which he or she represents in the manner provided by the bylaws or rules of such Member Organization and shall serve until the selection and qualification of his or her successor pursuant to the bylaws or rules of such Member Organization.

#### Paragraph c. Number of Delegates.

Member Organizations which have at least ten (10) Adult Members and/or Life Members shall be entitled to be represented at an Annual Convention by one (1) Voting Delegate per thirty-five (35) Adult or Life Members in good standing or part thereof. (Res. 3-15) (Res. 1-16) The number of members of a Member Organization for delegate purposes shall be the number of Adult or Life Members on whom dues have been received in the office of Arabian Horse Association as of the thirtieth day of June of each year and remain that number until June 30<sup>th</sup> of the following year. Member Organization Membership approved by the Board of Directors of Arabian Horse Association after the thirtieth day of June of each year and prior to the Annual Convention shall have their delegate count for that initial Annual Convention based on the number of Adult or Life Members submitted on their application and remains that number until June 30<sup>th</sup> of the following year.

#### Paragraph d. Delegate Certifications.

For the Annual Convention, each Member Organization shall submit to Arabian Horse Association the name(s) of its Voting Delegates and Alternate Voting Delegates in a manner as defined in Arabian Horse Association Handbook. For the Regional Director election, each Member Organization shall submit to the Region the name(s) of its Voting Delegates and Alternate Voting Delegates in a manner as defined in the Region's bylaws and consistent with the Arabian Horse Association Bylaws.

#### Paragraph e. Regional Directors.

Each Regional Director (as defined in Article VIII, Section 2, paragraph c) shall represent his or her Region as a Voting Delegate from the Region.

#### Paragraph f. At-Large Directors.

Each At-Large Director (as defined in Article VIII, Section 2, paragraph d) shall serve as a Voting Delegate and shall represent the interests of purebred Arabian Horses.

#### Paragraph g. Past Directors.

Each past Regional and past At-Large Director will serve as a Voting Delegate-At-Large to the Annual Convention for a period following the expiration of his or her Directorship equal to the period served immediately prior to such expiration (provided such past Director is an Adult or Life Member in good standing).

Only past Regional Directors may vote on the Regional Board of Delegates for a period following the expiration of his or her Directorship equal to the period served immediately prior to such expiration (provided such past Director is an Adult or Life Member in good standing).

**Paragraph h. Elected and Appointed Officers.**

Each Officer as defined in Article IX shall serve as a Voting Delegate-at-Large at the Annual Convention but not in Regional Director elections unless otherwise entitled to vote.

**Paragraph i. Past Presidents.**

All Past Presidents of IAHA and Arabian Horse Association and the Past Chairs of AHRA will serve as Voting Delegates-at-Large at the Annual Convention only if not otherwise a Voting Delegate (provided such Past President or Past Chair is an Adult or Life Member in good standing).

**Paragraph j. Multiple Memberships.**

A Voting Delegate may represent, at the Annual Convention and Regional Board of Delegates, only one of the Member Organizations on whose membership list his or her name appears.

**Paragraph k. Delegate Voting.**

All voting at the Annual Convention shall be by the Voting Delegates acting personally. Voting by proxy shall not be permitted. With respect to any particular Annual Convention, any person may be authorized to attend as a non-Voting Delegate by the Board of Directors or the President of Arabian Horse Association.

**Section 2. Suspension of Voting Rights**

A Member Organization ninety (90) days or more in arrears on Regional dues as defined in Article IV, Section 2, Paragraph f. 5., shall not be entitled to Voting Delegates at the Annual Convention. Notification of such arrears and suspension of voting rights shall be made by the Regional Director to Arabian Horse Association Office and to the affected Member Organization at least forty-five (45) days prior to the opening day of the Annual Convention. Such notification shall be given in writing and delivered by first-class, prepaid mail, telecopy, personal delivery, overnight courier, or electronic mail. Any notice given by mail must be given to the last address, telecopy number or electronic mail address of the Member Organization shown on Arabian Horse Association's records. Notice shall be deemed given at the time of delivery through the means of delivery utilized. The affected Member Organization shall have the opportunity to object in writing to the suspension of its voting rights and submit such objections to the Regional Director at any time prior to the opening day of the Annual Convention subject to review by the Executive Committee as appropriate.

**ARTICLE VIII.**

**DIRECTORS**

**Section 1. Qualifications**

~~The Board of Directors shall consist of twenty-nine (29) persons selected in accordance with this Article. Each Director shall, at all times during his or her continuance in office, be an Adult or Life Member in good standing as determined pursuant to Article III, Section 1. Each elected member of the Board of Directors shall be an Adult or Life Member. Each appointed member of the Board of Directors shall be an Adult or Life Member.~~

**Section 2. Enumeration (Res. 1-25)**

~~The Board of Directors shall consist of the following persons:~~

**Paragraph a. Past President**

~~The Immediate Past President.~~

**Paragraph b. Officers**

~~Each person elected or appointed as an officer pursuant to Article IX hereof, shall serve for the period for which so selected, and until the selection of his or her successor to such office.~~

**Paragraph c. Regional Directors**

~~A Director from each of the Regions as defined in Article IV, Section 1.~~

**Paragraph d. At-Large Directors**

~~There shall be four (4) At Large Directors initially selected by the AHRA prior to the Merger with and into Arabian Horse Association, two (2) of whom will serve for a one (1) year term and two (2) of whom will serve for a two (2) year term. Thereafter, two (2) of the four (4) At Large Directors shall be selected by the Trustees each year at the time of the Annual Convention. Each At Large Director shall represent the interest of purebred Arabian Horses and seek to aid, promote and foster the preservation and use of the purebred Arabian Horses and the Arabian breed and to engage in all activities and undertakings incidental to or designed to further promote these objectives.~~

**Section 3. Selection of Regional and At-Large Directors**

**Paragraph a:**

~~The selection of the Regional Directors will be pursuant to Article IV, Section 1, Paragraph a (ii). (Res. 8-14)~~

**Paragraph b:**

~~Election or appointment of Directors shall be completed no later than thirty (30) days before the opening day of the Annual Convention held during the calendar year of the election. (Res. 3-05)~~

**Section 4. Regional and At-Large Directors Term and Limitation**

**Paragraph a:**

~~Each Regional and At Large Director's term of office shall be approximately two (2) years, beginning at the close of the Annual Convention following his or her election or appointment, as appropriate, and lasting until his or her successor is selected and takes office at the close of the Annual Convention following the successor's selection.~~

**Paragraph b:**

~~No person shall be eligible to hold the office of Regional or At Large Director for a continuous period in excess of two (2) consecutive terms (four years). (Res. 8-14) The foregoing limitation shall not preclude a person appointed to a term of one year or less by the Trustees or any Director elected to serve the remainder of an unexpired term and thereafter being elected and re-elected to serve two (2) consecutive two year terms.~~

**Section 5. Regional and At-Large Directors Vacancies**

~~If the Regional Director position becomes vacant, the Regional Vice Chair shall become the Regional Director and serve out the remainder of the Regional Director's term. If the Regional Vice Chair position becomes vacant the Regional Board of Delegates shall elect a new Vice Chair. Any At Large Director vacancy shall be filled by the Trustees.~~

The Board of Directors of the Arabian Horse Association shall consist of fourteen (14) persons comprised as follows:

- (i) An Executive Committee numbering seven (7) composed of a President and a Vice President, each elected by the membership at large at an Annual Convention; a Treasurer and a Secretary, each appointed by the Board; the immediate past President of the Association; and two At-Large Vice Presidents appointed to the Executive Committee by the Purebred Arabian Trust; and
- (ii) Seven (7) additional Board members selected as follows: (a) three (3) Directors nominated by the Nominating Committee and elected by the Membership of the Association in attendance at an Annual Convention; (b) three (3) Directors elected by the National Council established pursuant to these Resolutions, and (c) one (1) Director appointed by the Purebred Arabian Trust.
- (iii) Subject to the provisions of subparagraph (v) hereof, the directors elected by the Credentialed Voting Members shall be elected and serve for a term of three (3) years. The Directors appointed by the Purebred Arabian Trust will serve at the pleasure of the Trust.
- (iv) The President and Vice President shall be elected and serve a term of two (2) years and are eligible to serve no more than three (3) consecutive terms. The appointed Treasurer and Secretary shall serve a term of two (2) years with no term limits.

(v) At Annual Conventions Directors shall be elected to three (3) year terms. The terms of National Council Members, appointed by the National Council, to the Board shall correspond with their term on the National Council.

(vi) Excepting Directors and Officers appointed by the Purebred Arabian Trust who serve at the pleasure of the Trust and excepting the succession of a Vice President to the office of President, the President and Vice President will be limited to three (3) consecutive two (2) year terms. The Directors shall be limited to two (2) consecutive three (3) year terms of office; and may not again be elected as an Officer or Director until one (1) year following the expiration of their second term of office. Provided, however, the initial terms of office for Class B Director or Class C Director, as defined above, shall not count in determining the length of permitted service. Provided, however, no runoff will be required for the initial election of the Class A Director, the Class B Director, or the Class C Director.

(vii) Vacancies. In the event an office, appointed by the Board or elected by the Credentialed Voting Members in attendance at an Annual Convention, becomes vacant by reason of resignation or otherwise, the unexpired term of that office will be appointed by the Board and further for those elected by the Credentialed Voting Members in attendance at an Annual Convention will be filled by election at the next Annual Convention; the National Council shall elect a successor to a director elected by it; and the Purebred Arabian Trust shall select a successor to an office appointed by it.

(ix) Removal for Cause. The Board of Directors may remove a Director for cause upon the affirmative vote of two-thirds (2/3) of the Directors. Removal "for cause" may be determined by the Board of Directors when the Director has engaged in inappropriate conduct including, but not limited to, theft, dishonesty, or moral turpitude.

### Section 3. Meetings

#### Paragraph a. Annual Meeting.

There shall be an annual meeting of the Board of Directors at the Annual Convention. Except as otherwise provided by law, written notice of any meeting of the Board of Directors (i) shall be given in writing to each Director and delivered by first-class, prepaid mail, telecopy, personal delivery, overnight courier, or electronic mail. Any notice given by mail must be given to the last address, telecopy number or electronic mail address of the Director shown on Arabian Horse Association's records. Notice shall be deemed given at the time of delivery through the means of delivery utilized, and (ii) shall state the date, place and hour of the meeting and the purpose or purposes for which the meeting is called.

#### Paragraph b. Special Meetings.

Special meetings of the Board may be held at any time and place on the call of the President or of a majority of the Board, in either case upon ten (10) days' notice by first-class prepaid mail, or five (5) days' notice delivered personally or by telephone or electronic mail. Such notice shall state the time and place of the meeting and shall be deemed given at the time when personally delivered, deposited in the mail, sent by electronic mail or orally transmitted to recipient via telephone.

#### Paragraph c. Quorum.

At any meeting of the Board, the presence of a majority of Directors shall constitute a quorum to transact business.

#### Paragraph d. —Regional Vice-Chair and At Large Director Alternates.

~~Elected Regional Vice-Chairs and alternate At Large Directors appointed by the Trustees may attend any open meeting of Arabian Horse Association's Board of Directors when the Regional Director is present. Regional Vice Chairs and alternate At Large Directors shall be entitled to attend any Board of Directors meeting and vote and shall count in determining a quorum of the Board of Directors if, and only if, the Regional Director or At Large Director is absent.~~

#### Paragraph d. Participation in Meetings by Conference Telephone.

Directors may participate in any regular or special meeting of the Board through use of conference telephone or similar communications equipment, so

long as all Directors participating in such meeting can hear one another. Participation in a meeting in this manner shall constitute presence in person at such meeting.

#### Paragraph e. Voting by Mail or Electronic Communication. (Res. 1-09)

1. ~~The Board of Directors may take action, without a meeting, by mail, e-mail, fax, or similar communication when:~~

~~A notice stating the action to be taken and the time by which a Director must respond is transmitted by mail, e-mail or fax or similar communication to each member of the Board, and, each member of the Board, by the time stated in the notice:~~

~~(a) Votes in writing for such action; or~~

~~(b) (1) Votes in writing against such action, or abstains in writing from voting, or fails to respond, or vote; and~~

~~(2) Fails to demand that action not be taken without a meeting. The response required herein must be by mail, e-mail or fax, and, shall include the director's signature.~~

2. ~~The notice required by subsection 1 of paragraph f shall state:~~

~~(a) The action to be taken; and~~

~~(b) The time by which a Director must respond; and~~

~~(c) That failure to respond by the time stated in the notice will have the same effect as abstaining in writing by the time stated in the notice and failing to demand in writing, by the time stated in the notice, that action not be taken without a meeting; and~~

~~(d) Any other matters the Arabian Horse Association determines to include.~~

3. ~~The number of affirmative votes for such action meets or exceeds the number that would be necessary to take such action at a meeting at which all Directors were present and voting.~~

1. The Board of Directors may take action, without a meeting, by mail, e-mail, fax, or similar communication when a notice stating the action to be taken and the time by which a Director must respond is transmitted by mail, e-mail, fax or similar communication to each member of the Board, and, a majority of the members of the Board, by the time stated in the notice, vote in favor of the action proposed to be taken. (Res. 1-25)

2. The notice required by subsection 1 of paragraph e shall state: (Res. 1-25)

(a) The action to be taken; and

(b) The reason the action must be taken without a meeting; and

(c) The time by which a Director must respond; and

3. All signed written instruments necessary for any action taken pursuant to this section shall be filed with the minutes of the meetings of the Board of Directors.

#### Paragraph f. Emergency Provision (Res. 2-20) (Res. 1-22)

(i) In the event of a cancellation of an In-Person Annual Convention by the Executive Committee pursuant to Article VI, Section 1. b., and in the absence of a Virtual Convention, the Board of Directors will elect the Officers of the Arabian Horse Association (if the election of Officers is scheduled for the cancelled Convention) utilizing the Nominating Committee's slate of officers that was to have been provided to the Delegates at the canceled In-Person Annual Convention. The election shall be conducted by written ballot, or by mail, or by electronic means, as determined by the Board of Directors.

(ii) In the event of a cancellation of an In-Person Annual Convention by the Executive Committee pursuant to Article VI, Section 1. b., and in the absence of a Virtual Convention, the Board of Directors will nominate and elect the Annual Convention elected committee members. The method of election shall be determined by the Board of Directors. The staff of the Arabian Horse Association shall provide a list of the committee positions that were to have been elected at the cancelled In-Person Annual Convention.

## ARTICLE IX.

### OFFICERS

#### Section 1. Enumeration

The Officers of Arabian Horse Association shall consist of a President, a Vice President, two (2) At-Large Vice Presidents, a Secretary and a Treasurer.

## **Section 2. Election**

Paragraph a.

The President shall be elected by ballot for a two (2) year term. The President will be eligible for a maximum of two (2) consecutive terms excluding any period of time completing the term of a predecessor. The two (2) At-Large Vice Presidents shall be appointed by the Trustees each for a term of one (1) year. All other Officers shall be elected by the Voting Delegates for a term of two (2) years to coincide with the election of the President (Res. 6-14) All Officers shall serve until their successors are elected (or appointed by the Trustees in the case of At-Large Vice Presidents) and take office at the close of the Annual Convention following the successor's election or appointment. All elections shall be conducted by a written or electronic ballot; except, that in the event but one person is nominated for an office, that person will be declared elected by the chair. (Res. 1-20)

The President and Vice President shall be elected by majority vote of the Credentialed Members present at the Annual Convention for a period of two (2) years until adjournment of the second Annual Convention following his or her election. The two (2) At-Large Vice Presidents shall be appointed by and serve at the pleasure of the Trustees. (Res. 1-25)

Paragraph b.

In the event there are three or more candidates for any office, and no candidate receives a majority of the votes cast, there shall be a run-off between the two candidates receiving the largest number of votes. (Provide further, however, no runoff will be required to establish the A Director, B Director, and C Director.) (Res. 1-25)

## **Section 3. Qualifications**

Each Officer elected by the Annual Convention shall at all times during his or her continuance in office, be an Adult or Life Member in good standing as determined pursuant to Article III, Section 1, Paragraphs a. or b. Individuals appointed by the Trustees as At-Large Vice Presidents at all times during their continuance in office shall be an Adult or Life Member in good standing.

## **Section 4. Vacancy**

In case of a vacancy in the office of President, the Vice President shall become President. A vacancy in the office of either At-Large Vice President positions shall be filled by the Trustees. A vacancy in any other elected office shall be filled by the Board of Directors until the next Annual Convention.

## **Section 5. Duties of the President**

Paragraph a.

The President shall preside at all Annual Conventions and at all meetings of the Board of Directors.

Paragraph b.

Except as otherwise provided in these Bylaws or Arabian Horse Association's handbook, the President shall appoint all committees and commissions who shall serve at the pleasure of the President. The President shall be an ex-officio member of all committees and commissions, except the Nominating Committee, the Ethical Practice Review Board, and the Probable Cause Panel.

Paragraph c.

Within any limitations imposed by the Articles of Incorporation, these Bylaws, duly adopted resolutions of Annual Convention, or the Board of Directors, the President shall have general power to conduct and manage the affairs and business of Arabian Horse Association.

Paragraph d.

The President shall have such other and further powers as may be specifically delegated to him or her pursuant to Article V, Section 4 hereof.

## **Section 6. Duties of the Vice Presidents**

Paragraph a.

The Vice President shall assume the duties of President in the event of the absence or inability to act of the President, or at his or her request.

Paragraph b.

The At-Large Vice Presidents shall represent the interests of purebred Arabian Horses and seek to aid, promote, and foster the preservation of the unique qualities of the purebred Arabian Horse breed. The At-Large Vice Presidents shall recommend, encourage, and support Arabian Horse Association's involvement in all activities and undertakings incidental to or designed to further, promote or grow the purebred Arabian Horse breed consistent with the best interests of the purebred Arabian Horse.

Paragraph c.

The Vice Presidents shall have such other duties, respectively, as may be specifically delegated to them pursuant to Article V, Section 4 hereof.

## **Section 7. Duties of the Secretary**

Paragraph a.

The Secretary shall keep or cause to be kept a full and complete record of the proceedings of the Annual Convention and of meetings of the Board of Directors and of action taken by the Board of Directors by mail or by any other means. (Res. 2-08)

Paragraph b.

The Secretary shall keep or cause to be kept the seal, books, documents, and papers of Arabian Horse Association and affix the seal to all instruments executed by the President, or by his or her direction, which may require it.

Paragraph c.

The Secretary shall handle or cause to be handled all correspondence and communications and generally do and perform all duties incident to the office of Secretary.

Paragraph d.

The Secretary shall publish notices of the place and date of Annual Conventions and of meetings of the Board of Directors.

Paragraph e.

The Secretary shall have such other duties as may be delegated him or her pursuant to Article V, Section 4 hereof.

## **Section 8. Duties of the Treasurer (Res. 1-04)**

Paragraph a.

The Treasurer shall oversee all funds and accounts maintained by the Arabian Horse Association.

Paragraph b.

The Treasurer shall oversee the keeping of proper books, showing the disposition of all funds of the Arabian Horse Association, and shall make a full report in writing covering the financial position and results of operations of the Arabian Horse Association at the Annual Convention and at such other times as requested by the Board of Directors.

Paragraph c.

The Treasurer shall oversee the preparation of an annual budget and shall report thereon to the Board of Directors.

Paragraph d.

The Treasurer, in cooperation with the Internal Audit Committee, may cause independent auditors to investigate any financial matters of the Arabian Horse Association.

Paragraph e.

The Treasurer shall be an ex-officio member of all Arabian Horse Association Commissions, Committees and Boards, except the Nominating Committee, Commissioner's Review Panel, the Ethical Practice Review Board, and the Probable Cause Panel; and shall maintain oversight of their financial performance relative to approved budgets.

Paragraph f.

The Treasurer shall have such other duties as may be delegated to the Treasurer pursuant to Article V, Section 4 hereof.

#### **Section 9. Officer Disability Policy**

The Board of Directors may remove from office an Officer elected or appointed in accordance with Article IX of the Bylaws for reason of disability, by an affirmative two-thirds vote of the Board of Directors. Disability may be determined to exist by the Board of Directors when the Officer, as a result of ill health, physical or mental disability, has been continuously unable or unwilling or has failed to perform the Officer's duties for a period of sixty (60) consecutive days or for a total period of ninety (90) days, in any calendar year, either consecutive or not.

#### **Section 10. Officer for Cause Policy**

The Board of Directors may remove from office, "for cause," an Officer elected or appointed in accordance with Article IX of the Bylaws by an affirmative two-thirds vote of the Board of Directors. Removal "for cause" may be determined by the Board of Directors when the Officer has engaged in inappropriate conduct including, but not limited to, theft, dishonesty, or moral turpitude.

### ARTICLE X.

#### EMPLOYEES (Res. 2-15)

**Section 1:** The Executive Director shall perform such duties as are assigned to the Executive Director by the President not inconsistent with law, the Articles of Incorporation, the Bylaws, the Merger Agreement, or with any other duly enacted resolution of the Annual Convention. (Res. 3-04) (Res. 3-20)

**Section 2:** The Executive Director (Res. 3-20) shall also make recommendations for approval by the Trustees relating to the staff providing:

- (i) market development and promotion services,
- (ii) purebred registration services, and
- (iii) racing services

**Section 3:** To the extent that such recommendations affect the staff that would perform the foregoing services as they relate to purebred horses, the Executive Director shall obtain the approval of the Trustees before implementing such recommendations, which approval shall not be unreasonably withheld. In determining the reasonableness of withholding such approval, among other things, the Trustees may consider the effect of the recommendations on the quality, information, speed, and integrity of the market development services, registry services, and services relating to the Arabian Jockey Club as such services were carried out by AHRA prior to the Merger. (Res. 3-20)

**Section 4:** All employees shall be subject to the personnel policies and procedures applied uniformly to employees or classifications of employees of AHA and subject to discipline, including discharge, should they fail to conduct themselves in accordance with such policies and procedures as the same may be modified from time to time.

### ARTICLE XI.

#### COMMITTEES AND COMMISSIONS

##### **Section 1. USEF Arabian Division Committee**

Recommendations to the US Equestrian Federation for appointment to its Arabian Committee shall be accomplished in accordance with the US Equestrian Federation Constitution.

##### **Section 2. Nominating Committee**

Paragraph a.

Arabian Horse Association shall have a Nominating Committee consisting of six (6) Adult or Life Members in good standing and be nominated and elected at the Annual Convention by the Voting Delegates to the Annual Convention to nominate officers for elections occurring at the Annual Convention the following year. The (6) Members elected to the Nominating Committee shall select a Chair and Vice-Chair from among their membership. The Nominating Committee process shall be the process to nominate officers other than the At-Large Vice Presidents, who shall be selected by the Trustees.

If during the year there is a resignation or vacancy of a committee or members, the vacancy shall be filled as follows: The nominee who received the next greatest number of votes at the past election shall be considered elected to fill the vacant term. If more than one vacancy should occur, then the person receiving the next greatest number of votes shall be considered elected to fill that unexpired term. If no such nominees exist, the vacancy shall remain until the next Convention election. (Res. 7-14)

Paragraph b.

The members of the Nominating Committee shall be selected as follows: (Res. 1-08)

- (i) Each ~~Director of Arabian Horse Association~~ member of the National Council may place in nomination the name of one nominee to be considered by the Delegates for a position on the Nominating Committee. (Res. 1-25)
- (ii) All names of nominees for election to the Nominating Committee shall be presented to the Voting Delegates at the first general session of the Annual Convention.
- (iii) No fewer than three (3) nominees for election to the Nominating Committee may be submitted for consideration of the Voting Delegates at the Annual Convention. (Res. 7-14)
- (iv) Each nominee for election to the Nominating Committee must be an Adult or Life Member of a Member Organization for whom dues have been paid to Arabian Horse Association. (Res. 7-14)
- (v) Each Voting Delegate may vote for up to three (3) nominees for election to the Nominating Committee no earlier than the second general session of the Annual Convention.

Paragraph c.

The Nominating Committee shall primarily serve as a credentialing committee. At such time, any Adult or Life Member in good standing may nominate him/herself as a candidate for any of Arabian Horse Association Offices other than the two At-Large Vice President positions. It shall be the duty of the Nominating Committee: (Res. 1-08)

- (i) ~~To develop a standard form to detail the relevant biographical information of each nominee and require each nominee to submit the standard biographical information form to the Nominating Committee at least ninety (90) days prior to the elections at the Annual Convention,~~

To require each nominee to complete a Candidate Questionnaire promulgated by the Nominating Committee addressing, among other matters, the candidate's experience, knowledge and expertise in financial matters, organizational skills, communication skills, and a history of involvement with the Arabian Breed, and to submit the same to the Nominating Committee by a date established, annually, by the Nominating Committee which will be published 30 days in advance of the submission date. Each nominee will be required to be available for interview by the Nominating Committee in person or electronically. The Nominating Committee shall also cause the Arabian Horse Association to distribute prior to election for the President, Vice-President and each of the three (3) Directors, to be elected by the Credentialed Members present at the convention, his or her biographical information as detailed on the standard biographical information form for each candidate put forward as determined by a majority vote of the Nominating Committee having determined each nominee meets the above requirements, (Res. 1-25)

- (ii) To verify the credentials of each nominee by assuring that each nominee is an Adult or Life Member in good standing and that each nominee has submitted a standard biographical information form,
- (iii) To cause Arabian Horse Association to distribute each nominee's biographical information as detailed on the standard biographical information form to each Voting Delegate ~~in the Delegate Convention Packet and to each Non-Delegate voting member in a supplemental voting packet~~ prior to the elections, and (Res. 1-25)

- (iv) Seek and nominate candidates for election to any office when no member of Arabian Horse Association has nominated him/herself to such position. **Notwithstanding the foregoing, nothing in this paragraph shall restrict nominations being taken from the floor. In the event there were no Candidates put forward from the Nominating Committee for an open position then and only then Nominations may be accepted from the floor.** (Res. 1-25)
- (v) The Nominating Committee shall also cause the Arabian Horse Association to distribute at least thirty (30) days prior to election for each Director to be elected by the Membership his or her biographical information as detailed on a standard biographical information form to be promulgated by the Committee. (Res. 1-25)

### Section 3. Registration Commission

#### Paragraph a. Composition.

Arabian Horse Association shall have a Registration Commission whose members shall serve for three (3) year staggered terms. The Registration Commission shall be comprised of three (3) members selected by the Trustees to represent the interest of the purebred Arabian Horse (the "Purebred Registry Members"), three (3) members selected by Arabian Horse Association to represent the Half-Arabian and Anglo-Arabian Horse Registry horse (the "Half-Arabian/Anglo-Arabian Registry Members"), and three (3) members selected by the above six (6) members (the "Other Members"). AHRA shall select one Purebred Registry Member to serve for a term of one (1) year, one Purebred Registry Member to serve for a term of two (2) years, and one Purebred Registry Member to serve for a term of three (3) years. Thereafter, the Trustees shall select Purebred Registry Members each year to fill the vacancies created by the expiration of such terms, which successor members shall serve for a term of three (3) years. The Board of Directors shall select one Half-Arabian/Anglo-Arabian Registry Member to serve for a term of one (1) year, one Half-Arabian/Anglo-Arabian Registry Member to serve for a term of two (2) years, and one Half-Arabian/Anglo-Arabian Registry Member to serve for a term of three (3) years. Thereafter, the Board of Directors shall select Half-Arabian/Anglo-Arabian Registry Members each year to fill the vacancies created by the expiration of such terms, which successor members shall serve for a term of three (3) years. The Purebred Registry Members and Half-Arabian/Anglo-Arabian Registry Members shall select one Other Member to serve for a term of one (1) year, one Other Member to serve for a term of two (2) years, and one Other Member to serve for a term of three (3) years. Thereafter, the Purebred Registry Members and Half-Arabian/Anglo-Arabian Registry Members shall select Other Members each year to fill the vacancies created by the expiration of such terms, which successor members shall serve for a term of three (3) years. Each year, the Registration Commission shall elect its own Chair.

#### Paragraph b. Duties.

The Registration Commission shall oversee the rules, policies, practices, and procedures applied to the registration and related matters of purebred Arabian Horses (the "Arabian Horse Registry") and the rules, policies, practices, and procedures applied to the registration and related matters of Half-Arabian/Anglo-Arabian Horses (the "Half-Arabian/Anglo Horse Registry"). The Registration Commission shall recommend modifications to the fees schedules for registration services carried on by each of the Registries and oversee, maintain, and improve the performance of the essential functions of the Arabian Horse Registry and the Half-Arabian/Anglo Horse Registry. Notwithstanding the foregoing,

- (i) The Purebred Registry Members shall have absolute and final authority to interpret, modify, or amend the rules, policies, practices, and procedures applied to the registration of purebred Arabian Horses, any such action requiring the unanimous consent of the Purebred Registry Members, and
- (ii) The Half-Arabian/Anglo-Arabian Registry Members shall have absolute and final authority to interpret, modify, or amend the rules, policies, practices, and procedures applied to the Half-Arabian/Anglo-Arabian Horse Registry, any such action requiring the unanimous consent of the Half-Arabian/Anglo-Arabian Registry Members.

The official Registrar of the Arabian Horse Registry shall be designated by the Purebred Registry Members. The official Registrar of the Half-Arabian/Anglo-Arabian Horse Registry shall be designated by the Half-Arabian/Anglo-Arabian Registry Members.

The Registration Commission shall direct the development of techniques and implement improvements required to meet the needs of conducting the Arabian Horse Registry and the Half-Arabian/Anglo-Arabian Horse Registry. Arabian Horse Association shall develop and maintain customer service, software and other support required to manage Arabian Horse Association's registry functions including data management, retrieval, security, and sale. The Trustees shall have sole responsibility for all matters relating to the World Arabian Horse Organization and other purebred Arabian registries, and their respective successors and assigns.

#### Paragraph c. Indemnification.

To the extent permitted by law, Arabian Horse Association shall indemnify members of the Registration Commission for their actions and omissions occurring while in carrying out the above-described duties to the same extent as indemnification are provided to Directors of Arabian Horse Association under the Articles of Incorporation.

#### Paragraph d. Registry Revenues.

One third (1/3) of the gross revenues derived from the Arabian Horse Registry, including registration fees, transfer fees, service fees and administration fees (the "Purebred Revenues"), shall be paid to the Purebred Trust. Arabian Horse Association shall grant a security interest to the Purebred Trust in the Purebred Revenues in accordance with the terms of a License and Security Agreement between Arabian Horse Association and the Purebred Trust. One third (1/3) of the gross revenues derived from the Half-Arabian/Anglo-Arabian Horse Registry, including registration fees, transfer fees, service fees and administration fees (the "Designated Revenues"), shall be paid into the Designated Fund described below.

#### Paragraph e. Enforcement of Rules.

Arabian Horse Association shall cause the Arabian Horse Registry and Half-Arabian/Anglo-Arabian Registry rules, policies, practices, and procedures as set forth above (and as amended from time to time as set forth above, collectively, the "Registration Rules and Regulations"), to be enforced. In the event of legal challenge to the Registration Rules and Regulations or established procedures or in the event of need to take action to enforce the same, Arabian Horse Association shall be liable for the legal expenses of enforcing and/or defending them. In such event, the Purebred Registry Members, or the Half-Arabian/Anglo-Arabian Registry Members, as applicable depending upon the Registry Rules and Regulations at issue, shall be consulted on the selection of counsel and the defense or prosecution of claims. No settlement of any such matter having the effect of changing the Registration Rules and Regulations or established procedures of the purebred Arabian Horse Registration process shall be made without the express consent of the Trustees. No settlement of any such matter having the effect of changing the Registration Rules and Regulations or established procedures of the Half-Arabian/Anglo-Arabian Horse registration process shall be made without the express consent of the Board of Directors of Arabian Horse Association.

### Section 4. Market Development and Promotion Committee

#### Paragraph a. Composition.

Arabian Horse Association shall have a Market Development and Promotion Committee consisting of seven (7) members of which three (3) members shall be selected to represent the interest of the purebred Arabian Horse (the "Purebred Members"), three (3) members shall be selected to represent the interest of the Half-Arabian/Anglo-Arabian Horse (the "Half-Arabian/Anglo-Arabian Members") and one (1) member (the "Other Member") selected by the above six (6) members. The Trustees shall select one Purebred Member to serve for a term of one (1) year, one Purebred Member to serve for a term of two (2) years, and one Purebred Member to serve for a term of three (3) years. Thereafter, the Trustees shall select Purebred Members each year to fill the vacancies created by the expiration of such terms, which successor members shall serve for a term of three (3) years. The Board of Directors shall select one Half-Arabian/Anglo-Arabian Member to serve for a term of one (1) year, one

Half-Arabian/Anglo-Arabian Member to serve for a term of two (2) years, and one Half-Arabian/Anglo-Arabian Member to serve for a term of three (3) years. Thereafter, the Board of Directors shall select Half-Arabian/Anglo-Arabian Members each year to fill the vacancies created by the expiration of such terms, which successor members shall serve for a term of three (3) years. Once the Purebred Members and Half-Arabian/Anglo-Arabian Members are selected, these six (6) persons shall elect by majority vote the Other Member to serve for a term of three (3) years and thereafter shall elect persons to fill vacancies created by the expiration of or resignation of the Other Member and succeeding Other Members. Each year, the Market Development and Promotion Committee shall elect its own Chair.

**Paragraph b. Duties.**

The Market Development and Promotion Committee shall be responsible for guiding Arabian Horse Association's marketing and promotional activities relating to the promotion of the Arabian Horse. The committee shall formulate marketing and promotional plans for Arabian Horse Association and shall develop specific marketing and promotional activities.

**Paragraph c. Funding.**

When a majority of the Market Development and Promotion Committee approves a marketing or promotional activity, the committee shall solicit funds from the following sources to fund the implementation of such approved activity:

- (i) The Purebred Trust. The Trustees shall determine whether, and to the extent, the specific activity serves the interest of the purebred Arabian Horse and determines what portion, if any, of the solicited amount of funding it shall contribute to the activity, which determination shall be in the sole discretion of the Trustees.
- (ii) The Designated Fund. Arabian Horse Association shall establish a designated fund (the "Designated Fund") comprised of the Designated Revenues for use by the Market Development and Promotion Committee. The Board of Directors shall determine whether, and to the extent, an activity proposed by the Market Development and Promotion Committee should be supported by the Designated Fund.
- (iii) The General Funds of Arabian Horse Association. The Board of Directors shall determine whether, and to the extent, that activities should be supported by the general funds of Arabian Horse Association.

**Paragraph d. Relationship with Board of Directors.**

The Market Development and Promotion Committee shall act independently from the Board of Directors. The Board of Directors cannot restrict the Market Development and Promotion Committee from seeking funding for its recommendations. However, the Board of Directors may generate its own marketing and promotional activities and shall approach the Market Development and Promotion Committee to solicit funding from the Purebred Trust or the Restricted Fund to implement these activities. If the Market Development and Promotion Committee does not endorse the activities proposed by the Board of Directors and refuses to solicit funds from either the Purebred Trust or the Designated Fund, the Board of Directors has the right (as it does with other corporate decisions) to direct funds from Arabian Horse Association's general funds to implement the activities. Arabian Horse Association and its committees and commissions shall make every effort to cooperate with and implement the approved programs of the Market Development and Promotion Committee.

**Paragraph e. Staffing.**

Arabian Horse Association shall maintain a promotional staff for the purpose of developing, monitoring, and implementing promotional programs approved by the Market Development and Promotion Committee. The salaries and office related expenses of such promotional staff shall not be charged to the Market Development and Promotion Committee or the Purebred Trust and shall not be taken from the Purebred Revenues or the Designated Revenues but,

instead, shall be paid by Arabian Horse Association's general funds within the limits of Arabian Horse Association's annual budget. Any reduction in promotional staffing levels required as a result of Arabian Horse Association having serious financial problems must be part of a staff reduction applied uniformly throughout the entire staff of Arabian Horse Association.

**Section 5. Racing Committee**

The Racing Committee shall be composed of ~~no more than five (5) nor less than three (3)~~ members, who shall be the members of the Arabian Jockey Club Board of Directors, and shall be responsible for the promotion, coordination, and general oversight of Arabian Horse racing in the United States. The committee shall advise Arabian Horse Association's Executive Committee, the Trustees, and the Market Development and Promotion Committee on matters related to Arabian Horse racing and shall suggest programs and prioritize and develop and obtain funding for action plans that will improve Arabian Horse racing in the United States. (Res. 1-24)

**Section 6. Budget & Finance Committee (Res. 28-04)**

One member of the Budget & Finance Committee shall be appointed by the Trustees.

**Section 7. Other Committees**

The Annual Convention, the Board of Directors, or the President may, from time to time, provide for the appointment, membership, and duties of such other committees as may be necessary or desirable. All committees of Arabian Horse Association shall keep the best interests of the purebred Arabian Horse in mind when performing committee functions.

**Section 8. Committee and Commission Assignments (Res. 1-25)**

All Tier A Committees and Commissions as defined in the Handbook will report to and be accountable to the Board of Directors. All Tier B committees as defined in the Handbook will report to and be accountable to the National Council. The Board of Directors has the authority to change the reporting responsibility of the committees and commissions.

**ARTICLE XII.**

**CORPORATE SEAL**

Arabian Horse Association shall have a seal on which in the outer circle shall be the words, "Arabian Horse Association" and in the inner circle, "A Colorado Non-Profit Corporation."

**ARTICLE XIII.**

**FISCAL YEAR**

The fiscal year of Arabian Horse Association shall be **April 1 through March 31** of the following year unless otherwise fixed by motion of the Board of Directors. (Res. 2-14)

**ARTICLE XIV.**  
**LITIGATION**

**Section 1. Definition**

As used in this Article, reference to Arabian Horse Association refers to Arabian Horse Association, its Board of Directors, hearing boards, committees, commissions, officers, representatives, employees, and agents.

**Section 2. Attorneys' Fees**

While the right or privilege of a member, past member or person utilizing the privileges or services of Arabian Horse Association to seek judicial review of final decisions or actions of Arabian Horse Association is recognized, if a member, past member or person utilizing the privileges or services of Arabian Horse Association is unsuccessful in any attempt to overturn final decisions or actions of Arabian Horse Association, that person or member shall reimburse Arabian Horse Association in full for its reasonable attorneys' fees, court costs and other expenses incurred in defense of any such suit.

**Section 3. Place of Suit**

Any suit brought against Arabian Horse Association by a member, past member, or person utilizing the privileges or services of Arabian Horse Association shall be brought only in the United States District Court for the District of Colorado or in the

District Court in and for the County of Arapahoe, State of Colorado, and no suit shall be brought against Arabian Horse Association by any member, past member or person utilizing the privileges and services of Arabian Horse Association in any manner in any other court or jurisdiction.

**ARTICLE XV.**  
**PARLIAMENTARY AUTHORITY**

The rules contained in the current edition of *Robert's Rules of Order, Newly Revised*, shall govern the proceedings of Arabian Horse Association in all cases to which they are applicable and in which they are not inconsistent with these Bylaws, the Articles of Incorporation, or Resolutions adopted by the Annual Conventions.

**ARTICLE XVI.**  
**AMENDMENTS**

These Bylaws may be amended by passage of a resolution at the Annual Convention, in accordance with Article VI. Notwithstanding the foregoing, any provision in these Bylaws relating to a power of the Trustees can be amended only by a three-quarters vote of the Trustees and specifically cannot be amended by Arabian Horse Association's Voting Delegates, Executive Committee, Board of Directors or otherwise without the consent of the Trustees. (Res. 2-16)

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All AHA programs and events deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means. (BOD 8/00)

**ETHICS 101. PREAMBLE: What We Believe**

1. That the noble Arabian Horse, most ancient of all breeds, a creature of beauty and an athlete of renown, has earned and deserves the company of true sportsmen;
2. That owners, breeders, trainers, riders, drivers, handlers, and friends of the Arabian Horse have much to gain by observing the best tenets of good sportsmanship in all things pertaining to the Arabian Horse;
3. That, in addition to the basic rule of "Doing unto others as you would have them do unto you," it is desirable to:
  - a. define ethical practices,
  - b. delineate unethical practices,
  - c. encourage good sportsmanship and high ethical behavior,
  - d. warn, censure, or bring to public attention, and
  - e. discipline those who commit acts detrimental to the best interests of the proud breed and to its good friends.
4. That it is the right and obligation of the Arabian Horse Association to set standards in matters of ethics and sportsmanship concerning the Arabian Horse.
5. That the Rules and Regulations of the Arabian Horse Association (AHA), the Canadian Arabian Horse Registry (CAHR), the Canadian Partbred Registry (CPAR), the U. S. Equestrian Federation (USEF), Equestrian Canada (EC) and any other governing body referenced in the AHA Handbook shall, in all respects, be supported in our efforts to strengthen ethical and good sportsmanship concepts in the breeding, owning, handling, showing, and using of the Arabian Horse.

**ETHICS 102. APPLICATION AND STRUCTURE OF THE CODE**

1. All members of the Arabian Horse Association agree to and shall be bound by this Code of Ethics and Sportsmanship during their membership in the Association.
2. Any person acting in the capacity of agent or employee of a member of AHA is bound by this Code of Ethics and Sportsmanship as it relates to Arabian Horse activities. A member of AHA who is a principal or employer of any agent or employee is responsible for the agent's or employee's conduct.
3. Any owner, trainer, handler, or exhibitor who is not a member of AHA but who competes in an AHA Recognized Horse Show or other equine event by paying the single event membership fee is bound by this Code of Ethics and Sportsmanship during that show or event.
4. Any person who is acting as an agent of or is under contract with AHA to perform a job for AHA is bound by this Code of Ethics and Sportsmanship.
5. This Code consists of two parts: Ethical Considerations and the Rules of Conduct.

**ETHICS 103. ETHICAL CONSIDERATIONS**

The Ethical Considerations are aspirational in character and represent objectives toward which every person bound by the Code should strive. The Ethical

Considerations are not enforceable but may be considered as interpretive guides in applying the Rules of Conduct.

1. In every situation, the welfare of the breed shall be paramount over all considerations. The best interests of the Arabian Horse must be the criterion in all transactions.
2. Members and their employees or agents shall at all times treat the proud breed of Arabian Horse with the kindness, respect, and affection, which the horse's long history deserves.
3. Exhibitors, riders, drivers, handlers, and trainers shall support the judges and the judging system. If there is cause for a legitimate protest, exhibitors shall follow the protest procedure set forth in the rule book of the event's governing body or the Rules and Procedures of the Ethical Practice Review Board of the Arabian Horse Association.
4. Judges and stewards are responsible for a thorough knowledge, understanding, and proper application of the general rules of AHA, USEF, EC, or other governing body, as well as the specifications for each class/event that is to be judged.
5. Members and their employees or agents should be fair and honest in all transactions involving horses and should not make any false or misleading statements concerning horses offered for sale or breeding.
6. Widespread publicity will be given to this Code of Ethics and Sportsmanship by way of the AHA Handbook, publications, conventions, through Member Organizations and other means of communication, advising, and impressing upon all whose good fortune it is to be engaged in Arabian Horse activities that the very highest standards of ethics and sportsmanship are expected of them.

**ETHICS 104. RULES OF CONDUCT**

The Rules of Conduct are mandatory in character and are enforceable.

1. No person shall attempt to influence the actions of the Probable Cause Panel, the Ethical Practice Review Board, or the AHA Board of Directors; or any member of the Probable Cause Panel, Ethical Practice Review Board, or AHA Board of Directors by duress or intimidation of any sort.
2. No person shall communicate or cause or initiate any communication with any member of the Probable Cause Panel, or any member of the Ethical Practice Review Board concerning the substance of a complaint until after the hearing and a decision is rendered. No person shall attempt to influence a member of the Probable Cause Panel or a member of the Ethical Practice Review Board with respect to any complaint except through oral or written presentations presented to the entire group in accordance with the Rules and Procedures for the Ethical Practice Review Board. (Res. 38-94)
3. Unless prior written approval has been obtained from AHA, no person or organization shall schedule an Arabian Horse Event which occurs during the same dates as AHA National Championship Shows (*Youth/Mid-Summer, Sport Horse and U.S.*), and the AHA Annual Conventions (Adult and Youth) within a 250-mile radius of those events.
4. The word "International" and "Arabian Horse Association" shall not be used in connection with shows, sales, or other Arabian Horse activities that are not officially Recognized and/or sponsored by the AHA.
5. No person, company, or other entity shall use AHA logos and/or symbols without receiving prior written permission from the Board of Directors or its designee.
  - a. Horse shows or events which receive AHA recognition will automatically be allowed to use the AHA logo to signify that recognition in premium lists, programs, and advertisements for the show or event.
  - b. Members, Member Organizations, or Regions of AHA will be allowed to use the AHA member logo to signify their participation in the Association in accordance with guidelines established by the Board of Directors.
  - c. Any use of AHA logos or symbols which implies approval, sanction, or sponsorship by AHA without written permission is prohibited.
6. Mistreatment and neglect of any Arabian, Half-Arabian, or Anglo-Arabian Horse is prohibited.

- a. Mistreatment includes every act or omission which causes, or unreasonably permits the continuation of, unnecessary or unjustifiable pain or suffering.
- b. Neglect includes failure to provide food, water, protection from the elements, opportunity for exercise, or other care, which is normal, usual, or proper for a horse's health and well-being.
- 7. Members **must** not offer a horse capable of reproduction for breeding, transfer of ownership, or lease if the horse is known to such member to be a Severe Combined Immunodeficiency Disease (SCID) carrier, a Lavender Foal Syndrome (LFS) carrier, a cerebellar abiotrophy (CA) carrier, an Occipitoatlantoaxial malformation (OAAM) carrier or to be affected by CA or OAAM or be a homozygous or heterozygous affected by Hyperkalemic periodic paralysis (HYPP) without disclosure of that horse's SCID, LFS, CA, OAAM or HYPP status to all parties to the transaction. (Res. 3-09, Res. 4-09, Res. 4-10, Res. 1-17)
- 8. An owner of any mare that produces affected SCID, LFS, CA, OAAM or HYPP offspring must immediately notify the stallion owner of a foal's positive SCID, LFS, CA, OAAM or HYPP diagnosis and cooperate fully with the stallion owner's reasonable efforts to verify that finding. (Res. 3-09, Res. 4-09, Res. 4-10, Res. 1-17)
- 9. Members, their employees, and their agents must not perform surgical procedures, or aid, instruct, or conspire with another to perform surgical procedures, or employ another to perform surgical procedures which conceal genetic defects or undesirable traits, unless such surgery is needed for medical therapeutic reasons as attested to in writing by a qualified veterinarian, in an animal to be shown, used for breeding, or sold as a breeding animal.
- 10. The rules of the Arabian Horse Association (AHA), the Canadian Arabian Horse Registry (CAHR), the Canadian Partbred Registry (CPAR), the U. S. Equestrian Federation (USEF), and Equestrian Canada (EC) are hereby adopted by reference as a part of this Code of Ethics and Sportsmanship.
- 11. An exhibitor's intentional conduct at an equine event must not adversely affect the exhibition or ride of any other horse at the event.
- 12. Non-winners of ribbons in any class must remain in the ring until excused or until the ribbons have been awarded and the winners have left the ring.
- 13. The individual member(s) acting on behalf of a Member Organization who directly contact or employ a judge must not show or have their horses shown before that judge in that show for which the judge was employed.
- 14. No one shall attempt to influence judges by telephoning, writing, sending pictures, emailing, or by any other means before or during events that the judge has been employed to adjudicate.
- 15. Judges and stewards must exhibit the highest standard of integrity at all times, and decisions must not be made based upon improper influence.
- 16. Rules which appear in the USEF Rule Book (in the U.S.) or the EC Rule Book (in Canada) regarding conflicts of interest for judges and exhibitors shall govern AHA.
- 17. Judges must comply with AHA contractual requirements, USEF rules (in the U.S.), and EC rules (in Canada) with regard to contact with persons at an event.
- 18. Judges must report to the steward or event management any improper approaches or contact by persons at an event.
- 19. Members must not misappropriate the assets or funds of AHA, AHA Regional organizations, and/or AHA Member Organizations. (Res. 42-91) (Res. 40-94)
- 20. No person shall communicate or cause or initiate any communication in regard to information obtained from the AHA Judges and Stewards Commissioner's office in violation of any confidentiality Agreement which they have executed. (Res. 24-00)
- 21. It is unethical to transfer or assist or facilitate in the transfer of a horse with the intent to circumvent the purpose of the rules regarding ownership of horses shown in amateur and/or junior owner classes. It is unethical for any AHA member to not provide information relating to the transfer in question that is requested by the Probable Cause Panel or the Ethical Practice Review Board. (Res. 3-12)

In determining whether the transfer was unethical, the EPRB may consider among others, the following: (Res. 3-12)

- a. Horse ending up back in the previous owner's name within a year of the original transfer.
- b. Horse being transferred at less than fair market value (owner to provide proof of the sales amount).
- c. The fact that prize money went to a person other than the owner or family member.
- d. The fact that the owner or family member did not pay the expenses relating to the upkeep and showing of the horse.

22. In the furtherance of their official duties, all Association representatives, including staff, licensed officials and volunteers must be treated with courtesy and respect and no person shall direct abusive or threatening conduct toward them. (Res. 17-18)

#### **ETHICS 105. ALLEGED INFRACTIONS**

1. Alleged Infractions of the Arabian Horse Association Code of Ethics and Sportsmanship, as adopted or amended, may be brought to the attention of the Probable Cause Panel and the Ethical Practice Review Board by filing a complaint in compliance with the Rules and Procedures for the Ethical Practice Review Board.
2. The Ethical Practice Review Board and the Probable Cause Panel shall be continued and shall be constituted and empowered as follows:

#### **ETHICS 106. PROBABLE CAUSE PANEL (See also CBP 111.31)**

1. The Probable Cause Panel (PCP) shall consist of three members, all of whom must be members of Member Organizations affiliated with AHA for the duration of their terms of office. One member of the PCP must be an attorney. No member of the PCP may simultaneously serve on the Ethical Practice Review Board. The AHA Executive Committee members may not serve on the Probable Cause Panel and the Executive Committee at the same time. (Res. 7-01)
2. As each term expires, the members of the PCP shall be elected by Convention Delegates to serve three-year rotating terms with one term expiring each year. (Res. 37-94)
3. Members of the PCP are eligible for nomination and election to an unlimited number of terms.
4. Should a vacancy on the PCP occur before the expiration of a term, the vacancy will be filled by appointment by the President of AHA and ratified by the AHA Board of Directors for the uncompleted term left vacant.
5. The members of the PCP shall elect one of their members to serve as Chair and one of their members to serve as Vice-Chair. The Chair shall preside at all meetings of the PCP. In the event the Chair is unable to chair a meeting, the Vice-Chair will preside.
6. A quorum consisting of at least two members of the PCP shall be required to convene a meeting. If a quorum cannot be convened because of conflicts of interest with any of the parties to the complaint being reviewed, the President of AHA shall appoint two temporary replacement members for the Panel to review the complaint. Meetings of the PCP can be held via conference call. All decisions must be made by a majority vote of the PCP.
7. The function of the PCP shall be to review all ethical complaints properly filed with AHA and to make a determination whether or not there are reasonable grounds to believe an infraction of the AHA Code of Ethics and Sportsmanship exists and whether the alleged infraction, if proved, is of sufficient importance to AHA that it should go before the Ethical Practice Review Board for hearing. A copy of all complaints filed will be immediately provided to the Chair of the Ethical Practice Review Board. (Res. 37-94)

#### **ETHICS 107. ETHICAL PRACTICE REVIEW BOARD (See also CBP 111.20)**

1. The Ethical Practice Review Board (EPRB) shall consist of nine members, all of whom must be members of Member Organizations with AHA for the duration of their terms of office. One member of the EPRB must hold the highest level of Arabian Judges Card per country (USEF/EC). One member of the EPRB must be an attorney. The AHA Executive Committee members may not serve on the Ethical Practice Review Board and the Executive Committee at the same time. (Res. 7-01)

2. The nine members of the EPRB shall be elected by the Delegates to the AHA Annual Convention to serve three-year rotating terms with three terms expiring each year. Nominations for the EPRB must be made by a member of the AHA Board of Directors.
3. Members of the EPRB are eligible for nomination and election to an unlimited number of terms.
4. Should a vacancy on the EPRB occur between Annual Conventions, the vacancy will be filled by the person who received the next highest number of votes in the election held at the last Annual Convention. If there were no other person(s) on the ballot receiving votes at the last Annual Convention, the position will remain vacant until the next Annual Convention where an election can be held to fill the vacancy.
5. At the Annual Convention after the election results are known or as soon thereafter as is possible, the members of the EPRB shall elect one of their members to serve as Chair and one of their members to serve as Vice-Chair. The Chair shall preside over all meetings of the EPRB. In the event the Chair is unable to chair a meeting or a hearing, the Vice-Chair will preside. In the event the Chair or the Vice-Chair is unable to chair a meeting or a hearing, those members present will select a Temporary Chair to preside at that meeting or hearing.
6. A quorum consisting of at least five members of the EPRB must be required to hear any matter. In the event that a quorum of the EPRB is not available to hear the matter because of conflicts of interest or other reasons, the AHA Board of Directors must make sufficient temporary appointments so that a quorum is available. All decisions must be made by an affirmative vote of two-thirds of the members voting, except that decisions as to procedural matters must be made by the Chair.
7. The function of the EPRB shall be to interpret and enforce the AHA Code of Ethics and Sportsmanship in cases brought before it for hearing. Its deliberations must include, but are not limited to, consideration of whether an offense was intentional and whether it continued after either formal or informal warning.
8. In deciding upon a remedy for unethical conduct, it shall be the general policy of the EPRB to be constructive with those who have committed unethical or unsportsmanlike-like acts, and to use its powers to prevent recurrence of the event. Probationary periods may be applied to effect discipline. Nothing in the foregoing, however, shall be construed to prohibit the strongest sanctions available against those persons found guilty of committing unethical acts or unsportsmanlike-like conduct.

#### **ETHICS 108. RULES AND PROCEDURES**

These rules and procedures govern the PCP and the EPRB in the bringing and resolution of all charges of violation of the Code of Ethics and Sportsmanship (the "Code") of the AHA.

1. Jurisdiction.
  - a. The EPRB shall have authority to hear all charges of violation of the Code, including but not limited to charges of:
    1. Conflict of interest of judges, other event personnel, exhibitors, and other participants in AHA sponsored or Recognized events.
    2. Misconduct of AHA members and other persons who come under the jurisdiction of AHA concerning the care or treatment of Arabian, Half-Arabian, or Anglo-Arabian Horses.
    3. Misconduct of AHA members and other persons who come under the jurisdiction of AHA in the showing or using of horses at AHA sponsored or Recognized shows or events.
  - b. Failure to renew AHA membership or resignation of AHA membership shall not be grounds for automatic dismissal or avoidance of an EPRB complaint.
  - c. The EPRB shall not, unless there are exceptional circumstances, hear any charges which involve:
    1. Private and/or contractual disputes between two or more persons that should properly be settled by a court of law.

*indicates rule change*

2. Rule infractions at horse shows or other equine events where formal protest procedures are available as a means of filing charges of misconduct but have not been used.
3. Disputes which have been submitted to a court of law or arbitration in which a court or arbitrator has made a final non-appealable determination of facts in such a way that no violation would have occurred.
4. Alleged violations which occurred more than 12 months before the charges were filed.
- d. A determination of whether exceptional circumstances exist shall be made by the PCP and shall be based on whether the charges raise substantial issues relating to the care and welfare of Arabian, Half-Arabian, or Anglo-Arabian Horses or substantially affect the AHA and its operations and events.
- e. In the event charges are filed involving a matter which is or becomes the subject of litigation or arbitration, the PCP and the EPRB must stay all proceedings in that matter pending the final outcome of the litigation or arbitration. For the purposes of any proceeding under these rules, the PCP and the EPRB must accept any final factual determinations made by a court of law or arbitrator. In all cases stayed pursuant to this provision, the complainant must notify AHA in writing as to the status of the litigation by **December 31** of each year. Failure to do so will result in automatic dismissal of the EPRB complaint. (Res. 24-06)
- f. In the event charges are filed involving a matter in which a formal protest has also been filed with another show/event governing body, the PCP and the EPRB must stay all proceedings in the matter pending the final outcome of the protest procedure. For purposes of any proceeding under these rules, the PCP and the EPRB must accept any final factual determinations made by the protest-hearing body.

2. Initiation of Complaints.
  - a. Complaints shall be brought by completing and submitting the EPRB Complaint Form specified by AHA, together with payment of the \$200 filing fee, to the main office of AHA, addressed to the EPRB. The filing fee is non-refundable.
  - b. Complaints can be filed by individuals, whether members of AHA or not. Complaints can also be filed by boards, commissions, committees, staff, or volunteers of AHA. (Res. 17-18)
  - c. Payment of the filing fee will be waived for complaints filed by judges, stewards, and show officials including the AHA Judges and Stewards Commissioner when acting in their official capacity. Payment of the filing fee will also be waived for complaints filed by boards, commissions, committees, staff, or volunteers of AHA. (Res. 17-18) The filing fee shall be waived or reimbursed in cases where AHA has been given proof that the accused has been convicted of abuse, neglect, and/or mistreatment-related offenses involving horses. (Res. 43-91) (Res. 40-94) The EPRB filing fee shall also be waived if an AHA member(s) either admits in writing to or is convicted in criminal/civil court of misappropriation of funds from either AHA Regionals, events or Member Organizations. (Res. 40-94)
  - d. If a complaint is filed by a board, commission, or committee, an individual must be named who will represent the board, commission, or committee in the EPRB complaint proceedings. The EPRB Complaint Form must be signed by the Chair of the board, commission, or committee filing the complaint and by the individual named as the representative.
  - e. The EPRB Complaint Form can be obtained by contacting the AHA office.
3. Contents of Complaint.
  - a. The complaint must contain the full name and address of the person or persons being charged and describe their relationship to the AHA.
  - b. The complaint must set forth with particularity the facts and events which the complaining party believes constitute violations of the Code and the place and date of the alleged infractions.

- c. The complaint must state the specific section(s) of the AHA Code of Ethics and Sportsmanship alleged to have been violated and/or state the specific rules of USEF, EC, AHRA, CAHR or CPAR alleged to have been violated.
- d. The complaint must name witnesses to said actions then known to the complainant.
- e. The complaint must include supporting documentation then in the possession of the complainant.
- f. The complaint must be signed by the complainant and include the complainant's AHA membership number (if a member), complete mailing address, email address, and phone number.
- g. The complaint must include a statement whether, to the best of complainant's knowledge, the conduct that is the basis of the complaint has been or will be the basis for claims in any court of law, arbitration, or mediation or the basis of a protest or charges filed with another governing body.

4. Processing of Complaints.

- a. The Executive Director or a person appointed by the Executive Director must review all complaints to verify that the complaint form is completed properly and is in compliance with the preceding sections. Any complaints not submitted on the EPRB Complaint Form or that are incomplete must be returned to the complainant. (Res. 3-20)
- b. All complaints that comply with the preceding sections must be forwarded to the PCP for review.

5. Probable Cause Panel Review and Processing.

- a. The PCP shall review submitted complaints to determine:
  1. Whether the charges are within the jurisdiction of the EPRB.
  2. If there appears to be sufficient grounds for a hearing.
  3. The specific section or sections of the Code which may have been violated by the charged party.
- b. The determination of whether there is jurisdiction, whether there are sufficient grounds for a hearing, and which specific Code sections may have been violated must be by majority vote of the PCP, which must thereafter have no further contact with the matter presented to it. The PCP may confer with the AHA's counsel in making these determinations.
- c. The determination of the PCP concerning applicable Code section(s) which may have been violated shall be controlling and shall determine the Code violations to be considered by the EPRB.
- d. If the PCP determines that the complaint shall not be heard by the EPRB, it must return the complaint and its determination to the Executive Director. The Executive Director must send a notice of the determination to the complainant and must inform the complainant of the right to submit additional information and/or documentation which would cause the complaint to be again presented to the PCP for further review. In the event additional information and/or documentation are submitted for further consideration by the PCP of a previously filed complaint, an additional filing fee is not required. If, upon further review, the PCP again determines that the complaint shall not be heard by the EPRB, it must return its determination and the complaint to the Executive Director, who shall send notice of the determination to the complainant. No further review shall be granted unless otherwise determined by the PCP.
- e. If the PCP determines that the complaint should be heard by the EPRB, it must return the complaint and its determination to the Executive Director. The Executive Director shall send a notice of the determination to the complainant and a notice of the initiation of hearing proceedings, the determination of the PCP, a copy of the complaint, a copy of these rules, and a copy of the Code of Ethics and Sportsmanship to each person charged in the complaint, along with a request that each person charged submit a written response to the complaint within 45 days from the date the notice is given.
- f. The written response to a complaint must:
  1. Specify each portion of the complaint that is admitted or denied.

- 2. Include all documentation then in the possession of the respondent which supports the response.
- 3. Identify all witnesses with relevant knowledge then known to the respondent.
- 4. Include a statement whether, to the best of respondent's knowledge, the conduct that is the basis of the complaint has been or will be the basis for claims in any court of law, arbitration mediation, or the basis of a protest or charges filed with another governing body.

6. Setting and Notification of Hearing.

- a. The EPRB hearing must be scheduled by the Chair of the EPRB with the assistance of the Executive Director.
- b. The Executive Director shall mail a notice of the EPRB hearing to the complainant, all persons charged in the complaint, and all members of the EPRB.
- c. The notice of the EPRB hearing must be sent at least 30 days before the date of hearing.
- d. The time and place of the hearing may, for good cause shown, be continued, or rescheduled by the Chair of the EPRB. A request for continuance or rescheduling of an EPRB hearing shall be directed to the AHA Executive Director.
- e. The Chair of the EPRB may, in his/her sole discretion after consultations with all parties, consolidate for hearing, two or more pending complaints that involve related facts and/or parties. (Res. 7-00)

7. Attendance at the EPRB Hearing.

- a. The complainant or a duly authorized representative must attend the hearing in person or submit a written notarized presentation. Failure to do so shall be grounds for dismissal of the complaint. It is strongly recommended that the complainant attend the hearing in person.
- b. The charged party or a duly authorized representative shall have the right to appear at the hearing in person or submit a written notarized presentation. It is strongly recommended that the charged party attend the hearing in person.
- c. Each party shall provide to AHA at least ten days prior to the hearing a list of the witnesses who they intend to have either attend the hearing and testify in person or submit sworn statements on their behalf. A list of all witnesses provided will be promptly sent to all parties. The failure of a party to provide a list of witnesses or to include a witness on the list, within the specified time, may preclude any unlisted witnesses from testifying at the hearing or submitting sworn statements.
- d. Legal counsel for the EPRB and the parties shall be entitled to attend the hearings. The EPRB shall have the authority to permit or refuse to allow any other person to attend the hearing.

8. Burden of Proof. The complainant shall have the burden of proving all alleged violations of the Code by a preponderance of the evidence, whether or not the person charged appears or makes any response.

9. Recording of the Hearing.

- a. The hearing must be recorded stenographically by a court reporter and/or tape recording.
- b. Any party to the hearing may request a transcription of the proceedings by contacting the Executive Director of AHA.
- c. The cost of any transcription of the proceedings shall be the responsibility of the person or entity making the request, and such cost will be paid to AHA prior to preparation of the transcript.
- d. AHA will make the arrangements for obtaining any requested transcription.
- e. AHA will keep the original copy of any requested transcription with the complaint file and provide photocopies of the transcription to all parties to the case.

10. Evidence.
  - a. All evidence and documentation received in conjunction with an EPRB complaint shall be maintained in a confidential file at the main office of AHA and shall be available at all reasonable times and upon reasonable notice to the parties, their representatives, and members of the EPRB for inspection and copying. No other person, aside from officers, employees, and legal counsel of AHA in the ordinary course of their duties, shall have access to said file.
  - b. Prior to the EPRB hearing, a copy of the complaint file will be provided to the members of the EPRB to permit review prior to the conduct of the hearing.
  - c. At the hearing, common law and statutory rules of evidence shall not apply, and the admissibility of evidence shall be determined by the EPRB. All evidence that is relevant and that has probative value shall generally be admitted. The EPRB may also refuse to admit evidence that is unduly repetitious or cumulative.
  - d. The EPRB may also, before, during, or after the hearing, designate one or more persons to make an inspection or investigation in connection with a hearing and continue the hearing in order to complete the inspection or investigation.
    1. Each party must be notified of the inspection or investigation and shall have the right to attend in person or through a representative.
    2. There shall be no discussion of the merits of the case among the parties, or their representatives, and the persons conducting the inspection or investigation other than answers to specific questions asked by the person conducting the inspection or investigation.
    3. Questions asked and responses received during an inspection or investigation need not be recorded. If present, both parties must be given an opportunity to respond to all such questions.
    4. The parties shall receive copies of any written report and have an opportunity to hear any oral report resulting from the inspection or investigation and shall have an opportunity to respond to the same.
  - e. The Chair of the EPRB shall rule on all motions, objections to evidence, and other questions which may arise at the hearing.
11. Testimony and Argument.
  - a. Each party shall be entitled to make an opening statement.
  - b. Following the opening statements, the complainant shall present complainant's claims and evidence.
  - c. The charged party shall then present respondent's defenses and evidence.
  - d. Each party, the attorneys for the parties, AHA, and the members of the EPRB shall have the right to ask questions of any person testifying.
12. Decision.
  - a. The EPRB will determine whether the charged party has violated the Code as charged as promptly as is reasonable after the hearing is concluded.
  - b. The EPRB decision, including specific findings and penalties (if any), shall be reduced to writing and returned to the Executive Director.
  - c. The Executive Director must send notice of the decision to the parties and to the members of the EPRB and, except when the EPRB has imposed no penalty or the only penalty imposed is Private Censure, shall cause the decision to be published in AHA's publication and on its website. (Res. 7-00)
  - d. After the EPRB has reached its decision, the copies of the complaint file and any other evidence distributed to the EPRB members at the hearing shall be returned to the Executive Director.
13. Penalties. If the EPRB finds that the charged party has violated the Code, it may impose such penalties as it deems proper, including but not limited to the following:
  - a. Private censure.
  - b. Public censure.
- c. Denial of all or part of AHA privileges.
- d. Prohibition of involvement at AHA events.
- e. Expulsion or suspension from AHA membership.
- f. Forfeiture and redistribution of titles, associated prizes and AHA points won in connection with a violation of the Code. (Res. 7-00)
- g. Fine. (Res. 7-00)

14. Contacts with Parties.
  - a. No member of the PCP or the EPRB shall hear, participate in, or vote on any matter in which they are personally involved, about which they have personal and firsthand knowledge, or involving individuals or entities with whom they have had a close business or personal relationship during the year prior to the proceedings.
  - b. No member of the PCP or the EPRB shall discuss any proposed or ongoing investigation, review, or any pending matter in public or with others who are not either members of the respective body or current leadership of AHA as required.
15. Notice. All notices required or permitted under these rules may be given by personal service or by mail to AHA or a party's last known address according to the AHA's records. All such notices must be sent first-class mail, postage prepaid, and by either registered or certified mail, return receipt requested. For the purposes of determining times of notification and response, notice shall be deemed given when personally served or mailed.
16. Amendment. These rules and procedures may be amended from time to time by the AHA Board of Directors, subject to review and ratification by the Delegates at the next Annual Convention of AHA.

(Chapter 3, Res. 68-90 with amendments.)

## CHAPTER 4: DELINQUENT PAYMENTS AND SUSPENSIONS

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All AHA programs and events deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet or other electronic means. (BOD 8/00)

#### **SUS 101. DEFINITIONS AND CONDUCT RESULTING IN SUSPENSION**

1. **Delinquent Account.** Where referenced in this chapter,
  - a. a "Delinquent Account" shall be any account that is past due and owing directly to AHA for goods, services, dues, fees, or similar indebtedness. Payment in full is due upon request for products and services.
  - b. Failure to pay within seventy-five (75) calendar days (comprised of sixty days plus a fifteen-day notification period) may result in a delinquent account being referred to a collection agency and the account holder being charged any and all collection fees in addition to the amount owed. (BOD 5/09) (BOD 12/16) Failure to pay in full for products and/or services will be subject to Notice of Possible Suspension and/or Suspension from AHA.
2. **Notice of Possible Suspension** by AHA. Where referenced in this chapter, Notice of Possible Suspension by AHA:

The AHA office will notify the individual of all amounts due in writing, sent by United States Postal Service or via email to the last-known address of any individual or entity for possible suspension under the provisions of this chapter. Any amounts due by an individual or entity must be postmarked/electronic transaction dated and submitted to the AHA office before seventy-five (75) calendar days (comprised of sixty days plus a fifteen-day notification period) from the transaction date. (BOD 12/16)

#### **3. Notice of Suspension**

If payment is not received by the AHA office, AHA will send a Notice of Suspension once the account has remained unpaid for seventy-five (75) calendar days (comprised of sixty days plus a fifteen-day notification period) and will publish the suspension on the AHA website. (BOD 12/16)

4. **Returned Instrument.** Where referenced in this chapter, "Returned Instrument" shall mean:
  - a. Any check or other negotiable instrument payable to AHA or to an AHA Member Organization or Recognized Competition that is dishonored and/or returned by a bank or Credit Card Company.
  - b. Payment is due within fifteen (15) days, or the membership will be suspended, and the account becomes inactive. (BOD 12/16)

#### **SUS 102. NOTICE OF SUSPENSION FOR RETURNED INSTRUMENTS**

1. Payment to AHA with a Returned Instrument.
  - a. Upon notification from a bank of a Returned Instrument, as defined in SUS 101.4, payable to AHA, a sixty-dollar (\$60) service charge will be added to each returned item. The AHA office shall send Notice of Possible Suspension of the outstanding balance due AHA resulting from the Returned Instrument and of the sixty-dollar (\$60) service charge.
  - b. The Notice of Possible Suspension shall also state that the parties will be suspended effective fifteen (15) calendar days from the date of mailing of the Notice, unless payment in full, including the service charge, is postmarked/electronic transaction dated (BOD 8/00) and submitted to the AHA office within fifteen (15) calendar days of mailing of the Notice.
  - c. If the Returned Instrument was issued in payment of horse show entries at an AHA Recognized Competition, the horse or horses that the payment was to cover will also be suspended. The name and registration

number of the suspended horse(s) will also be published in AHA's publication and/or on the AHA website.

- d. If payment is not received by AHA, AHA shall send Notice of Suspension and publish the suspension in AHA's publication and/or on the AHA website.
2. Payments to AHA Recognized Competitions and Member Organizations with a Returned Instrument.
  - a. AHA recommends that AHA Recognized Competitions or Member Organizations not allow any show entry or provide services or products without payment.
  - b. If a Competition or organization extends credit to an individual or entity, it does so at its own risk.
3. Collection Assistance. In the limited circumstances set forth below, AHA will assist its Recognized Competitions or Member Organizations, if payment is made to a Recognized Competition or Member Organization with a Returned Instrument.
  - a. If assistance in collection is sought against a non-member, then the Member Organization or Recognized Competition must have obtained a signed, written agreement from the non-member to be bound by AHA's Rules and Regulations (specifically including this Chapter 4).
  - b. The designated representative of an AHA Recognized Competition or AHA Member Organization must, on a form provided by AHA, submit a statement of receipt of a Returned Instrument, and such other information and documentation as AHA may require, postmarked/electronic transaction dated (BOD 8/00) within sixty (60) days of receiving the Returned Instrument (See SUS 101.3 for definition of Returned Instrument).
  - c. Upon receipt of all requested information from a Member Organization or Recognized Competition, the AHA office will send Notice of both the outstanding balance and the AHA office fee of one-hundred dollars (\$100) that is due, to the owner(s), trainer(s), coach(es), rider(s), driver(s), and handler(s) listed on the entry blank and/or other forms which the payment was to cover (the "Noticed Parties"). The Notice shall also state that, unless the amount due is paid in full to both AHA and the AHA Recognized Competition or AHA Member Organization postmarked/electronic transaction dated (BOD 8/00) within fifteen (15) calendar days of the date of mailing of the Notice, AHA shall suspend all Noticed Parties and horses. (BOD 1/97)
- d. The AHA office will verify with the designated contact of the Competition or organization whether payment has been received. If payment has not been received, the AHA office shall (i) send Notice of the suspension to Noticed Parties, (ii) publish the name and registration number of the suspended horse(s) and all Noticed Parties in AHA's publication and/or on the AHA website, and (iii) suspend all Noticed Parties and suspended horse(s) from all membership privileges which includes participation in any manner in any AHA Recognized Competition.

#### **SUS 103. EFFECTS OF SUSPENSION**

1. Suspension shall be effective on the date stated in the Notice of proposed suspension to the individual or entity.
2. Under this chapter, suspension shall include the following:
  - a. If the suspended entity is a corporation, partnership, or sole proprietorship operating as a dba, suspension shall include the corporation, the partnership, and the sole proprietors, as well as all of their dba's.
  - b. If the suspended entity is a general partnership, suspension shall also include all partners of the partnership.
  - c. If the suspended entity is a limited partnership, suspension shall also include the general partners; and
  - d. If the suspended entity is a corporation, suspension shall also include all officers of the corporation.

3. Suspension includes:
  - a. Revocation of AHA membership benefits and privileges, including, but not limited to, denial of entry into AHA programs and events, the removal from AHA boards, commissions, committees and office, termination of Achievement Award point accrual, and Regional and National qualification requirement accumulation.
  - b. Prohibition from holding a licensed official, or employee position as defined by USEF or EC in any AHA Recognized Competition.
  - c. Denial of participation by the individual or entity in any manner in any AHA Recognized Competition. In addition, the individual or entity shall not be allowed to be on the competition grounds in any capacity during an AHA Recognized Competition; and
  - d. For suspension of a horse, denial of the horse's entry into and the benefits of AHA programs and events, and denial of participation in any manner in any AHA Competition or AHA Recognized Competition.
4. Notification of all suspensions shall be made to AHA members, Recognized Competitions, and Member Organizations in AHA's publication and/or on the AHA website. Such publication shall include the name of the suspended individuals and entities, as well as the name or names and registration number of any suspended horses, and the effective date of suspension.
5. AHA will maintain a current list of suspended individuals, entities, and horses at the AHA office, and it shall also be the responsibility of each member, horse owner, Recognized Competition, or others involved in transactions with Arabian, Half-Arabian, or Anglo-Arabian Horses to contact AHA for information concerning suspended status.

#### **SUS 104. REMOVAL OF SUSPENSION UNDER THIS ARTICLE**

1. The suspension of an individual, entity, or horse under this chapter will end upon receipt of negotiable funds and acknowledgment from the AHA office of payment in full of the amounts due.
2. AHA will publish the removal of any previously published suspension in the next issue of AHA's publication and/or next update on the AHA website. When a suspension is removed, and only then, will privileges be reinstated. No privileges will be reinstated retroactively.
3. Upon request, the AHA office will provide an earlier notification that a suspension has been removed and is no longer in effect. However, it will be the responsibility of the suspended individual or entity to request that the AHA office send a Notice of the removal of a suspension to any AHA Recognized Competition or AHA Member Organization.

#### **SUS 105. MULTIPLE VIOLATIONS OF AHA'S POLICIES ON NON-PAYMENT**

1. Any individual or entity who submits two Returned Instruments for payment obligations to AHA within an eighteen (18) month period, will automatically be required to submit certified funds for any future payments to AHA for the following three-year period.
2. Repeated violations of payment obligations to AHA, its Member Organizations, or Recognized Competitions or violations of the suspension enforcement obligations imposed upon Recognized Competitions under this chapter are detrimental to AHA's ability to implement its purposes and goals; therefore, it shall be a violation of AHA's Rules and Regulations, and an EPRB charge may be filed, if:
  - a. An individual or entity has any delinquent accounts due to AHA for seventy-five (75) days (comprised of sixty days plus a fifteen-day notification period) or more, issues Returned Instruments payable to AHA, or issues Returned Instruments to AHA Recognized Competitions or Member Organizations on more than two separate occasions; or (BOD 12/16)
  - b. An AHA Recognized Competition or Member Organization allows a suspended individual or entity to participate in an AHA Recognized Competition in any manner or capacity.

#### **SUS 106. AHA CHECKS**

If an unrestricted operating check is issued and not presented for payment by **March 31** of the following Fiscal year in which the check was drawn, the money shall be forfeited. (BOD 8/10)

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**CHAPTER 5: COMMITTEE RULES AND PROCEDURES**  
**COMMITTEES, BOARDS, PANELS AND COMMISSIONS. (BOD 11/06)**

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All AHA programs and events deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means. (BOD 8/00)

References to Committees includes Committees, Commissions, Boards, Panels, Ad Hoc and Task Forces.

**CBP 101. COMMITTEES - GENERAL RULES**

1. For all membership requirements refer to Chapter 7. Individual Adult Membership is required for all Committee Members unless the committee description and makeup are prescribed otherwise.
2. The committees of Arabian Horse Association (AHA) serve a very important function in the membership communication process. The measurable success of the organization is based upon the activity and energy of these groups. To those who serve, AHA expresses appreciation for their dedication.
3. If you are interested in serving on any committee,
  - a. Committee chairs/members must be members of AHA unless the committee description and make-up are prescribed otherwise.
  - b. You should first determine how frequently that committee meets and whether you are willing to make the commitment of time, energy and money required to attend those meetings. No reimbursements will be made or budgeted for any individual expenses in conjunction with the AHA Annual Convention.
  - c. Ask your Regional Director for guidance before signing up.
4. The duties of committee members are,
  - a. Identify issues of concern that should be brought to the committee.
  - b. Represent the concerns of the members of Member Organizations in the Region to the committee.
  - c. Heighten awareness of relevant issues, stimulate input and plan appropriate projects, gather ideas and information.
5. The administration of AHA rules, policies and procedures, and all business transactions including but not limited to contract negotiations, budgeting and the handling of funds, will be the responsibility of AHA staff with the approval of the Executive Committee and Board of Directors (BOD) of AHA. (Refer to CBP 102 & CBP 103)
6. The Agenda and Resolutions Committee shall make referrals of proposed resolutions and the Convention agenda to committees. The failure of a committee to report on a proposed resolution referred to it shall be construed as a recommendation for the resolution.
7. All committees will submit a written report on the Committee Report form provided by the AHA office to the Board of Directors a minimum of three times a year. These Committee reports are due thirty days prior to the Board meetings each year, with the exception of those committees that meet in

conjunction with the AHA Board meeting who will submit their report at that time. (BOD 5/98)

8. All members of committees will adhere to the AHA Code of Ethics and Sportsmanship Conduct and the AHA Member Code of Conduct at all times.
9. All committees and their members will adhere to the rules, policies, and procedures of AHA at all times. Exceptions must have prior approval of the Executive Committee or Board of Directors of AHA.
10. No Committee Chair on behalf of a Committee shall make a recommendation to the Board of Directors without first having received the required number of votes of that Committee on any item of business either in person, by mail or other forms of communication, and all recommendations shall be accompanied by an actual tally of the votes received. (Res. 41-74)
11. Duties of the Committee Chair are,
  - a. Work with the other Committee members to address concerns and carry out projects throughout the year.
  - b. Be a catalyst to facilitate communication to and from the Regions and Member Organizations.
  - c. Send communications to all Committee members, the AHA Board of Directors, and the Staff or Board Liaison (BOD 8/10) within the first week of each Board of Directors meeting. The AHA President, or his/her designee, shall send a letter to all committee chairs who failed to submit a committee report to the Board of Directors advising said Chair that failure to submit a committee report to the Board of Directors for the next meeting, or future meeting, shall result in immediate removal of said chair and appointment of the committee Vice Chair or other designated individual as Chair effective upon notification. Further, said removed Committee Chair shall be ineligible to serve as any Committee Chair for AHA for a period of one year from the date of said removal. (BOD 8/10)
  - d. Committee Chairs and Committee Members are also encouraged to communicate by phone, fax, e-mail and the Internet. The primary intra-committee communications shall be by e-mail. (BOD 5/07)
  - e. AHA will appoint a Staff Liaison to assist the Chairs. (BOD 5/07)

Their responsibilities would include:

- Tabulating applications for committee membership,
- providing committee membership lists to Chairs,
- assisting in election of the committee Chairs (if applicable),
- monitoring periodic reports by the Chairs,
- periodically soliciting and tabulating performance evaluations of committees by their members,
- periodically reporting committee performance to the Executive Committee,
- disseminating committee reports to all AHA members.

12. Failure to comply with any of these policies may result in the termination of an individual's responsibilities as a committee member at the discretion of the AHA Board of Directors.

**CBP 102. CONTRACTS AND AUTHORITY TO BIND AHA**

1. No officer, member, employee, or agent of AHA acting on behalf of AHA may enter into any transaction for AHA in which such person or any member of such person's immediate family (as defined by USEF/EC) has a direct or indirect financial interest without prior approval of the Board of Directors after a full disclosure of the facts. (BOD 5/93)
2. No officer or director of AHA shall vote on any matter in which such person or any member of such person's immediate family (as defined by USEF/EC) has a direct or indirect financial interest or a direct personal interest without prior approval of the Board of Directors after a full disclosure of the facts. (BOD 5/93)
3. When designated confidential, any information or material given or distributed to the officers, directors and/or committee members (as defined in Chapter 5) of AHA shall be kept confidential and not further passed on or disseminated.
4. Contracts and Agreements.
  - a. Contracts and agreements which exceed the signature authority of staff in terms of monetary value or have duration of more than one year shall

- be referred to the Executive Committee or the President for prior approval.
- b. All contracts and agreements must be signed by the President and/or the Executive Director or, in matters pertaining to the Judges and Stewards Commissioner's office, by the President and/or the Judges and Stewards Commissioner.
- c. All contracts and agreements must be maintained at the Association's headquarters. AHA will not be responsible for or rely upon verbal agreements.
- d. Non-financial agreements such as trades or exchanges that have a monetary value over \$50 must be documented. All such agreements (verbal, trades, or exchanges) must be documented and confirmed in writing by the appropriate authorities representing both parties. (BOD 5/93) (BOD 8/96)
- e. All contracts pertaining to an AHA National Championship Show must be reviewed and approved by the appropriate show commission prior to the contracts being presented to the President, Executive Committee or Executive Director for approval. This process must be done in a timely manner, so the President, Executive Committee or Executive Director has a minimum of fourteen days to review and discuss the contracts prior to their discussion for final approval. (BOD 11/98) (BOD 1/99)

### **CBP 103. BUDGETS**

- 1. Compliance with budgets is the responsibility of both the respective Chair and Staff Liaison. Budgets will be adhered to by all committee members and closely monitored by both the Chair and Staff Liaison. Anticipated or needed expenditures in excess of budgeted amounts must have prior approval of the Executive Committee or Board of Directors of AHA. Events or changes that negatively impact the Association's fund balance must be reported immediately to the Executive Committee.
- 2. All documents and records pertaining to the Association's business will be maintained at the Association's headquarters. Any member in possession of applicable documents and/or records must forward them to the respective Staff Liaison or the Executive Director in the Association's headquarters.
- 3. All Association bank accounts will be established by and maintained at the Association's headquarters. All funds will be handled by AHA staff in accordance with established accounting procedures under the direction of the AHA Treasurer. No committee or any of their members shall approve or make arrangements for the handling of funds outside of these established accounting procedures.

### **CBP 104. EFFECTIVE DATE OF BOARD MOTIONS AND RULE CHANGES.**

(Also see CHAPTER 9, RULE 105)

- 1. If not otherwise permitted by these rules to be effective immediately, the Board may make any motion effective immediately if designated as such and passed by a 2/3 vote of the Board of Directors.
- 2. The August Board meeting will be the last meeting for rule changes to be incorporated in the Handbook. (**Exception:** rule changes after the August Board of Directors meeting will require a 2/3 vote of the Board to be included in the Handbook). (BOD 8/98)

### **CBP 105. VACANCIES**

- 1. Except where vacancy procedures are specifically defined in the AHA Handbook:
  - a. All vacancies on committees elected by the AHA Convention Delegates will be replaced by the person with the next highest votes received by the vote at that Convention and if none, will be elected by the Board of Directors at its next regular meeting (**Exception:** Ethical Practice Review Board and Probable Cause Panel – See ETHICS 106.4 and ETHICS 107.4);
  - b. All vacancies on committee elected by AHA Board of Directors shall be replaced by election of the AHA Board of Directors.

- c. All vacancies on committees appointed by the President will be replaced by the President.
- d. All vacancies of Purebred Arabian Trust appointees will be replaced by the Purebred Arabian Trust.

### **CBP 106 QUORUMS AND OPEN MEETINGS (BOD 5/07)**

- 1. For all committees doing business in person, by conference call or by other electronic means, a quorum shall be 10 members of the committee or 50% of the committee membership, whichever is less. (Note: Some committees have a different quorum stated in their charter. In those cases, the existing quorum requirement remains unchanged.) (BOD 8/98)
- 2. All committee meetings at Convention, both Tier A and Tier B, shall be open to any AHA member, unless specifically authorized by the AHA President to be closed.

### **CBP 107 CHAIR LIMITATIONS (BOD 5/07)**

- 1. A person may serve as a Chair of no more than one standing committee. This does not apply to ad hoc committees. In an exceptional case the Executive Committee may override this limitation.

### **CBP 108 EXECUTIVE COMMITTEE LIMITATIONS (Res. 5-08)**

- 1. No individual, who is a member of the Executive Committee may serve as a chair of Arabian Breeders Sweepstakes Commission, Budget & Finance Committee, **Canadian National Show Commission**, Market Development and Promotion Committee, Registration Commission, Sport Horse National Show Commission, U.S. National Show Commission or Youth/Mid-Summer National Show Commission.
- 2. No individual (except the AHA Treasurer), who is a member of the Executive Committee Members of the Arabian Horse Association may serve on more than one of the following: Arabian Breeders Sweepstakes Commission, Budget & Finance Committee, **Canadian National Show Commission**, Market Development and Promotion Committee, Registration Commission, Sport Horse National Show Commission, U.S. National Show Commission or Youth/Mid-Summer National Show Commission.

### **CBP 109 TIER "A" COMMITTEES (BOD 5/07)**

As defined herein, Tier "A" Committees are elected or appointed, and Tier "B" Committees may be volunteers without election or appointment. Tier A Chairs may not serve more than four years in succession. In an exceptional case the Executive Committee may override this limitation.

#### **1. PRESIDENT APPOINTED/DEFINED COMMITTEES**

- a. Appointed committees serve at the pleasure of the AHA President who is an ex-officio member of these groups. The President may designate a representative to be a member.
- b. Committee chairs are appointed by the President and serve at the pleasure of the President unless prescribed otherwise by committee description. All committee chairs automatically expire at the end of each AHA Convention unless otherwise stipulated. (BOD 1/95)
- c. Any Committee Chair that fails to chair a scheduled meeting and has not provided for a substitute is automatically terminated as Chair.
- d. This is an annual process.
- e. Members appointed to committees are to be selected from the volunteers who are most willing, able, and qualified to participate, and there is to be no arbitrary limitation to the number of members from each or any Region (other than a limit to the total membership of the committee) that can be appointed to any AHA Appointed Committee. (Res. 12-88)
- f. President Appointed Committees that have a definition and a limitation of members:
  - Agenda and Resolutions Committee
  - Annual Convention Planning Committee (BOD 8/24)

- Budget & Finance Committee (One member appointed by Purebred Arabian Trust, One member appointed by Arabian Breeders Sweepstakes Commission)
- Bylaws Committee (BOD 8/14)
- Competition Advisory Committee
- Ad Hoc Committees

g. Appointed Committees that have a definition and a limitation of members:

- Local Level Committee (See CBP 111.25)
- National Shows Directorate (See CBP 111.29)
- USEF Arabian Division Committee (Refer to AHA Bylaws, Article XI, Section 1)

**2. CONVENTION ELECTED COMMITTEES**

- a. Anyone wishing to serve on any of the elected committees must submit a resume to their Regional Director no later than **September 1** of each year.
- b. Each Regional Director shall consider the information and may make nominations for these committees prior to the AHA Annual Convention. In order to have copies of resumes available for delegate packets and names on the ballots, each Regional Director shall forward all nominations and resumes to the AHA office by **September 15** of each year.
- c. The election is held at the AHA Annual Convention. Some committees have certain criteria for membership. For example, no more than one member per Region, a member must be an attorney, etc.

**3. ELECTED BY THE CONVENTION (TIER "A")**

- Commissioner's Review Panel
- Ethical Practice Review Board (Nominations may only be made by a Director of the AHA Board.)
- Judges Selection Committee
- Nominating Committee
- Probable Cause Panel

**4. BOARD ELECTED COMMITTEES**

- a. Convention Delegates requested that their Member Organizations have more input into the board elected positions. As a result, the following procedure has been adopted:
  - i. **Step 1: April 1.** All Member Organization presidents will be notified prior to **April 1** of each year that they may put forth the name of a qualified individual for consideration by the Executive Committee. A job description will be enclosed with the notification which will detail the time involved, the experience necessary, and other facts relative to election to the committee involved. The presidents will also be notified that a thorough resume must accompany each nomination.
  - ii. **Step 2: June 1.** All nominations must be sent to the AHA office and postmarked/electronic transaction dated (BOD 8/00) no later than **June 1**. A nomination will not be accepted if it is not accompanied by a thorough resume for the person nominated.
  - iii. **Step 3: June 10** (or first workday following **June 10**). AHA staff will have assembled and have ready for distribution the names of the nominees and their resumes.
  - iv. **Step 4: June 10-July 1.** The Executive Committee members will review the nominees and their resumes for the committees during the first two weeks of July. (BOD 8/05)
  - v. **Step 5:** The Executive Committee will determine a suitable selection procedure. No more than three candidates shall be selected. The selected candidates will be added to the ballot to be voted on by the Board of Directors. Their resumes will be distributed to the Board of Directors with the resumes of those nominated by BOD members.

5. **ELECTED BY THE BOARD OF DIRECTORS (TIER "A")**

- AHA Futurity Commission
- AHA National Distance Commission
- **Canadian National Show Commission**
- Education/Evaluation Commission
- Internal Audit Committee
- Arabian Breeders Sweepstakes Commission
- **Resolution 5-90 Committee**
- Sport Horse National Show Commission
- U.S. National Show Commission
- Youth/Mid-Summer National Show Commission
- Three members of the Market Development and Promotion Committee
- Three members of the Registration Commission (Half-Arabian/Anglo-Arabian Registry members)
- Four members of the Arabian Breeders Sweepstakes Trust (may not be serving concurrently on the Sweepstakes Commission) (BOD 8/18)

**6. PUREBRED ARABIAN TRUST APPOINTMENTS (TIER "A")**

- Refer to AHA Bylaws, Chapter 2, Article XI, Section 3.a and 4.a
- Three members of the Market Development and Promotion Committee.
- Six members of the Racing Committee (Arabian Jockey Club Executive Committee).
- Three members of the Registration Commission (Arabian Registry members).
- One member of the Budget & Finance Committee.

**7. ELECTED BY LICENSED JUDGES AND STEWARDS (TIER "A")**

- Refer to CBP 111.24
- Refer Judges and Stewards Steering Committee

**8. ELECTED BY ARABIAN HORSE YOUTH ASSOCIATION (AHYA) MEMBERS (TIER "A")**

- Refer to Chapter 23, Article 3, Section 3
- AHYA Board

**9. APPOINTED BY THE FOUNDATION (ARABIAN HORSE FOUNDATION)**

- Refer to CBP 113
- [www.thearabianhorsefoundation.org](http://www.thearabianhorsefoundation.org)

**CBP 110. TIER "B" COMMITTEES**

1. Any AHA member wishing to serve on a Tier "B" Committee must complete the committee sign-up on the AHA website. Tier "B" Committee members serve for one year. Annual sign up is required by completing an AHA Committee Sign up (form or web) to continue serving in the upcoming year.
2. Committee chairs are appointed by the President and serve at the pleasure of the President. (BOD 8/11)
3. President appointed Tier B Chairs shall have two-year terms. The term of office shall be from the close of the Convention following election to the close of the Convention two years later. The Chair may not serve more than two terms in succession. In an exceptional case the Executive Committee may override this limitation. A vacancy in the office of Chair shall be filled by the Vice-Chair. (BOD 8/11)
4. A member of the Executive Committee may not chair a Tier B Committee. (BOD 8/15)

**5. TIER "B" COMMITTEE LIST (BOD 5/07)**

- Amateur Committee
- Awards Committee
- Breeding/In-Hand Committee
- Distance Riding Committee
- Dressage/Western Dressage Committee
- Equine Welfare
- Equitation/Showmanship Committee

- Hunter/Jumper Committee
- Membership Committee
- Professional Horsemen Committee
- Sport Horse Committee
- Working Western Committee
- Youth Committee

## CBP 111. COMMITTEES, COMMISSIONS, BOARDS AND PANELS

### 1. AGENDA AND RESOLUTIONS COMMITTEE (TIER "A") (Res. 18-88)

This committee shall be a three-member committee appointed by the President, serving three-year terms with one term ending each year. Terms of office shall be **April 1 to March 31**. (Res. 78-89) Members may be re-appointed for additional terms. Members so appointed must:

- Be in good standing with AHA at all times during their terms of office.
- Have been an AHA member 10 years or more.
- Have been Chair of one or more AHA committees.
- Have served as a Delegate to the AHA Convention a minimum of three years.
- Have been an officer or director of AHA or possess background experience equal to such service.
- This committee shall be responsible for:
  - Reviewing, organizing, and researching the impact of each resolution upon AHA.
  - In cooperation with AHA staff, publishing all resolutions passed, tabled, and/or referred by the Convention Delegates on the AHA website and/or in AHA's publication.
  - Working with AHA staff to incorporate changes resulting from resolutions passed by the Convention into the AHA Handbook and printed information distributed by AHA.
  - Working with the President and staff to prepare the agenda for the Annual Convention, obtaining required reports for Convention Delegates required by action at the prior year's Convention, and scheduling committee meetings.
  - Updating the Resolution Forms as needed. (BOD 11/97)

### 2. AHA FUTURITY COMMISSION (TIER "A")

- Functions by majority vote under such Rules and Regulations as it may establish from time to time and shall have authority to promote, regulate, operate and manage the U.S. and Canadian National Halter Futurity (**the 2025 year is the last year for the Canadian National Futurity**) programs and the AHA Arabian, Half-Arabian/Anglo-Arabian National Yearling Jackpot Classes and the Performance Futurity/Maturity/Derby Program with AHA Board of Directors approval.
- The Commission shall consist of six members and the Treasurer. Two members elected annually by the Board of Directors for three-year rotating terms. No more than two members elected from a Region.
- At the Annual Convention after the election results are known and before **December 15** of each year, the Commission shall elect from its members a Chair, Vice-Chair and Secretary. (BOD 1/98)

### 3. AHA NATIONAL DISTANCE COMMISSION (TIER "A") (BOD 11/07)

- Promotes, regulates, operates, and manages the AHA National Arabian and Half-Arabian/Anglo-Arabian Competitive Trail Ride and the AHA National Arabian and Half-Arabian/Anglo-Arabian Endurance Ride and such other events of national or international prestige as approved by the AHA Board of Directors, subject to the following limitations:
  - The budget for each ride shall be submitted to and approved by the AHA Board of Directors;
  - The commission shall be bound by the bylaws of AHA and the resolutions of the membership and the motions of the AHA Board of Directors adopted in accordance with such bylaws.

- The Commission shall select such Ride Manager and designate such committee or committees as it deems necessary to carry out its responsibility.

- Five members elected by Board of Directors for three-year rotating terms (terms to start on **January 1** of the following elected year and run through **December 31** of the third year). No more than two members per Region.

- At the Annual Convention after the election results are known and before **December 15** of each year, the Commission shall elect from its members a Chair and Vice-Chair.

### 4. AMATEUR COMMITTEE (TIER "B")

- Sets up standards of conduct and ethics typical of an amateur group.
- Promotes and extols sportsmanship and fair play both on and off the show grounds.
- Suggests programs whereby knowledge and experience may be imparted to appropriate groups of AHA.

### 5. ANNUAL CONVENTION PLANNING COMMITTEE (TIER "A")

- Will consist of three to five members and **will be active in encouraging Regions to bid on hosting the Annual Convention, commitment will be obtained four years in advance whenever possible. See CONV-104. (BOD 8/24) will assist the AHA office in fundraising for convention as well as volunteering at the annual convention.**

- Works closely with AHA office in determining the suitability of future convention sites, both city and hotel.
- Advises the President on overall Convention program.

**When the AHA Convention is not hosted by a Region, the Annual Convention Planning Committee will assume the responsibilities traditionally performed by the hosting Region insofar as funds are available or that monies can be raised by donations. (BOD 5/00)**

- Will be responsible for organizing and executing a successful event that aligns with the Arabian Horse Association's mission, values, and goals. The Committee will work closely with the AHA office and President in the following areas:**
  - planning, venue research and selection,
  - program development including agenda, keynote speakers, panels, workshops, entertainment,
  - developing promotional and sponsorship materials as well as soliciting sponsorships,
  - coordinating volunteer and staff needs,
  - create post-event survey and review feedback from attendees.

### 6. ARABIAN BREEDERS SWEEPSTAKES COMMISSION (TIER "A")

- This commission shall function by majority vote under such Rules and Regulations as it may establish from time to time and shall have authority to promote, regulate, operate, and manage the Arabian Breeders Sweepstakes with the Arabian Breeders Sweepstakes Trustees approval.
- Two members elected by Board of Directors for three-year rotating terms. Elected members will be limited to three (3) consecutive terms (Any current commissioner will be allowed to serve out their current term even if it exceeds the 9-year limit). (BOD 3/18) No more than two members elected from a Region.
- The following descriptions should be considered when choosing a Sweepstakes Commissioner: (BOD 3/18)
  - That Individual should have been a breeder for minimum of 5 years.
  - Individual should have participated in Sweepstakes by nominating his/her horses for a minimum of 5 years.
  - Individual should have been a member of AHA for a minimum of 5 years.

- iv. Individual should have a knowledge of how prize money programs work.
- v. Individual should have business background.
- vi. Individual should have been currently showing actively in both Regional and National levels for a minimum of 5 years in the last 10 years.
- vii. Individual must be willing to attend the AHA annual convention each year.
- viii. Individual should be willing and able to take responsibility for specific tasks as directed by the Sweepstakes chair.
- d. The Treasurer of AHA completes the seven-member commission.
- e. At the Annual Convention after the election results are known and before **December 15** of each year, the Commission shall elect from its members a Chair, Vice-Chair and Secretary. (BOD 1/98)

**7. ARABIAN BREEDERS SWEEPSTAKES TRUST (TIER "A")**

The Trust shall be made up of eight (8) individuals (BOD 11/20): the current AHA President, the current AHA Treasurer, the current chair of the Sweepstakes Commission, one other member of the Sweepstakes Commission (elected by the Sweepstakes Commission) and four (4) members elected by the AHA Board of Directors each year at the annual convention. (BOD 3/18) These four (4) members must be current or past members of the AHA Board of Directors and may not be concurrently serving on the Sweepstakes Commission. (BOD 8/18) The Chair of the Trust will be appointed by the AHA President from one of the four (4) elected members.

**8. ARABIAN HORSE YOUTH ASSOCIATION BOARD (AHYA) (TIER "A")**

Refer to AHYA Chapter 23, Article 3, Section 3 for Board composition, description, and duties.

**9. AWARDS COMMITTEE (TIER "B")**

- a. Studies and makes recommendations on the rules and administration of the Achievement Awards Program.
- b. Considers the need for other award programs.
- c. Coordinates with other committees on the type of awards given to ensure that equality of awards is maintained.

**10. BREEDING/IN-HAND COMMITTEE (TIER "B") (BOD 4/11)**

Studies and makes recommendations regarding the promotion of the Breeding/In-Hand division and conducts any approved program as necessary.

**11. BUDGET & FINANCE COMMITTEE (TIER "A") (Res. 28-04)**

- a. Reviews and makes recommendations on the budget prepared by staff prior to the budget being submitted to the AHA Board of Directors for approval; monitors policies and procedures for the financial and accounting management of the Association and the Breeders Sweepstakes Trust; oversee all Association and Trust investments; makes a continuing and comprehensive study of all possible sources of income and makes recommendations to the AHA Board of Directors and Annual Convention; review and submit recommendations for adjustments to fees charged by AHA (except Adult member dues) (Res. 2B-04) to the AHA Board of Directors for approval, and performs any other duties requested by the AHA Treasurer, Executive Committee or Board of Directors.
- b. The Committee shall consist of a minimum of eight voting members: the AHA Treasurer; one voting member appointed by the Purebred Arabian Trust; one voting member appointed by the Arabian Breeders Sweepstakes Commission; the remainder of the voting members appointed by the AHA President; plus, two nonvoting members consisting of the AHA Vice President and the Past President (or their appointed representatives).
- c. The terms of service for the appointed voting members will be three years. The Committee shall elect its own Chair from one of its voting members by **December 15** of each year. Each appointee shall be a member in good standing with AHA and be familiar with the Association and Arabian Horse industry.
- d. A diligent attempt will be made to retain a committee mix that includes members with one or more of the following qualifications or backgrounds: a strong financial background (CPA preferred), an owner/operator/executive background and/or a business planning background.

**12. BYLAWS COMMITTEE (TIER "A")**

Maintains a continual review of the current Bylaws and recommends changes when appropriate.

**13. COMMISSIONER'S REVIEW PANEL (TIER "A") (Res. 3-24)**

- a. Reviews the investigations report containing information developed by the Commissioner's office which relates to the allegations of improper conduct on the part of official(s) (judges or stewards).
- b. The Panel shall consist of nine (9) members: one **currently licensed Arabian judge**; one **currently licensed USEF Category 2 steward or EC steward**; two recognized breeders; one recognized trainer; one show manager or show secretary; two exhibitors who are current holders of amateur cards; one member at large. See below for definition of Amateur, Breeder, and Show Manager/Secretary.
- c. At the 1991 Annual Convention, the following criteria were established:
  - i. Amateur: a person who has held an Amateur card issued by USEF/EC for five years and who has competed at local and Regional events.
  - ii. Breeder: persons who have had an established Arabian, Half-Arabian, or Anglo-Arabian breeding program for at least ten years, and who stand at stud their own stallions or breed their own mares, and who further are involved in the marketing of breeding or performance horses.
  - iii. Show Manager>Show Secretary: a person who has managed at least three AHA/USEF/EC Recognized shows during the previous five years, who has demonstrated knowledge of the rules of such shows, has enforced such rules in a fair and impartial manner and who has a reputation for producing an organized and profitable show.
- d. The panel members are elected by the Convention Delegates for two-year rotating terms with a limit of six (6) consecutive years of service. Only prospective candidates for the panel who fall within the definitions shall be permitted to run for election.
  - 1) Any vacancy of more than two (2) months that occurs between Convention elections will be filled on an interim basis, upon recommendation of the Commissioner, by the President with approval of a majority of the Board of Directors. (Res. 3-24)
  - 2) The Commissioner can recommend removal by the Executive Committee of a Review Committee member for cause, after a review of the facts by the non-conflicted members of the Review Committee. (Res. 3-24)
- e. Review Panel members are ineligible to serve concurrently on the Executive Committee, Judges Selection Committee, Judges & Stewards Steering Committee and/or National Show Commissions. (Res. 51-12 & Res. 3-24)
- f. The term of office is **January 1 through December 31** for the term period.
- g. At the Annual Convention after the election results are known and before **December 15** of each year, the Panel shall elect one of its members to serve as Chair. (BOD 1/98) For complete rules refer to Resolution 5-90 as amended.

**14. COMPETITION ADVISORY COMMITTEE (TIER "A")**

- a. Gives direction in analyzing any problems encountered in the competition recognition systems, e.g., recognition of competitions, results reporting, and assessing and collection of fees.
- b. Evaluates policies and rules and prepares motions for the Board of Directors to update competition rules in the Handbook.
- c. Monitors Regional and National point system qualifications and makes recommendations for adjustment of points to the AHA Board of Directors for approval. (BOD 11/21)
- d. Assures that the number of points qualification system assigned is fair and equitable for all disciplines. (BOD 11/21)
- e. Has the authority to resolve special cases concerning competitions.
- f. This Committee usually meets the day before each Board meeting and as needed. (BOD 11/21)
- g. Appointed by President and is comprised of AHA Members with Show experience which includes but not limited to: Show Manager, Show Secretary, Licensed Judge, Steward, exhibitor, a representative of the Amateur Committee, a representative of the Professional Horsemen's Committee, a representative of the Sport Horse Committee, a representative of the Working Western Committee, and if possible, a representative of a National Show Commission, etc. (BOD 8/20) (BOD 11/21)
- h. All members (appointed outside non-AHA Board Members and AHA Board Members) are to cover their own travel costs in the event of in-person meetings. (BOD 8/20) (BOD 11/21)
- i. Members of the Competition Advisory Committee will be limited to three (3) consecutive two-year terms of appointment with no more than two members per AHA region. (BOD 11/21)
- j. The Competition Advisory Committee shall consist of at least nine and no more than eleven members. (BOD 11/21)

**15. DISTANCE RIDING COMMITTEE (TIER "B")**

Cooperates with other trail and endurance ride organizations and other committees of AHA to standardize the rules of competitive trail and endurance riding and to help publicize the outstanding success of the Arabian/Half-Arabian/Anglo-Arabian Horse in this endeavor.

**16. DRESSAGE & WESTERN DRESSAGE COMMITTEE (TIER "B")**

Studies and makes recommendations regarding the promotion of the Arabian/Half-Arabian/Anglo-Arabian Horse in Dressage and Western Dressage and conducts any approved programs as necessary.

**17. EDUCATION/EVALUATION COMMISSION (TIER "A")**

- a. Develops educational programs for existing judges and stewards and for prospective judges and stewards (Res. 3-24)
- b. Assists in the development of a correspondence program curriculum for use in education of members, judges, and stewards.
- c. Provides access for AHA members to attend Exhibitors/Judges School for a reasonable fee.
- d. Reviews and make recommendations for the qualifications and requirements for the AHA approved Stewards and Compliance Officials as defined in the Value Show rules. (Res. 3-24)
- e. Make specific proposals to the Commissioner for increasing the authority and responsibilities of Stewards and Compliance Officials as defined in the Value Show rules in the enforcement of rules during the conduct of the competition. (Res. 3-24)
- f. Evaluates judges relating to the level of competition including specialty areas of expertise, to which a judge should be assigned and takes responsibility for final action on the application of an AHA member to be approved as an AHA approved Judge (Res. 3-24)
- g. Develops a training program, approved by the Commissioner, to produce quality judges for AHA Recognized Competition.

- h. Assigns levels to Judges (AHA Accredited National/Regional Judge or AHA Accredited Judge) (Res. 3-24)
- i. The Commission will consist of nine (9) members, elected by the Board of Directors for three-year rotating terms (terms to start on **January 1** of the following elected year and run through **December 31** of the third year). No member may serve more than four (4) consecutive three-year terms. (Res. 3-24)
  - 1) Any vacancy of more than two (2) months that occurs between elections will be filled on an interim basis, upon recommendation of the Commissioner, by the President with approval of a majority of the Board of Directors. (Res. 3-24)
  - 2) The Commissioner can recommend removal by the Executive Committee of an EEC member from the Commission for cause, after a review of the facts by the Review Committee. (Res. 3-24)
- j. The Commission is to consist of any AHA member who ideally would have relevant experience in the area of educational program development and/or delivery. (Res. 34-98)
- k. Members of this Commission will be ineligible to serve on any other judges or stewards committee or commission, any National Show Commissions, or as a national officer of AHA, although the Chair of the EEC may serve as a non-voting liaison to these committees, panels, or commissions. (Res. 3-24)
- l. The Education/Evaluation Commission coordinates with the Judges and Stewards Commissioner. (Res. 5-90)
- m. The Education/Evaluation Commission has the authority to create and modify the Performance Halter Score Card to meet the needs of this class. (Res. 10-15)
- n. At the Annual Convention after the election results are known and before **December 15** of each year, the Commission shall elect one of its members to serve as Chair. (BOD 1/98)
- o. For complete description refer to Resolution 5-90 as amended.

**18. EQUINE WELFARE (TIER "B")**

- a. Discusses the types of stress-related horse abuse that occurs today and makes recommendations on how to eliminate or reduce stress.
- b. Fosters and encourages educational programs, specifically breed improvement and animal husbandry, through seminars and symposiums, either separately or at the Annual Convention.
- c. Studies and makes recommendations on related topics of drugs and medication, stress, research contributions and other subjects.

**19. EQUITATION/SHOWMANSHIP COMMITTEE (TIER "B")**

- a. Administers and recommends rules for Arabian/Half-Arabian/Anglo-Arabian equitation and showmanship classes of all types with the exception of Sport Horse (see CBP111.36).
- b. Advises and works with USEF/EC Rules, Hunter and Jumper and Youth Activities Committees.

**20. ETHICAL PRACTICE REVIEW BOARD (TIER "A")**

- a. Interprets and enforces the AHA Code of Ethics and Sportsmanship in cases brought before it for hearing.
- b. Consists of nine members, all of whom shall be members of Member Organizations with AHA, for the duration of their terms of office. One member of the EPRB must hold a senior status Arabian judge's card. One member of the EPRB must be an attorney.
- c. The nine members of the EPRB shall be elected by the Delegates to the AHA Annual Convention to serve three-year rotating terms with three terms expiring each year.
- d. The AHA Executive Committee members may not serve on the Ethical Practice Review Board and the Executive Committee at the same time. (Res. 7-01)
- e. Nominations for the EPRB shall be made by a member of the AHA Board of Directors. Members of the EPRB are eligible for nomination and election to an unlimited number of terms.

f. At the Annual Convention after the election results are known and before **December 15** of each year, the members of the EPRB shall elect one of their members to serve as Chair and one of their members to serve as Vice-Chair. (Res. 68-90) (Res. 38-94)

21. **HUNTER AND JUMPER COMMITTEE (TIER "B")**

- Encourages the use of Arabians/Half-Arabians/Anglo-Arabians in hunter and jumper classes along with Eventing both in open competition and Arabian shows.
- Works with USEF/EC Rules and Equitation Committees as well as Youth Activities Committee.

22. **INTERNAL AUDIT COMMITTEE (TIER "A") (Res. 2-07)**

- Deliverables (BOD 11/22)
  - Annual recommendation of engagement with an outside auditor to assess, in addition to a standard GAAP Audit, the operating organization's performance with specific governing policies.
  - Recommendation to the Executive Committee and Board of Directors for engagement of auditor by no later than the Executive Committee meeting held at the Annual Convention of each year.
  - Review of the Monthly financials engaging the Treasurer and AHA Controller.
- Authority: To recommend to the Board of Directors the engagement of an outside auditor.
- Composition: Three (3) Board of Director members elected by the Board of Directors; Every audit committee member must be a member of the Board of Directors.
- The AHA Treasurer will be an ex-officio member of the Internal Audit Committee and will be the committee's Board Liaison.
- The term to be concurrent with their membership on the Board of Directors. (BOD 8/16) The Internal Audit Committee shall elect their own chair.

23. **JUDGES SELECTION COMMITTEE (TIER "A")**

- Selects the judges required for the National Shows from the approved National/Regional Judges lists provided by the Commissioner, who complies the list from various sources and personal knowledge up to eighteen (18) months in advance. Exception: Specialty judges can be hired up to twenty-four (24) months in advance of the national competition. (Res. 3-24)
- In the event that fewer than the required number of Judges are available from the AHA National/Regional Judge List, then the Selection Committee may select from the AHA Accredited List of Registered (R) judges only after exhausting the list of all AHA National/Regional Judges, in that order. (Res. 3-24)
- At least thirty (30) days prior to finalizing their selections and assignments the Selection Committee must provide a confidential list of the individuals proposed by the Committee and their proposed assignments to the AHA Commissioner for comment or other input to the Judges Selection Committee. (Res. 3-24)
- The Selection Committee, with input from the Commissioner, will have the final responsibility for the selection and assignment of Judges for National Events. (Res. 3-24)
- The Selection Committee is to make selection of a Judge for any other AHA sponsored functions as directed by the Commissioner, Executive Committee, Board of Directors, or Convention actions.
- Members of this Committee may not exhibit at any functions for which they select or hire the Judge(s).
- The National Show Commissions will be asked to provide input into steward and technical delegate selection. (Res. 3-24)
- The six (6) members are elected by the Convention Delegates for three-year rotating terms. Members of this committee may not serve more than six (6) consecutive years except that a member that has served less than six (6) years may run and if elected, serve their full term. (Res. 16-22) Terms commence on **January 1** following election.

- Any vacancy of more than two (2) months that occurs between Conventions will be filled on an interim basis, upon recommendation of the Commissioner, by the President with approval of a majority of the Board of Directors. (Res. 3-24)
- The Commissioner can recommend removal by the Executive Committee of a Selection Committee member for cause, after a review of the facts by the Review Committee. (Res. 3-24)
- Members of this committee will be ineligible to serve on any other Judges and Stewards committees or commissions or to serve on any National Show Commissions.
- The Judges Selection Committee will coordinate with the Commissioner. (Res. 3-34)
- At the Annual Convention after the election results are known and before **December 15** of each year, the Committee shall elect one of its members to serve as Chair.
- For complete rules refer to Resolution 5-90 as amended.

24. **JUDGES & STEWARDS STEERING COMMITTEE (TIER "A")**

- Encourages licensed judges and stewards to convey reasonable concerns relative to the judges and stewards' areas of responsibility to the Steering Committee and then from the Committee to the Commissioner. (Res. 3-24)
- The Commissioner may bring judges and stewards concerns to the Steering Committee for their consideration and input. (Res. 3-24)
- This committee will seek to ensure that all approved judges and stewards are knowledgeable of, and adhere to, the Officials Code of Conduct. (Res. 3-34)
- The committee will assist in communication between the Commissioner and the judges and stewards in an effort to improve understanding and cooperation.
- This committee is encouraged to provide material/notice to be included in the Commissioner's newsletter and/or AHA electronic communications distributed to the Judges and Stewards. (Res. 3-24)

The nine (9) members of this Committee will be elected by their peers to serve three-year staggered terms according to the following procedures: (Res. 3-24)

- Seven (7) currently licensed USEF or EC Arabian Judges. To be elected by AHA Approved Judges according to current procedures established for the Judges & Stewards Steering Committee.
- Two (2) currently licensed Category 2 USEF Stewards or EC Stewards. To be elected by AHA approved Stewards from those Stewards which officiated at least two (2) AHA events in the prior year, using procedures similar to those used to elect-Judges. Members of this Committee will be ineligible to serve on any other Judges or Stewards committees or commissions.
- A Chair of the Education and Evaluation Commission will serve as a non-voting member/liaison of the Steering Committee.
- Terms commence on January 1<sup>st</sup> of the year following the election.
- An individual can serve no more than three (3) consecutive 3-year terms.
- Any vacancy of more than two (2) months that occurs between Conventions will be filled on an interim basis, upon recommendation of the Commissioner, by the President with approval of a majority of the Board of Directors.

7) The Commissioner can recommend removal by the Executive Committee of a Steering Committee member for cause, after a review of the facts by the Review Committee.

h. A member of the Executive Committee designated by the AHA President will be designated as the liaison for the matters of concern to the members of this Committee. (Res. 3-34)

i. On or before **December 15** of each year, the Committee shall elect one of its members to serve as Chair. (BOD 1/98)

j. For complete rules refer to Resolution 5-90 as amended.

**25. LOCAL LEVEL COMMITTEE (TIER "A") (BOD 11/20)**  
Shall be responsible for creating, executing, and growing interest in the Arabian horse in each respective region.

a. The committee will seek best practices from members and will share with other committee members.

b. Each committee member works diligently under the guidance of the Committee Chair and with the direction of their Regional Director and Club Presidents to generate interest, create exposure and encourage ownership and participation in breed activities/events for the Arabian horse by utilizing the resources designed and recommended by AHA.

c. Members must make application and be approved by the Chair to be listed on the Local Level Committee.

**26. MARKET DEVELOPMENT & PROMOTION COMMITTEE (TIER "A")**  
Shall be responsible for guiding Arabian Horse Association's marketing and promotional activities relating to the Arabian, Half-Arabian and Anglo-Arabian Horse. The committee shall formulate marketing and promotional plans for Arabian Horse Association and shall develop specific marketing and promotional activities. When a majority of the Market Development and Promotion Committee approves a marketing or promotional activity, the committee shall solicit funds from the following sources to fund the implementation of such approved activity:

1. The Purebred Arabian Trust. The Trustees shall determine whether, and to the extent, the specific activity serves the interest of the purebred Arabian Horse and determines what portion, if any, of the solicited amount of funding it shall contribute to the activity, which determination shall be in the sole discretion of the Trustees.
2. The Designated Fund. Arabian Horse Association shall establish a designated fund (the "Designated Fund") comprised of the Designated Revenues for use by the Market Development and Promotion Committee. The Board of Directors shall determine whether, and to the extent, an activity proposed by the Market Development and Promotion Committee should be supported by the Designated Fund.
3. The General Funds of Arabian Horse Association. The Board of Directors shall determine whether, and to the extent, that activities should be supported by the general funds of Arabian Horse Association.

The Committee members will be determined according to the AHA Bylaws. On or before **December 15** of each year, the Committee shall elect one of its members to serve as Chair. For terms refer to Bylaws Article XI, Section 4, Paragraph A.

**27. MEMBERSHIP COMMITTEE (TIER "B")**

- a. Obtains and studies data relating to Member Organizations subject to revocation of charter with AHA and recommends to the Annual Convention the committee's findings in accordance with Article IV, Section 2, Paragraph f. of the AHA Bylaws.
- b. Coordinates the efforts of the Association to increase its membership in all member categories recognized by AHA.

**28. NATIONAL SHOW COMMISSIONS (TIER "A")**  
Promotes, regulates, operates and manages the National Championship Horse Show and designates committees as necessary with the following limitations: the budget for each show shall be submitted to and approved by

the AHA Board of Directors; the commission shall be bound by the Bylaws of AHA and the resolutions of the membership and the motions of the AHA Board of Directors adopted in accordance with such Bylaws.

a. **Canadian National Show Commission** - No more than five members elected by Board of Directors for three-year rotating terms. **Note: This Commission is currently in abeyance.**

b. **Sport Horse National Show Commission** - No more than six members elected by Board of Directors for three-year rotating terms.

c. **U.S. National Show Commission** - No more than seven members elected by the Board of Directors for three-year rotating terms. (BOD 11/21)

d. **Youth/Mid-Summer National Show Commission** - No more than six members elected by Board of Directors for three-year rotating terms.

Starting in 2020 (not retroactive), each year members are elected by the Board of Directors for three-year rotating terms. Elected members will be limited to three (3) consecutive terms (Any current commissioner will be allowed to serve out their current term even if it exceeds the 9-year limit). (BOD 8/19) No more than two members per Region (**Canadian National Exception: there may be more than two members from Region 17 and/or Region 18**). National Show Commission members may be allowed to serve on multiple Commissions. (BOD 8/19) The Chair and Vice-Chair for each of the Show Commissions will be appointed by the AHA President from the elected commission with input from the individual commissions. The AHA Treasurer will be an ex-officio member of the National Show Commissions. The AHA Director of National Events has a voting seat on each of the National Show Commissions. (BOD 11/19) The U.S. National Show Commission members will have final approval of any proposed representative(s) that the Arabian Professional Horsemen Association (APAHA) puts forward. Those APAHA representatives approved by the show commission will have one (1) vote collectively on the U.S. National Show Commission. The APAHA representative(s) may not serve in the advisory role with the show commission while adjudicating at that year's U.S. Nationals. (BOD 11/24)

**29. NATIONAL SHOWS DIRECTORATE (TIER "A") (BOD 8/19) (BOD 11/19)**

Acts in an Advisory Capacity, Policy Setting and supporting roles for all National Show Commissions. Maintains the National Event Operational Procedures (NEOP) Manual. Voting members to be composed of:

1. Chair and Vice Chair of each National Show Commission
2. AHA Treasurer
3. AHA Director of National Events
4. Chair of Competition Advisory
5. President of APAHA or designee
6. **Chair of Budget & Finance (BOD 3/25)**
7. Exhibitor Committee representatives as warranted - Non-Voting

The Chair and Vice Chair position to be appointed by the AHA President.

**30. NOMINATING COMMITTEE (TIER "A")**

- a. The Nominating Committee shall primarily serve as a credentialing committee.
- b. The Nominating Committee members and procedures are determined according to the AHA Bylaws.

**31. PROBABLE CAUSE PANEL (TIER "A") (Res. 68-90)**

- a. Reviews all ethical complaints properly filed with AHA and makes a determination whether or not there are reasonable grounds to believe an infraction of the AHA Code of Ethics and Sportsmanship exists, and whether the alleged infraction, if proved, is of sufficient importance to AHA that it should go before the Ethical Practice Review Board for hearing.
- b. Consists of three members, all of whom shall be members of Member Organizations with AHA for the duration of their terms of office. One member of the Probable Cause Panel must be an attorney.

- c. No member of the Probable Cause Panel may simultaneously serve on the Ethical Practice Review Board. The AHA Executive Committee members may not serve on the Probable Cause Panel and the Executive Committee at the same time. (Res. 7-01)
- d. The members of the Probable Cause Panel shall be elected by Convention Delegates to serve three-year rotating terms with one term expiring each year.
- e. Members of the Probable Cause Panel are eligible for election to an unlimited number of terms.
- f. At the Annual Convention after the election results are known and before **December 15** of each year, the Panel shall elect one of its members to serve as Chair and one of their members to serve as Vice-Chair.

**32. PROFESSIONAL HORSEMEN COMMITTEE (TIER "B")**

- a. Sets up standards of conduct and ethics typical of a professional group.
- b. Investigates possibilities of group insurance and/or retirement benefits.
- c. Promotes and extols sportsmanship and fair play both on and off the show grounds and suggests programs whereby their knowledge and experience may be imparted to appropriate groups of AHA.

**33. RACING COMMITTEE (TIER "A")**

- a. Shall be responsible for the promotion, coordination, and general oversight of Arabian Horse racing in the United States.
- b. The committee shall advise Arabian Horse Association's Executive Committee, the Purebred Arabian Trust Trustees, and the Market Development and Promotion Committee on matters related to Arabian Horse racing and shall suggest programs and prioritize, develop, and obtain funding for action plans that will improve Arabian Horse racing in the United States.
- c. The Racing Committee shall be composed of **no more than five (5) nor less than three (3)** members, who shall be the members of the Arabian Jockey Club Board of Directors. (Res. 1-24)
- d. The Committee members and procedures are determined according to the AHA Bylaws.
- e. On or before **December 15** of each year, the Committee shall elect one of its members to serve as Chair.

**34. REGISTRATION COMMISSION (TIER "A")**

- a. Oversees the rules, policies, practices, and procedures applied to the registration and related matters of Arabian Horses (the "Arabian Horse Registry") and the rules, policies, practices, and procedures applied to the registration and related matters of Half-Arabian/Anglo-Arabian Horses (the "Half-Arabian/Anglo Horse Registry").
- b. The Commission shall recommend modifications to the fee schedules for registration services carried on by each of the Registries and oversee, maintain & improve the performance of the Arabian Horse Registry, the Half-Arabian, and Anglo-Arabian Horse Registries.
- c. The Commission shall have absolute and final authority to interpret, modify or amend rules, policies, practices, and procedures applied to registration.
- d. Shall direct the development of techniques and implement improvements required to meet the needs of conducting the registries.
- e. The Commission members and procedures are determined according to the AHA Bylaws.
- f. On or before **December 15** of each year, the Commission shall elect one of its members to serve as Chair.

**35. RESOLUTION 5-90 COMMITTEE (TIER "A") (BOD 11/24)**

1. The responsibilities of this committee are as follows:
  - a. Uses best practices and evaluates the entire resolution of 5-90 and reviews its objects, goals, and accomplishments.
  - b. Reviews the financial impact of 5-90.
  - c. Reviews the processes and functions within the parameters of the document.
  - d. Researches the possibility of any changes to 5-90 and/or committees/commissions that are associated with 5-90 and/or the commissioner's office.

**2. The Committee will be composed of five (5) voting members:**

- a. Chair of the Education and Evaluation Commission (non-elected),
- b. One show manager or show secretary (See definition in CBP 111.13, c., iii.),
- c. One active breeder (See definition in CBP 111.13, c., ii.),
- d. One active professional trainer.,
- e. One active adult amateur exhibitor (See definition in CBP 111.13, c., i.),
- f. Except for the Chair of the EEC, members of this committee cannot serve on any other committees or commissions that fall under the jurisdiction of Resolution 5-90.

**3. The four committee members to be elected by the Board of Directors for alternating three-year terms. They can serve a maximum of three (3) three-year terms.**

**36. SPORT HORSE COMMITTEE (TIER "B")**

- a. **Studies and makes recommendations in regard to the promotion of the Arabian/Half Arabian/Anglo Arabian sport horse and conducts any approved programs as necessary. Monitors and makes any changes as appropriate or necessary to continue to improve the USEF Arabian/Half Arabian/Anglo Arabian sport horse division rules (includes Sport Horse Showmanship, Sport Horse Under Saddle Walk/Trot, and Carriage Driving).**
- b. **To recommend any changes as appropriate or necessary to continue to improve the Arabian, Half-Arabian/Anglo-Arabian Sport Horse and Carriage Driving rules.**

**37. USEF ARABIAN DIVISION COMMITTEE (TIER "A")**

- a. Keeps informed on the desires and views of the membership regarding show rules.
- b. Presents to USEF, for their consideration, any rule changes deemed necessary from the AHA Convention.
- c. Disseminates information on rules and rule changes. (Res. 1-99)
- d. Recommendations to the USEF for appointment to its Arabian Committee shall be accomplished in accordance with the USEF Constitution. (Refer to AHA Bylaws, Article XI, Section 1)

**38. WORKING WESTERN COMMITTEE (TIER "B")**

- a. Studies and makes recommendations regarding the promotion of Arabian/Half-Arabian/Anglo-Arabian reining, trail, western riding, working cow horses, reined cow horses, herd work, team penning, ranch sorting, ranch horse classes, gymkhana and cutting, and
- b. Conducts any approved programs as necessary. (BOD 5/07)
- c. The Rules contained within the Arabian Working Western Rules (AR-WW) section of the USEF rulebook may be amended as necessary by the Arabian Working Western Committee with the approval of the Arabian Horse Association's Education and Evaluation Committee. (Res. 13-22)

**39. YOUTH COMMITTEE (TIER "B")**

- a. Promotes the interest of youth in the ownership and use of the Arabian/Half-Arabian/Anglo-Arabian Horse.
- b. Encourages youth to participate in shows, events, programs and in the fields of horse science, management, training and judging.
- c. Mentors the AHYA Board of Directors and guides the AHYA in regulations, rules and AHA policies.

- d. Along with the volunteers the committee will include the AHA Vice President, the Executive Director and the AHA Youth Coordinator.
- e. Works with USEF/EC Rules and Equitation>Showmanship Committee as well as the Hunter and Jumper Committee.

#### **CBP 112. AD HOC AND TASK FORCES**

Ad Hoc and Task Forces will include AHA members and other industry professionals as assigned by the President.

#### **CBP 113. ARABIAN HORSE FOUNDATION**

The Arabian Horse Foundation is the philanthropic arm of the Arabian Horse Association. The Foundation has a board of trustees separate from the Arabian Horse Association.

1. Key funding focus areas for the Foundation are:
  - a. Youth programs, which includes scholarships; and
  - b. Public Education about the history of the breed and its tremendous usefulness; and
  - c. Equine Research, focusing particularly on diseases and problems associated with the Arabian breed.
2. Donors may restrict a gift to any of the key funding focus areas. The Arabian Horse Foundation is a 501(c)(3) and as such all donations are tax deductible to the fullest extent of the law. Refer to [www.thearabianhorsefoundation.org](http://www.thearabianhorsefoundation.org).

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***REC 101 Arabian Recreational Riding Program***

***REC 102 Information and Sign-up***

For all Membership requirements refer to Chapter 7.

An individual Membership in AHA is required for enrollment and participation in AHA Programs. See AHA website for fee requirements.

AHA members will be able to participate in the online Arabian Recreational Riding Program and be recognized for their achievement.

**REC 101. ARABIAN RECREATIONAL RIDING PROGRAM**

The Arabian Recreational Riding Program (ARRP) is an online program that rewards riders for every hour they spend riding (or driving) their horse. Participants can submit entries for trail rides, ranch work, parades, riding lessons, local open shows (Saddle Club, 4-H, etc.) or just pleasure riding.

1. Logon to ArabianHorses.org to find out more on participation, entry submission and general program information. Initial processing fee is required.
2. When a milestone is reached, participants will receive the appropriate award or recognition for that milestone.

**REC 102. INFORMATION AND SIGN-UP**

1. Information and sign-up for the program may be found online at <https://www.arabianhorses.org/competition/award-programs/incentive-programs/>
2. Program participants are defined as individual riders who may ride any number of horses registered with the Arabian Horse Association.
3. Participants must fill out an Arabian Recreational Riding Program Application at the start of their program involvement.
4. Contact the Arabian Horse Association for any questions regarding these programs.

## CHAPTER 7: MEMBERSHIP AND REGIONAL MAP

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- MEM 102 Membership Categories**
- MEM 103 Membership Year, Payment of Dues, Competition Cards**
- MEM 104 General Rules for Membership**
- MEM 105 Membership with Competition Card Requirements for Qualifications, Shows and Events**
- MEM 106 Single Event Membership for Qualifications, Shows and Events (Res 2-94)**
- MEM 107 Membership Requirements for Regional and National Shows and Rides**
- MEM 108 Regional Change Policy for AHA Member Organizations (Clubs) (BOD 3/13)**
- MEM 109 Regional Map**
- MEM 110 Regional Adjustment**

All AHA programs and events deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet or other electronic means.

**Note:** The term "Member Organization" is synonymous to "Club" throughout the AHA Handbook.

### MEM 101. MEMBERSHIP/BENEFITS AND FEES

Member Benefits	Adult	Youth	Multi-Owner	Business	Life
Fee	\$55	\$20	\$55	\$100	\$1500
Membership Card	✓	✓	✓	✓	✓
Discounts on Registration & Transfers	✓	✓	✓	✓	✓
Access to "Members Only" on the web/free Competition records	✓	✓	✓	✓	✓
Access to AHA's Online Marketplace	✓	✓	✓	✓	✓
Breeders Sweepstakes enrollment & Breeder/Nominator payback	✓	✓	✓	✓	✓
Halter & Perf. Futurity enrollment & renewals	✓	✓	✓	✓	✓
Member Communications to keep you current about AHA	✓	✓	✓	✓	✓
Eligibility to join Discovery Farms	✓	✓	✓	✓	✓
Discounts on DataSource – 1.3 million Arabian Horses	✓	✓	✓	✓	✓
AHA Handbook (by request)	✓	✓	✓	✓	✓
Participation in the Youth Convention	N/A	✓	N/A	N/A	N/A
Participation in Arabian Recreational Riding	✓	✓	N/A	N/A	✓
Free Pass to all AHA National Events	N/A	N/A	N/A	N/A	✓
Free Show Program at all AHA National Events	N/A	N/A	N/A	N/A	✓
Required to officiate at AHA Recognized Events (i.e. Judges & Stewards)	✓	N/A	N/A	N/A	✓
Required for an individual acting in the capacity of Show Manager or Show Secretary	✓	N/A	N/A	N/A	✓

Enhance your membership with one or more of these additional benefits

Club Affiliation (see AHA Website for list)	Adult	Youth	Multi-Owner	Business	Life
Vote in your Club	✓	✓	N/A	N/A	✓
Be counted toward the delegation of that Club	✓	N/A	N/A	N/A	✓
Opportunity to serve as voting delegate at the annual convention	✓	✓	N/A	N/A	✓
Become the officer in your Club	✓	✓	N/A	N/A	✓
Sizable discount on Competition Card	✓	N/A	N/A	N/A	N/A

Competition Card	Adult	Youth	Multi-Owner	Business	Life
Cost with a Membership through an AHA approved Member Organization (Club Member)	\$40	\$30	N/A	N/A	No added charge
Cost without a Membership through an AHA approved Member Organization (Club Member)	\$80	\$30	N/A	N/A	No added charge
Required for those competing in AHA Recognized Shows & Distance Rides	✓	✓	N/A	N/A	✓
\$1 million personal excess liability insurance	✓	✓	N/A	N/A	✓
Sweepstakes prize money won in competition	✓	✓	N/A	N/A	✓
Halter & Perf. Futurity Competition payback	✓	✓	N/A	N/A	✓
Horse Achievement Awards	✓	✓	N/A	N/A	✓
Amateur Achievement Awards	✓	✓	N/A	N/A	✓
Dressage Rider Awards	✓	✓	N/A	N/A	✓
Distance Horse Awards	✓	✓	N/A	N/A	✓
USDF All Breed Awards	✓	✓	N/A	N/A	✓
Recording Results of Open Qualifying Competitions (Chapter 15)	✓	✓	N/A	N/A	✓

### MEM 102. MEMBERSHIP CATEGORIES

Refer to the AHA Bylaws, Article III, Section 1 for the definition and privileges of each category of membership.

1. Adult Member
2. Life Member
3. Youth Member
4. Business Member (includes Farm or Ranch)
  - a. Provides membership for owner(s) with a horse registered under a Farm, Ranch, Trust, Syndicate, Partnership, Estates, Corporation, Limited Liability Company, Limited Partnership, commercial entity, etc.
  - b. **Does not grant individual membership privileges to those connected with business memberships.**
  - c. AHA provides business member names to those making general inquiries about Arabian, Half-Arabian and Anglo-Arabian horses.
5. Single Event Member
6. Multi-Owners Member

### MEM 103. MEMBERSHIP YEAR, PAYMENT OF DUES, COMPETITION CARDS

1. Refer to AHA Bylaws, Article III, Section 2 for definition of membership year and payment of dues.
2. Liability insurance is included for individual members who have a Competition Card.
3. All dues and fees are payable in U.S. funds.
4. Applicable Goods and Services Tax (GST) must be included with Canadian resident dues.
5. Individual members may renew or join a Member Organization online, only if they are joining or renewing in a Linked Member Organization.
6. Memberships and/or Competition Cards are available online at [www.arabianhorses.org](http://www.arabianhorses.org). Individual members may renew or join a Member Organization online, only if they are joining or renewing in a Linked Member Organization.
7. Membership dues and Competition Card fees are non-refundable without a policy decision from the AHA office.
8. Competition Cards will be issued to individual members ONLY. The Competition Card may be purchased at the same time as membership or any time within the anniversary year. The Competition Card will expire at the same time as the Membership. You cannot purchase a Competition Card without a Membership.
9. The three (3) year membership program is optional at the local club level. (Res. 9-95)

## MEM 104. GENERAL RULES FOR MEMBERSHIP

The term "Membership" as used in MEM 102, 103, 104, 105, includes all membership categories as per the AHA Bylaws.

1. An officer of an AHA Member Organization must be an individual Member of AHA through that Member Organization.
2. An individual or Business Membership in AHA is required for enrollment, participation and receipt of prize money in AHA programs.
3. Individual Adult Membership is required for all AHA Committee/Commission Members unless the committee description and make-up are prescribed otherwise.
4. Refer to CBP 102 regarding "Contracts and Authority to Bind" issues.
5. An Adult member must be a current AHA Member and have paid dues to their Member Organization as of **June 30** of the relevant year to be counted towards their Club's delegate strength. They must also be current at the time of Convention to be credentialed as that clubs delegate.
6. An individual acting in the capacity of show manager or show secretary is required to have an active individual Adult AHA Membership at the time of recognition through the completion of the show results at the AHA office. (BOD 5/09)
7. An individual acting in the capacity of ride/drive manager or ride secretary is recommended to have an active individual Adult AHA membership for local rides/drives. (BOD 5/09) Regional and National Ride/Drive managers must have an active AHA membership. (BOD 3/15)

## MEM 105. MEMBERSHIP WITH COMPETITION CARD REQUIREMENTS FOR QUALIFICATIONS, SHOWS AND EVENTS

1. An individual Membership is required for AHA Judges, and Stewards/TD's, qualifications, Shows and Events. (BOD 10/18)
  - a. Specialty Judges and Guest Judges must hold either:
    - 1) A USEF/EC Membership, or
    - 2) An individual AHA Membership.
  - b. Only AHA approved judges and stewards who are Adult or Life Members and are current will have their names listed as AHA Approved Judges and Stewards. (Res. 41-90)
  - c. Owners: The owner of the horse is the person, persons or entity listed on the registration papers, the Lease Contract, or the Sale Contract.
    - 1) If an individual is listed as the owner on a horse's registration papers, the owner must be an Adult, Life or Youth member with a Competition Card.
    - 2) If multiple individuals are listed as owners on a horse's registration papers, one of the owners must be an Adult, Life or Youth member with a Competition Card.
    - 3) If a farm, ranch or business entity is listed as the owner on a horse's registration papers, that farm or business entity must be a Business member. (Refer to MEM 102.4)
  - d. Riders, drivers, handlers, coaches and trainers (the person who has care and custody of the horse on the show grounds) must be an Adult, Life or Youth member with a Competition Card.
  - e. Any person signing the entry form. Parents or guardians of minors must sign on the trainer line and write (Parent/Guardian) after the parent's name. In this case, the parent/guardian does not have to be an AHA member and need not pay the Single Event Membership fee.
2. Photocopies of AHA Membership with Competition Cards must be included with the horse entry forms at the time of entry. If necessary, Membership with Competition Card privileges may be verified on the AHA website or by phone with an AHA Representative. (**Note:** AHA Recognized shows may assess a fee for not providing this information)
3. Points or placings for AHA Programs and Regional and National qualifications will only be recorded for horses shown by participants who are AHA members and hold a Competition Card.

- a. Only exhibitors in 10 & Under Walk-Trot/Jog/Showmanship classes are exempt from the membership requirement for AHA Qualifying Shows, however, must have AHA Membership with Competition Card for Regional and National Shows. (Res. 4-99)
- b. Leadline classes are exempt from the AHA Membership with Competition Card requirements. (Res. 4-99)
4. The individual or sponsoring Show/Event Organization will be billed for the full amount owed for Single Event Membership(s) in the amount of \$40, for local level Shows/Events and for the full amount owed for Competition Level Membership(s) for Regional Level Shows/Events when correct fees are not submitted with results. (BOD 3/08) (BOD 8/08)

## MEM 106. SINGLE EVENT MEMBERSHIP FOR QUALIFICATIONS, SHOWS AND EVENTS (RES. 2-94)

1. Each participant at an AHA Recognized Show, Division or Event must submit a valid AHA Membership with Competition Card, join AHA (Membership with Competition Card) at the show or pay a \$40 Single Event Membership fee per person/business. (Res. 5-02) (Refer to ETHICS 102.3) (BOD 8/08)
2. Single Event Members shall only have competition privileges for the duration of the event (back-to-back shows are two separate events and require two separate Single Event memberships) where the membership is purchased. (Res. 3-94) Single Event Membership does not include personal excess liability insurance coverage.
3. Single Event Membership is not available for Regional or National Competitions.
4. All AHA Recognized Shows, Divisions or Events must collect Single Event Membership fees. The AHA Member Organization Show shall retain 50 percent of the fee and submit 50 percent to AHA. Shows sponsored by non-affiliate entities or individuals shall submit all of the Single Event Membership fees to AHA. All completed and signed Single Event Membership forms and fees must be submitted with the competition results.

## MEM 107. MEMBERSHIP REQUIREMENTS FOR REGIONAL AND NATIONAL SHOWS AND RIDES

For all Regional and National Championship classes and rides, AHA Adult or Youth with Competition Card or Life, or Business Membership is required as per MEM 105. Proof of membership with Competition Card must accompany Show/Competition results when the member joins at the show. (BOD 8/04) Single event memberships will not be accepted at Regionals and/or Nationals.

(Exception: Exhibitors in Leadline classes, Refer to MEM 105.3.b.) (Res. 4-99)

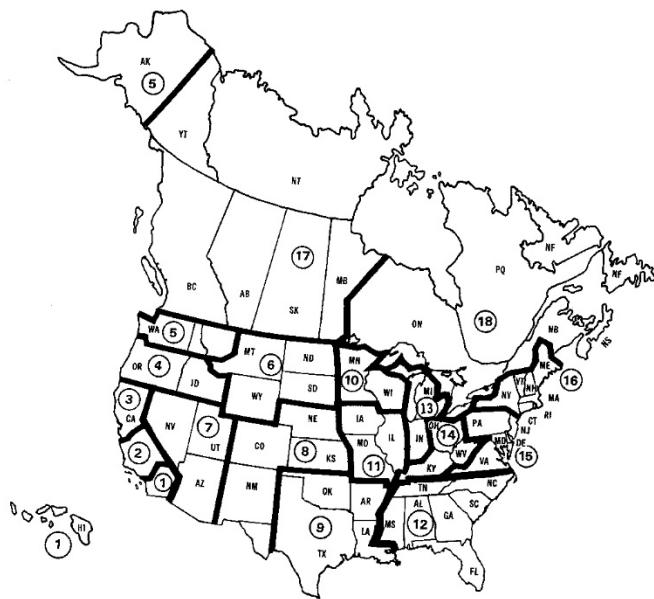
## MEM 108. REGIONAL CHANGE POLICY FOR AHA MEMBER ORGANIZATIONS (CLUBS) (BOD 3/13)

In order for a Member Organization (Club) to change from one Region to another the following must take place at least 60 days prior to a motion coming to the AHA Board of Directors:

1. The Member Organization (Club) must take a vote from all its Adult voting members regarding the desire to transfer regions. This vote must be recorded on the motion form that goes forward to the AHA Board of Directors.
2. Both the outgoing and receiving Regional Directors should notify their adult voting delegates of the proposed change.
3. The outgoing and the receiving Regional Directors should ask for a vote from their respective adult voting delegates to approve the change.
4. These votes should be recorded and reported to the AHA Board of Directors. If no vote is taken, that will be reported to the AHA Board of Directors as well.
5. A motion could then be brought to the AHA Board of Directors for approval to transfer a Member Organization (Club) from one region to another.
6. Each affected region would have the opportunity to explain its position before the AHA Board of Directors takes a vote to move a Member Organization (Club) from one region to another region.
7. If the Member Organization's (Club's) original region does not approve the transfer of regions, the AHA Board of Directors will hear the arguments for and against and will be the final deciding body.

## MEM 109. REGIONAL MAP

Various local Member Organizations exist in the 18 AHA Regions. To view Member Organizations in your area, visit the website at [ArabianHorses.org](http://ArabianHorses.org) or contact the AHA office for information.



## MEM 110. REGIONAL ADJUSTMENT

AHA Regions may be adjusted or changed by the AHA Board of Directors after studying the recommendations from the Regions affected and after consideration by the Regional Committee. Such adjustments shall take into consideration the time necessary to effect the change without hardship to those affected. (Res. 11-73)

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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means. (BOD 8/00)

**CONV 101. RULES AND PROGRAM**

1. The current edition of Robert's Rules of Order, Newly Revised shall be the parliamentary authority in all areas not specifically covered by the Bylaws, the Articles of Incorporation, Standing Rules or by Resolution of the Annual Convention. (Res. 14-68) (BOD 1/99)
  - a. The Standing Rules of the Convention will be adopted by each Convention.
  - b. The Program of the Convention (including the agenda) will be established by the President, working with the Agenda and Resolutions Committee.
  - c. The Standing Rules of the Convention and the Program will be made available to all Delegates prior to the first General Session of the Convention.
  - d. The President will appoint the Convention Minutes Approval Committee
2. The following reports require adoption at the first General Session:
  - a. The initial report providing the number of certified Delegates. Majority vote to adopt.
  - b. The Standing Rules of the Convention. A 2/3 vote to adopt.
  - c. The Program of the Convention (including the Agenda of the General Sessions) and all other scheduled functions. Majority vote to adopt.

**CONV 102. DELEGATE CERTIFICATION PROCEDURES**

To qualify, certify, and identify the Voting Delegates to the Annual Convention of the Arabian Horse Association (AHA), the following processes may apply:

1. Credentialing Procedure for in-person Conventions
  - a. The President shall appoint a Credentials Supervisory Panel each year consisting of a Credentials Supervisor and up to two (2) assistants.
  - b. This Panel shall oversee a Credentials Workforce (see CONV 103.1.f & g. for composition) and shall be responsible for implementing *the* delegate certification procedure in accordance with the Bylaws of AHA and as prescribed in the AHA Handbook.
  - c. The Credentials Supervisory Panel and the Credentials Workforce shall be composed of AHA members, who are not necessarily a delegate or an alternate to the Annual Convention.
  - d. The Credentials Supervisor shall appoint one (1) of the two (2) assistants as the First Assistant Supervisor and the other shall be the Second Assistant Supervisor as needed.
  - e. In the absence of the Credentials Supervisor, the First Assistant Supervisor shall perform the duties of the Credentials Supervisor.
  - f. The Second Assistant Supervisor shall keep notes of all the meetings of the Credentials Supervisory Panel.
2. Credentialing Procedure for Virtual Conventions
  - a. AHA Staff members will serve as the Credentials Supervisory Panel.
  - b. AHA Staff members will be responsible for implementing the Voting Delegate certification procedure in accordance with the Bylaws of AHA and as prescribed in the AHA Handbook.
  - c. AHA Staff members will provide the report of the number of certified VOTING Delegates at the beginning of each section.

3. Delegate Certification Rules and Procedures

The Voting Delegate certification process shall be governed by the following rules and procedures.

- a. In July of each year, the AHA office shall supply a qualified membership list of members in good standing to each AHA Recognized Club Member Organization. Only those voting members whose AHA dues have been received in the AHA office as of **June 30th** of each year shall be eligible to be named on the qualified membership list. Any Voting Delegate and Alternate Voting Delegate sent to represent that Member Organization at the Annual Convention must have their names on that list.
- b. Prior to August of each year, the AHA office shall prepare, and the Credentials Supervisor shall review a Certificate of Selected Voting Delegates and Alternates Electronic Form. The AHA office shall provide this form online to all Member Organizations for the purpose of notifying each Member Organization of the number of Voting Delegates and Alternate Voting Delegates authorized in accordance with the qualified membership list.
  - i. The number and eligibility of Voting Delegates and Alternate Voting Delegates to the Annual Convention shall be based on the membership status of each Member Organization as of the 30th day of June each year, in accordance with the provisions of Article VII of the Bylaws of AHA.
  - ii. Those Member Organizations having 35 members or part thereof (also see AHA Bylaws, ARTICLE VII, Section1., Paragraph c. for additional rules) are entitled to one (1) Voting Delegate; 36 to 70 members, two (2) Voting Delegates; 71 to 105 members, three (3) Voting Delegates; etc. (Res. 3-15)
  - iii. Each Member Organization shall use this form for identifying the names of those individuals who shall represent that Member Organization as a Voting Delegate or an Alternate Voting Delegate to the Annual Convention.
- c. Prior to **September 1** of each year, each Member Organization shall submit to the AHA office a completed Certificate of Selected Voting Delegates and Alternate Voting Delegates Electronic Form to compile the Master List of Voting Delegates and Alternate Voting Delegates to the Annual Convention.
- d. Prior to the Annual Convention, a Master List of Voting Delegates and Alternate Voting Delegates shall be provided to the Credentials Supervisory Panel. A Voting Delegate may represent only one of the Member Organizations on whose Membership List their name appears. The AHA office shall also provide a list of the names of the Directors, and Delegates-at-Large (Past Presidents, Past Directors and Officers) for the purpose of certifying their delegate status (Refer to the AHA Bylaws, Article III and Article VII).
- e. Subject to the conditions contained in Paragraphs i. through vi. that follow, each Member Organization is responsible for determining, in accordance with its Bylaws, the method for selecting Voting Delegates and Alternate Voting Delegates.
  - i. All Voting Delegates and Alternate Voting Delegates selected by the Member Organization and listed on the Certificate of Selected Voting Delegates and Alternate Voting Delegates shall be ranked by the President or Secretary of the Member Organization. The member ranked first shall act as Chair of its Member Organization Delegation. If this person is unable to act in this capacity, the Chair position shall fall to the next ranked individual. If the second person ranked is also unable to fulfill their duties as Chair, the position shall fall to the next ranked person and so on.
  - ii. The names of the selected Voting Delegates and Alternate Voting Delegates shall be certified by the President or Secretary of each Member Organization on file and submitted via the AHA website prior to **September 1** of each

year on the Certificate of Selected Voting Delegates and Alternate Voting Delegates Form.

- iii. If a Member Organization is allotted one (1) Voting Delegate and that individual is unable to fulfill their duty as Chair, the top ranked Alternate Voting Delegate will move to Voting Delegate status, providing the Alternate Voting Delegate was indicated on the Certificate of Selected Voting Delegates and Alternate Voting Delegates Online Form. If the top ranked Alternate Voting Delegate is unable to fulfill the Voting Delegate duty, the duty will fall to the next highest ranked person and so on. (Exec. 11/15)
- iv. If an individual was not previously listed on the online Certificate of Selected Voting Delegates and Alternate Voting Delegates form submission, then this individual may be added by the President or Secretary of the Member Organization by resubmitting on the website the previous Certificate of Selected Voting Delegates and Alternate Voting Delegates form with the individual's information appended at the end of the previous list. (Exec. 11/15)
- v. An Alternate Voting Delegate substituting for a Voting Delegate who has already paid their convention registration fees shall be allowed to substitute for that registered Delegate by providing a written authorization from the original registered Delegate allowing said fees to be applied to the Alternates' convention registration fees and by paying a substitution fee of \$10. (Res. 22-94)
- vi. For Voting Delegate Certification of Pending Member Organizations not yet approved by the AHA Board of Directors refer to the AHA Bylaws, Article IV, Section 2, Paragraph d. and AHA Bylaws, Article VII, Section 1, Paragraph c.

### CONV 103. CONVENTIONS

#### 1. In-Person Conventions

- a. The Credentials Supervisory Panel is responsible for certifying the Master List of Voting Delegates and Alternate Voting Delegates. When this list is certified on the first day of Convention, it becomes the List of Certified Voting Delegates and Alternate Voting Delegates and cannot be changed, amended, or expanded except by action of the Credentials Supervisory Panel or by action of the Annual Convention.
- b. The Credentials Supervisor will provide the report of the number of Voting Delegates at the beginning of each General Session.
- c. The Credentials Supervisor shall look to the Delegate Chair of each Member Organization for resolving any disputes arising in the seating of Delegates. The Delegate Chair shall be responsible for advising and authorizing the Credentials Supervisor when a Voting Delegate is to be replaced with Alternate Voting Delegate. The Delegate Chair is the only person (other than the President or Secretary of the Member Organization) who can authorize replacing a Voting Delegate with an Alternate Voting Delegate.
- d. In those cases where specific problems arise at the credentialing desk, (i.e., Member Organization not submitting a Certificate of Selected Voting Delegates and Alternates, questions of eligibility or seating) disputes shall be resolved as follows:
  - i. Voting Delegates and Alternate Voting Delegates, whose Member Organization did not submit an online Certificate of Selected Voting Delegates and Alternates form to the AHA website before the Annual Convention, must have the President or Secretary of their Member Organization submit this online form to be added to the Master List and be certified. This online form shall then be transmitted to the Credentials Supervisor and included in the Master List of Voting Delegates and Alternate Voting Delegates. (Exec. 11/15)

- ii. All conflicts or problems shall be resolved at meetings of the Credentials Supervisory Panel prior to each General Session. The dates and times of all meetings shall be posted.
- iii. The current edition of *Robert's Rules of Order, Newly Revised* shall be used to resolve cases of conflict between Member Organization's Delegate Certificates.
- e. The Credentials Supervisor shall appoint an adequate number of individuals and Sergeants-at-Arms to serve on the Convention Credentials Workforce.
- f. The Credentials Supervisory Panel will oversee the dispersal of the approved ballots for the elections (that were prepared and provided by AHA Staff) to the Voting Delegates at the Annual Convention by the Convention Credentials Workforce.
- g. The Convention Credentials Workforce shall also be responsible for the following duties:
  - i. Verifying the eligibility of Delegates and providing them the mechanism to cast votes.
  - ii. Providing a Credentials Desk where the Delegates shall be certified and the ballots for the elections shall be dispersed. The credentialing hours shall be posted.
  - iii. Keeping an accurate total of the number of Voting Delegate and the dispersed ballots.
  - iv. Tabulating all the ballots for delegate elected officer, boards, panels, and committee positions and providing the totals to the Presiding Officer and staff.
  - v. Designating a specific area (the Delegate seating area) where only Voting Delegates, AHA Staff, Parliamentarian, Sergeants-at-Arms, and members of the Convention Credentials Workforce shall be allowed to enter unless escorted by a member of the Convention Credentials Workforce.
  - vi. Assisting the presiding officer, when requested, any voting procedures that may be adopted by the Delegates in the General Session.

#### 2. Rules for Voting Delegates and Alternate Voting Delegates:

- a. Each Delegate and Alternate whose registration fees are paid in full will receive a membership number envelope that has the appropriate badge that includes the member's name and the name of the Member Organization they are representing when they check in at the AHA Registration Desk.
- b. Voting Delegates shall present (in person) their envelope with their name badge to the designated credentials desk at which time; their certification will be verified, the appropriate ribbon(s) will be attached to the name badge and they will sign verifying they received their voting mechanism.
- c. Alternate Voting Delegates shall present in person their envelope with their name badge to the designated credentials desk and their information will be filed in the Credentials Certification books. Appropriate ribbon(s) will be attached to their name badge. No ballots will be provided.
- d. Voting Delegates and Alternate Voting Delegate name badges shall be worn at all times with attached ribbon(s).
- e. Voting Delegates are responsible for their own voting mechanism and connectivity. Ballots shall not be replaced if lost or stolen or except in an extreme circumstance where authorization to replace a specific ballot or ballots will be granted by the Executive Committee for all delegates.
- f. Voting Delegates shall be seated ten minutes prior to the scheduled time of each General Session.
- g. Voting Delegates shall sit in their designated voting area unless serving in an official capacity which requires their absence from the designated area.

- h. Voting Delegates will be provided a copy of the Standing Rules of the Convention which will be adopted at the first General Session.
- i. All voting at the Annual Convention shall be by the Voting Delegates acting personally. Voting Delegate shall not give their ballots to any other person to be cast for them. A Voting Delegate that withdraws from the Annual Convention must file the proper form with the Credentials Supervisory Panel. Voting by proxy shall not be permitted.
- j. No Voting Delegate shall be replaced during a General Session.
- k. A Voting Delegate's ribbons, ballots and completed forms must be returned to the Credentials Supervisory Panel before an Alternate Voting Delegate can replace that Voting Delegate.
- l. Once an Alternate has replaced a Delegate, the original Delegate may not be seated as a Delegate again for that Member Organization at that Annual Convention.
- m. No Alternate Voting Delegate will be eligible to be certified as a Voting Delegate once the General Session has been Called to Order. The Delegate Chair shall be responsible for notifying the Alternate Voting Delegate who is to replace the Voting Delegate.
- n. The rules contained in Charter 9 of the AHA Handbook will also apply where they are applicable.

3. Virtual Conventions - The AHA Staff shall be responsible for the following duties:

- a. Verifying the eligibility of Delegates and providing them the mechanism to cast votes.
- b. Resolve any disputes of eligibility and certification of delegates before the Call to Order of the General Session.
- c. Those duties outlined in CONV 102. 2.
- d. Providing the link to for the convention virtual platform and the voting mechanism link to the delegates that have paid the registration fee and their certification has been submitted in a timely manner.
- e. Provide the report of the number of certified delegates at the beginning of any General Session.
- f. Providing the totals of the elected officer, boards, panels, and committee positions to the Presiding Officer.

4. Rules for Virtual Delegates and Alternates

- a. Attendees are to utilize a computer or tablet to attend Convention. Voting Delegates will need to open a second browser or use their cellular device for voting.
- b. Attendees are responsible for their own connectivity to the Convention.
- c. Voting Delegates shall be logged in at least ten minutes prior to the scheduled time of the general session.
- d. Voting Delegates will be provided a copy of the Standing Rules of the Convention which will be adopted at the first General Session.
- e. The rules contained in Charter 9 of the AHA Handbook will also apply where they are applicable.

#### **CONV 104. CONVENTION SITE SELECTION**

1. **Hosted Selection Process-** Each year information is sent to all regional directors inviting their region to place a bid to host the AHA Annual Membership Convention encouraging regions to follow an east-west rotation. The process and guidelines for site selection are as follows:

- a. All bids must be received in the AHA office 90 days prior to the opening day of the annual convention to be presented before the delegation for a vote.
- b. Bids will be reviewed by the AHA office. Approval or disapproval for presentation is based on space requirements, cost

*indicates rule change*

- considerations, accessibility, date availability and adequate rotation of the site.
- c. Upon AHA office approval, the region may present their bid (selected city) before the Annual Convention Planning Committee and the convention delegation. That the site selection presentation and vote take place not fewer than two years prior to convention. (8-04)
  - i. The city presented will be the chosen site within the region pending successful contract negotiations with an adequate hotel.
  - ii. Regional bid presentations may continue to be made by a region in keeping with past tradition, provided that the proposal meets the Convention criteria standards, and is economically beneficial to the membership. (18-94)
  - iii. If negotiations fail, the AHA Board of Directors and the Host Region may consider other cities within the region. This step will be taken as a last resort. AHA will make every attempt to stay within the selected city.
- d. If contract negotiations for a site within the hosting region are unsuccessful, the decision of the site will be made by the Board of Directors. (18-94)

2. **Non-Hosted Selection Process** - The Annual Convention Planning Committee will submit a site for a non-hosted convention if a site has not been approved two years prior and an acceptable regional bid is not forthcoming. (8-04)

- a. Site(s) selected by the Annual Convention Planning Committee must have a financial impact to both AHA and the Delegates and must be submitted to the AHA office by August of the current year for review by Budget and Finance, the AHA Executive Committee and AHA Staff.
- b. A joint meeting will be held with the Chair of Budget and Finance, the AHA President and Treasurer, Executive Director, Director of Convention, and the Chair Annual Convention Planning Committee within 30 days of the submission to discuss which proposal will be accepted.
- c. Budget and Finance and the AHA Executive Committee has the right to ask for additional site(s) from the Annual Convention Planning Committee if they feel the fiduciary duty to AHA has not been met.

#### **CONV 105. ACKNOWLEDGMENT OF PAST PRESIDENTS AT THE ANNUAL CONVENTION**

All Past AHA Presidents who are members in good standing will henceforth be encouraged to attend all Annual Conventions and their convention registration fees will be waived. If they plan to attend the President's Banquet, one complimentary ticket will be provided. (Res. 23-94)

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## CHAPTER 9: RULE CHANGE PROCEDURES

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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means.

### RULE 101. RESOLUTION, SUBMISSION, CATEGORIES and EFFECTIVE DATES

1. Resolutions may be submitted by any Member Organization (Adult and Life members only), any standing or Ad Hoc Committee of AHA, by a Regional Board of Delegates or by the Board of Directors of AHA. (Res. 40-75) Resolutions submitted by these entities must have:
  - a. Received a majority number of affirmative votes (either in person, by phone, by facsimile, by mail, by email or by hand delivery – see CBP 106.1 for Quorum), and
  - b. must be accompanied by an actual tally of the votes received,
  - c. a statement of which body voted (Member Organization members, Member Organization Board of Directors, Regional Board of Delegates, etc.),
  - d. how the vote was taken (at a meeting, by phone, by facsimile, by mail, by email or by hand delivery), and
  - e. where the vote is recorded (Member Organization minutes, Member Organization Board minutes, Regional Board of Delegates minutes, etc.).
2. Resolutions may also be submitted by the President of AHA.
3. Resolution Categories
  - a) Extraordinary
    - i) An Extraordinary Resolution is defined as one that unless expedited would affect:
      - (1) The health, safety, or wellbeing of the horse and/or rider; and/or
      - (2) The financial wellbeing of AHA, and/or Member Organizations; and/or
      - (3) Create a severe hardship or gross unfairness to the Association's recognized events and/or
      - (4) The Resolution involves other compelling circumstances, clearly specified and
      - (5) The resolution contains an additional description outlining the basis upon which the resolution involves one or more of the "extraordinary circumstances" meriting it for consideration as an "Extraordinary Resolution".
    - ii) The resolution MUST clearly define how it meets the above criteria.
    - iii) It shall be the responsibility of the Agenda and Resolutions Committee to determine whether such resolution meets the criteria necessary to recommend it as Extraordinary.
    - iv) Upon passage, the effective date will be Dec 31 of the year adopted or upon approval by USEF/EC, if applicable. The Executive Committee and/or Board of Directors may make the effective date earlier than December 31 if urgency is of the utmost importance. Prompt written notice must be given via the Association's web site and/or email and included in the next published handbook.

- b) Standard
  - i) Any resolution submitted not meeting the criteria under RULE 101.3.a.
  - ii) Upon passage, the effective date for resolutions impacting AHA rule changes will be **December 31** the year after adoption. (i.e., adopted November 2019 – effective December 31, 2020) (Res. 4-08)
  - iii) Upon passage, the effective date for resolutions impacting USEF/EC rule changes shall be **December 1** the start of the next USEF competition year, unless the Federation approves an earlier effective date. (i.e., adopted November 2019 – effective December 1, 2020)
4. Resolution Submissions by Deadline:
  - a. To the AHA office to be postmarked/electronic transaction dated (BOD 8/00) (Res. 7-93) not later than **August 15** preceding the next Annual Convention. (Res. 2-11) Changes to proposed resolutions shall be accepted no later than 7 days after the resolution submission deadline, except:
    - 1) At the request of the Agenda and Resolutions Committee to comply with RULE 101. submission requirements.
    - 2) After the resolutions have been printed for mailing, as an amendment to the resolution pursuant to the Standing Rules of Convention and *Robert's Rules of Order, Newly Revised*.
  - b. All resolutions will be made available for electronic download not later than 45 days preceding the next Annual Convention. (Res. 4-08) (Res. 2-11)
  - c. Printed copies, if requested, will be mailed to the delegate(s) of the Member Organization, upon convention registration, not later than 45 days preceding the next Annual Convention. (Res. 4-08) (Res. 2-11)
5. Resolution Submissions After Deadline:
  - a. Any resolution not submitted to the AHA office by the deadline required, must have signatures of 25 Delegates registered to be at that Convention and the name of the Member Organization each is representing, and must be submitted to the AHA office for review by the Agenda and Resolutions Committee postmarked/electronic transaction dated not later than twenty days before the first day of Convention. (Res. 7-97)
    - 1) Any such resolution determined by the Agenda and Resolutions Committee to be an extraordinary resolution shall be forwarded to the delegates for consideration at that Convention. Any resolution not determined to be extraordinary shall be considered at the Convention the following year. (Res. 72-71) (Res. 9-87) (Res. 7-97) (Res. 4-08)
  - b. By a Voting Delegate on the floor of the Convention, provided, that such resolution shall be an amendment of a resolution which has been placed on the floor of the Convention in the regular course of business.
  - c. By a Voting Delegate on the floor of the Convention after adoption, by a majority vote of the Convention, or special order of business permitting the same.

### RULE 102. RESOLUTION SUBMISSION FORM AND CONTENT

1. Only the Resolved portions of resolutions are voted upon, and, if passed, included in the AHA Handbook (or submitted to USEF/EC). The "Whereas" provisions are optional and considered informational only; they are not a portion of the enacted resolution. Therefore, the "Resolved" must be self-explanatory, self-contained, and unambiguous.
2. Each resolution must be submitted in writing, in the form in which action is desired.
3. Each resolution must contain a viable effective date (taking into consideration time requirements dictated by such things as USEF/EC rules, AHA rules, budgeting time frames, legal requirements, etc.)

4. Resolutions which change, amend, or add to the AHA Handbook or USEF/EC Rules must refer to the specific chapter and rule(s) affected.
5. The "Whereas" section, if used, must contain accurate statements of relevant facts or premises.
6. Any resolution which requires AHA expenditures must contain a detailed financial impact statement based on accurate premises. The AHA office may be contacted by proponents for assistance in obtaining and presenting accurate financial information.
7. Any resolution passed by the Convention Delegates must be self-supporting, or it will be held for the next year's fiscal budget. (Res. 9-91)
8. Each resolution must list the name, phone number and email address (if applicable) of a contact person who, by definition, has the authority to change, withdraw, and/or amend the resolution on behalf of the submitting entity. The contact person should attend the convention.
9. The AHA impact statement for each resolution shall list a contact person. A departmental designation may not be substituted for a person. The contact person and a telephone number, or a designated substitute, shall either be at the Convention or available for consultation during the normal operating hours of the Convention. (Res. 52-96)
10. See AHA Bylaws, Article V, Section 2, Paragraph b for items the Annual Convention does not have authority over.

#### **RULE 103. RESOLUTION REVIEW**

1. After resolutions are submitted to the AHA office, the Agenda and Resolutions Committee will review them.
  - a. The Committee will adjust the effective date, if necessary, to make it viable or to comply with RULE 101.3. (Res. 4-08)
  - b. The Committee will strongly urge proponents to withdraw or combine resolutions with comparable intent but has no authority to choose one resolution over another for presentation to the delegates except under the following circumstances.
  - c. The Committee will reject resolutions for any of the following reasons:
    - 1) Resolutions which do not conform to the requirements set forth in this Chapter.
    - 2) Resolutions which are recommendations; and/or
    - 3) Resolutions which conflict with *Robert's Rules of Order, Newly Revised*.

Note: The AHA office may be contacted for assistance in assuring that resolutions conform to the requirements set forth in this Chapter.
  - d. The Committee will designate the order in which the resolutions will be presented on the Convention floor.
  - e. The Committee will make referrals of acceptable proposed resolutions to committees on the Convention agenda.
2. Amending and withdrawing resolutions:
  - a. Subject to the time limits set forth in RULE 101.4. & 101.5, if the proponent desires to change, amend, withdraw, or combine the resolution, an authorization must be signed and submitted (faxed, mailed, emailed, hand delivered) to the AHA office by the designated contact person.
  - b. Proponents should consult *Robert's Rules of Order, Newly Revised* for provisions regarding amending and withdrawing resolutions after resolutions are printed for mailing.
  - c. Any Committee, Commission or Board that studies a resolution that has been referred to it by the Convention Delegates and wants to return that resolution the following year or thereafter, in the same, amended or changed form must meet the requirements of the date deadlines and follow the rules for submitting resolutions.
3. Any resolution(s) deemed inactive by the Agenda and Resolutions Committee will be presented as a report and must be approved by the Convention Delegation prior to being removed. This process will be done by "General Consent" by the Convention Delegation. (Res. 4-12)

#### **RULE 104. PROCEDURES FOR BOARD MOTIONS**

Recommendations to the Board may be presented by Committees, Commissions, Boards, Panels, Ad Hoc Committees and AHA staff.

1. No Committee, Commission, Board, or Panel Chair on behalf of a Committee, Commission or Board shall make a recommendation to the Board of Directors without first having received the required number of votes of that Committee, Commission, Board or Panel on any item of business either in person, mail or other forms of communication, and all recommendations shall be accompanied by an actual tally of the votes received, (Res. 41-74) and a financial impact.
2. If information is not available to the Board of Directors within a minimum of 48 hours' notice of review of the Motion, the Motion will automatically be postponed to the next Board of Directors Meeting. Exception: The 48-hour notice may be suspended, and the motion may be considered at that meeting upon a 2/3<sup>rd</sup> Majority vote of those present. The 2/3<sup>rd</sup> Majority vote allows for discussion and debate of said motion and does not imply approval. (BOD 1/22)

#### **RULE 105. EFFECTIVE DATE OF BOARD MOTIONS**

1. Motions regarding rules and other actions which affect the AHA Handbook should become effective in the next year when included in the Handbook.
2. Motions affecting policies, practices, and procedures become effective at the close of the Board meeting subsequent to when they were passed.
3. Motions which concern acknowledgements of accomplishments, direction to Staff or Committees, position statements and other similar motions which do not affect policies, or the Handbook should be effective when passed.
4. If not otherwise permitted by these rules to be effective immediately, the Board may make any motion effective immediately if designated as such and passed by a 2/3 vote of the Board of Directors.
5. The August Board meeting will be the last meeting for rule changes to be incorporated in the Handbook. (Exception: rule changes after the August Board of Directors meeting will require a 2/3 vote of the Board to be included in the Handbook).

#### **RULE 106. RULE CLARIFICATIONS (BOD 11/07)**

1. The Competition Advisory Committee and AHA Staff may immediately correct misspelled words, minor punctuation errors, missing or incorrect references and other similar clarifications deemed minor in the AHA Handbook.
2. Clarifications involving contradictory language, punctuation errors or missing or incorrect phrases and other wording that cause inconsistent interpretations of the intent of a rule will be reviewed by the Competitions Advisory Committee who will obtain information from all relevant Association Departments or Committees and the USEF Arabian Committee if the clarifications involve the Federation Arabian Division rules.
3. After the review, corrections or clarifications will be brought forth to the AHA Board of Directors for approval. Once approved, written notice must be given via the Associations web site and/or email and included in the next published /website updated AHA Handbook.

#### **RULE 107. POLICIES TO GOVERN THE BUSINESS (BOD 3/23)**

1. The Policies to Govern the Business Operations of AHA resides as an addendum to the Board Reference Manual which resides on the AHA website.
2. Categories covered in the policies are:

##### **Category I: Ends**

Policy 1.0 AHA Ends Policies

##### **Category II: Management Limitations**

Policy	2.0 General Management Constraint
	2.1 Treatment of Members
	2.2 Treatment of Staff/Volunteers
	2.3 Financial Condition and Activities
	2.4 Asset Protection
	2.5 Financial Planning/Budgeting
	2.6 Investment Management
	2.7 Emergency Executive Director Succession

- 2.8 Compensation & Benefits
- 2.9 Communication and Support to the Executive Committee/Board of Directors

**Category III: Executive Committee-Management Delegation**

- Policy 3.0 Governance-Management Connection
- 3.1 Accountability of the Executive Director
- 3.2 Delegation to the Executive Director
- 3.3 Monitoring Executive Director Performance

**Category IV: Governance Process**

- Policy 4.0 Governance Commitment
- 4.1 Governing Style & Values
- 4.2 Executive Committee Job Description
- 4.3 Agenda Planning
- 4.4 President's and Officer's Role
- 4.5 Executive Committee Members' Code of Conduct
- 4.6 Governing Committees
- 4.7 Governing Committee Principles
- 4.8 Governance Budget

- 3. Financial and contract limitations are addressed in detail in the Policies to Govern the Business Operations.

## CHAPTER 10: RULES AND REGULATIONS FOR PUREBRED ARABIAN, HALF-ARABIAN, AND ANGLO-ARABIAN REGISTRATION

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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, Internet or other electronic means.

### MEMBERSHIP

For membership requirements refer to Chapter 7.

### INTRODUCTION

These Rules and Regulations refer to the Arabian Horse Association, Inc. as the "AHA Registry" unless it is stated otherwise, or unless it can be gathered from the context that something else is meant. The term "Registry" also refers to the Registrar of the AHA Registry and to appointed representatives.

The Registration Commission may prescribe whatever procedures necessary to carry out these Rules and Regulations.

The principal objective of the AHA Registry is to facilitate the preservation and improvement of purebred Arabian, Half-Arabian and Anglo-Arabian horses. In furtherance of that objective, the AHA Registry maintains an official registry of purebred Arabian, Half-Arabian and Anglo-Arabian horses and administers the supplemental identification program as a registration requirement for purebred Arabian race horses. The AHA Registry may refuse to register any horse or to issue a Certificate of Registration for Racing if such registration or issuance is not in conformity with these Rules and Regulations or, in the reasonable judgment of the AHA Registry, will not aid, promote and foster the objectives of the AHA Registry as stated in its Articles of Incorporation.

Any member, and any person signing any portion of a document required for the registration of a horse, the issuance of a Certificate of Registration for Racing, or the transfer of a Certificate of Registration and any person who has a Certificate of Registration transferred into their ownership, agrees to follow these Rules and Regulations, including revisions as made from time to time, and to be bound by them.

It is the responsibility of the person who seeks to register a horse to be aware of the applicable requirements for such transactions at the time the submittal or request is made.

### REGISTRATION

#### REG 101. REQUIREMENTS AND PROCEDURES FOR DOMESTIC PUREBRED ARABIAN HORSES

A horse conceived from the mating of a domestic mare (i.e. a mare residing in the United States or Mexico and registered in the AHA Registry's records) and an eligible stallion, and born in the United States or Mexico may be registered as a purebred Arabian if the following requirements are met:

1. The horse is of pure Arabian blood as verified by its pedigree.
2. The dam of the horse is registered with the AHA Registry and all transfers of ownership have been recorded by the AHA Registry in accordance with REG 124-126.
3. The sire of the horse is registered with the AHA Registry and all transfers of ownership have been recorded by the AHA Registry in accordance with REG 124-126, or, in the event the horse is conceived through the use of imported semen in accordance with REG 112 or is eligible for registration in accordance with REG 150-151, the pedigree of the sire is acceptable and traces in all lines to current \*source registries.

4. AHA has received a Stallion Report for the sire for the year in which the horse was conceived in accordance with REG 109.
5. The requirements of REG 107. (pasture breeding), REG 110-112 (artificial insemination), and/or REG 121. (embryo/oocyte transfer) of this section have been fulfilled.
6. An application for registration, on the appropriate form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner(s) (or by the person whom the recorded owner(s) has authorized in writing to sign the application) and filed with the AHA Registry.
7. The DNA types of the horse, the horse's sire and the horse's dam have been placed on permanent record with the AHA Registry and the horse has been shown to qualify as an offspring of the stated sire and dam through DNA type testing.
8. The registration fee for domestic purebred Arabian Horses has been paid.

\*The term "source registry" refers to a stud book authority (registry) which is approved by the Registration Commission as well as to the jurisdictional area of that stud book authority.

#### **REG 102. REQUIREMENTS AND PROCEDURES FOR DOMESTIC HALF-ARABIAN HORSES**

A horse conceived and born in the United States, or Mexico may be registered as a Half-Arabian if the following requirements are met:

1. One parent of the horse is registered as a purebred Arabian Horse with either the Arabian Horse Association or the Canadian Arabian Horse Registry (for imports refer to REG 106.), and
2. The non-Arabian parent of the horse is not a registered Anglo-Arabian or a registered Thoroughbred or an unregistered purebred Arabian, and
3. The requirements of REG 108. (42-day Rule), REG 110 (artificial insemination) and REG 122 (embryo/oocyte transfer) are met.
4. Alternatively, the horse may be registered in the Half-Arabian Horse Registry if the horse would qualify for registration in the Anglo-Arabian Horse Registry except that it has more than 75% Arabian blood.
5. An application for registration, on the appropriate form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner(s) (or by the person whom the recorded owner(s) has authorized in writing to sign the application) and filed with the AHA Registry.
6. A mule, hinney, or any animal other than a horse is not eligible for registration.
7. If a sire or dam is dual registered with AHA and another breed registry, the Half-Arabian or Anglo-Arabian Horse Registry must use the AHA registration number and recorded ownership information to register a foal. (BOD 1/03)
8. \*Half-Arabians that are two years of age and older at the time of registration request must have DNA type of the horse and the horse's purebred Arabian parent placed on permanent record with the AHA Registry and the horse has been shown to qualify as an offspring of the stated purebred sire or purebred dam through DNA type testing, and

\*Effective January 1, 2014

9. The registration fee for domestic Half-Arabian Horses has been paid.

#### **MISCELLANEOUS**

10. Horses registered as Half-Arabians may not hold Arabian papers simultaneously with another registry.
11. Horses registered as purebred Arabians with foreign registries for which AHA registration has been requested will be reviewed for purebred status.
12. If a horse is deemed to be a purebred Arabian through a foreign registry yet meets the AHA criteria as a Half-Arabian, the owner must relinquish the foreign Arabian papers to AHA as a condition of registration.

#### **REG 103. REQUIREMENTS AND PROCEDURES FOR DOMESTIC ANGLO-ARABIAN HORSES**

A horse conceived and born in the United States, or Mexico may be registered as an Anglo Arabian if the following requirements are met:

1. The horse is a cross between: a purebred Arabian registered with either the Arabian Horse Association or the Canadian Arabian Horse Registry; a purebred Thoroughbred registered with The Jockey Club or approved foreign

source registry recognized by the Jockey Club; or an Anglo-Arabian registered with the Anglo-Arabian Horse Registry or an Anglo-Arabian registered with a verifiable foreign Anglo-Arabian registry where the foreign Anglo meets the requirements of the AHA Anglo-Arabian (103.2 and 103.3).

2. The horse has no less than 25% Arabian blood and no more than 75% Arabian blood. (If the horse has more than 75% Arabian blood, but would otherwise qualify for registration in the Anglo-Arabian Horse Registry, it is eligible for registration in the Half-Arabian Horse Registry.)
3. The horse results from one of the following breeding combinations:
  - a. Thoroughbred stallion to purebred Arabian mare.
  - b. Purebred Arabian stallion to Thoroughbred mare.
  - c. Anglo-Arabian stallion to Anglo-Arabian mare.
  - d. Purebred Arabian stallion to Anglo-Arabian mare.
  - e. Anglo-Arabian stallion to purebred Arabian mare.
  - f. Thoroughbred stallion to Anglo-Arabian mare.
  - g. Anglo-Arabian stallion to Thoroughbred mare.
4. The requirements of REG 108. (42-day Rule), REG 110 (artificial insemination) and REG 122 (embryo/oocyte transfer) are met.
5. An application for registration, on the appropriate form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner(s) (or by the person whom the recorded owner(s) has authorized in writing to sign the application) and filed with the AHA Registry.
6. A mule, hinney, or any animal other than a horse is not eligible for registration.
7. When a sire or dam is dual registered with AHA and another breed registry, the Half-Arabian or Anglo-Arabian Horse Registry must use the AHA registration number and recorded ownership information to register a foal.
8. The registration fee for domestic Anglo-Arabian Horses has been paid.

#### **REG 104. REQUIREMENTS AND PROCEDURES FOR PUREBRED ARABIAN HORSES IMPORTED IN UTERO**

A purebred Arabian horse conceived from the mating of a foreign mare (i.e. a mare which is outside the United States or Mexico and is registered with a foreign registration authority) and an eligible stallion, and imported in utero into and born in the United States or Mexico may be registered if the following requirements are met;

1. The dam of the horse is registered in the AHA Registry's records.
2. The horse is from a current source registry as defined by REG 101.
3. The pedigree of the sire is acceptable and traces in all lines to source registries;
4. An application for registration, on the appropriate form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner(s) (or by the person whom the recorded owner(s) has authorized in writing to sign the application) and filed with the AHA Registry;
5. The DNA types of the horse and the horse's sire and the horse's dam, have been placed on permanent record with the AHA Registry and the horse has been shown to qualify as an offspring of the stated sire and dam through DNA type testing;
6. The sire is duly registered in the stud book or annual supplement to the stud book of the source registry where the mating occurs;
7. A statement of breeding service has been provided to the AHA Registry; and
8. The registration fee for purebred imported in utero horses has been paid.

#### **REG 105. REQUIREMENTS AND PROCEDURES FOR PUREBRED ARABIAN IMPORTED HORSES**

A purebred Arabian horse imported into the United States or Mexico may be registered if the following requirements are met:

1. The imported horse is born and originally registered in a source registry as defined by REG 101 and is of pure Arabian blood, as verified by a properly authenticated pedigree acceptable to and filed with the AHA Registry all lines of which pedigree trace to source registries.
2. An application for registration, on the appropriate form provided by the AHA Registry, has been completed, signed by the importer and filed with the AHA Registry;

3. The original registration certificate issued by the exporting source registry has been submitted to the AHA Registry. The foreign recorded owner at the time of export, the horse's markings, and the horse's pedigree must be shown on the original foreign registration certificate. An Export Certificate issued by the exporting source registry showing this information must also be submitted to the AHA Registry. If the importer and the foreign recorded owner at the time of export are not the same, then a document(s) that evidences an unbroken chain of ownership to the importer must be submitted;
4. The sire and dam are duly registered in the stud book or annual supplement to the stud book of the source registry where the sire and dam were originally registered;
5. The importer has submitted color photographs showing front, rear, and side views of the horse's markings. The horse's markings must be congruent with those shown on the foreign documents. If necessary, the horse may be inspected by an AHA Registry representative to verify its identity;
6. The DNA types of the horse and the horse's sire and the horse's dam, have been placed on permanent record with the AHA Registry and the horse has been shown to qualify as an offspring of the stated sire and dam through DNA type testing; and
7. The registration fee for purebred imported horses has been paid.

#### **REG 106. IMPORTED OR IMPORTED IN UTERO HALF-ARABIANS AND ANGLO-ARABIANS**

A Half-Arabian or Anglo-Arabian Horse imported from a country other than the United States, Canada, or Mexico may be registered if the requirements set forth in REG 102-103. of this rule and the following requirements are met:

1. The purebred Arabian parent is originally registered in a source registry as defined by REG 101. and is of pure Arabian blood, as verified by a properly authenticated pedigree acceptable to and filed with the AHA Registry all lines of which pedigree trace to source registries. Or, a purebred Thoroughbred parent registered with The Jockey Club or an approved foreign source registry recognized by the Jockey Club; or Anglo-Arabian parent registered with Anglo-Arabian Horse Registry or an Anglo-Arabian registered with a verifiable foreign Anglo-Arabian registry where the foreign Anglo meets the requirements of the AHA Anglo-Arabian (103.2 and 103.3).
2. All requested documents concerning the horse's pedigree, any foreign Certificate of Registration, importation documents and import applications and photographs (all four views of the horse) have been provided.
3. An application for registration on the appropriate form has been completed and signed by the recorded owner.
4. The registration fee for Half-Arabian or Anglo-Arabian imported horses has been paid.

#### **PASTURE BREEDING**

#### **REG 107. REQUIREMENTS FOR PASTURE BREEDING**

Only one stallion may run with a mare or a group of mares, and they must be enclosed by permanent fences maintained in such a manner that no other stallion could breed any mare in the pasture.

#### **42-DAY RULE**

#### **REG 108. 42-DAY RULE FOR HALF-ARABIANS AND ANGLO-ARABIANS**

After a mare is exposed or bred to one stallion, including hand breeding, pasture breeding or artificial insemination, at least 42 days must elapse before the mare is exposed to another stallion, other than an ungelded male less than 10 months of age.

#### **REG 109. STALLION REPORTS**

On or before **December 31** of each year, the recorded owner of each purebred Arabian stallion to which purebred Arabian mares have been exposed during the calendar year, must complete, sign and mail to AHA a stallion report for each stallion, on a form supplied by AHA, listing all purebred Arabian mares exposed to the stallion, whether they are in foal or not, and listing all dates of exposure. If the stallion report is not mailed on or before **December 31** of the calendar year which it covers, the

recorded owner must pay a late filing fee, may be charged for any reasonable costs and expenses that AHA incurs in investigating the accuracy of the stallion report, and may also be subjected to the penalties provided under REG 138.

#### **ARTIFICIAL INSEMINATION**

#### **REG 110. REQUIREMENTS FOR ARTIFICIAL INSEMINATION USING SEMEN WHICH IS NOT TRANSPORTED OR STORED**

Semen may be artificially placed within a mare and the resulting foal may be eligible for registration as a purebred Arabian under REG 101., Half-Arabian under REG 102., or Anglo-Arabian under REG 103. if the following requirements are met:

1. Semen must be collected from the stallion and inseminated into the mare on the same premises. For the purposes of this section, "premises" is defined as the farm or facility where the stallion and mare are both present at the time of collection;
2. Semen must be used within 72 hours of collection; and
3. Semen may not be frozen.

Semen, which is frozen, or is not used within 72 hours of collection, or is transported by any means to a location other than the premises where the collection of the stallion occurred, will be subject to REG 111. to register resultant purebred Arabian foals

#### **TRANSPORTED - STORED SEMEN**

#### **REG 111. REQUIREMENTS FOR PUREBRED ARABIANS USING ARTIFICIAL INSEMINATION USING TRANSPORTED OR STORED SEMEN**

Semen collected in the United States or Mexico may be transported or stored prior to being inseminated into a mare, and foals resulting from the use of such semen may be eligible for registration as purebred Arabians under REG 101., if the following requirements are met:

1. A Semen Transportation Permit has been issued for the purebred Arabian stallion. The permit will be effective until the recorded ownership of the stallion changes or the stallion is exported. A permit may be issued for a stallion if the following requirements are met:
  - a. An application for a Semen Transportation Permit, on a form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner (or by the person whom the recorded owner has authorized in writing to sign the application), and filed with the AHA Registry;
  - b. The DNA type of the stallion has been placed on permanent record with the AHA Registry; and
  - c. The required fee has been paid;
2. If a Semen Transportation Permit has not been issued by the AHA Registry prior to the collection and storage, or the collection and transportation, of semen from a stallion, the recorded owner of the stallion at the time the semen was collected must pay a Late Permit Fee, and may be charged for any reasonable costs and expenses that the AHA Registry may incur in investigating the circumstances, and may also be subject to the penalties provided by REG 138.
3. A valid Semen Transportation Permit is required to purchase Transported-Stored Semen Service Certificates. A Transported-Stored Semen Service Certificate is required to register a purebred Arabian foal that is conceived through the use of transported or stored semen. A Transported-Stored Semen Service Certificate may be issued only to the recorded owner of a stallion and will be issued only if the following requirements are met:
  - a. A Semen Transportation Permit has been issued to the recorded owner of the stallion and is effective at the time the Transported-Stored Semen Service Certificate is applied for;
  - b. An application for a Transported-Stored Semen Service Certificate, on the appropriate form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner (or by the person whom the recorded owner has authorized in writing to sign the application), and filed with the AHA Registry; and
  - c. The required fee has been paid;

4. A horse which is conceived through the use of transported or stored semen may be eligible for registration as a purebred Arabian under REG 101., if the following requirements are met:
  - a. A Transported-Stored Semen Registration Application, on a form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner(s) (or by the person whom the recorded owner(s) has authorized in writing to sign the application), and filed with the AHA Registry; and
  - b. A properly issued and valid Transported-Stored Semen Service Certificate accompanies the registration application.

#### **REG 112. REQUIREMENTS FOR PUREBRED ARABIANS USING ARTIFICIAL INSEMINATION USING IMPORTED SEMEN**

Semen may be imported into the United States or Mexico, and foals resulting from the use of such semen may be eligible for registration as purebred Arabians under REG 101, if the following requirements are met:

1. A Transported-Stored Semen Registration Application, on the appropriate form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner(s) (or by the person whom the recorded owner(s) has authorized in writing to sign the application), and filed with the AHA Registry;
2. A properly completed original WAHO Semen Collection and Insemination Report (white copy) has been submitted for the registration of the purebred Arabian foal;
3. The semen is collected within and is imported from a source registry as defined by REG 101;
4. The stallion (sire) is duly registered in the stud book or annual supplement to the stud book of the source registry where the semen was collected; and
5. The stallion owner has complied with the appropriate rules concerning the transport and use of semen prescribed by the source registry where the semen was collected.

#### **REG 113. TRANSPORTED SEMEN FOR HALF-ARABIANS AND ANGLO-ARABIANS**

Semen collected in the United States or Mexico may be transported or stored prior to being inseminated into a mare, and Half-Arabian or Anglo-Arabian foals resulting from the use of such semen may be eligible for Half-Arabian registration under REG 102 or Anglo Arabian registration under REG 103., if the following requirements are met:

1. Fresh, cooled, or frozen semen is allowed.
2. The signature of the recorded owner of the stallion would be required on the Service Certificate portion of the application(s) for registration of a Half-Arabian or Anglo-Arabian foal(s).
3. The signature of the recorded owner of the stallion at the time of the stallion's death would be required on the Service Certificate portion of the application(s) for registration of a Half-Arabian or Anglo-Arabian foal(s) produced from semen used after the death of the stallion.

#### **REG 114. REQUIREMENTS FOR ANGLO-ARABIANS USING ARTIFICIAL INSEMINATION USING IMPORTED SEMEN FROM A PUREBRED ARABIAN STALLION, THOROUGHBRED STALLION; OR ANGLO-ARABIAN STALLION**

Semen may be imported into the United States or Mexico, and foals resulting from the use of such semen may be eligible for registration as Anglo-Arabians under REG 103, if the following requirements are met:

1. An application for registration, on the appropriate form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner(s) (or by the person whom the recorded owner(s) has authorized in writing to sign the application) and filed with the AHA Registry.
2. The semen is collected within and is imported from a source registry as defined by REG 101 or REG 103;
3. The purebred Arabian parent is originally registered in a source registry as defined by REG 101 and is of pure Arabian blood, as verified by a properly authenticated pedigree acceptable to and filed with the AHA Registry all lines

of which pedigree trace to source registries. Or, the purebred Thoroughbred parent is registered with The Jockey Club or an approved foreign source registry recognized by the Jockey Club; or Anglo-Arabian parent registered with the Anglo-Arabian Horse Registry or an Anglo-Arabian registered with a verifiable foreign Anglo-Arabian registry where the foreign Anglo meets the requirements of the AHA Anglo-Arabian (103.2 and 103.3) where the semen was collected;

4. The stallion owner has complied with the appropriate rules concerning the transport and use of the semen prescribed by the source registry where the semen was collected.

#### **REG 115. REQUIREMENTS FOR HALF-ARABIANS USING ARTIFICIAL INSEMINATION USING IMPORTED SEMEN FROM A NON-PUREBRED ARABIAN STALLION**

Semen may be imported into the United States or Mexico for breeding a purebred Arabian mare and foals resulting from the use of such semen may be eligible for registration as Half-Arabians under REG 102.

1. An application for registration, on the appropriate form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner(s) (or by the person whom the recorded owner(s) has authorized in writing to sign the application) and filed with the AHA Registry.
2. The semen is collected within and is imported from a source registry as defined by REG 101 or REG 103;
3. The stallion owner has complied with the appropriate rules concerning the transport and use of the semen prescribed by the source registry where the semen was collected.

#### **DNA TYPING**

#### **REG 116. DNA TYPING**

1. The DNA type of all purebred Arabian stallions and purebred Arabian mares used for breeding resultant purebred Arabian foals must be on permanent record with the AHA Registry. The DNA sample must be obtained and typed in accordance with procedures prescribed by the AHA Registry. The required fee must be paid to the AHA Registry at the time the DNA typing is requested.
2. Any questions of true parentage may be resolved by any means available to the AHA Registry, including DNA type testing or any other genetic testing method of the horses involved. Any recorded owner of a horse agrees to permit such tests, which will be at the expense of the owner and must be paid in advance.
3. When DNA types of sires or dams are required for compliance under REG 152-153., (Certificate of Registration for Racing), the recorded owner of a horse agrees to permit such tests.
4. Random DNA typing programs to verify parentage may be conducted by the AHA Registry at its expense and recorded owners of horses agree to permit such DNA typing.
5. Refusal by an owner to permit DNA typing under paragraphs 2, 3, or 4 of this Article may result in cancellation of registration certificates and in the imposition of penalties under REG 138.

#### **REG 117. NAMES**

No horse will be registered by a name which has exactly the same spelling as a name already registered, which has numerical prefixes or suffixes, or which has the suffix "Sr." or "Jr.". The only valid characters are capital letters A through Z, dash and space. Punctuation, apostrophes, and diacritical markings or symbols may not be used. Names may not contain more than 21 total characters or be composed of more than four parts.

#### **REG 118. REGISTRATION NUMBERS**

The AHA Registry will issue registration numbers in consecutive order, based upon the order in which the applications are processed by the AHA Registry.

#### **REG 119. CERTIFICATE OF REGISTRATION**

1. A Certificate of Registration is a certification of information contained in the records of the AHA Registry.

2. One Certificate of Registration will be issued for a registered horse. This certificate may be a standard Certificate of Registration, or may be a Certificate of Registration for Racing. For purposes of these rules, both the standard Certificate of Registration and the Certificate of Registration for Racing will be referred to as the Certificate of Registration. The AHA Registry will issue a standard Certificate of Registration unless the recorded owner requests a Certificate of Registration for Racing and fulfills all requirements as described in REG 152-153 or REG 128-135.
3. The original Certificate of Registration will be issued in the name of the recorded owner of the dam at the time of foaling, with the exception of embryo/oocyte transfer registrations, and will display the breeder to be the recorded owner at the time the dam was bred. The recorded owner of the dam at the time of foaling may transfer ownership of the foal by completing and filing with the AHA Registry a Transfer for Eligible but Unregistered Foal form. In that event, the Certificate of Registration will be issued in the name(s) of the person(s) designated in accordance with the terms of the Transfer form. The recorded owner of the dam at the time she was bred may designate another person to be the breeder of a specific foal by completing and filing with the AHA Registry an Assignment of Breeder Designation form. In that event, the Certificate of Registration will display the breeder to be the person or persons designated in accordance with the terms of the assignment form.

## **REG 120. AUTHORIZATIONS**

Any recorded owner may authorize another person to sign AHA Registry-related documents on such owner's behalf. Such an authorization will be recognized when the appropriate form has been completed and filed with the AHA Registry.

### **EMBRYO/OOCYTE TRANSFER**

#### **REG 121. REQUIREMENTS FOR EMBRYO/OOCYTE TRANSFER FOR PUREBRED ARABIANS**

Embryos/oocytes collected in the United States or Mexico from a purebred Arabian mare may be transferred to a recipient mare, and foals resulting from embryo/oocyte transfer may be eligible for registration under REG 101., if the following requirements are met:

1. An Embryo/Oocyte Transfer Permit has been issued for the mare to be used as a donor for embryo/oocyte transfer. The permit will be effective until the recorded ownership of the donor mare changes or the donor mare is exported. A permit may be issued for a donor mare if the following requirements are met:
  - a. An application for an Embryo/Oocyte Transfer Permit, on a form provided by the AHA Registry, has been completed, signed by the recorded owner (or by the person whom the recorded owner has authorized in writing to sign the application), and filed with the AHA Registry;
  - b. The DNA type of the donor mare has been placed on permanent record with the AHA Registry; and
  - c. The required fee has been paid.
2. If an Embryo/Oocyte Transfer Permit has not been issued by the AHA Registry prior to the collection and implant of an embryo/oocyte, the recorded owner of the donor mare must pay a Late Permit Fee, and may be charged for any reasonable costs and expenses that the AHA Registry incurs in investigating the accuracy of the embryo/oocyte transfer, and may also be subject to the penalties provided by REG 138.
3. A valid Embryo Transfer Permit is required to purchase Embryo Transfer Certificates. An Embryo Transfer Certificate is required to register a purebred Arabian foal that is the result of embryo transfer. An Embryo Transfer Certificate may be issued only to the recorded owner of a mare at the time she was bred to the reported sire and will be issued only if the following requirements are met:
  - a. An Embryo Transfer Permit has been issued to the recorded owner of the mare and is effective at the time the Embryo Transfer Certificate is applied for;
  - b. An application for an Embryo Transfer Certificate, on the appropriate form provided by the AHA Registry, has been completed, with original

signatures of the recorded owner of the donor mare at the time of breeding and the recorded owner of the sire at the time of breeding (or a properly signed and valid Transported/Stored Semen Service Certificate if applicable), and filed with the AHA Registry; and

- c. The required fee has been paid;
4. A horse that is the result of an embryo/oocyte transfer may be eligible for registration if REG 101., and the following requirements are met:
  - a. An Embryo/Oocyte Transfer Permit for the donor mare has been issued; and
  - b. An Embryo/Oocyte Transfer Registration Application, on a form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner(s) (or by the person whom the recorded owner has authorized in writing to sign the application), and filed with the AHA Registry;
  - c. A properly issued and valid Embryo Transfer Certificate accompanies the registration application.
5. The Certificate of Registration will be issued in the recorded ownership of the person(s) identified as the horse owner(s) on a properly completed Embryo Transfer Registration Application.

#### **REG 122. EMBRYO/OOCYTE TRANSFER FOR HALF-ARABIANS AND ANGLO-ARABIANS**

Embryos/oocytes collected in the United States or Mexico from a mare may be transferred to a recipient mare, and foals resulting from embryo/oocyte transfer may be eligible for Half-Arabian registration under REG 102 or Anglo-Arabian registration under REG 103., if the following requirements are met:

1. Both the mare and stallion must have DNA types on file with AHA prior to the registration of the foal.
2. Upon registration of the foal, a current DNA testing fee must be submitted for a DNA test verification of parentage of the foal. Before a Certificate of Registration can be issued, DNA test results of the foal must be on permanent file with the Half-Arabian or Anglo-Arabian Registries.
3. The registration fee has been paid.

### **REGISTRATION FOR ARABIAN RACING**

#### **REG 123. REQUIREMENTS AND PROCEDURES FOR THE REGISTRATION OF PUREBRED HORSES TO BE ENTERED IN ARABIAN RACING**

A horse will not be considered registered with the AHA Registry for purposes of Arabian racing and eligible to compete in racing of purebred Arabian races only until a Certificate of Registration for Racing has been issued under these Rules. In order for a horse to compete in Arabian racing, the following requirements must be met:

1. The horse must have a current Certificate of Registration for Racing, as specified in REG 152-153., which is complete and in good standing with the AHA Registry;
2. The horse must have reached **January 1** of its three year old year; and
3. A Secondary Race ID must be completed by a licensed veterinarian. This includes a second DNA identity verification and microchip.
4. The horse must be digitally tattooed (beginning 2019) by a Thoroughbred Racing Protective Bureau (TRPB) technician.

For the purposes of any rule or regulation of any state relating to the eligibility of a horse to start in any race, no horse will be considered registered with the AHA Registry unless there has been compliance with all the requirements of this Article.

### **TEMPORARY RACING VISA**

5. Export documents must be requested and received by the Arabian Horse Association (AHA) from the originating country no later than 21 days of the scheduled race in the United States. The registration and export must be from a WAHO recognized registry.

6. Verification of parentage must be reviewed and confirmed by the Registry staff off the Verification of Parentage form provided by the originating country and submitted with the export documents.
7. AHA must receive a copy of the horse's complete racing record prior to granting the Temporary Racing Visa.
8. A completed and signed AHA Import Registration Application must be provided with the export documents or submitted by the owner directly to AHA beforehand.
9. At least 4 color photographs of the horse (front, back, and both sides) taken in the United States clearly showing the color and markings. These photographs must be emailed or delivered directly to the AHA Registry office prior to leaving quarantine.
10. A copy of the first 6 pages of the passport of the subject horse must be submitted to the AHA Registry office while the horse remains in the US. The copied passport pages must be included with the horse's export documents.
11. A version of the Secondary Race ID process must be completed by a vet or track identifier. The vet/track identifier will pull the hair/DNA sample, read the microchip, fill out and sign the Identification Verification for Racing form. The vet/track identifier ships the completed Identification Verification for Racing form and the hair/DNA sample to the AHA Registry office via overnight courier. The verification of identity process must only be performed and shipped by the veterinarian/track identifier signing the form. This ensures proper chain-of-custody on the hair sample. The AHA Registry office will hold hair/DNA sample for 90 days maximum.
12. Appropriate fees have been paid. Cost for a Temporary Race Visa is \$600.00. All courier shipping fees are extra.
13. A completed Temporary Race Visa will be issued by the AHA Registry office to the racetrack for final identification/digital tattooing by a TRPB technician (if required). This will include an accurate markings form, including microchip information and the location of the whorls, and must be accepted by any recognized racetrack in the United States. The Temporary Race Visa is valid for 30 days and the original document must be relinquished upon export.
14. If the horse ends up remaining in the US (for racing, transfer or breeding), re-pulling and testing the hair/DNA sample must be done and the required import process must be completed per Reg 105.

#### TRANSFER OF REGISTRATION

##### **REG 124. CHANGE IN RECORDED OWNERSHIP FOR HORSES WITH A STANDARD CERTIFICATE OF REGISTRATION**

To transfer the recorded ownership of a registered horse which has a standard Certificate of Registration, the recorded owner must complete and sign the transfer portion of the Certificate of Registration. A transfer of recorded ownership may be recorded if the following requirements are met:

1. The completed and signed Certificate of Registration has been sent to the AHA Registry;
2. The horse was resident in the United States or Mexico on the date of sale indicated; and
3. The transfer fee has been paid.

##### **REG 125. CHANGE IN RECORDED OWNERSHIP FOR HORSES WITH A CERTIFICATE OF REGISTRATION FOR RACING**

To transfer the recorded ownership of a registered horse which has a Certificate of Registration for Racing, one of the following requirements must be met:

1. If the horse is residing at a race track at the time of the sale, the transfer may be recorded through the Office of the Racing Secretary. The Racing Secretary, the buyer and the seller must complete a Transfer for Race Horse, on a form provided by the AHA Registry. The completed form must be submitted to the AHA Registry. When all other requirements are met, the AHA Registry will record a change in ownership and issue a notation of the ownership change to the Racing Secretary. The Racing Secretary must apply the notation to the Certificate of Registration for Racing; or

2. If the horse is not residing at a race track, the buyer and seller must complete a Transfer for Race Horse, on a form provided by the AHA Registry. The completed form and the Certificate of Registration for Racing must be submitted to the AHA Registry. When all other requirements are met, the AHA Registry will issue a new Certificate of Registration for Racing after recording the change in ownership.

In addition to the applicable section above, the following requirements must also be met:

3. The horse was resident in the United States or Mexico on the date of sale indicated; and
4. The transfer fee has been paid.

##### **REG 126. TRANSFER WITHOUT SIGNATURE OF RECORDED OWNER (RC. 11/25)**

~~Whenever legal title to a registered horse passes to another by reason of death of the recorded owner, by reason of foreclosure of any lien or by any order or decree of court, or otherwise by operation of law, the AHA Registry may transfer the registration of such horse to the new owner.~~

- ~~1. Upon order of a court of competent jurisdiction or other satisfactory proof of authority for the transfer;~~
- ~~2. Upon payment of the transfer fee and any reasonable costs and expenses of investigation; and~~
- ~~3. Upon satisfaction of such other requirements as may be defined by the AHA Registry.~~

##### **1. Unbroken Chain of Ownership –**

Whenever legal title to a registered Purebred, Half- or Anglo-Arabian passes to another by reason of death of the Recorded Owner, by enforcement of any lien, by order or decree of court, by dissolution of a partnership, limited liability company, or other legal entity, or otherwise by operation of law, the Registry shall transfer the registration of the horse to the new owner when presented with the following:

- a. A copy of the legal document affecting the transfer, such as a divorce decree, certificate of qualification of executor/personal representative of a deceased owner, seizure and transfer order, other court order, dissolution documents, or other satisfactory proof of authority for the transfer. Such documentation shall include sufficient description (name, registration number, sex, color, markings, and age) of the horse being transferred to enable the Registry to identify the horse.
- b. Payment of applicable fees and any reasonable costs and expenses of investigation if needed.
- c. The original registration certificate or an affidavit for lost certificate
- d. Four current photographs of the horse (each side, front and back)
- e. Any other requirements which may be defined or required by the Registry in special situations. Upon request, the Registry will provide required language for court orders to order the Registry to transfer the registration of the horse from the Recorded Owner to the new owner.

##### **2. Broken Chain of Ownership**

- a. If there are gaps in the chain of ownership and/or the current Recorded Owner fails to complete and provide the required transfer documentation, ownership of an identified and registered Purebred, Half- or Anglo-Arabian is conveyed in one of these paths:
  - i. Pursuant to the judgement or seizure by a governmental agency pursuant to legal process including a lien foreclosure sale that is not part of a judicial process (e.g., agister's or stableman's lien) (only done as a legal transfer, see paragraph 1)
  - ii. Pursuant to a legal adoption from a nonprofit rescue organization exempt from federal income tax under Section 501(c)(3) or 501(c)(5) of the Internal Revenue Code;
  - iii. Pursuant to a *bona fide* sale that was subject to Uniform Commercial Code Sec. 2-403 (or its equivalent in effect in the jurisdiction in which the sale occurred), including auction sales; or

- iv. Pursuant to a direct sale via a \*\*“good faith purchase” by the Seller to the Buyer; and the requirements and process set forth below are met, the Registry may conditionally transfer the registration of the horse to the new owner. (\* A “good faith purchase” may be shown through a bill of sale or upon satisfaction of other documents that may be defined by the AHA Registry that is not contested by the current recorded owner during the duration of the notification process.)
- b. The new owner shall submit the following documentation regarding the registered Purebred, Half- or Anglo-Arabian:
  - i. An application that includes the name of the transferor and the new owner, the color, sex, and markings of the horse, and registered name and/or number, if known;
  - ii. Proof of horse identity (DNA) and confirmation through other documentation if available, that the horse is a registered Purebred, Half- or Anglo-Arabian;
  - iii. Four current photographs of the horse (each side, front and back);
  - iv. Payment of applicable fees;
  - v. Documentary support for the validity of the transfer as a *bona fide* transfer, which may include a court order, bill of sale, and/or legal opinion of an attorney licensed in the state in which the transfer occurred;
  - vi. Indemnification agreement in favor of the Association and its employees; and
  - vii. Such other documentation or information as the Registry may request in its discretion.
- c. Upon receipt of the completed documentation, the Registry will notify the last Recorded Owner of the horse to determine if the last Recorded Owner asserts a contractual or statutory claim to the horse or its registration rights. Once the documentation from the new owner seeking transfer is received, no action by the Recorded Owner to record the horse as “Sold without Papers” will be recognized.
  - i. The notification shall be delivered to the last Recorded Owner at such person’s address on file with the Registry by registered mail, return receipt requested. The notification shall notify such person that ownership of the horse has been conveyed, the acquirer has requested that a duplicate registration certificate be issued and/or the transfer of ownership to the acquirer be recorded with the Registry. The notification shall make a request that the last Recorded Owner provide information and documentation of any asserted contractual or statutory claim to the horse or its registration rights. The same notification will be delivered to the Last Recorded Owner simultaneously by electronic mail using the last known email address.
  - ii. In the event the last Recorded Owner fails to respond within 21 days of the date of the first notification or if the letter is returned as undeliverable, the Registry shall send a duplicate letter to the last Recorded Owner. The same notification will be delivered to the Last Recorded Owner simultaneously by electronic mail using the last known email address. In the event the last Recorded Owner fails to respond to the second letter within 21 days of the date of the second letter or if the letter is returned as undeliverable, the Registry may, in its sole discretion, record the conditional transfer of such horse to the new owner.
  - iii. All decisions will be made in good faith, in accordance with published criteria, and without discrimination or arbitrary treatment. The Registry reserves the right, in all circumstances in its discretion, to refuse to issue any duplicate certificates or record the transfer of any horse under this Section. The Registrar shall decide whether to issue any duplicate certificates and record transfers under this Section.
- d. Provided the requirements of this document have been met, including the payment of all fees and expenses, the Registry may issue a conditional transfer to the current owner of a currently registered Purebred, Half- or Anglo-Arabian. Conditional transfers of registered horses are valid for six (6) months; if within that time an apparently correct or justified claim is made to challenge the transfer, the conditional transfer will be suspended pending resolution by the parties involved. If a conditional transfer is suspended,
  - i. the current owner may apply to a court of competent jurisdiction to resolve the issue and seek an order transferring the registration of the horse to the current owner, or
  - ii. the Last Recorded Owner may apply to a court of competent jurisdiction to resolve the issue and seek an order resisting the transfer of the horse’s registration to the current owner.

In either circumstance, the party seeking and obtaining such judicial relief shall notify the Registry of the ultimate and final disposition of the legal action (i.e. after any and all appeals have been exhausted) and provide the Registry with evidence of the same.

If no apparently correct or justified claim is made by the end of the six (6) month conditional period, the transfer will be made permanent, and a valid certificate of Registration will be issued. During the period of a conditional transfer, the horse may not be shown in an AHA-recognized or USEF-recognized show or participate in an AHA-recognized endurance competition. No further transactions will be recorded concerning this horse, and no breeding of the horse will be recognized. Notice of conditional transfer will be published on the Association’s website.

If submitted documentation leaves questions with AHA staff, determination of the existence of an apparently correct or justified claim to challenge the transfer will be made by the Registrar, subject to the right to appeal to the Registry Hearing Board.

#### **REG 127. SALE WITHOUT CERTIFICATE OF REGISTRATION**

If a registered horse is sold without the Certificate of Registration, the certificate must be surrendered by the recorded owner to the AHA Registry for cancellation. The AHA Registry will record such cancellation on its records.

#### **CERTIFICATE CHANGES, DUPLICATES, REPLACEMENTS**

#### **REG 128. EXCHANGES OF STANDARD CERTIFICATES AND CERTIFICATES OF REGISTRATION FOR RACING**

- 1. Once a Certificate of Registration for Racing has been issued as described in REG 152-153., the recorded owner may request a standard Certificate of Registration by surrendering the Certificate of Registration for Racing to the AHA Registry and paying the required fee. Likewise, a standard Certificate of Registration may be surrendered for a Certificate of Registration for Racing provided a Certificate of Registration for Racing has previously been issued and the required fee is paid.
- 2. The owner of a horse for which an Identification Supplement has previously been issued may obtain a Certificate of Registration for Racing by surrendering the standard Certificate of Registration and Identification Supplement to the AHA Registry and by paying the required fee.

#### **REG 129. DUPLICATE CERTIFICATE**

To obtain a duplicate Certificate of Registration, the recorded owner must file with the AHA Registry a completed affidavit, on a form provided by the AHA Registry, satisfactorily explaining the loss of the original Certificate of Registration and pay the duplicate certificate fee. Upon approval by the AHA Registry, a duplicate Certificate of Registration, so marked, will be issued to the recorded owner of the horse.

#### **REG 130. REPLACEMENT CERTIFICATE**

To obtain a replacement for a Certificate of Registration which has been torn, mutilated, soiled or otherwise defaced, but which is identifiable, the recorded owner must file the original Certificate of Registration with the AHA Registry for identification and pay the replacement certificate fee. Upon approval by the AHA Registry, a

replacement Certificate of Registration will be issued to the recorded owner of the horse.

#### **REG 131. NAME CHANGE**

The recorded owner may request a change of the horse's name provided the horse has no registered progeny, is not an imported animal, has never been exported, ~~has no competition/show record, and has not been issued a Certificate of Registration for Racing.~~ The Certificate of Registration must be submitted, along with a written request including the signature of the recorded owner, and the name change fee. Upon approval by the AHA Registry, an amended Certificate of Registration will be issued to the recorded owner of the horse. (RC 5/24)

This name change rule applies only to domestic horses (REG 131.) or horses imported in utero. (REG 104., 106.)

#### **REG 132. MARKINGS OR COLOR CHANGE**

The recorded owner may request a change in the horse's markings or color as shown on the Certificate of Registration by submitting the Certificate of Registration along with an appropriate form provided by the AHA Registry, and such additional information as may be required by the AHA Registry. Upon approval by the AHA Registry, an amended Certificate of Registration will be issued to the recorded owner at no charge.

#### **REG 133. CASTRATION**

After a stallion is castrated, the Certificate of Registration, including the date of castration and the signature of the recorded owner, must be submitted to the AHA Registry. Upon approval by the AHA Registry, an amended Certificate of Registration, showing the horse as a gelding, will be issued to the recorded owner at no charge.

#### **REG 134. PEDIGREE**

The AHA Registry will prepare a pedigree (based upon its records) back through the fifth generation or to foreign records, whichever comes first, upon receipt of a request and payment of the pedigree fee.

#### **REG 135. CANCELLATION UPON DEATH OF A HORSE**

Upon the death of a registered horse, the recorded owner must send a written notice to the AHA Registry. The notice must contain the date of death and must be signed by the recorded owner(s).

#### **REG 136. DENIAL OF APPLICATION FOR REGISTRATION**

##### **REQUEST FOR HEARING**

If the AHA Registry denies registration of a horse, the AHA Registry will notify the applicant in writing. The applicant may then request that the AHA Registry hold a hearing on the denial. The request must:

1. Be in writing;
2. State all grounds and reasons upon which the applicant relies for the assertion that the horse should be registered; and
3. Be received by the AHA Registry within 45 days after the mailing of the notice of denial of registration.

##### **HEARING**

4. If a request for a hearing is received in accordance with this Article, a hearing will be held as provided by REG 139. The applicant seeking registration of a horse will have the burden of demonstrating to the reasonable satisfaction of the Hearing Board that the horse qualifies for registration and that a good faith effort had been made to comply with AHA Registry rules relating to registration.

##### **DECISION**

5. If no request for a hearing is made in accordance with this Article, the denial of registration will be final. If a request for a hearing is made in accordance with this Article, a hearing will be held and the Hearing Board will determine whether the horse will be registered. The decision of the Hearing Board will be final.

#### **REG 137. CANCELLATION OF REGISTRATION**

##### **PROCEDURE TO CANCEL REGISTRATION**

1. The AHA Registry may propose to cancel the registration of a horse if it finds that there are reasonable grounds to believe:
  - a. The horse does not meet the requirements for registration; or
  - b. A horse identified as the subject of a Certificate of Registration is not the subject of that certificate.
2. The AHA Registry will advise the recorded owner in writing of any proposal to cancel the registration of a horse and the reasons supporting this proposal.
3. At the time of issuing a proposal for cancellation or at any time prior thereto when the Registrar finds reasonable grounds for such cancellation, the Registrar may temporarily suspend the Certificate of Registration of such horse, transfers of the Certificate of Registration for such horse and for such horse's progeny, and applications for registration of progeny of such horse, pending a decision made in accordance with this Article.
4. If a temporary suspension is imposed, the recorded owner of the horse will be notified in writing.

##### **REQUEST FOR HEARING**

If the AHA Registry issues a proposal for cancellation of the registration of a horse, a request may be made to the AHA Registry for a hearing on the proposal. The request must:

5. Be in writing;
6. State all grounds and reasons why the registration of the horse should not be cancelled; and
7. Be received by the AHA Registry within 45 days after the mailing of the proposal for cancellation of registration.

##### **HEARING**

8. If a request for a hearing is received in accordance with this Article, a hearing will be held as provided by REG 139. The AHA Registry will have the burden of proving by a preponderance of evidence that the registration of the horse should be cancelled.

##### **DECISION**

9. If no request for a hearing is made in accordance with this Article, the AHA Registry may issue an order of cancellation. If a request for a hearing is made in accordance with this Article, a hearing will be held and the Hearing Board will determine whether the registration of the horse will be cancelled. The decision of the Hearing Board will be final.

##### **PUBLICATION**

10. Notice of any temporary suspension and of any cancellation of a Certificate of Registration will be posted on the AHA Website.

#### **REG 138. DISCIPLINARY PROCEEDINGS**

##### **INVESTIGATION**

1. The AHA Registry may investigate circumstances involving possible violations of these Rules and Regulations. After investigation, the Registrar will decide whether possible violations can be satisfactorily resolved by agreement for corrective action with the person involved or whether a Notice of Charges should be issued.

##### **NOTICE OF CHARGES**

2. After investigation, if the Registrar finds reasonable grounds to believe that any person has violated these Rules and Regulations, or has engaged in any misrepresentation, misconduct, or any other act involving in any adverse manner the purpose or good name of the AHA Registry, the Registrar will mail to such person a Notice of Charges stating the wrongdoings alleged to have been committed by such person. The charged person may submit a written answer to the charges within 45 days after the Notice of Charges is mailed. The Registrar may informally resolve any matters relating to a Notice of

Charges prior to any hearing scheduled under this Article by agreement with the charged person.

#### **TEMPORARY SUSPENSION**

3. After investigation, if the Registrar issues a Notice of Charges to a person, the Registrar may also temporarily suspend that person's use of AHA Registry privileges pending a hearing and decision by a Hearing Board, if the Registrar finds that such suspension is necessary for the protection of third parties or of the AHA Registry's purpose and good name. Notice of such temporary suspension will be mailed to the charged person.

#### **HEARING**

4. After a Notice of Charges is given, the AHA Registry will schedule a hearing as provided by REG 139. The charged person will be notified of the date and place of the hearing by mail. The AHA Registry will have the burden of proving all charges by a preponderance of evidence. The charged person will have the burden of proving any and all excuses for non-compliance and mitigating circumstances by a preponderance of evidence.

#### **DECISION**

5. The Hearing Board will determine whether the charged person is guilty or not guilty of any or all of the charges. If the charged person is found guilty, the Hearing Board may impose penalties provided for in this Article. If the charged person is found not guilty, the charges will be dismissed. The AHA Registry will notify the charged person of the Hearing Board's decision by mailing a copy of the decision to the charged person. Within 20 days after the mailing of the decision of the Hearing Board, the charged person may file a written request with the AHA Registry for changes in the decision. The Hearing Board will rule on the request and will, at its discretion, do so with or without a hearing. The decision of the Hearing Board will be final.

#### **PENALTIES**

6. The Hearing Board may impose such penalties as it deems appropriate, including: denial of all or part of the AHA Registry privileges; expulsion or suspension from the AHA Registry (if such charged person is a member); refusal by the AHA Registry to approve registration applications signed by or submitted by or on behalf of the charged person and all transfers of registration for horses owned on record by the charged person; public censure; or private censure. The Hearing Board may also impose any other penalty or restrictions on exercise of the privileges of the AHA Registry which it deems proper in furtherance of AHA Registry objectives as stated in the Articles of Incorporation.

#### **AGENTS AND EMPLOYEES**

7. If any person, acting as an agent of an owner or having horses owned by another in his care, custody or control, is found to have violated these Rules and Regulations, the Hearing Board may proceed against that person as provided for under these Rules. In addition, the Hearing Board may direct that the AHA Registry refuse to accept any applications for registrations, applications for Certificates of Registration for Racing, or transfers of Certificates of Registration for horses which are in such charged person's care, custody or control.

#### **PUBLICATION**

8. Notice of the imposition of any penalties and of any temporary suspension of privileges of any person, other than private censure, will be posted on the AHA Website.

#### **RESTORATION OF PRIVILEGES**

9. A Hearing Board may restore privileges, including membership, to any person who has been denied privileges pursuant to this Article, upon application and satisfactory showing by such person that restoration of privileges is warranted.

#### **REG 139. HEARINGS**

##### **IMPANELING BOARD**

1. If a hearing is to be held under these Rules, or if a hearing on any matter is determined by the AHA Registry to be desirable, the Chair of the Registration

Commission will appoint a Hearing Board. The Hearing Board will consist of not less than three members of the Registration Commission.

#### **TIME AND PLACE OF HEARING**

2. The AHA Registry will designate the time and place of the hearing, which may from time to time be continued or rescheduled.

#### **HEARING PROCEDURES**

3. Persons who have been given notice of a hearing will be afforded reasonable opportunity to appear in person or by counsel to present evidence in their behalf and to hear and refute evidence offered against them. The common law or statutory rules of evidence will not apply at the hearing, but the Hearing Board will determine the admissibility of evidence which is offered and the weight to be given to the evidence admitted.

#### **DECISION**

4. The Hearing Board will make its decision following the hearing. The decision of the Hearing Board will be final and will be communicated in writing to the parties to the hearing.

#### **REG 140. RECORDS**

Any person subject to these Rules and Regulations may be required to supply such information and documents as the AHA Registry may determine to be necessary with respect to the registration of horses, the issuance of Certificates of Registration for Racing, or the transfer of Certificates of Registration. Complete and accurate records of breeding (hand breeding, artificial insemination and pasture breeding), collection and shipment of semen, insemination using fresh cooled or frozen semen, embryo/oocyte transfer, foaling and ownership must be kept in permanent form by owners of horses and these records must be available at all reasonable times for inspection by representatives of the AHA Registry. If the AHA Registry determines that no systematic and satisfactory plan for keeping records is in use, or if no records are made available upon request, the AHA Registry, after requesting compliance in a written notice mailed to the person, may, for up to 45 days, temporarily refuse applications for registrations, applications for Certificates of Registration for Racing, and transfers of Certificates of Registration from such owner until the records are complete. If, during that 45 day period, the owner fails to demonstrate that he has complied with the AHA Registry's request for keeping and maintaining systematic and satisfactory records, the AHA Registry may proceed against the owner under REG 138.

#### **REG 141. APPLICATION OF COLORADO LAW FOR NON-LIABILITY OF DIRECTORS AND OFFICERS**

The AHA Registry, its Board of Directors, Officers, Members of Commissions and Committees, Members of Hearing Boards, Employees, Representatives and Agents will attempt to obtain true and complete information in connection with registration of horses, issuance of Certificates of Registration for Racing, transfers of Certificates of Registration, hearings, and all other matters relating to AHA Registry activities. Except for proven intentional wrongdoing, neither the AHA Registry nor its Directors, Officers, Commission Members, Committee Members, Hearing Board Members, Employees, Representatives or Agents will be liable in any way, whether in damages or otherwise, for the issuance of any Certificates of Registration, for the transfer of any Certificates of Registration, for the refusal to issue a Certificate of Registration, for the refusal to issue a Certificate of Registration for Racing, for the issuance of any pedigree statements, for the refusal to transfer any Certificate of Registration, for the cancellation of any Certificate of Registration, for any disciplinary proceeding brought against or penalties imposed on any member or other person, or for any other activities engaged in, by, or on behalf of the AHA Registry. In addition, without limiting the application of the foregoing, the internal laws of the State of Colorado shall determine and control the liability of any Director of the AHA Registry or of any other person acting on a voluntary basis without compensation for the benefit of the AHA Registry.

#### **REG 142. PRIVILEGES AND RESPONSIBILITIES OF MEMBERS AND NON-MEMBERS**

1. All members of the AHA Registry and all non-members who utilize the privileges of the AHA Registry (for example, by signing any portion of any document required for the registration of a horse, for the issuance of a

Certificate of Registration for Racing or for the transfer of a Certificate of Registration, or by requesting that a Certificate of Registration be transferred into their ownership) agree to be bound by, obey and follow all provisions of these Rules and Regulations, as amended from time to time, and all decisions and actions of the AHA Registry. The term "AHA Registry" as used in this Article refers to the Arabian Horse Association its Board of Directors, Officers, Commissions, Committees, Hearing Boards, Employees, Representatives and Agents.

2. All members of the AHA and all non-members who in any way utilize the privileges of services of the AHA agree that determination of contested issues by a Hearing Board appointed pursuant to this Chapter 10 shall be the sole, final and exclusive remedy available to them with respect to disputes arising from these Rules or from the actions of the AHA. No action may be commenced in any court with respect to such contested issues and no appeal from determinations of a Hearing Board may be taken in any court. No member of the AHA or any non-member who in any way utilizes the privileges or services of the AHA may join the AHA in any court action concerning disputes concerning horse ownership or claimed rights to transfers of recorded ownership. In the event any such member or non-member joins the AHA in any such actions or appeals and the AHA substantially prevails in that matter, the court shall award to AHA its costs and expenses, including reasonable attorney fees.

Any action to which the AHA is joined by a third party may be brought only in the United States District Court for the District of Colorado or in the District Court for the County of Arapahoe, State of Colorado and no such action may be brought in any other court.

Unless the context otherwise dictates, the term AHA, as used in this Article, means the AHA, its officers, directors, employees, agents, Commissions and Commission Members, Committees and Committee Members, Hearing Boards and Hearing Board Members and any other person authorized to act on behalf of the AHA.

#### **REG 143. NOTICES**

Any and all notices required or permitted under these Rules and Regulations will be deemed given on the date such notice is mailed to a person's last known address according to the AHA Registry's records.

#### **EXPORTATION OF HORSES**

Except for horses sent to Canada as set forth in REG 145., the Certificate of Registration must be returned to the AHA Registry for application of an Exportation Endorsement before a horse is sent, for any reason, out of the United States or Mexico. Passports will be issued with all export requests (see current Fee Schedule).

#### **REG 144. FOR PUREBRED ARABIAN HORSES SENT FROM THE UNITED STATES OR MEXICO TO A COUNTRY OTHER THAN CANADA**

An Exportation Endorsement may be issued if the following requirements are met:

1. The horse is in the United States or Mexico at the time the Exportation Endorsement is requested;
2. The Certificate of Registration has been returned to the AHA Registry. If the horse has been sold and the sale has been completed, the transfer portion of the certificate must be completed, or a Transfer of Race Horse Form must accompany the Certificate of Registration for Racing, and a separate transfer fee will be required. If no transfer is to be recorded (e.g. lease, sale on time payment, exhibition), the transfer portion should be left blank and the Certificate of Registration will remain in the name of the recorded owner;
3. The exporter has provided the destination country and the anticipated date of exportation;
4. The exporter has submitted color photographs showing front, rear, and side views of the horse's markings to verify identity. The horse's markings must be congruent with the AHA Registry's records; and
5. The required fee has been paid.
6. Once an Exportation Endorsement has been issued, or if the AHA Registry becomes aware from other sources that a horse is not in the United States or

Mexico, no transfers of ownership, color or marking changes will be recorded after the date of exportation. No duplicate or replacement Certificates of Registration will be issued until the horse returns to the United States or Mexico and its record is reinstated through the reimportation procedure.

#### **REG 145. FOR PUREBRED ARABIAN HORSES SENT FROM THE UNITED STATES OR MEXICO TO CANADA**

An Exportation Endorsement will not be issued for a horse sent to Canada from the United States or Mexico. Such a horse may be eligible for registration with the Canadian Arabian Horse AHA Registry as a United States Transfer. If the horse is a stallion to be used for breeding in Canada, or is a mare that foals in Canada, the horse must be registered with the Canadian Arabian Horse Registry.

#### **REG 146. EXPORTATION OF SEMEN FOR PUREBRED ARABIANS**

Semen collected within the United States or Mexico may be exported if the following requirements are met:

1. A Semen Transportation Permit has been issued for the stallion. The permit will be effective until the recorded ownership of the stallion changes or the stallion is exported. A permit may be issued for a stallion if the following requirements are met:
  - a. An application for a Semen Transportation Permit, on a form provided by the AHA Registry, has been completed, signed by the appropriate recorded owner (or by the person whom the recorded owner has authorized in writing to sign the application), and filed with the AHA Registry;
  - b. The DNA type of the stallion has been placed on permanent record with the AHA Registry; and
  - c. The required fee has been paid.
2. If a Semen Transportation Permit has not been issued by the AHA Registry prior to the collection and storage, or the collection and exportation, of semen from a stallion, the recorded owner of the stallion at the time the semen was collected must pay a Late Permit Fee, and may be charged for any reasonable costs and expenses that the AHA Registry may incur in investigating the circumstances, and may also be subject to the penalties provided by REG 138.
3. Stallion owners are advised to become familiar with the appropriate rules of the destination country concerning the transport and use of semen imported into the destination country, and the registration of foals in the foal's birth country.

#### **REG 147. REIMPORTATION OF PUREBRED ARABIAN HORSES**

When a horse registered in the AHA Registry's records has been exported, and then is reimported to the United States or Mexico, that horse's record must be reactivated. The AHA Registry will reactivate the horse's record and issue a new Certificate of Registration provided the necessary requirements are met.

The provisions of this Article do not apply to horses sent from Canada to the United States or Mexico. Horses sent to the United States or Mexico from Canada are eligible for registration only under REG 150-151.

#### **REG 148. PUREBRED ARABIAN HORSES EXPORTED WITH AN EXPORT CERTIFICATE OR AN EXPORTATION ENDORSEMENT ISSUED BY THE AHA REGISTRY**

The AHA Registry may reactivate the horse's record and issue a new Certificate of Registration if the following requirements are met:

1. The original registration certificate issued by the exporting source registry must be submitted to the AHA Registry. If the horse was exported but never registered in another stud book, the original Certificate of Registration issued by the Arabian Horse Registry of America, Inc. or the Arabian Horse Association, Inc. and Export Certificate (or the original registration certificate with Exportation Endorsement affixed) must be submitted to the AHA Registry;
2. The foreign recorded owner at the time of export is shown on the original registration certificate issued by the exporting source registry. If the foreign recorded owner at the time of export is not shown on the original foreign registration certificate, a separate document issued by the exporting source registry showing this information must be submitted to the AHA Registry. If the importer and the foreign recorded owner at the time of export are not the same,

then a document(s) that evidences an unbroken chain of ownership must be submitted;

3. The importer has submitted color photographs showing front, rear, and side views of the horse's markings. The horse's markings must be congruent with markings shown in the AHA Registry's records. If necessary, the horse may be inspected by an AHA Registry representative to verify its identity;
4. The importer has provided the date the horse was reimported to the United States or Mexico;
5. The original Certificate of Registration issued by the Arabian Horse Registry of America, Inc. or the Arabian Horse Association, Inc. and the Export Certificate (or the original certificate with the Exportation Endorsement affixed) has been returned to the AHA Registry, if available; and
6. The required fee has been paid.

**REG 149. PUREBRED ARABIAN HORSES EXPORTED WITHOUT AN EXPORT CERTIFICATE OR AN EXPORTATION ENDORSEMENT ISSUED BY THE AHA REGISTRY**

The AHA Registry may reactivate the horse's record and issue a new Certificate of Registration if the following requirements are met:

1. The original registration certificate issued by the exporting source registry must be submitted to the AHA Registry. If the horse was exported but never registered in another stud book, the original Certificate of Registration issued by the Arabian Horse Registry of America, Inc. or the Arabian Horse Association, Inc. must be submitted to the AHA Registry;
2. The foreign recorded owner at the time of export is shown on the original registration certificate issued by the exporting source registry. If the foreign recorded owner at the time of export is not shown on the original registration certificate, a separate document issued by the exporting source registry showing this information must be submitted to the AHA Registry. If the importer and the foreign recorded owner at the time of export are not the same, then a document(s) that evidences an unbroken chain of ownership must be submitted;
3. The original Certificate of Registration issued by the Arabian Horse Registry of America, Inc. or the Arabian Horse Association, Inc. has been returned to the AHA Registry if available;
4. The importer has submitted color photographs showing front, rear, and side views of the horse's markings. The horse's markings must be congruent with markings shown in the AHA Registry's records. If necessary, the horse may be inspected by an AHA Registry representative to verify its identity;
5. The horse is DNA typed and qualifies as an offspring of the stated sire and dam through DNA type testing, and/or the DNA type must be consistent with any previous DNA type on file with the AHA Registry;
6. The importer has provided the date the horse was reimported to the United States or Mexico; and
7. The required fee has been paid.

**HORSES IN CANADA**

**REG 150. PUREBRED ARABIAN, HALF-ARABIAN, ANGLO-ARABIAN HORSES ENTERING THE UNITED STATES OR MEXICO FROM CANADA**

When a horse is sent to the United States or Mexico from Canada, it is not eligible for registration under REG 105., (Imported Horses), nor eligible for reimportation under REG 147., but may be registered as a Canada Transfer if the following requirements are met:

1. The horse is registered with the Canadian Arabian Horse Registry or the Canadian Partbred Arabian Register;
2. The original Canadian Arabian Horse Registry or the Canadian Partbred Arabian Register Certificate of Registration has been surrendered to the AHA Registry and is signed by the recorded owner named in (on) the certificate with the transfer portion of the certificate completed showing the name of the person(s) to be indicated as the recorded owner on the new Certificate of Registration to be issued by the AHA Registry;
3. Color photographs showing front, rear, and side views of the horse's markings and/or a newly completed markings form have been provided to verify identity. The horse's markings must be congruent with all markings recorded on the

Canadian Arabian Horse Registry or the Canadian Partbred Arabian Register Certificate of Registration;

4. The horse's pedigree traces in all lines to source registries as defined in REG 101.
5. The horse is DNA typed and qualifies as an offspring of the stated sire and dam through DNA type testing to the extent required by the AHA Registry under REG 101., for domestic horses of a similar age; and
6. The required fee has been paid.

**REG 151. PUREBRED ARABIAN IN UTERO HORSES ENTERING THE UNITED STATES OR MEXICO FROM CANADA**

A horse conceived in Canada and entering in utero and born in the United States or Mexico is not eligible for registration under REG 104., (Horses Imported in Utero), but may be registered in accordance with REG 101.,(Domestic Horses.)

**CERTIFICATE OF REGISTRATION FOR RACING PUREBRED ARABIAN HORSES**

The Certificate of Registration for Racing is a Certificate of Registration that contains detailed, descriptive information regarding a horse registered under these Rules and is required for a horse to be eligible to compete in Arabian racing. Rules regarding the cancellation, correction, or reissuance of the Certificate of Registration for Racing are prescribed in REG 128-135. and REG 137.

**REG 152. REQUIREMENTS AND PROCEDURES FOR ISSUING A CERTIFICATE OF REGISTRATION FOR RACING FOR PUREBRED ARABIANS**

A Certificate of Registration for Racing may be issued for a horse if the following requirements are met:

1. An application for a Certificate of Registration for Racing, on the appropriate form provided by the AHA Registry, has been completed, signed by the appropriate person(s) and filed with the AHA Registry;
2. The horse's standard Certificate of Registration has been submitted with the application for a Certificate of Registration for Racing. The horse, as described on the application for the Certificate of Registration for Racing, must match the description of the horse as presented on its standard Certificate of Registration;
3. The DNA types of the horse and the horse's sire and the horse's dam, have been placed on permanent record with the AHA Registry. The horse must qualify as an offspring of the stated sire and dam through DNA type testing;
4. The horse has reached January 1 of its two-year-old year; and
5. The required fee has been paid.

**REG 153. DENIAL OF APPLICATION FOR CERTIFICATE OF REGISTRATION FOR RACING FOR PUREBRED ARABIAN HORSES**

The AHA Registry may deny an application for a Certificate of Registration for Racing if the requirements for issuance of a Certificate of Registration for Racing have not been satisfied.

1. If an application for a Certificate of Registration for Racing is denied, the AHA Registry will notify the applicant in writing. The applicant may request a hearing on the denial. The request must:
  - a. Be in writing;
  - 1) State all grounds and reasons upon which the applicant relies for the assertion that a Certificate of Registration for Racing should be issued; and
  - 2) Be received by the AHA Registry within 45 days after the mailing of the notice of the denial of the application for the Certificate of Registration for Racing.
2. If a request for a hearing is received in accordance with this Article, a hearing will be held as provided by REG 139. The applicant seeking the Certificate of Registration for Racing will have the burden of proving that the horse qualifies for the Certificate of Registration for Racing. The decision of the Hearing Board will be final.

3. If no request for a hearing is made in accordance with this Article, the denial of the application for the Certificate of Registration for Racing will be final.

#### **REG 154. AMENDMENT ORIGINAL DOCUMENTATION**

All original documents submitted to AHA to support the processes of registration, transfer, hearings, import, export, etc., become the property of AHA.

Any rule or regulation promulgated hereunder may be repealed, modified, altered or amended and any new rule or regulation may be adopted at any regular or special meeting of the Registration Commission by a majority vote of the members of the Commission. Notice of any changes will be posted on the AHA Website.

#### **FEES**

All fees are to be paid in U.S. currency only. The postmark date will be used for dating purposes on all registration and transfer fees. (Refer to Fee Chart)

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## CHAPTER 11: AHA RECOGNIZED QUALIFYING SHOWS AND EVENTS

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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet or other electronic means.

#### **STANDARD DEFINITIONS:**

AHA	Arabian Horse Association
AOTR/AOTH/AOTD	Amateur Owner To Ride/Handle/Drive
AAOTR/AAOTH/AAOTD	Adult Amateur Owner To Ride/Handle/Drive
AOTS	Amateur Owned Trained and Shown
JOTR/JOTH/JOTD	Junior Owner To Ride/Handle/Drive
ATR/ATH/ATD	Amateur To Ride/Handle/Drive
AATR/AATH/AATD	Adult Amateur To Ride/Handle/Drive
JTR/JTH/JTD	Junior To Ride/Handle/Drive
ACHA	American Cutting Horse Association
ADS	American Driving Society
ABS	Arabian Breeders Sweepstakes

APHA	American Paint Horse Association
AQHA	American Quarter Horse Association
ARHA	American Ranch Horse Association
CAHR	Canadian Arabian Horse Registry
<b>CNAC</b>	<b>Canada's National Arabian Championship</b>
CPAR	Canadian Part Arabian Register
EC	Equestrian Canada (formerly CEF, Equine Canada)
NCHA	National Cutting Horse Association
NRCHA	National Reined Cow Horse Association
NRHA	National Reining Horse Association
NVRHA	National Versatility Ranch Horse Association
RHAA	Ranch Horse Association of America
SHOT	Stock Horse Association of Texas
UPHA	United Professional Horsemen's Association
USCHA	United States Cutting Horse Association
USEA	United States Eventing Association
USEF	US Equestrian Federation (formerly USAE/AHSA)
WCHA	World Cutting Horse Association
WDAA	Western Dressage Association of America
WD	Western Dressage
WSDAC	Western Style Dressage Association of Canada

### **AHA VALUE SHOW RULES AND GUIDELINES**

For current information regarding AHA Value Shows, please refer to the AHA website – [www.arabianhorses.org/competition/aha-recognized/value-shows/](http://www.arabianhorses.org/competition/aha-recognized/value-shows/)

#### **COMP 1 - GENERAL GUIDELINES**

##### **COMP 101. CONDUCT AND SPORTSMANSHIP**

The AHA Board of Directors will strictly enforce and actively support all AHA, USEF and/or EC rules regarding sportsmanship, conduct and courtesy at all AHA Recognized Shows and Events. This includes, but is not limited to, rules that govern owners, exhibitors, agents, trainers, managers, riders, drivers, handlers, competition officials, competition employees, and members of the families of the above, individual members of AHA or any person who acts in a manner in violation of the rules of the Association or deemed prejudicial to the Association's best interests. Any AHA, USEF and/or EC violations committed will be subject to the AHA, USEF and/or EC penalties. (Refer to Chapter 3, Code of Ethics and Sportsmanship, ETHICS 104)

##### **COMP 102. CONFLICTS**

All AHA Recognized Shows and Events will be run in accordance with policies and procedures as stated in the AHA Handbook and as determined by the USEF/EC rules. In addition to the above, the National Championship Shows will also be run in accordance with the said National Championship Show Commission and the guidelines set forth by the National Shows Directorate.

##### **COMP 103. BOARDS, COMMISSIONS, COMMITTEES AND SHOW MANAGERS/SECRETARIES EXHIBITOR GUIDELINES**

1. Show Commission Members, Show Committee Members, AHA Board of Directors and Show Managers/Secretaries of any AHA Recognized Show or Event cannot exhibit their horses at a show or have their horses shown before a judge they directly contacted or employed for that show. (Refer to ETHICS 104.13).
2. Any Commissioner functioning at a National event and also participating in that same event as an exhibitor will not have any contact with the judges other than as an exhibitor and will not have any responsibility for the functions of the show office. This will not prevent the commissioner who is exhibiting from participating in resolving issues brought before the full commission.

##### **COMP 104. DRUG TESTING AND MEASUREMENT OF SHOES**

###### **(Res. 12-05)**

1. See USEF or EC for Tests and Measurements.
2. The U.S. National Show Commission is required to negotiate a contract with USEF to provide for mandatory drug testing at the U.S. National Show at the annual rate of \$25,000. (Res. 24-04) The Champion and one horse selected

at random from all Top Ten national performance and halter classes are required to have one foot and shoe measured (Refer to Shoeing Regulations, Artificial Markings and Appliances in the Arabian Division of USEF for exemptions). (Res. 53A-92) (Res. 11-14) Further, it is the prerogative of Show Management to require drug testing or to require the shoe and foot measured for any entry at any time during the show.

3. At the Canada's National Arabian Championship (CNAC), a random horse may be selected from the Top Six national performance and halter classes to have one foot and shoe measured and/or drug testing performed (Refer to General in the Arabian Division of EC for exemptions). Further, it is the prerogative of Show Management to require drug testing or to require the shoe and foot measured for any entry at any time during the show.

#### **COMP 105. RULE VIOLATIONS AND PENALTIES (BOD 8/02)**

1. Membership, registration, and other rule violations will subject the violator to be disqualified. AHA membership and qualifications are required for Regional and National Championship classes (CNAC is currently exempt from qualifications).
2. In the event of any rule violation which necessitates a disqualification of any entry, a notice of disqualification for that entry will be sent to that Show Management and those parties involved.
  - a. Show Management at Qualifying and Regional shows will be required to collect for redistribution: trophies, prizes, ribbons, and monies won. In addition, the disqualified exhibitor(s) will be directed to pay to Show Management a fee of \$50 per horse in conjunction with these penalties. For National Shows, the disqualified exhibitor(s) will be directed to pay Show Management a fee of \$200 and return all awards and prize money awarded. (Failure to pay within 30 days of notice will subject all parties involved to the Suspension Rules of AHA).
  - b. Corrections to the results will be made by AHA upon notification. Show management must correct their results by moving up the placings and forwarding the corrected results within 30 days of notice to all associations that require them.

#### **COMP 2 -**

#### **RECOGNITION - REGISTRATION REQUIREMENTS - MEMBERSHIP**

##### **COMP 201. GENERAL RULES FOR RECOGNITION**

###### **ALL AHA RECOGNIZED SHOWS**

1. Any Member Organization, non-affiliated entity or individual may request a Show/Event to be recognized by AHA and if recognized, the appropriate results will be recorded by AHA.
  - a. Horse shows and events must obtain AHA Show Recognition in order for exhibitors to earn qualifications and be eligible for AHA programs. **Exception:** see COMP 201.2.b.
  - b. All competitions and classes are to be conducted in accordance with the rules of AHA and the USEF or the EC, whichever shall prevail.
2. Application forms must be obtained from the AHA office or the AHA website. Contact the Regional Director to inquire as to potential conflicting show dates.
  - a. A completed AHA Show Application and applicable fees must be sent to the AHA office. The fees for AHA Recognition are \$125.00 (non-refundable) plus \$7.00 per horse entered (payable in U. S. Funds), to be remitted with show results. **For CNAC, \$10.00 per horse entered (payable in U. S. Funds), to be remitted with show results.**
  - b. Only results from shows and events recognized by AHA will be eligible for National and Regional qualifications or programs sponsored or established by AHA. (**Exception:** Dressage, Western Dressage, Working Hunter, Jumper, Hunter Hack, Eventing/Trials, Combined Driving, Carriage Pleasure Driving, Dressage Driving, Reining, Working Cow, Reined Cow and Cutting refer to AHA Open Qualifying Competition Chapter 15). (BOD 3/15)
3. USEF/EC recognition must be received before the show or event or the AHA Show Number will be invalid. (**Exception:** AHA Value Shows) Refer to COMP 206.2 for Canada's National Arabian Championship approval

4. All shows are encouraged to receive USDF recognition for the Dressage classes. Contact USDF for more information: United States Dressage Federation, Inc call (859) 971-2277.
5. No AHA recognition will be given to shows or events which have unpaid dues, fees, or fines owing to AHA. (Refer to Suspension Rules, Chapter 4)
6. Regardless of geographical location the show sponsor shall be the financially responsible club, organization or individual. (Res. 32-08)
7. No show can be called All-Arabian if it has included any other breed, with the exception of Half-Arabian or Anglo-Arabian.
  - a. Any AHA Member Organization which promotes any breed other than Arabian, Half-Arabian, or Anglo-Arabian in an All-Arabian Show is subject to the revocation of its charter. (Res. 67-89)
  - b. The inclusion of Arabian Division classes in a competition offering divisions for other breeds does not constitute promoting another breed.
8. An individual acting in the capacity of either manager or secretary is required to have an active individual AHA Membership at the time of recognition through the completion of the show results at the AHA office. If at any time the show manager or secretary does not meet this requirement, the show sponsor will automatically be billed for the AHA Membership(s). Additionally, it is recommended that shows use extreme care in the selection of the manager and secretary. A thorough knowledge of the AHA rules is essential for a person serving in either capacity. (BOD 5/09)
9. At least three weeks prior to the start of an AHA Recognized Show or Event, a Certificate of Insurance must be furnished to the AHA office. It should be effective for each day of the competition naming AHA as added insured to the general liability policy with minimum limits of \$1,000,000 for bodily injury and property damage. The general liability policy shall not contain an exclusion of claims from show participants. (EC 7/12)

#### **COMP 202. REGIONAL DIRECTOR APPROVAL FOR AHA SHOWS & EVENTS**

1. Upon receipt of the AHA Show Application, Regional Director approval will be requested from the Region for which the show is located.
  - a. The Regional Director can intercede to resolve date conflicts and take action to deny approval.
  - b. **Regional Directors and AHA give AHA Member Organizations first consideration for show recognition provided the show has applied for recognition prior to August 1 of the year before the show takes place. Non affiliated organizations which have had an established date for an All-Arabian Show for five years or more shall be given the same priority consideration as the AHA Member Organizations. (Res. 26-83)**
  - b. Shows which have had previous recognition from AHA shall be automatically recognized if the application meets the following criteria:
    - 1) Show must be applying for the same dates (or same weekend/week/etc.) as the previous year.
    - 2) Show must be held at the same grounds as the previous year.
    - 3) Show must maintain same AHA/USEF/EC rating as the previous year.
    - 4) Show must be sponsored by the same organization as the previous year.
    - 5) COMP 205.5 must be adhered to.
 Any show not meeting the above criteria will be sent to the appropriate Regional Director(s) for approval. Regional Directors must notify the AHA office in advance of any show they wish to review before automatic recognition occurs. Nonresponse for more than 10 days by a Regional Director will constitute an automatic approval. (BOD 5/00) (BOD 8/13)
  - c. A show that has been denied approval may appeal to the AHA Board of Directors.
2. Upon AHA's receipt of the completed application form, proper fees, and Regional director's approval, the show will be issued AHA Recognition and the AHA Show Number. (Refer to COMP 201)
3. Refer to COMP 206.2 for Canada's National Arabian Championship approval.

## COMP 203. CONCURRENT SHOW/JUDGE SYSTEM

### GENERAL

1. This system allows a show to hold concurrently adjudicated classes at the same time/date in a single arena with identical AHA class codes.
  - a. Regionals, Nationals (**includes CNAC**) and Dressage classes cannot be run utilizing this system. Exception: Regionals, East Coast Championship, Pacific Coast Championship, Eastern Canadian Breeders and Western Canadian Breeders Championships may utilize this system in the following classes where exhibitors compete one at a time, i.e., Sport Horse In-Hand, Hunter/Jumper (Over Fences), Cutting, Reining, Working Cow/Herd Work, Ranch Riding, Trail (English and Western), and Trail In-Hand. In addition, concurrent Regional Championships must be from two different Regions, and may not be from the same Region, and will not use the same Regional class title. (Res. 16-19)
  - b. Using two different judges for each class session/division (for record keeping purposes only, AHA will utilize two recording numbers, i.e., Judge A will be recorded under one recording number and Judge B under another).
  - c. AHA encourages a show to run all classes under both judges. (BOD 11/08)
  - d. Working Hunter, Jumper, Trail and Sport Horse In-Hand may be run on open cards. (Res. 16-19)
  - e. Horses in Working Hunter classes would still have to be trotted for soundness for each class when required. (Res. 15-07)
  - f. Two recognition fees (\$125 per show/recording number) must be submitted to the AHA office along with the show applications, plus \$7.00 per horse per show/ recording number must be submitted with the show results. (BOD 11/08)
  - g. A Single Event Membership must be submitted for each owner, trainer, rider, driver, handler without current Competition Level Membership. (BOD 11/08) Note: Back-to-back shows are two separate events and require two separate Single Event memberships. Single Event Memberships are not accepted at Regional Championships. (Res.16-19)
  - h. Only one 9-90 Fee (\$10 per horse) will be charged at concurrently run shows. (Exception: concurrently run Regional Championship classes as outlined in COMP 203.1.a. must pay a 9-90 fee of \$20 per horse per Regional Championship). (BOD 3/20) (Res. 15-07, Res. 16-19) The 9-90 fee from a vetted-out horse will be refunded to the exhibitor by the competition. (Res. 15-11)
  - i. A \$150 penalty per recording number will be assessed if the prize list is not postmarked and submitted to the AHA office within 30 days prior to the first day of the show. (BOD 11/08)
  - j. An office fee of \$100 per recording number will be assessed to a show that submits a prize list without class codes. (BOD 11/08)
  - k. The AHA office must be notified (either by hand delivery, facsimile, mail, or e-mail) of any changes and/or corrections, including, all classes added to the prize list of an AHA Recognized Show (includes adding classes to a TBA list and/or adding a TBA time slot). (Res. 13-10)
    - 1) A \$15 fee per class will be charged for classes added to an AHA Recognized Show or division after the prize list is received by AHA. Classes added to the TBA option list and TBA time slots after the prize list has been received by AHA will also be subject to the \$15 fee per class. (Res. 13-10) (BOD 3/20)
    - 2) A \$15 fee will be charged for TBA slots which were filled with an AHA recognized class code(s). The charge applies to those classes which have results reported. (BOD 3/20)
2. For recognition requirements refer to COMP 201.
3. Concurrently run classes for two different Regions are permitted but must obtain approval from each Regional Director. (BOD 11/08) (BOD 9/21)
4. For Judges and Stewards information, refer to Judges & Stewards Chapter 13. (BOD 11/08)
5. For Equitation Medal Class requirements refer to REQ 109. (BOD 11/08)
6. USEF Cross entry class rules apply to concurrently run shows. (BOD 11/08)
7. To record results properly, the prize list must clearly state which judge will officiate under which assigned recording number. Prize lists must specify the

Region for each judge. (BOD 9/21) In addition, a show using concurrently run classes will be exempt from COMP 301.16 and may run a Championship class once per judge. For additional requirements refer to COMP 301. (BOD 11/08) A competition may choose not to run all classes concurrently. It must be stated in the prize list which recording number the non-concurrent classes will be run under.

8. Results – refer to COMP 603 for complete information. (BOD 11/08).
  - a. Results must be submitted separately under the appropriate recording number.
  - b. Required lists must be submitted with the results for each judge.

## COMP 204. CUTTING RULES

### CUTTING GENERAL (BOD 11/13)

1. Show management shall appoint a representative of the Cutting contestants or a liaison, to work between contestants and show management.
  - a. Show management may hold a meeting of all contestants prior to the first go-round for the purpose of electing a representative to represent the contestants for the duration of the show. In the event the representative must leave the show for any reason, he/she shall appoint a representative in his/her place for the duration of the show. Any representative so elected or appointed must be a contestant in the contest involved.
  - b. It shall be the duty of the representative to:
    - 1) Represent all contestants with the show management and be the sole liaison with said management.
    - 2) Report if he observes any horse being abused or if there are actions by any contestant detrimental to the best interest of the Association such as the following: loud and profane language, drunkenness or being under the influence of intoxicants, use of devices not permitted by NCHA rules, disrespect to judges, or any other infraction of AHA Rules.
  - c. A representative shall have no contact or communication with a judge(s) beyond that permitted to any contestant.

### CUTTING PROTESTS (Res. 12-13)

2. Any Cutting Horse protest procedures not handled at an AHA Recognized Competition by the show Steward will be eligible for filing at the AHA office.
3. Such filings will be handled by a three (3) member panel created by the Working Western Chair. The panel will be created from Working Western Committee Members knowledgeable of the Cutting discipline, one of which must be an active cutting exhibitor. None of the panel members may have a personal conflict with any of the individuals involved with the protest filing.
4. Protest will be:
  - a. Filed within 48 hours from the end of the competition.
  - b. Must be signed by the protestor and submitted to AHA with a non-refundable \$100 filing fee.
  - c. Action to resolve the protest will start within 15 days of receipt. Upon receipt of the properly filed protest, the Working Western Chair will be contacted by the AHA office in order to create the 3-member panel.
  - d. If the panel is unable to resolve the protest within 30 days of receipt, a letter stating the status of the protest shall be sent by the panel to the protester. A letter shall be sent every 30 days thereafter until the protest is resolved.
  - e. The panel reserves the right to designate an individual in order to forward any protest to the Probable Cause Panel that is deemed as violating the AHA Code of Ethics found in ETHICS 104.

## COMP 205. REGIONAL RULES

1. A minimum of two AHA Recognized Shows are encouraged to be held within each Region each year in order to hold a Regional Championship Show.
2. If the Region does not sponsor its own Regional Championship Show, applications for the Regional Championships by any member association or any qualified organization will be considered by the Regional Board of Directors.

3. A Regional Show or the show holding the offsite Regional Championship classes must be a USEF or an EC Recognized Show and must be recognized by AHA. Regional offsite shows are required to pay \$50 per offsite show recognition. (BOD 8/14)
4. A Region may hold Regional Championship classes WITHIN its Region provided it is approved by the Director of that Region.
5. A Region may hold Regional Championship classes OUTSIDE its Region provided it is approved by the Director of the Region in which those classes are to be held and the Director of the Region that is making the request.
6. All AHA Regional Shows must submit a Show Recognition Application for AHA recognition for the next year's Regional Show. The Show Recognition Application must be received by the AHA office prior to the closing date of the AHA Annual Membership Convention. If the Show Recognition Application is not received by this date, a \$100 fine will be assessed to the Region. (It is the responsibility of the Regional Director to ensure that the application is submitted by this date).
7. It is required that all AHA Regional Shows send a tentative list of classes to be received in the AHA office for comments not less than 120 days prior to the first day of the Regional Show.
8. At least three weeks prior to the start of a show with Regional Championship classes, a Certificate of Insurance must be furnished to the AHA office. It should be effective for each day of the competition, naming AHA as added insured to the general liability policy with minimum limits of \$1,000,000 for bodily injury and property damage. The general liability policy shall not contain an exclusion of claims from show participants. If the Certificate of Insurance is not submitted to AHA three weeks prior to the start of a show with Regional Championship classes, a \$100 fine will be assessed. Any additional cost for adding AHA as additional insured to their respective insurance policies shall be shared equally between AHA and the respective organizations putting on the show.
9. At the option of Show Management, post entries may be accepted for horses qualifying prior to closing date of entries.
10. If the Regional Championship classes are held in conjunction with a regular show, they must be held separate from those classes that are the same type at that show. A horse may cross-enter the same type of class (Breeding and In-Hand or Performance) provided the same judge does not adjudicate both classes. (Res. 37-77). The exception to this shall be the Cutting Horse, Reined Cow Horse and Working Cow Horse judges. (BOD 3/09)
11. Any two (2) Regional Championship Competitions, Pacific Slope, East Coast Championship, Eastern Canadian and Western Canadian Breeders Championships Competitions are allowed to combine their Regional Championship Competitions. This combined Regional Championship would be: (Res. 17-19)
  - a. Governed and managed by the two (2) competitions involved.
  - b. Each class having one (1) set of judge/officials(s), one (1) set of awards, and one (1) set of National qualifications.
  - c. Entries would be qualified for the Combined Regional Championship Competitions, Pacific Slope, East Coast Championship, Eastern Canadian and Western Canadian Breeders Championships competitions by their participation in qualifying show(s). (BOD 9/21) See Chapters 16 CTR and 17 Endurance for regional qualifications.
  - d. May be used for Regional, Pacific Slope, East Coast, Eastern and Western Canadian Breeders "Main ring" shows, Regional, Pacific Slope, East Coast, Eastern and Western Canadian Breeders Sport Horse shows and/or Regional Distance Rides (Endurance and/or CTR).
  - e. Requires approval from each of the Regional Directors within a combined Regional Championship Competition, Pacific Slope, East Coast Championship, Eastern Canadian and Western Canadian Breeders Championships.

#### **PACIFIC SLOPE CHAMPIONSHIPS, EAST COAST CHAMPIONSHIPS, EASTERN AND WESTERN CANADIAN BREEDERS' CHAMPIONSHIPS**

12. For the purpose of qualifications for the Nationals and AHA Programs, Pacific Slope Championships, East Coast Championships, Eastern and Western Canadian Breeders Championships will have the same status, rules and

- membership rules as Regional Championship Shows (**Exception:** Yearling Sweepstakes classes at National Shows). See COMP 205.11 for combined Championships. (Res. 17-19)
13. Show application forms must be received by the AHA office prior to the closing date of the AHA Annual Membership Convention. If the show application form is not received by this date, a \$100 fine will be assessed to the show.
14. These shows may modify classes offered, as determined by their respective Show Committees without any further approval being required.
15. Must follow Regional Scoring Rules.
16. Are exempt from COMP 302.4 & REQ 102.2.
17. Pacific Slope Championship and East Coast Championship Shows must obtain the same insurance coverage as Regional Championship Shows and provide Certificate of Insurance three weeks prior to the start of the show with AHA named as an added insured. (COMP 205.8)

#### **COMP 206. NATIONAL RULES**

1. The National Championship classes shall be conducted pursuant to rules adopted by the Board of Directors of the AHA.
2. All National Championship Shows must be recognized by the USEF and/or recognized by the EC. **The responsible party for the Canada's National Arabian Championship shall complete an application for recognition and pay the appropriate recognition fee prior to the AHA Annual Convention for the following years show. The AHA Board of Directors is the body which may grant recognition at the November board meeting.**
3. Qualification issues will be resolved by the AHA Competitions Department with the cooperation of all National Show Commissioners.
4. Classes may be added or suspended from the National Championship Shows upon the recommendation of the National Show Commissions.
5. Authority to make arrangements to hold National Championship classes shall be vested in the respective National Championship Show Commissions in accordance with the guidelines set forth by the National Shows Directorate.
  - a. The U.S. Show Commission and AHA Board of Directors make every effort to continue to have the U.S. Nationals in an East-West rotation (with the Mississippi River as the dividing line). (Res. 83-94)
  - b. The Sport Horse National Show Commission shall consider returning to a rotation of an east/west location or a central location beginning with the 2024 Sport Horse National Show. (BOD 9/20)
  - c. The Mid-Summer National Show is promoted, regulated, operated, and managed by the Youth/Mid-Summer National Show Commission. (BOD 12/21)
  - d. **Canada's National Arabian Championship is promoted, regulated, operated, and managed by their own show commission which is not an official National Show Commission under the auspices of AHA.**
6. All funds of the National Championship Shows (**exception: CNAC will receive their entry funds directly**) shall be received and processed in the AHA office.
  - a. For the major events held by AHA, the AHA Treasurer or the Treasurer's designee is the AHA Event Official responsible for financial operations including collecting and depositing funds, paying event approved bills, defining account procedures, and reporting and coordinating accounting staffing requirements.
7. It shall be the duty of AHA's Board of Directors and the respective National Championship Show Commissions to assure that in each Elimination section of a class, proper ribbons are awarded to the finalist horses. The Top Ten horses shall receive Top Ten ribbons and plaques (plaques will not be awarded to Champion and Reserve Champion), and appropriate ribbons and trophies shall be presented to the National Champion and Reserve National Champion horses (**exception: CNAC shall have sole authority over their ribbons and trophies**).
8. The National Show Commissions will determine if individual prizes and awards will be presented at the National Shows. (BOD 12/03)
9. That the number of individuals (other than authorized personnel) for the Championship and Reserve Championship award ceremony photographs at each National Show be determined by the particular Show Commission and be indicated in that section (Youth/Mid-Summer, Sport Horse, **Canadian**, U.S.) of the AHA Omnibus. (BOD 3/22)

- That in the event that any of the AHA National Arabian and Half-Arabian/Anglo-Arabian Championship Shows are unable to be held, the appropriate National Commission, in concert with the AHA Board of Directors, will determine at which national event a portion of the canceled classes could be held. (Res. 16-20)

#### **COMP 207. REGISTRATION CERTIFICATE REQUIREMENTS**

- See USEF/EC Rules for complete Registration requirements for all horses and for registration requirements for horses being shown in Amateur Owner classes. Note, the owner must be recorded with the appropriate registry and must meet the family definition to compete in AO/AAO/JO classes.
- A copy of the registration certificate showing proof of ownership must be submitted with an entry form at the time of making entry. Exception: this requirement is optional if indicated as such in the prize list. (Res. 3-17)
  - In the case of a lessee, pending transfer, contract of sale, or cash sale, a copy of the registration certificate must be submitted, along with proof of lease or ownership and these documents must be attached to the copy of the registration certificate and must contain the correct registered name and number of the horse, date, and the signature of the seller or lessee. This requirement is good only for Open and AT/AAT/JT classes.
- Horses must be registered in order to have results recorded. (Refer to COMP 603.4.f.)

#### **COMP 208. MEMBERSHIP/SINGLE EVENT MEMBERSHIP REQUIREMENTS**

For complete membership requirements refer to the Membership Chapter.

- Each participant at an AHA Recognized Show or Event, AHA Regional and National Championship Show (including CNAC) Division or Event must submit:
  - A copy of a valid AHA Competition Card. Exception: this requirement is optional if indicated as such in the prize list. (Res. 3-17)
  - Join AHA (Membership with Competition Card) at the show, or
  - Pay a \$40 Single Event Membership fee per person (local shows only).
  - At AHA local recognized shows, any agent who signs for the owner must be a member of AHA or pay the AHA Single Event Membership. For Regional and National shows the agent who signs for the owner must be an AHA member with a Competition Card.
  - Owners must be AHA members with a Competition Card. AHA Single Event Memberships may be obtained only at AHA local recognized shows.
- Single Event Membership is not acceptable at Regional or National Shows, Rides, or events. (Refer to MEM 105, Res. 5-02)
- Exhibitors in Leadline classes or Parents or Guardians signing for minors are exempt from AHA Membership requirements (Refer to MEM 105, Res. 4-99).
- Exhibitors in 10 & Under Walk-Trot/Jog/Showmanship classes are exempt from AHA Membership requirements at Local Shows but must have an AHA Membership with Competition Card at Regional and National Shows. (Note: exhibitors in the 11 & Over Walk-Trot/Jog/Showmanship classes are NOT exempt from this rule at Local Shows)
- Exhibitors in Western Dressage classes must be an active member of WDAA or pay a non-member fee. Refer to the USEF WD chapter for "Participation in Western Dressage Competitions".

#### **COMP 3 - PRIZE LIST REQUIREMENTS**

##### **COMP 301. GENERAL PRIZE LIST REQUIREMENTS**

A rough draft copy of the prize list may be sent to the Competitions Department for comments prior to final printing. See the USEF or EC Rule Book for complete rules concerning prize lists.

- The AHA Show Number and sponsoring organization must be included on the AHA Recognition Page in both the prize list and program of any AHA Recognized Show. AHA will not publish or promote any show dates in AHA's publication or on the AHA website, which are not AHA Recognized unless prior approval has been received from the AHA BOD. Shows are not to print their prize list or advertise their show as being AHA Recognized until actual notice of recognition along with their AHA Show Number has been received. There will be a firm warning for a first offense, and a fine of \$100 for any violation thereafter.

- The prize list should be submitted to AHA at the earliest possible date, however, if the prize list is not postmarked and submitted to the AHA office within 30 days prior to the first day of the show, a \$150 penalty will be assessed. All shows offering cutting classes must publish the intent to jackpot entry fees to the exhibitor or not, the portion, if any, and any entry fees that will be retained by show management, and the amount of added money for each class within the official prize list. This information is to be finalized at least 30 days prior to the first day of the show. Any changes to the added prize money after the official prize list is publicized must be done as an addendum and notification must be sent to exhibitors via email and/or mail and must be posted on the competition's website (if applicable). Notification must be done at least 15 days before the published close of entries or 30 days before the first day of the show, whichever is deemed the earliest date. (BOD 11/15)
- The prize list (and program if applicable) must state the Region(s) for which the show qualifies regardless of geographical location. (Res. 10-74)
- Fees charged, including AHA, USEF and EC must be fully disclosed. (BOD 11-11)
- All AHA Recognized Shows employing more than one judge must indicate in their prize lists which type of classes each judge will adjudicate. Examples of type: Stallion Breeding and In-Hand, Native Costume, Western, English, etc. (Res. 30-76)
- Any AHA Recognized Show that employs more than one judge to adjudicate a class must use one of the scoring systems listed in the AHA Handbook. The system that is selected must be printed in the prize list for the show.
- The terminology "Half-Arabian/Anglo-Arabian" must be used instead of the abbreviated form of Half/Anglo Arabian.
- The current AHA Show Recognition Page, with show number, must be included in the prize list. A camera-ready page is available from the AHA office and/or AHA website.
  - The AHA Commissioner's title and mailing address shall be contained in all competition publications. (Res. 5-90)
- The prize list should note prominently that copies of the following must be submitted at the time of entry:
  - The horse's registration certificate (Exception: see COMP 207.2)
  - AHA Competition Cards (Exception: see COMP 208.1.a)
- The following membership statement must appear in bold type in a prominent place:

#### **AHA MEMBERSHIP STATEMENT**

**EACH COMPETITOR (THIS INCLUDES AT LEAST ONE RECORDED OWNER AND ALL TRAINERS, DRIVERS, RIDERS, HALTER HANDLERS, COACHES AND THE PERSON(S) SIGNING THE ENTRY BLANK) IN AN ARABIAN HORSE ASSOCIATION RECOGNIZED ARABIAN DIVISION, AT THE TIME OF ENTRY INTO A COMPETITION, MUST SUBMIT A COPY OF A VALID AHA MEMBERSHIP/COMPETITION CARD, JOIN AHA OR PAY AN AHA SINGLE EVENT MEMBERSHIP FEE PER COMPETITOR.**

**WHEN AN AGENT SIGNS FOR THE OWNER AT AN AHA RECOGNIZED COMPETITION, BOTH THE OWNER AND AGENT MUST BE MEMBERS OF AHA OR PAY THE AHA SINGLE EVENT MEMBERSHIP.**

**SINGLE EVENT MEMBERSHIP IS NOT ACCEPTABLE AT AHA REGIONAL OR NATIONAL COMPETITIONS.**

**EXHIBITORS IN 10 & UNDER WALK-TROT CLASSES ARE EXEMPT FROM AHA MEMBERSHIP REQUIREMENTS AT QUALIFYING COMPETITIONS BUT MUST HAVE AN AHA MEMBERSHIP WITH COMPETITION CARD AT AHA REGIONAL AND NATIONAL COMPETITIONS.**

**EXHIBITORS IN LEAD LINE CLASSES OR PARENTS/GUARDIANS SIGNING FOR MINORS ARE EXEMPT FROM AHA MEMBERSHIP REQUIREMENTS. (BOD 5/12)**

- All classes must be listed and coded on the class list and/or the tentative class schedule. An office fee of \$100 will be assessed to shows that submit prize lists without class codes. (BOD 2/04), and \$100 per recording number for Concurrent Class/Judge shows (BOD 11/08).
- AHA recognized classes must be chosen from the AHA Class List.

13. Classes will not count unless they use AHA Approved Scoring Systems or receive dispensation (Refer to COMP 507 – DISPENSATION)
14. Judges and Stewards must be from the AHA Recognized Judges and Stewards List. (**Exception:** Refer to Judges & Stewards Chapter 13)
15. Regional, National, and all AHA Recognized All-Arabian Shows must accept AHA's Standardized Entry Form for their show entries if presented. (Res. 51-89)
16. Only one Championship class with the same title may be held at an AHA Recognized Show/Division. **When entries warrant, the class is to be sectioned and run as a final Championship.** Any show holding more than one Championship class with the same title will be fined \$250. (BOD 6/92) A Concurrent run show is exempt from this rule.
17. The AHA office must be notified (either by hand delivery, facsimile, mail, or e-mail) of any changes and/or corrections, including, all classes added to the prize list of an AHA Recognized Show (includes adding classes to a TBA list and/or adding a TBA time slot). (Res. 13-10)
  - a. A \$15 fee per class will be charged for classes added to an AHA Recognized Show or division after the prize list is printed (excludes TBA classes listed in the prize list). Classes added to the TBA option list and TBA time slots after the prize list has been printed will also be subject to the \$15 fee per class. (Res. 13-10) (BOD 3/20)
  - b. A \$15 fee will be charged for TBA slots which were filled with an AHA recognized class code(s). The charge applies to those classes which have results reported. (BOD 3/20)
18. Arabian, Half-Arabian/Anglo-Arabian Dressage classes must be named in the recognized list of classes to be included in the show results of an AHA Recognized Show. No Dressage qualification enrollment fees will be required for these entries.
  - a. Dressage classes that are open to mixed breeds must be listed separately in the prize list as an Open Dressage Show not recognized by AHA. If the Arabian, Half-Arabian/Anglo-Arabian Dressage classes are included in the Open Dressage Show and are not listed within the recognized classes, these entries will be required to enroll in the Dressage qualification program and send in their Dressage Report Form along with the Open Dressage Show entries.

**NOTE:** See USEF rules governing the rating requirements to qualify for USDF Regional Championship Classes.

## **COMP 302. REGIONAL PRIZE LIST REQUIREMENTS**

Refer to COMP 301 for general information needed in the Regional prize list.

1. Regional Championship classes in each designated Region, shall be determined by the Region and may include Regional Championship classes that do not correspond with classes offered at the U.S. National Show. (Refer to QUAL 105) TBA classes may be offered at Regional Championship Shows. (**Exception:** Sweepstakes mandatory classes must be held and may not be offered as TBA's, Sweepstakes exempt classes may be TBA's – refer to COMP 302.4 for list) (BOD 8/11)
2. A Regional Show may hold its specialty classes in another Region if that show designates in its prize list the Region for which the specialty classes qualify.
3. Regional prize lists should include all off-site Regional Championship classes, their location, date, judges, and contacts.
4. All Sweepstakes classes must be offered in the Regional Show's prize list or that show must pay a \$500 fine per class not offered (**Note:** The Reining / Trail / Working Cow / Cutting / Sport Horse Under Saddle / Dressage / Working Hunter are exempt from this rule – See SWP 118). Exempt Sweepstakes classes added as TBA's are ineligible for Sweepstakes prize money payout (refer to SWP 116.3).
5. Regional prize lists must contain a statement that an AHA Competition Card and Regional qualifications are required for Regional Championship classes. Single Event memberships are not permitted.
6. AHA class codes must be used. Use the championship class codes for Regional Championship classes.

7. Regional prize lists must state whether a full Top Five or 50% Top Five will be given (Refer to REQ 201.2). If awarding a full Top Five, then the following statement must be included in bold type:

**"Top Five Awards will be made regardless of the number of entries in a class. However, only awards made to the top 50% of the class (rounded up and not to exceed 5 awards) will receive Arabian Breeders Sweepstakes payout, AHA Horse Achievement Award, Amateur Achievement Award (Adult and Youth), and High Point Horse and High Point Amateur Achievement Award points."**

## **COMP 303. NATIONALS PRIZE LIST REQUIREMENTS AND ENTRY CLOSING**

1. Refer to COMP 301 & 302 for general information needed in the National Prize List in addition to the following:
  - a. The names and locations of the National Championship Show Commission.
  - b. The names of the judges who will judge National Championship classes.
  - c. All National Shows must designate which current health documentation is required for their show.
2. Classes from the AHA Class List must be used. (Refer to the online AHA Class List)
3. The time frame for the close of entries for National Shows is addressed by the guidelines set forth by the National Shows Directorate (**exception: CNAC**) and will be listed in the AHA Omnibus for each specific National Show. (BOD 3/07)
4. Prize money will be paid to the recorded owner of the horse at the time of the show. If a horse is sold and the transfer date is during the dates of the show, the prize money will be issued to the new recorded owner of the horse. Checks for prize money shall be mailed within 60 days after completion of the show. See SWP 117, FUT 105 and PERF 112 for prize money deadlines.
5. The Social Security Number or Federal Taxpayer Identification Number must be on file with AHA before prize money is released. If this information is not on file or is not provided within 120 days after notification, all prize money will be forfeited. Foreign residents winning prize money at an event held in the United States will be subject to income tax of 30% per Internal Revenue Service regulations. This amount will be withheld from total winnings. To obtain more information on this matter, contact the Internal Revenue Service as the Arabian Horse Association is not able to render advice on matters of taxation. In addition, if a prize money check is issued and not presented for payment by **December 31** of the year following the year in which the prize money was won, the prize money shall be forfeited. **Payout for any prize money payout won at Canada's National Arabian Championship will be the sole responsibility of that Show Commission.**

## **COMP 4 - ENTRY FORM REQUIREMENTS**

### **COMP 401. ENTRY FORMS - GENERAL**

See the USEF or EC Rule Book for complete rules concerning entry forms.

1. Must clearly state name and address of Show/Entries Secretary.
2. Must provide space for AHA membership numbers of at least one owner, all riders, drivers, Breeding and In-Hand handlers, and trainers. Provide space for AHA Single Event Membership fee. Also provide space for all signatures.
3. Must provide space for the horse's registered name and number.
4. Itemize all entry and exhibitors' fees. A Judges and Stewards Educational Fee of \$5.00 per horse entered will be charged at all AHA Recognized Shows. (BOD 11/12) (Refer to Regional and Nationals Entry Form Requirements for J & S fee) These fees must be submitted along with the show results to the AHA office. (Res. 9-90) (Res. 32-15) One Judges and Stewards Educational Fee will be charged for any competitions holding concurrently adjudicated classes in a single arena with the identical AHA class code. (Res. 15-07) The 9-90 fee from a vetted-out horse will be refunded to the exhibitor by the competition. (Res. 15-11)
5. Include AHA disclaimer statement of show liability. The AHA disclaimer statement should be of the same size printing type as required by USEF.

### **COMP 402. REGIONAL ENTRY FORM REQUIREMENTS**

1. Refer to COMP 401 for general information needed on the entry forms of a Regional Show. (Refer to COMP 208 for membership requirements)

2. Regional Shows must provide an AHA approved form or a place on their entry blank for each regional class entry to list the show, placing, participation, number in class, class title, date, and location of their qualification for that regional class.
3. A Judges and Stewards Educational fee of \$20 (BOD 3/20) per horse entered will be charged at the Regional Championship Show and must be submitted along with the show results to the AHA office. (Res. 9-90) The 9-90 fee from a vetted-out horse will be refunded to the exhibitor by the competition. (Res. 15-11)

#### **COMP 403. NATIONAL ENTRY FORM REQUIREMENTS**

1. Refer to COMP 401 & 402 for general information needed on the entry forms of a National Show. (Refer to COMP 208 for membership requirements)
2. A Judges and Stewards Educational Fee of \$25 per horse entered will be charged at the National Championship Shows (**including CNAC**). (Res. 9-90) (BOD 3/20) The 9-90 fee from a vetted-out horse will be refunded to the exhibitor by the competition. (Res. 15-11)
3. MOS (Res. 37-07) scoring operations and training programs will be funded with a charge of \$15 per horse per National Show (**CNAC is exempt**). (BOD 2/15)
4. **An AHA \$10 per horse fee (payable in U. S. Funds) will be charged at the Canada's National Arabian Championship and will be remitted with show results.**

#### **COMP 404. AHA TERMS & CONDITIONS FOR ENTRY & RELEASE, WAIVER AND INDEMNIFICATION AGREEMENT**

##### **AHA ENTRY AGREEMENT**

I have read the rules concerning competitions as printed in the Arabian Horse Association® (AHA®) Handbook and Directory and agree to be bound by and subject to those Rules.

##### **AHA ASSUMPTION OF RISK, RELEASE, AND INDEMNIFICATION**

**This document waives very important legal rights. Read it carefully before signing.**

In consideration for AHA permitting me to participate in this Competition, and by signing the entry blank, I agree as follows:

**I AGREE** that I choose to participate voluntarily in this Competition, as a rider, driver, handler, leasee, owner, agent, coach, trainer, junior exhibitor, or as a parent or guardian of a junior exhibitor. **I AM FULLY AWARE AND ACKNOWLEDGE THAT HORSE SPORTS AND PARTICIPATION IN THIS COMPETITION INVOLVE SERIOUS RISK OF HARM INCLUDING, BUT NOT LIMITED TO, RISKS OF ACCIDENT, SERIOUS BODILY INJURY, INCLUDING DEATH, BROKEN BONES, HEAD INJURIES, TRAUMA, PAIN AND SUFFERING, AND PROPERTY DAMAGE. I ASSUME ALL RISKS OF HARM TO ME, MY HORSE, OR MY PROPERTY.**

**I AGREE** as a Horse Show Participant (or Parent/Guardian of Participant if a minor) to waive all claims which may otherwise arise from, including but not limited to infectious bacteria, viruses, fungi/mold, parasites or other agents which may be present at the Horse Show (and most other outdoor locations) and can cause infection in humans, as well as in animals.

**I AGREE** for myself, my heirs, executors, administrators, successors and assigns to release AHA, the Competition, the facilities leased by the Competition and the owner(s) of the facilities, and all of their respective officers, officials, directors, employees, agents, personnel, volunteers, affiliated organizations and insurers (collectively, the "Released Parties") from any and all claims for damage, loss, or injury to myself, other persons, horses or other property belonging to me to the fullest extent permitted by law that arises out of or relates in any way to the Competition and my participation in the Competition **INCLUDING, BUT NOT LIMITED TO, DAMAGES, LOSS, OR INJURY RESULTING FROM ANY ACTS, FAILURE TO ACT, NEGLIGENCE OR NEGLECT OF OTHER ENTRANTS, THE RELEASED PARTIES, THEIR CONTRACTORS OR INVITEES**, as well as for theft, vandalism, fire, other casualty damage, or damage arising out of any defects in the premises.

**I AGREE to indemnify and hold harmless** (that is pay all losses, damages, attorneys fees and costs of) the Released Parties from and against any and all claims, demands, penalties, actions, losses, costs, damages, injuries, liabilities and obligations (including attorney's fees) of whatsoever kind and nature, which may be asserted against or incurred by any of them as a result of (1) my participation in the Competition or (2) any act, failure to act, or neglect (a) by me, my agents, employees,

riders, handlers, trainers, coaches, drivers, contractors or invitees, or (b) by any animal owned or exhibited by me or in my custody or control.

**I AGREE and represent** that I am qualified and eligible to enter and/or participate in the Competition, and every horse I am entering is qualified and eligible as entered.

**I AGREE to accept AS FINAL** any decision of AHA, the Show Commission or Show Officials concerning my qualification or the qualification of my horse to enter the Competition or any results of the Competition, except to the extent that the Rules of AHA, the Competition, Equestrian Canada or U.S. Equestrian Federation permit a protest or hearing of such decisions. Should a hearing be requested, **I agree to accept AS FINAL** the decision of the particular hearing body. **I agree to release, hold harmless and not to sue** AHA, the Competition Sponsor, their officers, directors, employees, volunteers or members concerning any decision of AHA, the Competition, its Show Commission, Show Officials or any hearing body that relates to my qualifications or my horse(s)' qualifications to enter the Competition or any results of the Competition.

**I AGREE** that AHA has the sole right to control, sell, supervise or give away (or assign to others the right to do so) the exclusive rights to broadcast, televise, reproduce, transmit and disseminate all or part of this event, and I agree that AHA may use or assign, in any way AHA sees fit, photographs, films, videos, audios, cablecasts, or other likenesses of me and my horse taken during the course of the Competition for the promotion, coverage or benefit of the Competition or AHA. Those likenesses shall not be used to advertise a product and they may not be used in such a way which implies endorsement of any company, product, product category or service. I hereby expressly and irrevocably waive and release any rights in connection with such use, including any claim to compensation, invasion of privacy, right of publicity, or to misappropriation.

By signing below as a parent or guardian of a junior exhibitor, I consent to the child's participation and agree to all of the above provisions and further agree to assume all of the obligations of this AHA Assumption of Risk, Release and Indemnification personally and on behalf of the child.

**This AHA Assumption of Risk, Release and Indemnification** is governed by the Laws of the State of Colorado and is intended to be interpreted as broadly as possible. I agree that exclusive jurisdiction and venue (place) for any legal action against AHA, its officers, directors, employees, volunteers, or agents shall be in the local district courts or the federal court of the State of Colorado. If any part of this agreement is determined to be unenforceable, all other parts shall remain in effect.

#### **COMP 5 - APPROVED SCORING SYSTEMS**

##### **COMP 501. SINGLE JUDGE SYSTEM**

Using this system, all classes will be judged by one judge, and those placings shall prevail.

##### **COMP 502. TWO JUDGE TOTAL POINT/SCORE SYSTEM**

1. Using this system, all classes will be judged by two judges. These two judges are designated as the Number One Judge and the Number Two Judge in the prize list.
2. Each judge will judge independently.
3. Scores from both judges will be added together to give the total score for that horse. The horse with the highest total score will be named Champion; the horse with the second highest total score will be named Reserve Champion, etc., until all placings have been filled. (Refer to REQ 212 for Sport Horse In-Hand and Under Saddle)
4. The Number One Judge will call the gaits in all performance classes.
5. The judges' cards will be posted.
6. In the event of a tie on the total for Champion, Reserve, or the last place of the placings, the Number One Judge's card will be consulted to break the tie. The horse placing highest on the Number One Judge's card will place above the other horses in the tie. For purposes of breaking ties, a horse appearing on the Number One Judge's card places above those not on the other card.

##### **COMP 503. THREE JUDGE TOTAL POINT SYSTEM**

1. Using this system, all classes will be judged by three judges. These three judges are designated in the prize list as the Number One Judge, the Number Two Judge, and the Number Three Judge.
2. Each judge will judge independently.

3. Each placing will have a point value as established by the Show Committee. Scores earned by each horse from all judges will be added together to give the total score. The horse with the highest total score will be named Champion; the horse with the second highest score will be named Reserve Champion, etc., until all placings have been filled.
4. The Number One Judge will call the gaits in all performance classes.
5. The judges' cards will be posted.
6. In the event of a tie on the total score for Champion or Reserve to the last place, the Number One Judge's card will be consulted to break the tie. The horse placing highest on the Number One Judge's card will place above the other horses in the tie. If the tied horses do not appear on the Number One Judge's card, the Number Two Judge's card will be used to break the tie in the manner described above. The Number Two Judge's card will be used to break ties only after all possible ties have been resolved using the Number One Judge's card. For purposes of breaking ties, a horse appearing on a judge's card places above those not on the other cards.

#### **COMP 504. THREE JUDGE SYSTEM**

1. Using this system, all classes will be judged by three judges. These three judges are designated as the Number One Judge, the Number Two Judge, and the Number Three Judge in the Prize List.
2. Each judge will judge independently.
3. Each placing will have a point value according to the Three Judge System. Scores earned by each horse from all three judges will be added together to give the total score for each horse. The Champion and Reserve Champion will be selected as follows:
  - a. Only the first-place horse on each of the three judge's cards will be a candidate for Champion. If the same horse appears first on two or more of the judge's cards, that horse will be named Champion. If different horses appear on each of the three judge's cards, the one with the highest total points will be named Champion. Any tie with the points will be resolved by the placing on the number one judge's card, and then on the number two judge's card, and then on the number three judge's card as circumstances dictate under this system.
  - b. After the Champion has been determined, the remaining first place horses, and the horse or horses placing second below a first place for the Champion on a judge's card used for the Champion placing, are the only candidates for Reserve Champion. If the same horse appears first on a judge's card and second below a first place for the Champion on a judge's card used for the champion placing (or two or more second placings as herein described), such horse will be named Reserve Champion. If no horse fits within the criteria stated in the preceding sentence on two or more of the judges' cards, the horse with the highest total points after the Champion has been named will be named Reserve Champion. Any tie with the points will be resolved by the fall of the cards as stated in the last sentence of a. above.
4. After the Champion and Reserve Champion have been determined, the placing of the remainder of the class will be based on points with the highest point total placing highest in the class until all placings required have been determined. Any tie with the points will be resolved by the fall of the cards as stated in the last sentence of a. above.
5. The Number One Judge will call the gaits in all performance classes.
6. The judges' cards will be posted.

#### **COMP 505. EUROPEAN JUDGING SYSTEM**

1. A European Judging System may be utilized at any AHA Recognized Show (except Regional & Nationals) if it includes the following basic elements:
  - a. Uses one to five judges. The system requires that the judge(s) scores be announced after each horse is evaluated. The placing in each class will be based on the total score for each horse. The score for type will be utilized as the first tie breaker.
  - b. The Number One Judge's card will break ties not decided by the type score. Subsequent judges' cards (in numerical order) will be utilized to break ties if the tie is not resolved by the Number One Judge's card.
  - c. This system may or may not be utilized in Championship classes.
- d. Shows may utilize a European Judging System to select Top Ten and Champion and Reserve Champion.

#### **COMP 506. MAJORITY OPINION SYSTEM (MOS) (BOD 11/95) (Res. 45-00)**

##### **Utilized for Arabian Breeds Challenge Cup (UPHA)**

1. The Majority Opinion System (MOS) is a method of scoring judges' cards for a multi-judge panel where each judge has the power of one full vote to place all competitors in a class. Final placings are scored by at least a two-thirds majority of those votes favorable to each place being awarded. All judges tie the same number of entries per class, that number depending on the number of entries in the class.
2. Using this system, all classes will be judged by three judges. These three judges and their cards are designated as Number One (A), Number Two (B) and Number Three (C).
3. The Number One (Call) Judge is appointed in rotation and the three judges rotate their positions in the ring for each class.
4. Each judge will judge independently with no conferring (except in the presence of the Ringmaster when a workout is to be called) until the cards are handed in.
5. Ties are resolved by the two-thirds majority vote opinion of the three judges.
6. The United Professional Horsemen's Association (UPHA) Arabian Breeds Challenge Cup will resolve a three-way identical tie for first place by a mandatory workout of the tied exhibitors. If the tie is not resolved after the workout, the class is determined by the Fall of the Cards.
7. The judges' cards will be posted.
8. A computer can be utilized during the show.

#### **COMP 507. ARABIAN SCORING SYSTEM (Res. 11-06)**

1. When adjudicating Breeding/In-Hand classes judges may utilize the "Arabian Scoring System". (Res. 7-17) The various attributes of the horse, which may include but are not limited to: Arabian Type and Presence, Head, Neck & Shoulder, Body & Substance, Conformation, Legs & Feet, Quality & Movement and Suitability as a Breeding Animal will be scored. There will also be a penalty category for rule infractions, poor manners, undue stress, or inhumane treatment. The horses shall be ranked based on a total numerical score. Ties for Arabian breeding classes will be broken first by the highest total Arabian Type Score, second by the highest total score for Movement, third by the highest total Conformation score, and finally by call judge's card. Ties for Arabian gelding classes will be broken first by the highest total Conformation score, second by the highest total score for Movement, and finally by call judge's card. Ties for Half-Arabians/Anglo-Arabians will be broken first by the highest total Conformation score, second by the highest total score for Movement, and finally by call judge's card. In a multiple judge system, the same tiebreakers will be used. If a tie remains the call judge's card shall break the tie. Each judge(s) scores may be announced immediately following the presentation of the horse. Scores must be posted within an hour of the end of the session in which the final section of the class was held. The "Arabian Scoring System's" composition, format and scoring procedures described herein may be modified by the AHA Education and Evaluation Commission.

#### **PERFORMANCE HALTER (Res. 10-15)**

2. Shows may use either "In the Ring" or "Exit the Ring" procedure as identified in the Halter section of the Arabian Division in USEF/EC.
3. For Purebred Performance Halter for Colt/Stallion, Filly/Mare, and Gelding classes, emphasis is placed in the following order: Athletic Structure; Breed type; Quality, Balance, and Substance; Neck and Shoulder; Back, Loin and Hip; Legs and Feet; Movement.
4. For Half-Arabian/Anglo-Arabian Performance Halter for Filly/Mare, and Gelding classes, emphasis is placed in the following order: Athletic Structure; Quality, Balance, and Substance; Neck and Shoulder; Back, Loin and Hip; Legs and Feet; Movement.
5. See the Subchapter for Performance Halter Classes in the Arabian Division of USEF/EC for additional requirements.
6. Ties in Performance Halter classes will be broken using the method described in COMP 507.1 for Arabians and Half-Arabians/Anglo-Arabians.

## **COMP 508. REGIONAL SCORING SYSTEMS**

1. All Regional Championship Shows, Pacific Slope Championship and East Coast Championship are required to use the AHA Approved Majority Opinion System (MOS) Scoring System in all applicable classes. (COMP 506) (Res. 45-00, Res. 31-07) Exception; Breeding/In-Hand classes, Regional Horse Shows that had 250 horses or less (as determined from the previous competition year) may choose to use Two (2) Regional/National level judges utilizing the Two Judge Total Point/Score System of scoring (COMP 502) and Regional Horse Shows that had 150 horses or less (as determined from the previous competition year) may choose to use One (1) Regional/National Judge. (Res.49-12) (Res. 7-17)
2. Working Hunter, Hunter Hack, Jumper, Hunter Seat Equitation Over Obstacles, Hunter Seat Equitation Not to Jump, Dressage, Dressage Seat Equitation, Reining Horse, Reining Seat Equitation, Cutting, Working Cow, Trail, Sport Horse, Ranch Horse-scored (Ranch Rail requires MOS) classes are exempt from using Majority Opinion System (MOS) scoring. Regional Championship Shows that had 250 horses or less (as determined from the previous competition year) are exempt from using Majority Opinion System (MOS) scoring. (Res. 45-00, Res. 31-07) (BOD 5/02) (Res. 49-12)
3. With the exceptions of COMP 508.1 and 508.2 all other Regional Championship classes, Pacific Slope Championship classes and East Coast Championship classes must use three judges (Res.45-00, Res. 31-07). Eligibility for a Regional Show to utilize the Two Judge or One Judge would be based on the horse numbers from the previous year's Regional Show. (Res. 49-12)
4. AHA shall train and supply to the Regional Shows, technicians proficient in the use of the Majority Opinion System (MOS) (Res. 45-00) to score the shows. With the exceptions outlined in COMP 508.1 and 508.2, Show Management may elect to combine the duties of scorer with the duties of Ring secretary and/or announcer. (Res. 49-12)
5. Breeding/In-Hand classes at the Regional level may utilize the scoring systems outlined in COMP 501, 502, 504, 506 or 507. If the "Arabian Scoring System" is utilized, the final placings for Champion, Reserve Champion and Top Five will be determined by the Majority Opinion System (MOS). The score cards will be turned into placings and in turn run through the Majority Opinion System (MOS) to determine the final outcome of the Championship class. (Res. 11-06) See REQ 215 for class procedure for comparative judging. (Res. 7-17)
6. Performance halter horses will be judged using the Arabian Performance Halter Score Card. See REQ 216 for Regional Procedure.

## **COMP 509. NATIONAL SCORING SYSTEMS**

1. All National Championship Shows (**including CNAC**) are required to use the AHA approved Majority Opinion System (MOS) (Res. 45-00, Res. 31-07) Scoring System in all applicable classes. (COMP 506)
2. Breeding/In-Hand (Res.11-06), Performance Halter, Working Hunter, Hunter Hack, Jumper, Hunter Seat Equitation Over Obstacles, Hunter Seat Equitation Not to Jump, Dressage, Dressage Seat Equitation, Reining Horse, Reining Seat Equitation, Cutting, Reined Cow, Working Cow, Trail, and Sport Horse (BOD 11/01) classes are exempt from using the Majority Opinion System (MOS) (Res. 45-00, Res. 31-07) (BOD 5/02) Exceptions: Performance Halter – when utilizing a three-judge panel. (BOD 12/20)
  - a. Breeding/In-Hand classes will use the Majority Opinion System (MOS) or the Three Judge System. For comparative judging, see REQ 215 for class procedure. (Res. 7-17)
  - b. For Performance Halter, see COMP 508.6 and adjust for a Top Ten placing.
3. AHA shall train and supply the National Shows technicians who are proficient in the use of the Majority Opinion System (MOS) (Res. 45-00, Res. 31-07) to score the shows.
4. When utilizing a five-judge panel, all Breeding/In-Hand classes judges will use the "Arabian Scoring System" in the qualifying sections. See USEF/EC rules. For the final comparative Breeding/In-Hand Championships, judges' individual score or placing cards will be given to show management for tabulation. Final placing shall be determined by combining all five judge's cards using the table below: (Res. 7-17)

1<sup>st</sup> place = 120   4<sup>th</sup> place = 78   7<sup>th</sup> place = 45   10<sup>th</sup> place = 21

2<sup>nd</sup> place = 105   5<sup>th</sup> place = 66   8<sup>th</sup> place = 36

3<sup>rd</sup> place = 91   6<sup>th</sup> place = 55   9<sup>th</sup> place = 28

- a. In the event of a tie, Judge no. 1 is the first tiebreaker; if judge no. 1 did not use any of the tied horses, then judge no. 2 becomes the tie-breaker judge; if judge no. 2 did not use any of the tied horses, then judge no. 3 is used, etc., until the judge is found that used at least one of the tied horses.
- b. This method, and only this method, is used to break all ties in the Breeding/In-Hand finals.
- c. For comparative judging, see REQ 215 for class procedure.

5. High/Low Elimination System (Res. 23-03): The Reining Horse and Trail Horse Classes at the U.S. National Championship Show will use the High/Low Elimination System to score each class. The High/Low Elimination System **may** be used for Breeding/In-Hand and cattle classes when using the Arabian Scoring System at the U.S. **and Canadian** National Championship Shows to score each class. (BOD 11/08) (Exception 609.6)
  - a. One high score and one low score from the judges of each class will be eliminated (Reined Cow is a total of both phases) and the three remaining scores will be totaled to determine the placings in the class.
  - b. To offset the cost of the additional two judges, a Judge's Fee may be assessed to each entry determined by the U.S. National Show Commission. The Working Western Committee will provide input for the judge's fee on the Working Western classes.
6. The method of scoring Breeding/In-Hand will be designated in the Omnibus for each National Championship Show. (Res. 7-17)

## **COMP 510. DISPENSATION**

1. Dispensation: (Res. 20-13, Res. 7-17)
  - a. That any AHA Recognized Competition (with the exception of Regionals and Nationals) may be able to apply for dispensation from using the Scoring Systems in COMP 501 through 507.
  - b. That said competition applying for dispensation must state which Scoring System they wish to use.
  - c. That the application must be submitted to the AHA office 60 days prior to the start date of the competition (to be on an official form that includes a check list). The application must state compelling reasons for dispensation for the competition and/or AHA. The Competition Advisory Committee, in conjunction with the Sr. Director of Competitions and the Judges & Stewards Commissioner, will review the application and verify all necessary requirements have been fulfilled to warrant approval. Should dispensation be disapproved, the competition may appeal to the AHA Executive Committee.
  - d. If another system is granted (not found in the AHA Handbook) those judging rules must be included in the competition's prize list.
  - e. In the event that any comparative judging system is used, the procedure in REQ 215 must be adhered to.
  - f. Dispensation shall be done on a competition-by-competition basis and approval of one year does not guarantee future approvals for said competition. Any competition who files an application and is denied dispensation but uses any other method of adjudication and not those found in COMP 501 through 507, will not have their Breeding/In-Hand classes recognized/recorded by AHA.

## **COMP 6 - SHOW REPORTING**

### **COMP 601. EVALUATION FORMS (BOD 11/24)**

1. Competitions are required to make available Confidential Evaluation forms for exhibitors to submit to AHA.
2. Competitions may find the form available on the AHA website: [https://www.arabianhorses.org/export/content.export/judges-stewards/judges/Form\\_JudgesRating.pdf](https://www.arabianhorses.org/export/content.export/judges-stewards/judges/Form_JudgesRating.pdf).

### **COMP 602. AHA CLASS LIST**

1. The AHA Class List will be available on the AHA website indicating correct Class Codes.

2. This list, in class sequence order, shall supersede any statements concerning designated classes that qualify for Regional and National Championship classes in the prize list.
  - a. This does not affect USEF/EC Championship eligibility.
  - b. This does not affect classes listed in the individual show's prize list requiring specific placings to "show back" into Championship classes.

#### COMP 603. SHOW & EVENTS RESULTS REPORTING

1. All COMPLETE and CORRECT Show and Event Results Reports must be received by AHA on official Results Forms (or computer print outs including all required information) and postmarked/electronic transaction dated no later than 10 calendar days after the close of the show. (Res. 7-93) Show and Event results must be sent by certified mail returned receipt or signature delivery confirmation. (BOD 11/01) Complete results include cover sheet, results, all applicable fees, membership, single event memberships, memberships paid at the show or event, including Regional Shows and events, horse, owner, rider and trainer lists and all other pertinent information.
2. All show results must be submitted electronically. Those shows that do not submit results in an acceptable electronic format will be assessed an office fee in the amount of \$50. Contact the Competition Records Department at the AHA office for complete details. You must also submit a hard copy along with your electronic transfer.
3. Shows and Events which have their results postmarked/electronic transaction dated (Res. 7-93) after 10 days will be assessed a \$50 penalty per day the results are late. (BOD 8/22)
4. It is mandatory that a Result Report Sheet be returned to the AHA office for each and every class or division in the Show or Event and must include:
  - a. Notification if it is canceled, added (according to USEF/EC rules), split, combined, etc.
  - b. The number of horses that are competing at the closing of the gate and the beginning of the class for all classes shown as a group and all those who pass through the gate in individual activity classes will determine the number of horses in each class. (BOD 8/05)
  - c. All AHA Recognized shows (excluding Nationals) must place first (1) through sixth (6) regardless of the number of awards recognized or issued. (BOD 11/06) In addition, all horses participating in the class must be reported. Participation definition: (BOD 9/21) **In addition, ALL PLACINGS given for AHA Recognized classes or divisions must be submitted if placing more than six.**
    - i. In a class where horses compete together, participated means a horse/rider/driver/handler must have been entered, shown, and judged (perform at all required gaits both ways of the ring and remain in the ring until excused by the judge). In other words, finishing the class.
    - ii. In a class where horses compete individually, participated means a horse/rider must have completed the individual requirements of the class and be eligible to receive a score.
    - iii. Horses which are excused/disqualified/eliminated/received a "no score" are not considered "adjudicated".
  - d. List 1st through 8th places on AHA Medal classes. If the judge places fewer than eight placings in an AHA Medal class, indicate this on the Class Results Sheet. (Refer to AHA Medal class requirements REQ 109 and COMP 603.4.c for participation reporting requirements)
  - e. List the total entries shown for each class or division.
  - f. List the name and registration numbers of all horses. Only horses with permanent registration numbers will be recorded. Horses shown with a pending registration number and their rider, driver, handler will not be recorded. (BOD 1/02)
  - g. List the name and AHA membership number of all owners and riders, drivers, handlers. (Refer to Chapter 7 regarding Membership.)
  - h. The Working Hunter Champion results if awarded. (See USEF/EC rules.)
  - i. For Dressage classes:
    - 1) For Dressage Walk-Trot 10 & Under through Level 3 submit all placings, participation, and percentage scores.
    - 2) For Level 4 and higher submit all placings, participation, and percentage scores.

- 3) USDF Result Report Forms will be accepted if horse registration numbers and all owner and rider AHA member numbers are included.
- j. Sport Horse In-Hand Championships and Reserve Championships will have the number in the class determined by two horses in each lead-in class to that Championship. Such as: a 2 & Under Filly class, a 3 through 5 yr. Mare class, and a 6 & Over Mare class will have 6 horses in the Championship & Reserve Championship class. A 3 & Under Stallion class and a 4 & Over Stallion class will have 4 horses in the Championship and Reserve Championship class. **Note:** If one horse qualifies in two different classes, it will only be counted once, i.e.

Class	Placing	Exhibitor #
1	1 <sup>st</sup>	101
1	2 <sup>nd</sup>	102
2	1 <sup>st</sup>	<b>103</b>
2	2 <sup>nd</sup>	104
3	1 <sup>st</sup>	<b>103</b>
3	2 <sup>nd</sup>	105

The number in the Championship would be five (5).

- k. Addresses and dates of birth of riders in Walk-Trot/Jog classes. (BOD 8/08)
- l. Report all scores of 68 and better for Working Cow, Cutting, Reining, Herd Work, Trail, Ranch Riding classes and all scores of 136 or better for Reined Cow classes.
- m. AHA Recognized Competitions holding Cutting classes will report to AHA the prize money earnings for each Arabian/Half-Arabian/Anglo-Arabian in addition to the placings. (Res. 12-13)
- n. Report all horses participating in Cutting, Working Cow, Herd Work and Reined Cow Horse classes. Participation is defined as entered, shown, and scored in that class. A zero is considered a score and a NS or no score is not considered a score. (BOD 11/17)
5. Proof of membership with a Competition Card must accompany Show/Competition results from local or regional shows when the member joins at the show. (BOD 8/04)
6. The following information must be sent, on a separate list or lists, with the show results:
  - a. Horses – A complete horse list must be submitted including exhibitor number, horse name and registration number. It is preferred that the list be in numeric order by the exhibitor number.
  - b. Owners - A complete owner list must be submitted including owner name, AHA membership number (or indicate that a Single Event Membership fee was paid "PAID", or a membership was not applicable "NOT APP"), and exhibitor number(s). It is preferred that the list be in alphabetical order by owner last name.
  - c. Riders/Drivers/Handlers – A complete rider/driver/handler list must be submitted including rider/driver/handler name, AHA membership number (or indicate that a Single Event Membership fee was paid "PAID", or a membership was not applicable "NOT APP"), and exhibitor number(s). It is preferred that the list be in alphabetical order by rider/driver/handler last name.
  - d. Trainers – A complete trainer list must be submitted including the trainer's name, AHA membership number (or indicate that a Single Event Membership fee was paid "PAID", or a membership was not applicable "NOT APP"), and exhibitor number(s). It is preferred that the list be in alphabetical order by trainer last name.
  - e. Walk-Trot/Jog Riders – A complete walk-trot/jog list must be submitted including the addresses and dates of birth for all walk-trot/jog riders. (BOD 8/08)
7. At AHA's request, a competition must furnish entry blanks, judges' cards, class sheets, or any other documents, within the time requested, to the AHA office. These records must be kept on the competition grounds during all sessions of the competition and retained for three (3) years.

**COMP 604. REGIONAL SHOW RESULTS REPORTING**

It is the responsibility of the Regional Director to ensure that all Regional class results are submitted to the AHA office as per COMP 603.

**COMP 605. CANADA'S NATIONAL ARABIAN CHAMPIONSHIP SHOW RESULTS REPORTING**

It is the responsibility of the Show Secretary to ensure that all National class results are submitted to the AHA office as per COMP 603

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- REQ 209 Equitation & Showmanship Scoring/Judging for Regionals & Nationals**
- REQ 210 Hunter Hack Scoring/Judging**
- REQ 211 Jumpers Scoring/Judging Procedures for Regional & National Shows**
- REQ 212 Sport Horse In-Hand & Under Saddle Scoring/Judging**
- REQ 213 Working Hunter Scoring/Judging/Procedures**
- REQ 214 Working Western Scoring/Judging/Procedure**
- REQ 215 Class Procedure for Comparative Judging in Breeding In-Hand**
- REQ 216 Class Procedure for Performance Halter**

#### **REQ 3 Miscellaneous National Classes**

- REQ 301 National Yearling Classes**
- REQ 302 AHA-Canadian National Arabian Gelding, Filly & Colt 2-year-Old Jackpot Classes**

#### **REQ 4 Disqualifications**

- REQ 401 Regional Disqualifications**
- REQ 402 National Disqualifications**

### REQ 1 - CLASS REQUIREMENTS

#### **REQ 101. GENERAL CLASS REQUIREMENTS**

- 1. All classes are to be conducted in accordance with the rules of USEF, EC, and AHA, whichever shall prevail. (i.e., class conduct, specifications including cross-entries, attire, appointments, and shoeing).
- 2. A handler, rider, or driver is allowed to exhibit only one horse per class. **Exception:** Carriage Pleasure Driving (BOD 11/08 – See ADS rules), Working Hunter (Refer to REQ 117) Jumper, Dressage, Reining (including Short Stirrup), Trail, Gymkhana, Cutting, Working Cow, Reined Cow, Herd Work,

Ranch classes, excluding Ranch Rail, and Sport Horse In-Hand classes, a rider/handler may show more than one horse. (BOD 5/02)

- 3. A class is composed of all its sections, including Preliminaries, Elimination, Semi-Finals, Semi-Final/Final, and Final. **Exception:** Refer to REQ 213 for Working Hunter.
- 4. At AHA Recognized Shows (including Nationals), the prize list must specify whether the rider and driver must be the same individual.
- 5. When two or more AHA Recognized Shows are held back-to-back, the classes of the first show must end in each ring prior to the classes of the succeeding show except for specialty classes. (BOD 8/02) (BOD 3/07)

#### **REQ 102. REGIONAL GENERAL RULES AND CLASS REQUIREMENTS**

- 1. The Regional Show must offer all of the Sweepstakes classes. (Refer to COMP 302.4 for exceptions)
- 2. If Half-Arabian or Anglo-Arabian Regional Championship classes are offered; at least 50% of the Half-Arabian or Anglo-Arabian classes held at the National Shows must be offered. At least 50% of the Arabian classes held at the National Shows must be offered. (Res. 27-82)
- 3. A Region may set a minimum number of entries in order to hold a class. The exceptions are Sweepstakes classes, which must be held regardless of the number of entries.
- 4. If the Regional Championship classes are held in conjunction with a regular show, they must be held separate from those classes that are the same type at that show. A horse may cross-enter the same type class (Breeding and In-Hand or Performance) provided the same judge does not adjudicate both classes. (Res. 37-77). The exception to this shall be the Cutting Horse, Reined Cow Horse, and Working Cow Horse judges. (BOD 3/09)
- 5. Regional Pre-Show classes must end in each ring prior to Regional Championship classes beginning in that ring, except for specialty classes (as named in OFFC 104) in which Pre-Show classes must end in each ring for each discipline before Regional Championship classes are held.
- 6. Only one Regional Championship class can be held for each class in each Region each year.
- 7. For posting of Equitation patterns refer to REQ 108.10.

#### **REQ 103. AMATEUR/AMATEUR OWNER CLASS REQUIREMENTS**

- 1. For Amateur, Amateur Owner and Amateur Owned Trained and Shown requirements see USEF/EC rules. (Res. 9-06)
- 2. If a Regional/National show splits an AT/AO or JT/JO class, it must include all ages within its splits. Classes may be split into further ages if they are all inclusive. (Res. 12-19)
- 3. AATR/AAOTR classes with age splits may not be combined at the National Shows (**CNAC is exempt**). (Refer to Nationals Class List)

#### **REQ 104. ARABIAN BREEDERS SWEEPSTAKES CLASS REQUIREMENTS**

- 1. Refer to Chapter 18 for Arabian Breeders Sweepstakes
- 2. For Regional Shows see COMP 205.11 & 302.4.

#### **REQ 105. BREEDING AND IN-HAND CLASS REQUIREMENTS**

##### LOCAL, REGIONAL AND NATIONAL SHOWS

- 1. Breeding/In-Hand classes at the local level may utilize any of the scoring systems outlined in COMP 501 through 507. Breeding/In-Hand classes at the Regional level may utilize the scoring systems in COMP 501, 502, 506 or 507. Refer to COMP 508.1 regarding the number of judges used. Refer to COMP 509 for the National level (**CNAC is included**). For comparative judging, see REQ 215 for class procedure. (Res. 7-17)
- 2. For information on Dispensation, see COMP 510.
- 3. At all Regional Shows, U.S. and Canadian National Championship Show and Canada's National Arabian Championship, Breeding and In-Hand horses will enter in assigned order and be inspected for signs of abuse immediately prior to entrance into the ring for judging.
  - a. The Order of Go shall be determined and posted by the birth date, oldest to youngest, for Breeding and In-Hand classes. This includes Post Entries. (Res. 20-97)

- b. For Regional Shows the manner of inspection will be at the discretion of the Show Management. (Res. 93-94)
- 4. Half-Arabian and Anglo-Arabian Mare and Gelding Breeding/In-Hand classes may be split for the National Championship Shows into the following four classes: (BOD 8/17)
  - a. Half-Arabian/Anglo-Arabian Mare Breeding Saddle/Pleasure Type.
  - b. Half-Arabian/Anglo-Arabian Mare Breeding Stock/Hunter Type.
  - c. Half-Arabian/Anglo-Arabian Gelding In-Hand Saddle/Pleasure Type.
  - d. Half-Arabian/Anglo-Arabian Gelding In-Hand Stock/Hunter Type.
- 5. The U.S. National Show may hold an Arabian Filly, Colt and Gelding 3 & Under Championship and an Arabian Mare, Stallion and Gelding 4 & Over Championship, refer to QUAL 109.4. (BOD 2/11) **CNAC will have the option of holding the split classes.**

#### **REQ 106. CUTTING HORSE CLASS REQUIREMENTS**

**Note:** Future changes to the Cutting rules may be recommended by the Working Western Committee to the AHA Board of Directors for inclusion in the AHA Handbook. (Res. 12-13)

- 1. For all cutting rules pertaining to judging, equipment and attire refer to the current NCHA Rule Book. (Res. 12-13) **Exception:** Horses participating in Cutting Junior Horse classes must be shown in a snaffle or hackamore (may be shown one or two-handed, with no penalties for reining or second hand on the reins)
- 2. If Regional cutting events (including consecutive Regional cuttings, with or without one or more "lead-in" qualifying cutting events) have a composite number of entries fewer than five when the entries close (including entries made after the qualifying "lead-in" event) the scheduled Regional cuttings may be canceled with no penalty to the Region.

#### **RECOGNIZED CLASSES (BOD 11/13)**

**Class Divisions:** Eligibility is determined on **January 1** of each year and will continue for the remainder of the current year regardless of winnings. See chart for determining eligibility.

- 3. AHA recognized cutting classes for Arabians and for Half-Arabians/Anglo-Arabians are as follows:
  - a. Cutting Open
  - b. Cutting Junior Horse – Open to horses aged 5 and under. EARNINGS DO NOT COUNT TOWARD NOVICE HORSE ELIGIBILITY.
  - c. Cutting Youth (JTR 18 and under) Youth rider may ride any horse, regardless of ownership. No added money allowed in Youth classes.
  - d. Cutting Non-Pro-Rider must own horse and qualify for Amateur status according to AHA and/or USEF rules.
  - e. Cutting \$1500 Novice Horse – Novice horses can continue to compete in the same division throughout the current year, regardless of current year earnings.
  - f. Cutting \$5000 Novice Horse- Novice horses can continue to compete in the same division throughout the current year, regardless of current year earnings.
  - g. Cutting \$5000 Novice Horse Non-Pro
  - h. Cutting \$1500 Novice Rider

#### **CONTESTANTS (BOD 11/13)**

- 4. No contestant or other person shall engage in any form of misconduct or harassment toward show management, judges, or show staff. Show management has the right to disqualify or refuse entry to any contestant for violation of this rule. In the event a contestant or other person is asked by show management to leave or withdraw from any AHA approved or sponsored show they may file a protest (Refer to COMP 204. Cutting Protests)
- 5. A contestant with appropriate documentation may drop out of any contest due to injury to himself/herself or his/her horse, or due to sickness or death in his/her family. The refund policy is based on the sponsoring show's policy, but it is recommended that all monies with the exception of the office fee be refunded.

- 6. A contestant shall not at any time withdraw from any contest he/she is participating in due to dissatisfaction or grievance with the judge or judges, show management or other contestants without forfeiting all monies he/she may have won in previous go-rounds. Entry fees or any part thereof, will not be refunded after contest starts.
- 7. All contestants will work at the appointed time so designated by the draw or be disqualified for that go-round with no score. When a contestant is showing in multiple arenas and there is a conflict the cutting competition will hold until the conflict is resolved. If a contestant refuses to compete in the finals, any money won in previous go-rounds will be forfeited.
- 8. A contestant or any rider shall not enter the show arena at any time at a cutting horse contest recognized or sponsored by the AHA with any kind of training device, tack or equipment not permitted in the NCHA rule book.
- 9. The show management, representative, or judge must disqualify a contestant if he enters the arena with any such equipment, and all entry fees and/or premiums pertaining to said contestant shall be forfeited.
- 10. After the buzzer sounds, the cutter will discontinue working and ride his horse forward past the judges' stands before dismounting, except to retrieve a lost article. Once the lost article is retrieved, the rider will remount and continue riding his horse forward past the judges' stands. The bit may be inspected at the judge's discretion, and in the event a violation of the rules is discovered, the entry will be disqualified.
- 11. All cutting horses must be ridden astride.
- 12. The following provisions regarding conduct shall apply to any AHA recognized or sponsored show:
  - a. Training activities of any kind, including the use of any mechanical device, (used on animal or by person), while in the show arena or on the show grounds other than in designated practice facilities are prohibited.
  - b. Inhumane treatment of a horse in any manner in the show arena or on the show grounds is prohibited.
  - c. All rules as described or defined rules stated by other recognizing or approving organizations pertaining to the use of drugs or abuse shall be enforced.
  - d. Unsportsmanlike conduct in the show arena or show ground is prohibited. A contestant shall not talk with the judge(s) beyond the exchange of normal greetings, during a show, nor shall a contestant discuss with the judge any previous score or events.
  - e. A contestant shall make no comment, either positive or negative, within a judge's hearing regarding any work taking place at a performance.
  - f. No alcoholic beverages may be consumed or brought into the arena while an AHA approved or sponsored cutting horse contest is taking place.

#### **NON-PRO STATUS (BOD 11/13)**

- 13. Riders of cutting horses may be classified as Non-Professional.
  - a. A Non-Professional in this Association is a person who has not received direct or indirect remuneration to work in any manner in the following activities on the premises of a cutting horse training operation: showing, training, or assisting in training a cutting horse or cutting horse rider. For purpose of this rule, a cutting horse training operation is any facility where cutting horses are trained. Any person who has trained horses astride in any equine discipline for direct or indirect remuneration shall be considered a professional by this Association, with the exception of those who have been granted a change of status from professional to amateur.
- 14. Any horse exhibited in AHA Non-Professional cutting horse classes must be owned by the contestant showing the horse, or by the contestant's family. A minor child may ride a horse owned by either parent.
- 15. Proof of ownership shall be required on all horses ridden in AHA Non-Professional cutting horse classes. Said proof must be exhibited to show management prior to the start of the contest. The original registration certificate or a copy thereof showing current ownership shall be deemed proper proof of ownership.

#### **PAYOUTS (BOD 11/13)**

- 16. If prize money is offered, it is to be divided into go-round money and finals money as follow:

Go-round – not less than 70%

Finals – not less than 30%

Any time there is more than one go-round; money will be paid in each go-round. If entry fees are paid per go-round, then no division in payout will be needed. Any show with more than \$3000.00 added must have more than one go-round.

17. The number of horses entered, including scratches, in a class and the amount of money being competed for will determine the number of monies to be paid.
18. No added money can be offered for any of the Youth classes or Novice Rider classes.
19. When prize money is offered, cutting class go-rounds and/or semi-finals will be paid using the following schedule for each class. In classes with more than one go-round, the prize money is to be divided equally in each go-round, unless entry fee is charged per go-round.

#### PAYOUT SCHEDULE

2 entries	-----	1 money
3-4 entries	-----	2 monies
5-6 entries	-----	3 monies
7-8 entries	-----	4 monies
9-10 entries	-----	5 monies
11-12 entries	-----	6 monies
13-14 entries	-----	7 monies
15-16 entries	-----	8 monies
17-18 entries	-----	9 monies
19 or more	-----	10 monies

20. **U.S. National Payout** - 70% of the total class prize money will be paid to the go-rounds including the semifinals. The 70% will be divided equally among the number of go-rounds (i.e. one go round=70%, two go-rounds=35% each, etc.) The Finalist (Top Ten) (determined by final composite score of all go-rounds, unless finals are clean slate) will be paid 30% of the total class purse. All Finalists (Top Tens) will be paid prize money. When a full Top Ten is not awarded; money will be paid per payout schedule, in the non-working Finals, not to exceed ten (10) monies. Prize money for the tied placing(s) involved will be added together and equally split between the respective exhibitors. Cutting prize money will be paid to the recorded owner of the horse.

#### PERCENTAGE OF ALLOCATED PRIZE MONEY ACCORDING TO NUMBER OF MONIES PAID

##### NUMBER OF MONIES

	1	2	3	4	5	6	7	8	9	10
1 <sup>st</sup>	100 %	60 %	50 %	40 %	30 %	30 %	28 %	25 %	23 %	20 %
2 <sup>nd</sup>	---	40 %	30 %	30 %	25 %	24 %	23 %	20 %	19 %	16 %
3 <sup>rd</sup>	---	---	20 %	20 %	20 %	18 %	17 %	15 %	15 %	14 %
4 <sup>th</sup>	---	---	---	10 %	15 %	12 %	13 %	12 %	11 %	11 %
5 <sup>th</sup>	---	---	---	---	10 %	9%	9%	10 %	9%	9%
6 <sup>th</sup>	---	---	---	---	---	7%	6%	8%	8%	8%
7 <sup>th</sup>	---	---	---	---	---	---	4%	6%	6%	7%
8 <sup>th</sup>	---	---	---	---	---	---	---	4%	5%	6%
9 <sup>th</sup>	---	---	---	---	---	---	---	---	4%	5%
10 <sup>th</sup>	---	---	---	---	---	---	---	---	---	4%

The scores from each go-round will be added together to get the top horses for the finals, if a working final is offered.

#### REQ 107. DRESSAGE/WESTERN DRESSAGE CLASS REQUIREMENTS

All classes will be conducted according to USEF/EC rules. Only current tests approved by USEF, FEI, USDF, WDAA and/or EC shall be used.

1. Regional Shows are encouraged to receive USDF approval for Regional Dressage Championship Classes.
2. Regional Dressage Competitions may be held for USDF Walk/Trot and USDF Introductory, Training Level, First, Second, Third, and Fourth Levels, and FEI Prix St. Georges, Intermediate I, Intermediate II, and Grand Prix. Regional Western Dressage Competitions may be held for Introductory, Basic, First, Second, Third, Fourth and Fifth Levels.
3. At Regional and National shows, the highest test of each level will be used. **(Exception:** Walk/Trot Division Introductory Dressage classes will require the highest Walk/Trot test. For Non-Walk-trot Division Introductory Level Dressage classes, the show management will have the option to utilize the highest test of the Introductory level or the highest test that consists of only walk-trot movements. Management may choose to offer these Championships as Open, ATR, JTR, etc. The Introductory test to be ridden must be listed in the prize list) (BOD 11/24)
4. There is no minimum number of horses required to fill a class.
5. Refer to USEF Arabian, Half-Arabian/Anglo-Arabian Division for specific Dressage/Western Dressage rules. See COMP 208.5 for additional WDAA membership requirements.
6. See USEF General Rules, Chapter 12 (GR12 – Appointment of Officials and Employees) regarding Stewards/Technical Delegates.
7. In addition to what is specified in USEF AR172.1.k, the prerequisite score for Dressage Musical Freestyle can be earned at any AHA Recognized Show including non-USEF competitions. (BOD 8/20)

#### ABS DRESSAGE PROSPECT INCENTIVE (BOD 11/15)

8. To be held at Sport Horse Nationals for four- and five-year-old horses. AHA's test will be used.
9. Horse will be examined for soundness. A jog will be scheduled prior to the Championship class at which time all horses must be presented to the treating veterinarian and a member of the judging panel for examination. Horses not presented for the jog or who do not pass the jog will not be eligible to show in the class.
10. Tests shall be ridden from memory and whips are prohibited. The standard-sized court will be used for all classes. Please refer to USEF Dressage Division Rules for complete tack and attire requirements.
11. The two-member judging panel will consist of either: One (1) USEF "R" Judge and one (1) USEF "S" Judge or two USEF "S" Judges, both of whom will have the Young Horse designation. The panel shall sit together at C. Judges shall give one score and fill out general comments on one Marking Sheet. Competition Management shall provide a sound system and a microphone to allow the judges to give the audience a short summary after each ride.
12. Horses will be ranked in order of their scores in each test. Breaking of ties for individual classes will be in accordance with DR127.10. If two or more horses are tied for the same placing, breaking of ties will be at the judging panel's discretion.
13. Refer to SWP 116 and SWP 118 regarding payback for Sweepstakes eligible horses.

#### REQ 108. EQUITATION CLASS REQUIREMENTS

##### GENERAL

1. All AHA Recognized Shows that offer AHA Medal classes must offer the respective equitation classes. (Res. 53-71)
  - a. Saddle Seat Equitation JTR.
  - b. Western Seat Equitation JTR (This class will serve as the corresponding equitation class for Reining Seat Medal and the Western Horsemanship Medal classes). (BOD 8/08) See REQ 108.8.
  - c. Hunter Seat Equitation Over Obstacles – 2'9" and Modified Equitation Over Obstacles – 2'3" **(Exception:** a show that does not offer any over fences classes is not required to offer Hunter Seat Equitation Over Obstacles). (Res. 18-15)
  - d. Hunter Seat Equitation Not to Jump JTR. (Res. 32-99)

- e. Dressage Seat Equitation JTR (**Exception:** a show that does not offer any dressage classes is not required to offer Dressage Seat Equitation JTR). (Res. 22-10)
- 2. Open to juniors who have not yet reached their 19th birthday prior to **December 1**. AHA Medal classes may be split into age groups, determined by the show commission. (Res. 12-19)
- 3. Equitation classes shall be held under USEF/EC rules for equitation, Arabian Division.
- 4. Once an equitation class or section at any show has begun, no individual shall ride or school a horse entered in that class unless that individual is the person entered on and showing said horse (**Exception:** Reining Seat Equitation). (Res. 13-16) Any violation shall result in disqualification of horse and rider from that class. (Res. 15-02)
- 5. For all equitation classes, verbal coaching from the ground in the paddock area or immediate work area is not considered to be schooling. (BOD 8/08)
- 6. Markers shall not be used in Hunter Seat Equitation Not to Jump and Saddle Seat Equitation classes.

#### **REGIONAL SHOWS EQUITATION CLASS REQUIREMENTS**

- 7. Regional Shows are encouraged to offer Regional Equitation Championships. (Refer to Judging & Scoring Equitation classes)
- 8. At Regional competitions, Western Seat Equitation may be offered. This class does not count as a qualifying class for National Western Horsemanship or Reining Seat Equitation classes.
- 9. Equitation classes may be split at the Regional Championship shows. (BOD 8/06)
- 10. Riders in the line-up in all seats will be excused from the ring during individual pattern work at all Regional Championship Shows. (BOD 1/03)
- 11. The Call Judge shall prepare in writing and have a pattern posted at least 24 hours prior to the first section of the Eliminations. Subsequent patterns are to be available immediately following the last working section of each phase. (**Exception:** Dressage Seat, refer to USEF Dressage Chapter for Equitation)

#### **NATIONAL SHOWS EQUITATION CLASS REQUIREMENTS**

- 12. Saddle Seat Equitation, Reining Seat Equitation, and Hunter Seat Equitation Not To Jump may be split at the National Championship Show into two age groups, determined by the show commission. (Res. 12-19)
- 13. The same horse must be used in all Phases/Section of the equitation class, unless the animal is sick or lame, in which case a veterinarian's certificate is required from the onsite show veterinarian. (Res. 14-22)

#### **REQ 109. AHA MEDAL CLASS REQUIREMENTS (Res. 25-10)**

For class specifications for Hunter Seat Over Fences – 2'9" Medal, Modified Equitation – 2'3", Saddle Seat Medal, Reining Seat Medal, Hunter Seat Not to Jump Medal, Western Horsemanship Medal and Dressage Seat Medal refer to the Arabian Division, Equitation Section of the USEF/EC Rule Book.

- 1. Eligibility for AHA Medal classes
  - a. A registered Arabian or a registered Half-Arabian/Anglo-Arabian must be ridden in AHA Medal classes.
  - b. Medal winners may ride in any Medal class throughout the year.
  - c. Open to juniors who have not yet reached their 19th birthday prior to **December 1** of the previous year.
- 2. All USEF (EC equivalent) Arabian Competitions that offer a Junior Section (Youth Division) must offer the following AHA Medal classes. (**Exception:** AHA Regional Shows, AHA One Day Shows, Dressage Seat Equitation and Hunter Seat Equitation Over Obstacles – 2'9" and Modified Hunter Seat Equitation – 2'3". A show that does not offer any dressage classes is not required to offer AHA Dressage Seat Medal). If Arabian Competitions offer Hunter Seat Equitation Over Fences or Dressage classes, they must offer the corresponding medal. All-Arabian Sport Horse Competitions offering a dressage division must only offer the Dressage Seat Medal class and corresponding equitation class. (Res.22-10):
  - a. AHA Saddle Seat Medal 18 and Under. (Refer to USEF/EC class specifications for AHA Medal classes) Markers shall not be used.

- b. AHA Reining Seat Medal 18 and Under. (Refer to USEF/EC class specifications for AHA Medal classes)
- c. AHA Hunter Seat Over Obstacles – 2'9" and Modified Hunter Seat Equitation – 2'3" (Res. 18-15)
- d. AHA Western Horsemanship Medal 18 and Under. (Refer to USEF/EC class specifications for AHA Medal classes)
- e. AHA Dressage Seat Medal 18 and Under. (Refer to USEF/EC class specifications for AHA Medal classes) (Res. 22-10)
- 3. AHA Medal classes may be split into age groups.
- 4. AHA Medals must be ordered from AHA.

#### **REQ 110. FUTURITIES**

- 1. There are no recognized local or regional futurity rules.
- 2. For information regarding the National Breeding/In-Hand Futurities Class requirements, refer to Futurity Chapter 19 for program rules and enrollment fees.
- 3. For information regarding the National Performance Futurity/Maturity/Derby Class requirements, refer to Futurity/Maturity/Derby Chapter 20 for program rules and enrollment fees.

#### **REQ 111. JUMPER CLASS REQUIREMENTS**

Regional Championship Shows may hold one jumper class rather than sections due to time constraints. That class is placed as any other Regional class. (See USEF/EC Rules)

#### **REQ 112. PERFORMANCE HALTER CLASS REQUIREMENTS (Res. 10-15, Res. 4-23)**

- 1. Classes will not be split by age (**Exception:** Prospect class for horses 2 & under may be run at the discretion of show management and require no qualifications to compete).
- 2. Classes must be split by Arabian and Half-Arabian/Anglo-Arabian. In addition, they must be separated by Stallions, Mares, and Geldings.
- 3. Horses must be three years of age and older and must have been entered and shown in a performance class at the same show (**the same show is defined by the AHA recognized show number**) as the one in which the performance halter class is being held, or have completed an AHA or AERC sanctioned Endurance ride or a Competitive Trail Ride (CTR) recognized by AHA or sanctioned by an AHA recognized Competitive Trail Riding Organization in the past 90 days (exhibitors must present dated proof of completion to the show secretary). If classes are held at a recognized Endurance ride or CTR, all registered Arabian and Half-Arabian/Anglo-Arabian horses entered in the ride are eligible to compete.
- 4. Shows may use "In the Ring" or "Exit the Ring" procedure (refer to USEF).
- 5. For Arabian Performance Halter Colt/Stallion, Filly/Mare, and Gelding classes, emphasis is placed in the following order: Athletic Structure; Breed type; Quality, Balance, and Substance; Neck and Shoulder; Back, Loin, and Hip; Legs and Feet; Movement (Performance Halter Score Sheet).
- 6. For Half-Arabian/Anglo-Arabian Performance Halter Filly/Mare and Gelding classes, emphasis is placed in the following order: Athletic Structure; Quality, Balance, and Substance; Neck and Shoulder; Back, Loin, and Hip; Legs and Feet; Movement (Performance Halter Score Sheet).
- 7. Refer to CBP 111.17.n. for authority over the Performance Halter Score Card.

See USEF/EC for class conduct and appointments.

#### **REQ 113. RANCH SORTING CLASS REQUIREMENTS**

All Ranch Sorting class procedures and judging shall be conducted according to the current Ranch Sorting National Championship (RSNC) rules. (BOD 11/12)

#### **REQ 114. SPORT HORSE CLASS REQUIREMENTS**

- 1. Sport Horse In-Hand and Under Saddle classes may be divided at the discretion of competition management into Dressage type and Hunter type.

Horses may cross enter both types. Competition management is encouraged to offer separate Championship classes for each type, but the championship classes may be combined at competition management's discretion. (See USEF/EC Rules) (Res. 23-10)

2. See USEF/EC for Sport Horse Showmanship class conduct and appointments. (Res. 15-15)
3. Sport Horse Under Saddle Walk/Trot 10 & Under and 11 & Over may be divided by Dressage Type or Hunter Type. Cross entering is permitted. (Res. 31-15) (Res. 14-19)

#### REQ 115. TEAM PENNING CLASS REQUIREMENTS

All Team Penning class procedures shall be conducted according to the current United Team Penning Association rules (USTPA).

#### REQ 116. UPHA ARABIAN BREEDS CHALLENGE CUP REQUIREMENTS

UPHA has offered an Arabian Breeds Challenge Cup. AHA Recognized Shows can contact the UPHA for complete details and rules for holding this class. Phone (859) 231-5070.

#### REQ 117. WORKING HUNTER CLASS REQUIREMENTS

1. AHA Recognized-Qualifying shows may run the Working Hunter Division with one, two or three classes (see REQ 213). Exception, any show holding a Working Hunter Championship must hold all three classes. See REQ 213 for scoring/judging/procedure.
2. If a rider in the Working Hunter Over Fences class of a Working Hunter Division has more than one horse entered, and more than one of his/her horse(s) goes forward to the Under Saddle class, another eligible rider(s) may be designated to ride the other horse(s). This new designated rider(s) must report to the Show Office for signature(s) and to show proof of membership before the session that the Under Saddle class is held. Riders with one entry in the Working Hunter Division must ride that entry throughout the entire class.

#### REQ 118. HERD WORK CLASS REQUIREMENTS

Classes may be held as Arabian/Half-Arabian/Anglo-Arabian for Open and Non-Pro (amateur) and may be further divided into Bridle, Hackamore/Junior Horse, and Two-Handed classes. All class procedures and rules for Judging shall be in accordance with the Herd Work section of the current rule book of the National Reined Cow Horse Association (NRCHA). Judges which may adjudicate these classes shall be any currently carded NRCHA or NCHA judge, or any judge approved by the Arabian Horse Association's Judges and Stewards Commissioner. (BOD 3/13)

#### REQ 119. WESTERN DRESSAGE CLASS REQUIREMENTS

Classes will be conducted, scored, and judged using the rules, tests and class procedures as defined by the Western Dressage Association of America (WDAA) (BOD 3/13) and the USEF rules found in the Western Dressage Subchapter of the Arabian Division. Ties in Western Dressage shall be broken following the procedure outlined in USEF Arabian Division, Dressage Section for breaking ties. (BOD 11/15)

#### REQ 120. WORKING WESTERN CLASS REQUIREMENTS

For class specifications, order of go (draws), posting of patterns and videotape requirements refer to USEF Arabian rules, Subchapter WORKING WESTERN SECTION. For authority to amend rules, see CBP 111.38.

#### REQ 121. LEVELING CLASS REQUIREMENTS (Res. 6-13)

Note: AHA Recognized Competitions are not required to hold all levels within the leveling program, however, if broken into ages with a level, the ages must be all inclusive. Competitions may offer those levels desired due to demographics and show scheduling.

ACHIEVEMENT LEVELING PROGRAM - AMATEURS		
Points apply to a specific, individual section (BOD 8/19)		
Level Category	Nationals	Regionals/ Regular Competitions
Select Amateur	Has not received more than 20 AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Select Amateur Level

		b. Has not received more than 75 AHA Achievement points
Choice Amateur	Has received 30 points but not more than 110 AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Choice Amateur Level  b. Has not received more than 200 AHA Achievement points
Elite Amateur	Has received at least 120 or more National Achievement points.	Has received more than 200 AHA Achievement points
Primetime (see REQ 121.2.j)	AHA member, USEF/EC amateur 50 years of age or older	AHA member, USEF/EC amateur 50 years of age or older
Walk Trot/Jog	*Eligible as an AHA member to compete in Walk Trot/Jog classes	*Eligible as an AHA member to compete in Walk Trot/Jog classes

ACHIEVEMENT LEVELING PROGRAM – YOUTH (BOD 8/19)		
Level Category	Nationals	Regionals/ Regular Competitions
Select Youth	Has not received more than 20 AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Select Youth Level  b. Has not received more than 100 AHA Achievement points
Choice Youth	Has received 30 points but not more than 90 AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Choice Youth Level  b. Has not received more than 200 AHA Achievement points
Elite Youth	Has received at least 100 or more National Achievement points.	Has received more than 200 AHA Achievement points
Walk Trot/Jog	*Eligible as an AHA member to compete in Walk Trot/Jog classes	*Eligible as an AHA member to compete in Walk Trot/Jog classes

ACHIEVEMENT LEVELING PROGRAM - OPEN (BOD 8/19)		
Level Category	Nationals	Regionals/ Regular Competitions
Select Open	Has not received more than 20 AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Select Open Level  b. Has not received more than 100 AHA Achievement points

Choice Open	Has received <b>30</b> points but not more than <b>110</b> AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Choice Open Level b. Has not received more than <b>250</b> AHA Achievement points
Elite Open	Has received at least <b>120</b> or more National Achievement points.	Has received more than <b>250</b> AHA Achievement points
<b>ACHIEVEMENT LEVELING PROGRAM – REINING (Adult &amp; Youth) (BOD 8/19)</b>		
<b>Reining Level Category</b>	<b>Reining Nationals</b>	<b>Reining Regionals/ Regular Competitions</b>
Open	Eligible to compete as an AHA Member	Eligible to compete in this division as an AHA Member
Intermediate Open	Has not received more than <b>110</b> AHA National Achievement points in the Reining Section or received earnings making them ineligible as an NRHA Intermediate Open rider.	Has not received more than <b>250</b> AHA Achievement points or has not "leveled out" of the National level in the Reining Section.
Limited Open	Has not received more than <b>70</b> AHA National Achievement points in the Reining Section or received earnings making them ineligible as an NRHA Limited Open rider.	Has not received more than <b>150</b> AHA Achievement points or has not "leveled out" of the National level in the Reining Section.
Non-Pro	Eligible to compete in this division as an AHA Amateur "Non-Pro" Member	Eligible to compete in this division as an AHA Amateur "Non-Pro" Member
Intermediate Non-Pro	Has not received more than <b>110</b> AHA National Achievement points in the Reining Section or received earnings making them ineligible as an NRHA Intermediate Non-Pro rider.	Has not received more than <b>250</b> AHA Achievement points or has not "leveled out" of the National level in the Reining Section
Limited Non-Pro	Has not received more than <b>70</b> AHA National Achievement points in the Reining Section or received earnings making them ineligible as an NRHA Limited Non-Pro rider.	Has not received more than <b>150</b> AHA Achievement points or has not "leveled out" of the National level in the Reining Section.
Rookie Non-Pro	Has not received more than <b>40</b> AHA National Achievement points in the Reining Section or received earnings making them ineligible as an NRHA Rookie Non-Pro rider.	Has not received more than <b>70</b> AHA Achievement points or has not "leveled out" of the National level in the Reining Section.

<b>Primetime</b> (see REQ 121.2.j)	AHA member, USEF amateur <b>50</b> years of age or older	AHA member, USEF amateur <b>50</b> years of age or older
<b>ACHIEVEMENT LEVELING PROGRAM – AMATEUR TRAIL (Adult &amp; Youth)</b> <i>Points apply to a specific, individual section (BOD 8/19)</i>		
<b>Level Category</b>	<b>Nationals</b>	<b>Regionals/ Regular Competitions</b>
Select Trail Amateur	Has not received more than <b>40</b> AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Select Amateur Level b. Has not received more than <b>100</b> AHA Achievement points
Choice Trail Amateur	Has received <b>50</b> points but not more than <b>110</b> AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Choice Amateur Level b. Has not received more than <b>280</b> AHA Achievement points
Elite Trail Amateur	Has received at least <b>120</b> or more National Achievement points.	Has received more than <b>280</b> AHA Achievement points
Primetime Trail (see REQ 121.2.j)	AHA member, USEF/EC amateur <b>50</b> years of age or older	AHA member, USEF/EC amateur <b>50</b> years of age or older
<b>ACHIEVEMENT LEVELING PROGRAM – EQUITATION/SHOWMANSHIP (Adult &amp; Youth) (BOD 8/21)</b>		
<b>Level Category</b>	<b>Nationals</b>	<b>Regionals/ Regular Competitions</b>
Select Amateur/Youth	Has not received more than <b>20</b> AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Select Amateur Level b. Has not received more than <b>60</b> AHA Achievement points
Choice Amateur/Youth	Has received <b>30</b> points but not more than <b>70</b> AHA National Achievement points	Has not received one of the following: a. Has not leveled out of the National Choice Amateur Level b. Has not received more than <b>150</b> AHA Achievement points
Elite Amateur/Youth	Has received at least <b>80</b> or more National Achievement points.	Has received more than <b>150</b> AHA Achievement points

1. A section is defined as a particular seat or discipline. (BOD 8/21, 8/24)

**Examples:**

- a. Western – Western Pleasure, Western Riding
- b. Hunter Seat – Hunter Pleasure
- c. Reining – Reining
- d. Western Trail – Western Trail
- e. English Trail – English Trail
- f. Saddle Seat – Park, English, Country English, Show Hack.
- g. Western Horsemanship/Equitation
- h. Hunter Seat Equitation Not to Jump
- i. Reining Seat Equitation
- j. Saddle Seat Equitation
- k. Showmanship
- l. Ranch Rail
- m. Ranch Riding – excludes the VRH Ranch Riding

2. No Achievement Leveling Award points will be awarded in one horse classes at any AHA recognized competition. See Point Table for Shows in ACH 105.1

- a. Regional points will be awarded according to ACH 105.11 and the 50% rule will pertain.
- b. National points will be awarded according to ACH 105.12 and the 50% rule will pertain.
- c. Exhibitor age divisions may be offered along with the Achievement Leveling categories.
- d. ATR/JTR, AOTR, JOTR/AATR/AAOTR classes may be offered along with the Achievement Leveling Categories.
- e. Achievement Leveling Points are not earned in Walk/Trot/Jog classes and will not count toward the Achievement Leveling Program.
- f. Achievement points awarded to an exhibitor competing as a Youth will be maintained by that exhibitor when they move forward and compete in the Adult Amateur Achievement Levels.
- g. Achievement points awarded to an exhibitor competing as a Youth and/or an Adult Amateur do not count against a rider crossing over into the Open Achievement Levels.
- h. An exhibitor can return to a lower level in a particular section if the exhibitor has not earned any points (National, Regional or Regular Competition) in the past 10 consecutive years in that defined section. Example: if an exhibitor was Choice on both the National and the Regional/Local level, they would return to "Select" on both levels. If they were Choice on the National level and Elite on the Regional/Local level, they would return to "Select" on the National level and "Choice" on the Regional/Local level.
- i. The eligibility of the exhibitor as a Select/Choice/Elite, etc. in a section as defined above in REQ 121.1 on **December 1** will be maintained throughout the entire competition year.
- j. Primetime – Open to AHA members which are USEF/EC amateurs 50 years of age or older and may participate in all disciplines. Riders aged 50 and over are eligible for Primetime and any other level(s) for which they are eligible, they are not just restricted to Primetime.

## **REQ 2 - PROCEDURES FOR CLASSES - SCORING - JUDGING & DIVIDING**

### **REQ 201. GENERAL INFORMATION**

#### **GENERAL**

1. A class is composed of all its sections, including Preliminaries, Eliminations, Semi-Finals, Semi-Final/Final, and Final.

#### **REGIONAL**

2. For procedures regarding scoring and judging Regional Championship class sections use the guidelines outlined in Section 8. Keep in mind that a Top Five (not a Top Ten) is to be selected (**Exception:** Yearling Breeders Sweepstakes classes issue a Top Ten for qualifying purposes and a Top Five for payout purposes – Refer to REQ 201.2.b). Regional Shows may elect one of the following and shall clearly state in their Regional Prize List whether an entire Top Five or 50% of the class rounded up method shall be used. (Res. 20-03)

- a. Top Five Awards will be given to equal one-half of the class rounded-up not to exceed five of those competing at the closing of the gate at the beginning of the class for all classes shown as a group and all those who pass through the gate in individual activity classes. (e.g., a class of seven horses would be eligible for only four Top Fives.) The highest placing exhibitor in the Top Five shall be Champion, and the next highest shall be named Reserve Champion (provided more than two entries are exhibited).
- b. Top Five Awards will be given in all classes regardless of how many entries are competing at the close of the gate. Arabian Breeders Sweepstakes pay-out and points awarded in the Horse Achievement Award, Amateur Achievement Award, High Point Horse Achievement Award and High Point Amateur Achievement Award will be given to those horses enrolled in these programs equal to 50% of the class rounded up not to exceed five of those competing at the closing of the gate at the beginning of the class for all classes ridden as a group and all those who pass through the gate in individual activity classes (e.g. A class of seven horses would be eligible for only four pay-outs even though a Top Five would be awarded). (Res. 20-03) The highest placing exhibitor in the Top Five shall be Champion, and the next highest shall be named Reserve Champion.
- c. A sixth (6<sup>th</sup>) place (Reserve) horse shall be selected, but not announced, in case there is a disqualification.
- d. Refer to COMP 603 & 604 for reporting procedures.

#### **NATIONALS**

3. A Champion and Reserve Champion plus eight (8) additional unranked awards shall be awarded in each National Class to make a Top Ten (and if ten or fewer, all exhibitors will be awarded a Top Ten). Refer to REQ 206. (Res. 18-09) **CNAC will adjust for a Top Six.**
4. An 11th place (Reserve) horse shall be selected, but not announced, in case there is a disqualification. **CNAC will select a 7<sup>th</sup> place.**
5. If the class is too large in the sections, the class shall be split in the ring by entry numbers at the discretion of the judges. (Res. 28-70)
6. Judges may not confer either during the classes or between sections. (Res. 28-70)

### **REQ 202. WORK-OFFS – REGIONAL AND NATIONAL SHOWS**

#### **GENERAL**

1. Any judge may request a work-off in any class by communicating through the Ringmaster. The judge making the request must state what horses he wants called and for which positions they are to be worked to the Ringmaster. The Ringmaster asks the other judges if they are ready to place their class or if they would like a work-off and if so which positions and numbers. The Ringmaster relates this to the other judges one at a time. The judges do not confer with each other during the request of a work-off. A work-off requires agreement by two of the three Judges, or the positions must be filled without a work-off.
2. If a work-off is agreed upon, the Ringmaster must ask the other Judges if they wish to add other horses to those being sent to the rail. The Ringmaster must be sure that all judges know what horses are working for what position. To be included in a work-off, an entry must appear on two judges' lists submitted to the Ringmaster. (Each judge submits their numbers and positions to the Ringmaster individually; the ringmaster determines if an entry is eligible to be in the work-off.) If an entry does not receive two votes for inclusion in the work-off, that entry does not return to the rail, unless a judge requests an additional entry to be included in the work-off which is agreed upon by the panel with a majority vote for that horse to return to the rail. If no entries receive two work-off votes, there is no work-off and the class is placed. At no time will horses ever be asked, or required, to perform more than one work-off per class (**Exception:** Equitation).
3. The Ringmaster must inform the Announcer of the work-off request. Whenever horses are being called for a work-off, the Announcer must call for them in numerical order.
4. The work-off is only for the final section of any class at Regional and National level competitions. A work-off will not be called for in any lead-line or walk/trot classes.

## **WORK OFF PROCEDURES (Res. 9-07)**

5. Before the horse show begins, show management (show commission) should meet with the Judges, Ringmaster, Announcer and Scorer(s) to discuss the system and make certain each person understands his/her responsibility.
6. The show management should instruct the Judges, Ringmaster and Announcer on work-off procedures before the show.
7. The Judges must work independently.
8. In the event of a work-off, the Ringmaster should be certain all judges know what horses are working for which positions (placings).
9. The Announcer must call for horses in a work-off in numerical order.
10. The Scorer(s) must understand the scoring system. The work-off is scored as a separate section. The other horses are placed as originally judged.

### **REQ 203. POSTING OF JUDGES' CARDS**

1. The entries selected by each judge in Preliminary and Elimination sections will be listed numerically on a form determined by Show Management.
2. Judges' cards will be posted for Regional and National Championship Shows. Posting will be done in a place and in a manner selected by Show Management.

### **REQ 204. DIVIDING GUIDELINES**

#### **GENERAL**

1. All numbers are for guidance and may vary.
2. The following dividing procedures are for Championship classes.
3. A horse may be shown in a class by only one handler/rider or driver. See REQ 101.2 for exceptions.

#### **DIVIDING AND SEQUENCE, BASED ON THE NUMBER OF ENTRIES**

4. The number of horses and type of class must be considered.
5. The number of entries selected as the Top Ten may vary from one to ten but shall never be more than ten.
6. Classes with fewer than three entries postmarked/electronic transaction dated by closing date:
  - a. May render that class canceled.
  - b. All entry fees and stall fees will be refunded.
  - c. Except for AHA National Breeding and In-Hand Futurity classes, which will be held regardless of the number of entries. (Res. 61-86)
7. Classes with entries of 3-35 will be divided into two sections, A and B (if numbers justify), followed by:
  - a. Semi-Final followed by Final.
  - b. Semi-Final/Final (held as one event).
8. Classes with entries of 36 or more:
  - a. Shall be split into two or more sections at the discretion of Show Management to allow each exhibitor the maximum opportunity to show their horse.
  - b. Sections shall be considered as Preliminaries or Eliminations and will consist of not more than 35 entries each.

#### **DIVIDING CLASSES INTO PRELIMINARIES OR ELIMINATIONS**

9. Whether a class is divided into Preliminaries or Eliminations is determined by the number which will return from each section.
  - a. As a rule, Preliminaries will be used when a specific class has more than 50 entries, or a Driving class has more than 28 entries, or when Show Management determines that such is in the best interest of the show.
  - b. Eliminations will be used when a class reaches about 25 entries. Show Management may use Elimination sections to reduce the size of any class when it is determined that such is in the best interest of the show.
  - c. Ideally, a Semi-Final section will have fewer than 25 entries.
  - d. A Semi-Final should have no more than 35 entries.
10. First Division. Management shall place entries in alternate sections if they are needed.

11. Second Division (Preliminary or Elimination).
  - a. In certain classes (selected annually by the Show Commission), exhibitors must file a certification of intent to show with the Show Office.
  - b. Management shall then:
    - 1) Arrange all horses in numerical order and place in alternate sections; or
    - 2) Draw for sections. Section change requests will be taken into consideration.
12. In classes where "Intent To Show" forms are required, the horses will not be assigned to sections until the required form has been submitted at the show. The alternate placing of horses in sections will be utilized at this time.
13. Section change requests will be considered for section assignments whenever possible. This results in a more even distribution of horses per section, as well as being fair to exhibitors who have legitimate conflicts.

#### **DIVIDING AMATEUR / OPEN OR JUNIOR CLASSES**

14. A legitimate effort will be made to keep Amateur to Ride or Junior to Ride class sections at 25 or fewer horses.
15. A legitimate effort will be made to keep Open class sections at 30 or fewer horses.

#### **DIVIDING COMBINATION AND DRIVING CLASSES**

16. Since safety dictates the number of Driving horses that can compete in the ring at one time, Show Management will determine the class and section breakdown.

#### **DIVIDING WORKING WESTERN**

17. Refer to each Working Western discipline in this section.

### **REQ 205. SCORING/JUDGING/PROCEDURES FOR NATIONAL SHOWS**

#### **PRELIMINARY SECTIONS (If Not by Ranking of Class)**

**Note: CNAC will determine the number of horses moving forward based on their arena size. A Top Six will be selected and judges will rank a 7<sup>th</sup> place in case of disqualification.**

1. If there is a Preliminary Section offered it will be the first section entered by exhibitors.
2. All judges are used as equals.
3. The Number One Judge (as designated in the prize list) calls the gaits.
4. To go on to the Elimination section, an exhibitor must appear on at least two judges' cards.
5. U.S. ~~and Canadian~~ National Shows. To return approximately ten horses from each Preliminary section and to assure that if all the eventual Top Ten started together in one Preliminary section, they could continue without fear of being eliminated from competition based on this system:
  - a. When three judges are officiating, each selecting a number determined by the size of the section and announced before the beginning of the section, and with the requirement that an exhibitor must appear on at least two judges' cards, it is possible more or fewer than ten could return to the next stage.
  - b. Following Table to be reviewed and re-established annually before each U.S. ~~or Canadian~~ National Show:

**TABLE A**

Number of horses in a section	Number of Horses to be marked by judge
11-24	10
25-30	11
31-40	12

- c. If ten or fewer horses compete in a regular performance Preliminary section, all will advance to the next section:
  - 1) The section will be held only if the other section of that class is required to work.
  - 2) If the section is not held, all horses in that section will go forward as if they had competed and been selected.
6. Youth/Mid-Summer National Show. To return approximately six horses from each Preliminary section.

- a. When three judges are officiating, each selecting a number determined by the size of the section and announced before the beginning of the section, and with the requirement that an exhibitor must appear on at least two judges' cards, more or fewer than six could return to the next stage.
- b. The following Table to be reviewed and re-established annually before each Youth/Mid-Summer National Show:

<b>TABLE B</b>	
Number of horses in a section	Number of Horses to be marked by judge
7-10	7
11-19	8
20 or more	10

- c. If six or fewer horses compete in a regular performance Preliminary section, all will return to the next section.
  - 1) The section will be held only if the other section of that class is required to work.
  - 2) If the section is not held, all horses in that section will go forward as if they had competed and been selected.
- 7. In specific Driving and Individual Work classes, if the number of horses scheduled to appear for a section is fewer than the minimum number required to go forward from that section:
  - a. The section will be held only if the other section of that class is required to work.
  - b. If the section is not held, all horses in that section will go forward as if they had competed and been selected.

#### **PRELIMINARY OR ELIMINATIONS SECTIONS (Using a Ranking to Dictate Class Size)**

- 8. If there is no Preliminary section, the Elimination section will be the first section entered by exhibitors.
- 9. All judges are used as equals.
- 10. The Number One Judge (as designated in the prize list) calls the gaits.
- 11. To go on to the Semi-Final section, (receive a Semi-Finalist ribbon), and have a predetermined number of exhibitors going on to the Semi-Final section, judges will be asked to rank the exhibitors on their cards by placing a predetermined number of exhibitors. (BOD 11/16) The Scoring System in COMP 502, 506 or 509 will be employed depending on the class and National show, to determine which exhibitors will go forward to the Semi-Final section. (BOD 3/16) If there are elimination sections, Show Management has the discretion to bring forward an appropriate predetermined number of exhibitors from the elimination sections to the Semi-Final/Final section by placing one more exhibitor than the predetermined number to advance. (BOD 11/16) See the section for "Scoring Specific Classes" under REQ 207 through 814 for exceptions to the above rule. Every effort will be made to state in the prize list or post in the show office the number of exhibitors moving forward. (BOD 11/16)
- 12. If eight or fewer horses compete in a regular Elimination section, all will return to the next section. The section will be held only if the other section of the class is required to work. (**Exception:** Refer to 204 for Driving.)

#### **SEMI-FINAL SECTIONS**

- 13. Will be composed of all entries that come from the Elimination section of that class.
- 14. All judges will rank 11 entries for Semi-Finals. An 11th place entry will be determined at this time (in case of disqualification), but not announced.
- 15. The Scoring System in COMP 502, 509 will be used to score the Semi-Final sections depending on class and the National show. (BOD3/16)
- 16. The Number One Judge will call the class.
- 17. The Top Ten will be selected.

#### **FINALS SECTIONS**

- 18. Will be composed of the entries that make Top Ten via Semi-Finals.

- 19. The Scoring System in COMP 502, 506 or 509 will be used depending on the class and the National show. (BOD3/16)
- 20. All judges will rank all horses.
- 21. The Number One Judge will call the class.
- 22. The Champion and Reserve Champion will be selected.

#### **SEMI-FINAL/FINAL COMBINATION SECTIONS**

- 23. When no Final section is used under the provisions of the rules for National Arabian and Half-Arabian Championship classes, a modification of the previous systems for judging Semi-Finals and Finals is used whereby the Semi-Finals and Finals are combined into one event.
- 24. The Scoring System in COMP 502, 506 or 509 will be used depending on the class and the National show. (BOD3/16)
- 25. All judges will rank 11 entries. An 11th place entry will be determined at this time (in case of disqualification), but not announced.
- 26. The Top Ten, Champion, and Reserve Champion will be selected using the same judges' cards.

#### **REQ 206. SCORING/JUDGING OF CLASSES BEGINNING WITH FEWER THAN 20 HORSES – Note: procedures listed below adjusted for CNAC's Top Six for classes with less than 12 horses**

- 1. Classes with less than 20 horses:
  - a. To determine a Top Ten, judges will place enough horses to fill a full Top Ten plus one.
  - b. If ten or fewer, all exhibitors will be awarded a Top Ten (All Top Ten Awards shall include qualifications, and all other AHA program benefits with the exception of the Arabian Breeders Sweepstakes, the Horse Achievement Award Program, Amateur Achievement Award Program, High Point Horse Achievement Award, High Point Amateur Achievement Award and Distance Horse Program, which programs shall remain as is awarding 50%). (Res. 18-09) For rules regarding the awarding of 50% of the class in the Performance Futurity/Maturity program see PERF 111.
- 2. Special Scoring/Judging Procedures:
  - a. If there are ten horses or fewer entered in a class, the Top Ten horses will not be reworked for Champion and Reserve Champion but will be selected by using the same judges' cards used to select the Top Ten horses.
  - b. In the event of an extreme time constraint, this method may also be used for other classes provided the exhibitors are given 24-hour notice. This later action must be approved by the respective Championship Show Commission.

#### **SCORING SPECIFIC CLASSES**

**Note: The requirements contained in the Scoring section are to be adjusted for awarding of a Top Six and placing a 7<sup>th</sup> place reserve at CNAC.**

#### **REQ 207. CUTTING SCORING/JUDGING**

##### **GENERAL**

- 1. All Cutting Horse classes shall be judged in accordance with the NCHA rules.
- 2. Ties in Cutting Horse classes for Champion, Reserve Champion, or the last place of the placings shall be resolved as follows:
  - a. Go to Number One Judge's total scores for that class.
  - b. If the tie persists, go to Number One Judge's scores in the Final go-round for that class.
  - c. If tie continues, use a work-off.
  - d. Repeat if necessary.

#### **REQ 208. DRESSAGE/WESTERN DRESSAGE SCORING/ JUDGING**

##### **REGIONALS**

- 1. Top Five to be selected using the highest test of each USEF/EC level.

2. The horse in the Top Five with the highest score shall be named Regional Champion.
3. The horse in the Top Five with the second highest score shall be named Regional Reserve Champion.
4. The remaining Top Five shall be named in a random, undesignated order.
5. Ties will be broken according to USEF/EC procedures.

#### **NATIONALS**

6. Tests to be ridden from memory (Exception: see USEF AR106.17 &18).
7. The Top Ten to be selected using the highest test of each USEF/EC level.
8. The horse in the Top Ten with the highest score shall be named National Champion.
9. The horse in the Top Ten with the second highest score shall be named National Reserve Champion.
10. The remaining Top Ten shall be named in a random, undesignated order (and if ten or fewer, all exhibitors will be awarded a Top Ten ribbon). (Res. 18-09)
11. For ties see REQ 208.5.

#### **REQ 209. EQUITATION & SHOWMANSHIP SCORING/JUDGING FOR REGIONALS & NATIONALS**

1. For Nationals, the system of judging shall be established by the AHA Equitation Committee subject to the approval of the National Championship Show Commissions and the AHA Board of Directors.
2. To compete in equitation classes at the Regional and National level, it is recommended that an exhibitor would have competed in the actual corresponding class(es) which requires the pattern i.e., Saddle Seat, Hunter Seat Not to Jump, Hunter Seat Over Obstacles and Western Horsemanship. The pattern must be publicly announced before the first contestant begins the workout (exception: Reining Seat). Reasonable time will be allowed for contestants to ask questions, if necessary. Judges shall not confer with contestants individually. Once the contestant begins, no more questions shall be allowed, nor further instruction given. Each contestant in the Championship Final is required to perform the pattern of each phase in which the contestant is a participant.
  - a. Riders in the line-up in all seats will be excused from the ring during individual pattern work. (BOD 1/03)
3. Rail and individual work of each Championship class shall be conducted and judged as one entire class, even though rail work may be divided. If a Championship class is divided into phases or sections, each phase or section shall be conducted and judged as a whole. An equitation class will begin when the last horse enters the arena (and the gate is closed) whether that be for instructions or rail.
 

Once the class has begun:

  - a. No individual shall ride or in any way school an entered horse unless that individual is the person entered on and showing said horse (Exception: Reining Seat Equitation). (Res. 13-16)
  - b. No equipment may be added or interchanged except that permitted by an individual seat.
4. Rail work shall consist of no more than 25 entries in one group. Division shall be made by assigning each consecutive entry to one of as many different groups as may be required.
5. **Saddle Seat.** For Championship classes, the 13 and Under Saddle Seat Equitation Division requires all contestants to perform two or more individual tests from USEF Tests 1-12, or EC equivalent. The 14-18 Saddle Seat Equitation Division requires all contestants to perform two or more individual tests from USEF Tests 1-16 or EC equivalent. Age groups will be determined by the show commissions/committees and any age splits other than 13 & under and 14-18 must have the appropriate tests, i.e., a 14 & Under class can only run tests 1-12. (Res. 12-19) Test number 16 may only be called for at a National Competition in the 14-18 division. (Res. 12-09) Judges must declare their intent to utilize this test 24 hours prior to the session in which the final class is held. Markers shall not be used. Judging is based 50% on rail work and 50% on individual work out. The specific horses and riders to be switched will be determined by the procedures found in REQ 202.
6. **Reining Seat.** For Championship classes, the Reining Seat Equitation Division shall require a Reining pattern as described in accordance with the current NRHA patterns which must be posted. (Refer to REQ 108.4)

7. **Hunter Seat Over Obstacles – 2'9" and Modified Hunter Seat Over Obstacles – 2'3".** In these Hunter Seat Equitation classes there is no rail work completed except, the top four riders chosen based upon cumulative scores in the over fence phase of the class, utilizing the Hunter Over Fences scoring system, must return for further testing. The riders are required to perform two or more tests from USEF EQ111. Only one rider per horse. (BOD 8/08) (Res. 18-15)

8. **Hunter Seat Not To Jump.** In Hunter Seat Equitation Not To Jump, all riders are required to perform both the rail work and the pattern. Markers shall not be used as picking the spot is part of the class. Riders 14-18 shall perform two or more individual tests from USEF EQ113 Test 1-5, 7-8, 10-17. Exception: Test 14 may be called for only at the AHA National Competition. (Res. 30-97) Riders 13 and under shall perform two or more individual tests from USEF Test 1-5, 7, or EC equivalent. (Res. 18-15) Age groups will be determined by the show commissions/committees and any age splits other than 13 & under and 14-18 must have the appropriate tests, i.e., a 14 & Under class can only run tests 1-5, and 8. (Res. 12-19)
9. **Western Horsemanship.** For Championship classes, all contestants are required to perform the rail work and a minimum of four tests from USEF Arabian, Half-Arabian/Anglo-Arabian Division, Subchapter for Western Horsemanship. For riders 13 & Under, USEF Tests 1-7 and 10. (Res. 11-18) For riders 14-18 and 19 & Over, USEF Tests 1-10. (BOD 5/06) Age groups will be determined by the show commissions/committees and any age splits other than 13 & under and 14-18 must have the appropriate tests, i.e., a 14 & Under class can only run tests 1-7 and 10. (Res. 12-19)
10. **UPHA Arabian Breeds Challenge Cup.** Contact the UPHA for complete details and rules for holding this class. Phone (859) 231-5070.
11. **Showmanship.** For Championship classes, see USEF/EC subchapter, Showmanship in the Arabian Rules.
12. **Dressage Seat.** For Championship classes, see USEF/EC subchapter, Dressage in the Arabian Rules. (Res. 22-10)

#### **REQ 210. HUNTER HACK SCORING/JUDGING (BOD 5/02)**

##### **GENERAL**

1. Hunter Hack Championship classes shall consist of flat work and two fences as per USEF specifications, Arabian, Half-Arabian/Anglo-Arabian Division, Hunter Section.

##### **NATIONALS**

2. All horses in each section shall be required to jump two fences and do the flat work even if class is split into preliminaries and/or elimination rounds due to size. (For rules on dividing classes, see REQ 204)
3. In Preliminary and Elimination sections the judges will rank 9 horses and the top 8 will move forward.
4. In the Semi-Final/Finals all judges will rank 11 horses and the Top Ten and a Champion and Reserve Champion will be awarded. An 11th place entry will be determined at this time (in case of disqualification), but not announced.
5. If there are fewer than 20 horses in the Semi-Final/Finals see REQ 206.
6. If there are multiple judges, the Show Commission may determine which scoring system is to be used out of those listed in the Approved Scoring Systems and providing it is listed in the prize list.

#### **REQ 211. JUMPERS SCORING/JUDGING/PROCEDURES FOR REGIONAL AND NATIONAL SHOWS**

##### **REGIONAL**

1. Regional Championship Shows may hold one Jumper class rather than sections due to time constraints. That class is placed as any other Regional class. (See USEF/EC Rules)

##### **NATIONAL**

2. Championship Jumper classes held in the United States will be judged in accordance with USEF Arabian Jumper Section. Time first jump-off with designated number of competitors to jump-off.
  - a. Each horse will jump the course once and will be scored on the number of faults incurred over the course and any penalties for exceeding the time allowed to complete the course. All horses will be timed to establish the jump order for the jump-off, if any.

3. Championship Jumper classes held in Canada will be judged in accordance with EC rules. A competition not against the clock with a time allowed but in the event of equality of penalties for first place, there will be a first jump-off not against the clock and, in the event of further equality of penalties for 1st place, there will be a second jump-off against the clock. Other competitors are placed according to their penalties in the first jump-off and, if necessary, in the first round.
4. Jump Order.
  - a. First go-round will be random selection.
  - b. Jump-off is earned by the time taken to complete the course in the first go-round. The horse completing the course in the fastest time will jump last in the jump-off. The horse with the next fastest time jumps next to last, etc.
5. An 11th place (Reserve) horse shall be selected, but not announced, in case there is a disqualification.
6. In case of disqualification, all horses move up to the vacant position. The Reserve horse is added to the Top Ten.
7. Horses will be scored on faults and/or penalties. Any ties with the equal number of faults and/or penalties will be decided by time. Should an equality of faults and time occur after the jump-off for the last place Top Ten, or the Champion, tied horses will jump again over the same jump-off course which may be raised or shortened. Time decides any equality of faults until ties are broken.
8. Time Allowed.
  - a. Time allowed to complete the course must be announced before the class starts.
  - b. The time allowed is based on a speed of 382 yards per minute (360 yd/mi in very small or indoor arenas). In all jumper rounds one time fault will be charged for each second or fraction thereof by which the time allowed is exceeded. After the first horse has completed the course without disobedience, if the judge decides that the time allowed is inaccurate, the time may be adjusted once and announced before the next horse begins. Any entry failing to complete the course within the time limit (i.e., double time allowed to complete the course) is eliminated. The competitor's time should be announced. (BOD 2/04)

**REQ 212. SPORT HORSE IN-HAND & UNDER SADDLE SCORING/JUDGING  
(BOD 5/02)**

**GENERAL**

1. Sport Horse In-Hand Classes shall be scored and judged in accordance with USEF rules. The AHA Sport Horse In-Hand Score Sheets shall be utilized.
2. If a two-judge system (COMP 502) is utilized, one of the following scoring systems must be utilized and stated in the prize list:
  - a. **Total Points** - Each judge's scores will be ranked and points applied according to the following system: eleven (11) horses will be ranked based on the scores given by each judge. (BOD 11/09) The following point system will be applied to determine the Top Ten, Champion and Reserve Champion: (BOD 11/09)
 

1 <sup>st</sup> place – 20 points	7 <sup>th</sup> place – 6 points
2 <sup>nd</sup> place – 16 points	8 <sup>th</sup> place – 5 points
3 <sup>rd</sup> place – 14 points	9 <sup>th</sup> place – 4 points
4 <sup>th</sup> place – 12 points	10 <sup>th</sup> place – 3 points
5 <sup>th</sup> place – 10 points	11 <sup>th</sup> place – 0 points
6 <sup>th</sup> place – 8 points	other reserve selections – 0 points
  - b. **Total Score** - The two judges are designated as the "Number One Judge" and the "Number Two Judge" in the prize list. Each judge will judge independently and the scores from both judges will be added together to give the total score for that horse. The horse with the highest total score will be named Champion; the horse with the second highest score will be named Reserve Champion, etc. until all placings have been filled. In the event of a tie on the total score for Champion, Reserve or last place of the placings, the Number One Judge's card will be consulted to break the tie. The horse placing highest on the Number One Judge's card will place above the other horses in the tie. (BOD 11/14)
  3. If three judges are utilized, the class will be scored using the Majority Opinion System (MOS). Refer to COMP 506.

**NATIONALS**

4. Sport Horse Under Saddle Classes
  - a. Judges will rank horses according to the procedures outlined in REQ 205.8 through .26. (BOD 3/16)
  - b. If there are fewer than 20 horses in the Semi-Final/Finals see REQ 206.
  - c. If there are multiple judges, the Show Commission may determine which scoring system is to be used out of those listed in Approved Scoring Systems and providing it is listed in the prize list.
  - d. If the two-judge system (COMP 502) is used, the above point chart will be used. (BOD 11/09)

**REQ 213. WORKING HUNTER SCORING/JUDGING/PROCEDURE**

**(Res. 34-08)**

**GENERAL**

1. The Working Hunter Championship at AHA Recognized Shows shall consist of at least two classes of Over Fences followed by one Under Saddle class. To be eligible to compete in the Under Saddle, a horse must have completed an Over Fence class in the same section. Refer to USEF HU120 for definition of completed. (BOD 12/20) Competing in the Under Saddle class is not mandatory to be eligible for the Championship. A disqualification or elimination from any of the Over Fence's classes or the Under Saddle class does not disqualify the horse from a Championship award. All horses being considered for an award must jog for soundness. See HU118 for methods of jogging for soundness.
2. All horses are allowed to jump all Over Fences classes in the section; however, this is not a requirement. (BOD 12/20)
  - a. All shows are required to offer exhibitors a warmup round at their division height prior to competition. (BOD 12/20)
  - b. The order of go or rotations shall be determined by the paddock master.
  - c. Riders may be permitted to ride back-to-back rounds at their discretion.
  - d. Management may permit a rider to compete out of order to minimize delays.

**REGIONAL**

3. The Working Hunter Championship shall consist of at least two classes of Over Fences followed by one Under Saddle class.
4. The procedure shall be the following:
  - a. In each of these classes, the judge(s), will each place five horses, 1<sup>st</sup> through 5<sup>th</sup>. See REQ 213.1 for soundness. Scores will be assigned as outlined in REQ 213.6.
  - b. Prior to the Under Saddle, the placings in the Over Fences classes will be announced. All horses competing in the Over Fences classes, and deemed sound, may advance to and compete in the Under Saddle class. (BOD 12/20)
  - c. Champion and Reserve Champion will be selected from the four horses having acquired the most points in all the Over Fences classes based on total points earned in Over Fences and Under Saddle classes. Points are assigned as per REQ 213.6 below. The selection of the remainder of the Top Five will be based on total points earned in all classes. (BOD 12/20)
  - d. Ties will be resolved by using the sum of the points acquired in the Over Fences classes. If a tie persists, the Number One Judge will break the tied horses without reworking them.
  - e. A Reserve to the Top Five will be selected but not announced in case of disqualification.

**NATIONAL**

5. The Working Hunter Championship shall consist of at least two classes of Over Fences followed by one Under Saddle class. To appear on a judge's card, the highest ten scoring horses, plus two reserve horses, from each class must be serviceably sound. See REQ 213.1 for soundness. Points are assigned as per REQ 213.6 below. Points from each judge will be added together to get the total points for each horse. If a horse is eliminated for soundness, that horse will be removed from the judge's card. Prior to the Under Saddle, the placings in the Over Fences classes will be announced. All horses competing in the Over Fences classes, and deemed sound, may advance to and compete in the Under Saddle class. (BOD 12/20)

6. In each of these classes, each judge will place up to ten horses plus two reserves. Scores will be assignable as follows:
  - a. 1st place = 20 points
  - b. 2nd place = 16 points
  - c. 3rd place = 14 points
  - d. 4th place = 12 points
  - e. 5th place = 10 points
  - f. 6th place = 8 points
  - g. 7th place = 6 points
  - h. 8th place = 5 points
  - i. 9th place = 4 points
  - j. 10th place = 3 points
  - k. 11 & 12 place = 0 points
7. To be eligible to compete in the Under Saddle section of a division, a horse must have completed an Over Fence class in the same section. Refer to USEF HU120 for the definition of completed.
8. Champion and Reserve Champion will be selected from the four horses having acquired the most points in all the Over Fences classes based on total points earned in all classes. The selection of the remainder of the Top Ten will be based on the total points earned in all classes.
9. After the Under Saddle class, in the event of a tie for Champion, Reserve Champion or Top Ten, the points earned in the Under Saddle section will be dropped and the tie resolved by using the sum of the points acquired in all the over fences classes. If a tie persists, the judge will break the tied horses without reworking them. When a Multi-Judge System is used, when a tie persists after the Under Saddle scores are dropped the horse scoring higher on the Call Judge's card will be used to break the tie. If a tie persists, the Call Judge will break the tied horses without reworking them.
10. A Reserve to the Top Ten will be selected but not announced, in case of disqualification.

**REQ 214. WORKING WESTERN SCORING/JUDGING/PROCEDURE (BOD 11/11) (BOD 1/24)**

**GENERAL**

All classes will run in accordance with USEF Rules AR Working Western for draws and breaking ties.

All scores in Arabian Working Western classes are required to be announced following the horse's work either prior to the work of the subsequent horse or following the work of the subsequent horse.

Videotape may be used by the judge(s) to review any entry's performance only in a class in which entries perform individually. Videotaping of such classes is required at AHA National Shows (see REQ 120). Supplying or arrangement for this video equipment is the sole responsibility of the show management.

If an exhibitor receives a "no score" in any section or go, they are disqualified and ineligible to receive any placement or advancement.

**SCORING AND JUDGING**

1. All Recognized AHA Shows, Regional Championships, East Coast Championships, Pacific Slopes Championships, Eastern Canadian Breeders Championship, Western Canadian Breeders Championship and Nationals Championship Shows must use the Scoring Systems for Working Western classes as defined by USEF AR Working Western Section (All NRCHA rules regarding patterns, scoring, and judging shall apply for Working Cow/Reined Cow classes)
2. When one judge is used, their score will place the class. When more than one judge is used, scores will be totaled to determine the placing of the class. When five judges are used, one high and one low score will be eliminated, and the remaining three scores will be totaled to determine the placing of the class.
3. A no score (NS) is not a score, a zero score (0) is a score.

**CLASS PROCEDURE/SECTIONS/GO'S FOR WORKING WESTERN & REINING SEAT EQUITATION**

4. Youth Nationals, Mid-Summer Nationals, **Canadian Nationals and U.S. Nationals and Canada's National Arabian Championship** may run any Working Western and Reining Seat Equitation classes as one or two go-round(s) at the discretion of the National Show Commission's utilizing either 4.a or 4.b. below.
  - a. Reining Seat Equitation if run as one go-round cannot be run concurrently. (BOD 8/19)
  - b. The Sweepstakes Commission may elect to run the Reining AAO

Jackpot as either one or 2 go-rounds. (SWP 1/22).

- c. Herd Work Futurity and Ranch Riding Futurity will be determined by the National Show Commission.
- d. All Trail classes at U.S. Nationals will run as 2 go-rounds. (exception: All Open English Trail and Derby Trail classes may be run as one or two go-rounds) (BOD 3/25)
- e. All AATR and JTR Trail classes at Youth Nationals and Mid-Summer Nationals will be run as two go-rounds.
- f. AOTR and JOTR Trail classes at Youth Nationals and Mid-Summer Nationals may be run as one or two go round(s).

5. The number of go rounds to be used will be included in the Omnibus. (BOD 8/18). Go-rounds will run as follows:

- a. One Go-Round Classes.
  - i. Exhibitors receiving a "no score" or "zero score" are ineligible for placing.
  - ii. In Reined Cow Horse if a zero score is awarded in one phase and a score of 60 or greater in the other phase, this constitutes a total score of 60 or greater which is eligible for placement.

**b. Two Go-Round Classes**

Exhibitors receiving a "zero score" in the first Go-Round are eligible to show in the second Go-Round (a "no score" will not advance an exhibitor to the second Go-Round). An exhibitor may receive a zero score in either go-round and be eligible to receive a placing provided they obtain a score, other than zero, in one of the go-rounds and their cumulative score merits such placing.

**CLASS PROCEDURE / CUTTING (BOD 11/13)**

6. In a contest where there is a working final, the scores a horse has made in go-round performances will be added to the score the horse makes in the final to determine the winner of the contest. Final's money shall be paid on accumulated scores of all go-rounds and finals, unless the finals are published as a "clean-slate" final.
  - a. In a contest where there is a non-working final, the score of each go-round added together will determine the winner of that contest. Final's money shall be paid on accumulate scores of go-rounds.
  - b. An exhibitor may enter one or more horses. A rider may ride one or more horses. Each horse must have the same rider throughout the class. Under no circumstances can the same horse be shown by more than one rider in any class at an AHA recognized cutting contest.
  - c. Entry numbers shall not be used as the sole method of identifying horses at any approved contest. Announcers are required to make known the name of each horse, his owner and rider at the time he/she performs and to recognize winners in a similar manner at the conclusion of the contest.
  - d. The time allotted each horse to work is two-and-one-half (2 1/2) minutes; time to start when contestant crosses the timeline. This gives the rider ample time to ride to the herd and work as many cows as the rider sees fit in the allotted time. If elimination is held, the same rider must ride in the elimination.
  - e. Judges must draw for places (working order of horses) or authorize show management to draw before go-rounds and finals. In the event that a horse is left out of the draw, a re-draw must be made. If a horse is duplicated, the judge or show management shall draw to determine which draw position is to be used.
  - f. The Show Management must determine how many horses will be worked in each group of cattle. After the draw, absent horses shall be counted toward cattle changes and horses will work as drawn in each group of cattle.

**REQ 215. CLASS PROCEDURE FOR COMPARATIVE JUDGING IN BREEDING IN-HAND (Res. 20-13, Res. 7-17)**

1. Class Procedure for AHA Recognized shows including Regionals and Nationals (**including CNAC**):

- a. Judging begins when the first horse enters the ring. From the moment the horse enters the ring its movement is being evaluated. The horse shall enter at a trot, and at the handler's discretion may either proceed directly along the rail or trot a counter-clockwise circle at the in-gate end of the arena and then proceed along the rail to the far end of the arena. Upon each horse reaching the designated position at the far end of the arena they are to remain relaxed. The judge(s) will then ask for the next horse to enter the ring. When all horses have entered the ring the horses shall be asked to walk collectively counter-clockwise and/or clockwise past each (and all) judge(s). They shall walk in a relaxed manner on a loose lead with the handler at the side of his horse, whips down. The lead line must maintain a discernible drape and the handler must not place his hand on the chain or close enough to in any way restrict the natural head and neck motion. The handler may not unnecessarily impede the forward motion of the horse while at the walk. Following the cessation of the walk all horses shall relax within the ring and only the horse "on deck" shall be prepared to show. Each horse shall be led to the judge(s) at the walk and presented for judging. In order to satisfy a proper presentation to the judge, horses should stand correctly, bearing weight on all four feet (not stretched), in a quiet and deliberate manner. Following the evaluation by the judge(s), horses shall walk away from the judge(s), and then strike a trot before turning left and proceeding counterclockwise down the rail. They shall resume their position on the rail.
- b. Handlers are expected to keep a reasonable clearance between horses and judges should modify the alignment of horses to achieve that clearance. Further judging will not commence until the Call Judge approves the placement and spacing of all horses,
- c. After the last horse is presented, judges will have a period of time to finish their evaluation of entries and turn in their card (the specific time period to be determined by Show Management).
- 2. That in the event that comparative judging is used, it is optional that the judge(s) provide reasons. Should show management desire reasons to be provided to their exhibitors, in a one (1) judge system, judge shall give oral reasons for their decisions after the card is handed in. (Res. 14-16) In the event of concurrent shows the two (2) judges shall alternate classes in giving reasons.

#### **REQ 216. CLASS PROCEDURE FOR PERFORMANCE HALTER**

At Regional Championships utilizing a three-judge panel, the scorecards will be turned into placings and in turn, run through the Majority Opinion System (MOS) to determine the Champion, Reserve Champion and Top Five. (BOD 12/20) See COMP 508.6 for score card.

#### **REQ 3 - MISCELLANEOUS NATIONAL CLASSES**

##### **REQ 301. THE NATIONAL YEARLING CLASSES**

- 1. National Yearling Classes (Sweepstakes and Open):
  - a. Arabian Yearling Fillies
  - b. Arabian Yearling Colts
  - c. Arabian Yearling Geldings
  - d. Half-Arabian/Anglo-Arabian Yearling Fillies
  - e. Half-Arabian/Anglo-Arabian Yearling Colts/Geldings
- 2. Judging System.
  - a. The judging system used will be the current AHA system utilized at the U.S. National Championship Horse Show. (BOD 3/08)
  - b. Class participants will be subject to AHA/USEF Arabian Division Rules.
  - c. Refer to Arabian Sweepstakes Chapter 18 for Prize Money payout.
  - d. Refer to QUAL 108 and 119 for qualifications.

##### **REQ 302. THE AHA CANADIAN NATIONAL ARABIAN GELDING, FILLY & COLT 2-YEAR-OLD JACKPOT CLASSES**

- 1. Judging System.
  - a. The judging system used will be the current AHA system utilized at the Canadian National Championship Horse Show. The judges used for the breeding classes will judge these classes respectively.
- 2. Class participants will be subject to EC/AHA Arabian, Half Arabian/Anglo-Arabian Division Rules.
- 3. Refer to QUAL 111 for qualifications.

- 4. Prize Money at Canadian National Championship Show.
  - a. Jackpot prize money will be the Jackpot fees paid into each Canadian National Championship class.
    - 1) Jackpot fees will go into the jackpot for the class that horse is entered in (i.e., \$250 plus \$100 of the class fee paid for an Arabian colt will go into the jackpot for the Arabian 2 Year Old Colt class).
  - b. Jackpot prize money for each class and the divided bonus prize money will be combined and paid at the Canadian National Championship Show as follows: Champion 50%, Reserve Champion 25%, and remaining Top Ten 25% divided equally.
  - c. Bonus prize money may be donated to all 2 Year Old class(es).

#### **REQ 4 - DISQUALIFICATIONS**

##### **REQ 401. REGIONAL DISQUALIFICATIONS**

Refer to REQ 402 and adjust for a Top Five.

##### **REQ 402. NATIONAL DISQUALIFICATIONS**

**Note: The requirements contained in the disqualification section are to be adjusted for awarding of a Top Six and placing a 7<sup>th</sup> place reserve at CNAC.**

- 1. Semi-Finalist. If a horse is disqualified that has been named a Semi-Finalist, the entry will be replaced by the Reserve (11th place). In Driving classes, it will not be replaced. (REQ 402.8)
- 2. Finalist, one horse disqualified. If one Top Ten horse is disqualified before the finals, he will be replaced by the Reserve (11th place) who may compete in the Finals.
- 3. Finalist, two horses disqualified. If two Top Ten horses are disqualified before the finals and there is an untied 12th place horse, he will compete in the Finals. If there is a tie for 12th place, the vacancy will go unfilled.
- 4. After Finals. If a Top Ten horse is disqualified after the finals of that class, then the 11th horse will be named Top Ten. The other standings will not change.
- 5. If for any reason a horse is unable to compete in the Semi-Finals or Finals of his class after having made that cut:
  - a. He may keep all ribbons, etc., won up to that time.
  - b. He forfeits the opportunity to advance further.
- 6. Champion or Reserve Champion.
  - a. If a Champion is disqualified, the Reserve Champion shall become Champion, and the 3rd place horse shall become Reserve Champion.
  - b. The 11th placed horse will be added to the Top Ten.
- 7. Both Champion or Reserve Champion.
  - a. If both Champion and Reserve Champion are disqualified, the 3rd place horse shall become Champion, and the 4th place horse shall become Reserve Champion using the standing from the original scoring of the class.
  - b. The 11th place horse will be named Top Ten. The class will not be rescored. The result will be a Champion, a Reserve Champion, and seven Top Ten horses.
- 8. Disqualifications in Driving Classes.
  - a. A Reserve horse will be computed but not announced for each section.
  - b. The Reserve horse may only be used as a replacement for a horse disqualified in that section before going on to the next section.
- 9. Disqualifications in Working Hunter Championships
  - a. The entry in the class disqualified will have the score received for the placing removed.
  - b. The Championship will then be rescored to establish the new placings.

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## CHAPTER 13: JUDGES & STEWARDS

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**OFFC 101 General Information**  
**OFFC 102 Regional Judges, General Information**  
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**OFFC 104 Specialty Classes and Specialty Class Judges**

All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means.

#### **OFFC 101. GENERAL INFORMATION**

1. AHA Judges and Stewards/TD's are required to hold an AHA individual Adult Membership (refer to MEM 105.1). Specialty Judges and Guest Judges must hold either: (BOD 10/18)
  - a. A USEF/EC Senior/Adult Membership, or
  - b. An individual AHA Membership.
2. All AHA Arabian/Half-Arabian/Anglo-Arabian Recognized Shows must use judges and stewards from the AHA Recognized Judges and Stewards Lists.
  - a. These lists are available from the AHA Judges and Stewards Commissioner's Office and can be found on the AHA website. (Res. 5-90, 7-90, 40-91)
  - b. Any Multi-breed shows with AHA Recognition shall not be required to select stewards from the AHA Approved Stewards List. (Res. 40-91)
  - c. **Exception:** OFFC 104 Specialty Classes
  - d. Updates and corrections to the AHA Recognized Judges List are updated on the AHA Website.
3. Recognized Judges may not adjudicate in back-to-back AHA Recognized shows unless they are not adjudicating the same type of classes. Class type is defined by:
  - a. Sections – Western, English/Country English, Hunter, Reining, Trail, etc.
  - b. Sex for Breeding/In-Hand – Stallion, Mare, Gelding.For example: A judge may adjudicate Western Pleasure and Stallion Breeding at the first show and then would be changed to adjudicate English/Country English Pleasure and Mare Breeding at the second show. Splitting of judging assignments in a back-to-back situation by AO/JO/AAO/AT/JT/AAT within a section is not allowed. (BOD 8/16)  
**Exception:** For Specialty Judges refer to OFFC 104. (BOD 8/18)
4. All AHA Judges, Specialty Judges, Guest Judges and Stewards/TD's are held to the Rules of Conduct found in ETHICS 104. If for any reason a judge or steward is removed from the AHA Recognized Judges and Stewards List, they will be permitted to fulfill their assignments for those shows for which a contract has been signed. (BOD 11/17)
5. The Judges & Stewards Commissioner is empowered to take action which the Commissioner deems appropriate in any matter in which the Commissioner considers, in their sole opinion, that failure to act in a timely manner will result in immediate and irreparable harm to the public or exhibitor's confidence in the conduct of the Judges or Stewards of any AHA approved competition. (Res. 5-90) (Res. 35-08)
6. The Judges and Stewards Commissioner is empowered to warn, to require more education of, to suspend, or remove a judge or steward from the AHA Approved Judges & Stewards List, as appropriate. Said action subject to a de novo review by the Education and Evaluation Commission (EEC), if so, requested by the judge or steward, and such review shall require a two-thirds (2/3) vote of the entire EEC to overturn the actions of the Commissioner. (Res. 35-08)
7. **Cutting:** Any AHA Recognized show which offers any cutting class(es) with over \$100 in added prize money must utilize an NCHA Judge. Cutting classes with \$100 or less in added prize money may utilize a non-NCHA Judge if approved by the AHA Judges & Stewards Commissioner. Reasons for all non-NCHA Judge approvals (or non-approvals) by the Judges & Stewards Commissioner will be conveyed to the Show Management. (Res. 12-13)
8. A judge shall conduct himself/herself in a manner fitting and proper to one afforded the honor of officiating at any AHA approved or sponsored contest.
  - a. Any misconduct on the part of the judge at any AHA contest, the use of abusive language, showing favoritism or discriminating against either

an individual or a horse performing in the contest, or any other action unbecoming to one in his/her position, either on the grounds or elsewhere during the entire show will make the judge subject to review by the AHA Judges & Stewards Commissioner.

- b. The complaint must be submitted in writing by the show management or AHA Steward or may be sent directly to the AHA Judges & Stewards Commissioner. (BOD 11/13)
9. **Conflict of Interest:**
  - a. A judge may not judge their parents, children, spouse, spouse's parents, relatives of either the judge or their spouse.
  - b. A judge may not judge their employer or employees.
  - c. A judge may not judge a horse that they have owned, exhibited, trained, managed, or sold for direct or indirect remuneration within the thirty (30) day period immediately preceding any AHA approved or sponsored event at which said judge is officiating either in full or in part.
  - d. A judge may not judge any individual rider, or horse owned by said rider, who has had training from or given training to said judge within the thirty (30) day period immediately preceding the AHA approved or sponsored event at which said judge is officiating in full or in part.
  - e. If such a horse, owner or rider is entered in a contest, the entry fee shall be refunded, and the entry not shown. (BOD 11/13)
10. Each judge must sign their scorecard (Official Judges Card) and the Show Management shall post the same (when required) in a conspicuous place immediately following each go-round and finals. There must not be any consultation between judges until scorecards are turned in. (BOD 11/13) **After judge's cards are turned in, there will be no changes, except to rectify a transcription error.**
11. **Cutting:** When two or more individuals are judging a cutting horse contest and one or two judges can not complete judging of the go-round, the score or scores of the remaining judge or judges who complete the go-round will be the sole basis of computing the go-round. Each judge must score each horse individually, and if any one of their scores is counted in a go-round, all of their scores must be counted except as provided herein; where five or more individuals are judging a cutting horse contest, the highest and the lowest scores for each horse may be discarded and the accumulated scores of the remaining judges used to compute the go-round. This rule shall also apply to finals. (BOD 11/13)
12. **Cutting:** When two or more individuals are judging a cutting horse contest of more than one go-round or with finals, and one or more judges become unable to continue between the go-rounds or finals, said judge or judges shall be replaced first by the alternate judge named for the contest and second by a judge acceptable to the majority of the contestants and to the show management. If the alternate judge is unavailable, the second option shall be used. (BOD 11/13)

#### **OFFC 102. REGIONAL JUDGES, GENERAL INFORMATION**

1. Regional Championship Shows, Pacific Slope Championships, East Coast Championships, Eastern and Western Canadian Breeders must be judged by a Registered ("R") or Senior judge in the Arabian Division of USEF or EC, who is on the AHA Recognized Judges List published by the Arabian Horse Association. Judges contracted must be from the list of AHA Accredited National/Regional Judges (Res. 10-00) or request and receive an exception to use an AHA Accredited Judge (Res. 10-00) from the Judges and Stewards Commissioner's office. (Res. 5-90) (**Exception:** OFFC 104 Specialty Classes)
2. A judge must not judge more than two Regional Championship Shows including the East Coast, Pacific Slope, Eastern and Western Canadian Breeders Shows in any one calendar year. A judge must not judge contiguous Regions in one calendar year unless the same type classes are not judged (For instance, a judge may judge Western and Stallion Breeding and In-Hand classes at one show and English and Mare Breeding and In-Hand classes at another show. (**Exception:** OFFC 102.6). (BOD 11/97)
3. In Regional Championship Working Hunter and Jumper classes, judges with at least a USEF recorded ("r") licensed in Hunters/Jumpers or EC equivalent are suggested for use at the Regional Shows. However, this is not a requirement if they are listed on the AHA Recognized Judge List. (Refer OFFC 104 Specialty Classes)

4. For Judges of Regional Championship Cutting Horse classes see OFFC 104.2.d.
5. There is no minimum distance of residence requirement for Regional Judges.
6. There is no restriction of the two show and contiguous Region limit on Regional "Specialty Class" judges as defined in OFFC 104.

#### OFFC 103. NATIONAL JUDGES, GENERAL INFORMATION

1. All judges for National shows shall be Registered ("R") and/or Registered ("S") in the USEF, Senior Judges in the EC roster of judges, and appear on AHA's current list of National and Regional Accredited Arabian Judges List, and be selected by the Judges Selection Committee (exception: Canada's National Arabian Championship will select their judges from the above list) in accordance with the rules of AHA (Res. 15-83) (Res. 5-90), except for the following:
 

a. Working Hunter/Hunter Hack	i. Reined Cow Horse
b. Jumper	j. Herd Work
c. Cutting	k. Trail/Trail In-Hand
d. Dressage	l. Breeding/In-Hand
e. Equitation	m. Carriage Pleasure Driving
f. Sport Horse (BOD 5/02)	n. Western Dressage
g. Reining	o. Ranch Horse Classes
h. Working Cow Horse	
2. The Judges Selection Committee shall select an appropriate number of judges to officiate in a timely and efficient manner at the National Championship Show, giving due consideration to the various judging requirements and specific judging qualifications needed for each class.
3. The Judges Selection Committee is to select the Judges required for the National Shows from the Recognized Judges (exception: Canada's National Arabian Championship will select their judges from the Recognized Judges). If fewer than the required number of judges are available from the AHA Accredited National/Regional Judge List, (Res. 10-00) then the Selection Committee may select from the AHA Accredited Judge List only after exhausting the list of all AHA Accredited National/Regional Judges in that order. (Res. 38-97) (Res. 10-00)
4. Members of the Judges Selection Committee may not exhibit at any function for which they select or hire Judges. (Res. 5-90)
5. Any judge selected as a National Championship Judge may officiate at no more than two Regional Championship Shows that same year. A judge must not judge contiguous Regions in one calendar year. (Refer to OFFC 102) Such a judge is prohibited from officiating in any USEF or EC recognized Arabian class during a 30-day period prior to the date of the National Championship Show (Canada's National Arabian Championship and Youth/Mid-Summer Nationals judges are exempted from the 30-day restriction.)
6. Hunter Seat Equitation Judges at the National Championship Show must have at least a USEF "r" or EC equivalent in Hunter Seat Equitation (Exception: U.S. National Championship Show). (BOD3/16)
7. In Equitation classes, the call judge selected to judge the Youth/Mid-Summer National Show, must hold both a USEF/EC Arabian large 'R' card and a USEF/EC large 'R' card in the discipline seat or an AHA Specialty card in the respective discipline seat they are to judge. (Exception: Hunter Seat Equitation over obstacles, Refer OFFC 104 Specialty Classes) (Res. 31-99)
8. NCHA approved judge(s) shall judge National Championship Cutting Horse classes (Youth/Mid-Summer Nationals exempt). (BOD3/16)
9. Five judges are required for the Reining Horse and Trail Horse classes at U.S. Nationals.
10. Judges must provide patterns to AHA office 90 days prior to the National Show they are adjudicating.
11. All AHA Specialty Judges are held to the same requirements as found in OFFC 101.2. (Res. 41-10)
12. Hunter/Jumper Classes. A USEF/EC judge licensed in the Hunter or Jumper divisions for all National shows. (Res. 27-07)

#### OFFC 104. SPECIALTY CLASSES AND SPECIALTY CLASS JUDGES

Specialty Classes are those AHA Recognized classes which do not require a judge from the AHA Recognized Judges List. Dressage classes, Working Hunter, Jumper, Trail, and Sport Horse In-Hand may be run on open cards. See the USEF/EC rules. Specialty Judges' Cards are issued by the Judges and Stewards Commissioner in

conjunction with the Education and Evaluation Commission to adjudicate the specialty classes in OFFC 104.2 Judges that hold a "Specialty Card" will be held to the same requirements found in OFFC 101.2 (Res. 41-10)

1. Judges must be licensed in their respective divisions to adjudicate the following specialty classes:
  - a. Dressage.
    - 1) USEF Recorded Dressage Judges ("r") (EC equivalent) may judge USDF Introductory through Second levels. (Res. 41-10)
    - 2) USEF Registered Dressage Judges ("R") (EC equivalent) may judge USDF Introductory through Fourth levels. (Res. 41-10)
    - 3) USEF Senior Dressage Judges ("S") (EC equivalent) may judge from USDF Introductory through Grand Prix levels. (Res. 41-10)
    - 4) Dressage Seat Equitation, a USEF/EC judge licensed in Dressage. (Res. 22-10)
  - b. Sport Horse Classes. A USEF/EC licensed judge in Dressage Sport Horse Breeding, Hunter Breeding, Dressage, Hunter or Jumper divisions. (Res. 20-98)
    - 1) The Education and Evaluation Commission together with the AHA Sport Horse Committee will determine the parameters of experience required for Sport Horse Specialty Card applicants, the content and delivery of educational sessions, all aspects of the approval process, and the ongoing evaluation of officiating experience. The J&S Commissioner will have authority to approve or disapprove a candidate. (Res. 5-22)
  - c. Western Dressage.
    - 1) USEF Recorded Western Dressage Judges ("r") (EC equivalent) may judge Introductory, Basic and First levels and rail classes.
    - 2) USEF Registered Western Dressage Judges ("R") (EC equivalent) may judge Introductory, Basic through Fourth levels and rail classes.
    - 3) An Arabian judge or Arabian Guest Carded judge, may officiate the Western Dressage Rail classes.
2. Judges must be one of the following: on the current AHA Recognized Judges list (r, R, N/R) or be licensed in their respective divisions or hold an AHA Specialty Judges' Card (refer to OFFC 104.3) to adjudicate the following specialty classes: (Res. 41-10)
  - a. Equitation:
    - 1) Hunter Seat Equitation Over Obstacles – 2'9" and Modified Equitation Over Obstacles – 2'3", a USEF/EC judge licensed in the Hunter Seat Division. (Refer to OFFC 103.6) (Res. 18-15)
    - 2) Hunter Seat Equitation Not to Jump, a USEF/EC judge licensed in the Hunter Seat Division (refer to OFFC 103.6)
    - 3) Saddle Seat Equitation (includes UPHA classes), a USEF/EC/UPHA judge licensed in Saddle Seat (refer to COMP 508.1).
    - 4) Reining Seat Equitation, a USEF/EC licensed judge licensed in the Western and/or Reining Division or a NRHA approved judge. (BOD 8/16)
  - b. Working Hunter/Hunter Hack, a USEF/EC judge licensed in the Hunter Division.
  - c. Jumper, a USEF/EC judge licensed in the Jumper Division.
  - d. Cutting (also includes Ranch Cutting), an NCHA or Judges & Stewards approved judge.
  - e. Reining, a USEF/EC judge licensed in the Reining Division or an NRHA approved judge. (Res. 51-95)
  - f. Working Cow/Reined Cow/Herd Work, a USEF/EC judge licensed in the Western Division or a National Reined Cow Horse Association (NRCHA) or National Cutting Horse Association (NCHA) approved judge (BOD 11/07).
  - g. Trail/ Trail In-Hand, a USEF/EC judge licensed in the Western Division.
  - h. Carriage Pleasure Driving, A USEF/EC judge licensed in the Carriage Pleasure Driving Division. (BOD 11/08)
  - i. Breeding/In-Hand, a judge/breeder with experience in their respective country.
  - j. Ranch Horse Classes, a USEF/EC judge licensed in the Western Division or an NRHA, NRCHA or licensed by a recognized Ranch Horse

Association (i.e., NVRHA, RHAA, SHOT, ARHA) or judges licensed in another breed (i.e., AQHA, APHA, ApHA, etc.). (BOD 8/18)

3. Any guest judge card limitations in the USEF Rule Book do not pertain to the Arabian Specialty carded judges. (Res. 41-10)
4. Specialty judges are exempt from OFFC 101.3 and may adjudicate at back-to-back competitions including any pre-show and Regional Championships. (BOD 8/18)

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## CHAPTER 14: REGIONAL & NATIONAL SHOW QUALIFICATIONS

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#### **QUAL 101. GENERAL RULES AND ELIGIBILITY (BOD 9/21 point 1 through 13.c)**

1. The qualification period is composed of:
  - a. The current year up to the final close of entries.
  - b. The previous 14 months (past year of 2023 plus November and December of 2022).
2. Compete in any qualifying AHA Recognized show in any region (which includes Concurrent, Pre-Show, Value Show).
3. An Amateur must qualify the horse to participate in an Amateur or Amateur Owner class. A Walk/Trot/Jog rider must qualify the horse (in Walk/Trot/Jog) to participate in a Walk/Trot/Jog class. (**Note:** The 10 & Under and 11 & Over Walk/Trot/Jog are separate sections, and qualifications must be earned in that section to qualify, a 10 & Under W/T/J qualification cannot be used for an 11 & Over W/T/J rider and vice versa).
4. Compete in a recognized section, i.e., HA/AA Western Pleasure, Arabian Country English Pleasure, Arabian Hunter Pleasure, etc.
5. Compete in any recognized class within that section (**Note:** for Dressage, each level is considered its own section).
6. Participate in that one recognized class in that section now qualifies you to compete in as many classes within that section at any one Regional Championship Show of your choice. See QUAL 119 for category requirements.
  - a. Example: Participate at a qualifying show in HA/AA Western Pleasure ATR qualifies for any and as many classes in HA/AA Western Pleasure if you meet the definition for an Amateur, Amateur Owner, Leveling, Jr. Horse, etc. The qualification could have been earned in Region 8 but was used for the Region 6 Championship Show.
7. Participate in two (2) AHA Qualifying Shows and compete at any and as many Regional Championships in that section. See QUAL 119 for category requirements.
8. Equitation is based on the rider and the rider must participate in their qualifying section. **Medal classes may be used to qualify for Regional Championships.**
  - a. Youth - National Championship Show, a rider must obtain:
    - i. A Medal, in a class of three or more entries; or

- ii. a participation qualification in AHA Medal classes (see current chart); or
- iii. a Top Five or better from corresponding classes in Regional Championship Shows, East Coast Championship or Pacific Slope Championship, or a Top Six or better from corresponding classes in Eastern or Western Canadian Breeders Championship Classes.

- b. Adult - National Championship Show, a rider must obtain:
  - i. a participation qualification in classes requiring a pattern; or
  - ii. a Top Five or better from corresponding classes in Regional Championship Shows, East Coast Championship or Pacific Slope Championship, or a Top Six or better from corresponding classes in Eastern or Western Canadian Breeders Championship Classes.
9. Participation can also be used to qualify in Specialty classes and can be obtained through Open Shows (and placings for Working Western/Dressage/Western Dressage).
10. A National Show Commission has the right to place restrictions on cross entry into classes.
11. The USEF/EC family definition and two distinct non-family owner definition must be adhered to.
12. East Coast and Pacific Slope (Top Five), Eastern and Western Canadian Breeders (Top Six) all count as Regional Placings.
13. Participation definition:
  - a. In a class where horses compete together, participated means a horse/rider/driver/handler must have been entered, shown, and judged (must attempt to perform at all required gaits both ways of the ring and remain in the ring until excused by the judge). In other words, finishing the class.
  - b. In a class where horses compete individually, participated means a horse/rider must have completed the individual requirements of the class and be eligible to receive a score.
  - c. Horses which are excused/disqualified/eliminated/received a "no score" are not considered "adjudicated" and would not receive credit for participation.
  - d. Cutting, Herd Work, Working Cow Horse and Reined Cow Horse classes require participation. Participation is defined as entered, shown and scored in that class. A zero is considered a 0 score and a NS or no score is not considered a score. (BOD 11/17) Refer to QUAL 119.4 for waived qualifications on cow classes.
14. Only classes listed in the AHA Class List will be used to qualify for Regional and National Classes.
15. Arabian, Half-Arabian/Anglo-Arabian Championship classes, a horse must:
  - a. Be issued a Certificate of Registration from the Arabian Horse Association, the Canadian Arabian Horse Registry, or the Canadian Partbred Arabian Register.
  - b. For Regional/National Shows, be qualified in an AHA Recognized Show/Section that was held within the preceding calendar year and that period of the current year prior to the closing date of entries of the show in which the Regional/National Championship class is held.
16. It is the responsibility of the person(s) signing the Official Entry Form to verify that the horse is eligible to be entered and compete in the Regional/National Championship Class. **Exhibitors are responsible for their qualifications.**
17. When a horse is already qualified and the qualifications are increased, the horse must then earn the additional required qualifications needed to enter that Regional or National Show.
18. Qualifications for new classes added or any class change to the National Championship Shows will become effective **November 1**, unless otherwise specified.
19. When a horse's ownership changes, the horse's Regional and/or National qualifications go with that horse.
20. All classes that have no qualifications or whose qualifications have been waived for Nationals shall also have qualifications waived for Regionals. (BOD 8/11)

21. Participation of horse and rider/driver/handler will be recorded and may be used multiple times to qualify a horse and/or rider for Regionals and/or Nationals up until the expiration of the qualification period. "Multiple times" are defined as shows and not classes within a particular show, i.e., participation qualification may be used for a Western Pleasure class at both Youth/Mid-Summer Nationals (JO) and U.S. Nationals (AO). The same qualification can also be used for a Western Pleasure JT and JO at Youth/Mid-Summer Nationals.
22. In accordance with historical precedence, the East Coast Championships, Pacific Slope Championships, Eastern and Western Canadian Breeders Championships Shows are entitled to:
  - a. Retain their specific qualification requirements.
  - b. Retain their respective schedule of classes being fewer than the requirements for Regional Championship Shows.
23. **Canada's National Arabian Championship qualifications are waived and will be revisited in the future.**

#### **QUAL 102. CLASSES THAT DO NOT COUNT FOR QUALIFICATIONS**

1. Classes will not count unless they use an AHA Approved Scoring System or successfully apply for and receive dispensation (Refer to COMP 510 – DISPENSATION) (Res. 20-13).
2. Classes which do not have a corresponding AHA class code will not count. (CAC 5/13) Also, Top Five placings in Regional Championship classes that do not correspond with classes and/or sections offered at the National Shows will not qualify for any National Championship class. (Refer to AHA Class List and Sections)
3. Mixed sex in Breeding and In-Hand classes will not qualify for Regional and National classes, **Exceptions:**
  - a. Half-Arabian/Anglo-Arabian colts (One Year & Under) which can be shown in yearling or weanling gelding classes and in Junior Gelding Horse Championships. (Note: Half-Arabian/Anglo-Arabian Colts One Year & Under are not eligible to advance to further Championships. For more information refer to USEF Rules).
  - b. For horses competing in Ranch Conformation, horses in each division may be shown together as one class or divided into Purebred, Half-Arabian, Stallions, Mares, Geldings, Open, Amateur, Youth, or in any combination deemed appropriate by show management. (Res. 15-20)
4. Local Futurities.
5. Any class added to an AHA Recognized Show or Section that is not in compliance with AHA rules.
6. A National Top Ten or a Top Ten from any other show does not qualify for future Regionals or Nationals.
7. The following do not qualify a horse for Regional Championship classes:
  - a. Top Five, Champion or Reserve Champion from Regional, East Coast or Pacific Slope Championship classes.
  - b. Top Six, Champion or Reserve Champion from the Eastern or Western Canadian Breeders Championship classes.

#### **QUAL 103. NATIONAL RULES**

1. To compete in National Championship classes:
  - a. Horses must be one year of age or older for Breeding/In-Hand classes at U.S., **CNAC**, and Sport Horse Nationals. **For Performance Halter and Ranch Horse Conformation, horses must be three years of age or older.**
  - b. Be three years of age or older for Breeding/In-Hand, **Performance Halter, and Ranch Horse Conformation** classes at Youth/Mid-Summer.
  - c. Be three years of age or older for performance classes (**includes Showmanship and In-Hand Trail**) at U.S., **CNAC**, Sport Horse, and Youth/Mid-Summer Nationals. (BOD 2/11)
  - d. Yearlings and 2 Year Old's may only compete in the age specific National class (**excludes any riding or driving classes**); cross entry into Open, ATR/H or AOTR/H is not permitted.
2. Horses must be properly entered in the National Championship class prior to the final deadline of entries on the appropriate entry form found in the Omnibus or the AHA web site (**exception: see Canada's National Arabian Championship website arabiannationals.com for entry information**). If not qualified at the time

the Official Entry Form is submitted or AHA cannot verify qualifications when acknowledgements are sent, the owner/agent must provide a completed Verification of Qualification Form prior to receiving an exhibitor number with the date, show or event and class. For online entries see AHA Omnibus.

3. For Nationals, the closing date of entries will be determined by that National Show Commission. (Res. 50-12)

#### **QUAL 104. OPEN QUALIFYING**

Cutting, Working Cow Horse, Reining, Reined Cow Horse, Ranch Horse, Working Hunters, Jumpers, Hunter Hack, Dressage, Eventing, Combined Driving and Carriage Pleasure Driving, Sport Horse In-Hand may qualify in an Open Qualifying USEF/EC Competition (participation). Exhibitors must fulfill all requirements according to the Chapter 15 "AHA Open Qualifying Competitions". (BOD 8/02) (BOD 5/07)

#### **QUAL 105. QUALIFICATIONS AND ELIGIBILITY PROTEST PROCEDURES – NATIONAL CLASSES**

1. Any deficiency in a horse's qualifications or eligibility for any National Championship class must be protested, if at all, pursuant to the USEF/EC rules for protests within 48 hours after the conclusion of the National Show involved. If the person protesting was aware of, or should have been aware of, the horse's deficient qualifications or eligibility prior to the expiration of that 48-hour period. In appropriate and clear cases brought before it no later than 48 hours after the conclusion of the show, the National Show Commission for the National Show involved may, upon 12 hours' notice of an opportunity to be heard, bar from competition, or remove and redistribute any titles and associated prizes won at that show, by any horse determined to be ineligible or unqualified for the class or classes involved.
2. Any deficiency in a horse's qualifications or eligibility for any National Championship class that is discovered by the protester later than 48 hours after the conclusion of the National Show, and could not have been discovered sooner, may be the subject of a protest to the Executive Committee. Any such protest must be made in writing no later than 12 months after the conclusion of the National Show for which the horse's qualifications or eligibility are alleged to have been deficient. In appropriate cases, the Executive Committee may, upon 7 days' notice of an opportunity to be heard, remove, and redistribute any titles and associated prizes won at a National Show by any horse determined to have been ineligible or unqualified for the class or classes involved.
3. No protest of any deficiency in a horse's qualifications or eligibility for any National Championship class brought later than 12 months after the conclusion of the National Show involved will be considered, except as part of a complaint which has been forwarded to the Ethical Practice Review Board alleging an ethical violation.
4. **No protest of any deficiency in a horse's qualifications or eligibility for any Canada's National Arabian Championship class can be filed due to the waiving of qualifications.**

#### **QUAL 106. ARABIAN BREEDERS SWEEPSTAKES – REGIONAL AND NATIONAL CLASSES**

##### **GENERAL**

1. Refer to the Chapter 18, Arabian Breeders Sweepstakes for complete program rules and enrollment fees.

##### **REGIONAL**

2. Regional Arabian and Half-Arabian/Anglo-Arabian yearlings that have won a 1<sup>st</sup> place in a Sweepstakes Class in one Regional Show cannot compete in the same class in any other Regional Show.

##### **NATIONAL**

3. Sweepstakes Yearlings must qualify for U.S. Nationals by earning a Top Ten ribbon in the Regional Yearling Sweepstakes class. For Sport Horse Nationals, no qualifications are required other than the yearlings must be Breeding Entries.
4. No qualifications are required for the ABS Dressage Prospect Incentive, ABS \$15,000 Green Working Hunter Derby Championship, ABS Performance Halter and ABS Amateur Owner Jackpot classes. All participants must be entered into the Arabian Breeders Sweepstakes Program as Breeding/Original Entries. Refer to SWP 116.

## QUAL 107. AMATEUR AND AMATEUR OWNER QUALIFICATIONS FOR CHAMPIONSHIP CLASSES

It is the responsibility of the Amateur / Amateur Owner to abide by this rule.

### AMATEUR

1. All horses entering any Regional or National Amateur/Amateur Owner (AT/AO, AAT/AAO, JT/JO and Select/Choice/Elite Amateur/Amateur Owner) must have been qualified by an Amateur/Amateur Owner. Amateurs can be of any age.

### AMATEUR OWNERS

2. To compete in an Amateur Owner Championship class, the rider/driver/handler must be an Amateur Owner or fulfill the family definition for owner or co-owner rule under USEF.

## QUAL 108. BREEDING AND IN-HAND QUALIFICATIONS

1. In Breeding classes, stallions must earn Breeding qualifications in Stallion Breeding classes, mares must earn Breeding qualifications in Mare Breeding classes and geldings must earn In-Hand qualifications in Gelding In-Hand classes.
2. Qualifications earned as a stallion will be carried forth with the horse as a gelding if the horse is castrated.

## QUAL 109. EQUITATION QUALIFICATIONS

1. A rider need not use the horse on which the rider qualified. However, the horse used must be a registered Arabian, Half-Arabian, or an Anglo-Arabian, and riders must use the same horse throughout all phases of the competition unless the show veterinarian certifies that the horse used at the beginning is unsound and the required forms are filed at the horse Show Office.
2. Refer to QUAL 113 for qualifications pertaining to the age of rider. The age of the rider determines the corresponding National Championship age group class entry.

**Example:** Providing the required qualifications have been met (within the equitation qualification time frame), a 13-year-old rider can advance to the 14-18 age group without re-qualifying.

3. In the Equitation Division riders must qualify in the section/class in which they are entered. Equitation sections are Saddle Seat, Reining/Western Seat, Hunter Seat Over Obstacles, Hunter Seat Not to Jump, Western Horsemanship, Dressage Seat. (BOD 5/06)

## QUAL 110. NATIONAL FUTURITIES

1. For information regarding qualifications for the National Breeding and In-Hand Futurity, refer to Chapter 19 on the National Halter Futurity for program rules and enrollment fees.
2. For information regarding qualifications for the National Performance Futurity and Maturity, refer to Chapter 20 on the National Performance Futurity and Maturity for program rules and enrollment fees.

## ~~QUAL 111. JACKPOT QUALIFICATIONS FOR NATIONAL CHAMPIONSHIP CLASSES~~

### ~~THE AHA CANADIAN NATIONAL ARABIAN GELDING, FILLY & COLT 2-YEAR-OLD JACKPOT CLASSES (BOD 11/05)~~

1. ~~Must qualify using the required participation from the AHA Recognized Class list in the appropriate qualifying section, Regional Top Five or an Eastern/Western Canadian Breeders Top Six. (BOD 11/12)~~

## QUAL 112. LEAD LINE QUALIFICATIONS FOR REGIONAL AND NATIONAL CHAMPIONSHIP CLASSES

The Lead Line Class exhibitors shall be at least two years of age and have not reached their 7th birthday (USEF/EC rules). The class requires no Regional or National qualifications.

## QUAL 113. NATIONAL QUALIFICATIONS FROM NATIONAL SHOWS

Beginning in the year 2020, AHA Recognized National Champions are qualified for two additional years and may re-enter that National Championship class. "That" class is defined as an AT/AAT/JT going to an AT/AAT/JT or an AO/AAO/JO going to an AO/AAO/JO or an Open going to an Open within the same section. Reserve National Champions are qualified for an additional year to re-enter that National

Championship class (BOD 8/19). Previous 2019 and earlier AHA Recognized National Champions are qualified for life and may re-enter that National Championship class. Previous Lifetime qualifications earned may be used at any National Championship Show (if the class is offered). (BOD 3/12) ~~Championships and Reserve Championships earned at Canada's National Arabian Championship cannot be used as qualifications for any other National Show.~~

### **Exceptions:**

1. UPHA Equitation riders must re-qualify each year. (Refer to UPHA for complete rules and age of rider)
2. An Equitation rider qualified in 18 & under is not affected by a change in the age of the rider. Breed can be either Arabian or Half-Arabian/Anglo-Arabian as the qualification goes to the rider/handler.
3. An Amateur Owner qualified horse is not affected by a change in the age of the Amateur Owner Rider (AAO or JO).
4. An Amateur qualified horse is not affected by a change in the age of the Amateur Rider (AAT or JT).
5. A National Championship earned in a Junior Horse Performance class will qualify that horse in that Junior Horse Performance class for as long as that horse is deemed a Junior Horse.
6. Beginning in year 2020, a National Champion in Dressage in an Arabian/Half-Arabian/Anglo-Arabian National Championship class is qualified for two additional years in that class including split Arabian and Half-Arabian/Anglo-Arabian classes. A National Championship in Dressage in an Arabian or Half-Arabian/Anglo-Arabian class is qualified for two additional years in that class including combined Arabian/Half-Arabian/Anglo-Arabian classes. (BOD 3/12) See above for 2019 and earlier Lifetime Champions. (BOD 8/19)
7. A National Champion or Reserve National Champion in Sport Horse in an Arabian or Half-Arabian/Anglo-Arabian National Championship class may use this qualification for either Dressage Type or Hunter Type (but not both) when classes are split by type. (BOD 3/14)
8. The U.S. National Champion Arabian Open Senior Stallion or Arabian Open Senior Mare is no longer allowed to participate at the U.S. Nationals in their respective divisions after achieving said title. (BOD 11/15)
9. ~~The Canadian National Champion Arabian Open Senior Stallion or Arabian Open Senior Mare is no longer allowed to participate at the Canadian Nationals in their respective divisions after achieving said title. (BOD 11/15)~~
10. In Performance Halter, a horse does not obtain National qualifications from previous National Champion and Reserve Champion titles. To qualify, you must follow the rules outlined in REQ 112.

## QUAL 114. PERFORMANCE HALTER QUALIFICATIONS

Must qualify using the criteria outlined in REQ 112.

## QUAL 115. REINING QUALIFICATIONS

Placings in the Non-Pro Divisions do not affect eligibility in the Open Divisions. Refer to USEF/EC Working Western Section, Reining Horse Class Specifications for Open and Non-Pro criteria. (Res. 42-10)

## QUAL 116. REINED COW HORSE QUALIFICATIONS

### GENERAL

1. **Limited Reined Cow/Boxing classes** - Open to any qualified rider that has not taken a cow down the fence 3 actual runs in any judged class or event (classes in which a rider "goes down the fence" include Working Cow and Reined Cow Horse classes. A rider does not "go down the fence" in a Limited Reined Cow Horse/Boxing class or in Box-Drive-Box class). Being entered in a class that includes fence work will be counted as going down the fence REGARDLESS if the rider boxed the cow and pulled up before the run was completed. (Res. 35-10)
2. Non-Pro Limited/Boxing Competitors (50 years of age and older) – this class is open to approved Non-Pro Limited/Boxing riders who comply with all eligibility rules (see NRCHA Non-Pro eligibility rules/USEF Amateur rules). (Res. 16-15)
  - a. May not go down the fence again in any judged class(es) or event(s) except for horse sales.
  - b. May fall back into the Non-Pro Limited Reined Cow Horse/Boxing division/class only once.

## QUAL 117. UPHA ARABIAN BREEDS CHALLENGE CUP QUALIFICATIONS FOR NATIONAL CHAMPIONSHIP CLASSES

UPHA Equitation riders must re-qualify each year on either a registered Arabian, Half-Arabian, or Anglo-Arabian. Refer to the United Professional Horseman's Association for program rules and qualifications. Phone (859) 231-5070.

## QUAL 118. 10 & UNDER WALK-TROT/JOG QUALIFICATIONS FOR NATIONAL CHAMPIONSHIP CLASSES

The Walk-Trot/Jog Pleasure and Equitation classes for Arabians, Half-Arabians, and Anglo-Arabians are for exhibitors aged 10 and under. A rider must never have been judged in a class at a Recognized or Licensed Competition that required a canter or lope (**Exception:** Short Stirrup Reining Riders and Cross Rail 10 & Under Riders – see USEF/EC). (Res. 13-15)

1. A combined Walk-Trot/Jog Equitation/Pleasure class or a Walk-Trot/Jog class that does not specify whether it is a pleasure or equitation class will not be recorded.
2. Dressage 10 & under Walk-Trot classes may qualify using the USDF Intro to Dressage (Walk-Trot) tests.

## QUAL 119. QUALIFICATION CATEGORIES (BOD 9/21)

Refer to the complete qualification chart on the AHA website <https://www.arabianhorses.org/competition/aha-recognized/qualifications/>

**Note: Canada's National Arabian Championship qualifications are waived and will be revisited in the future.**

1. Category I – Main Ring (Including Equitation/Horsemanship>Showmanship. See Category II for Youth Dressage Seat and Hunter Seat Over Obstacles Equitation) See QUAL 101.8.a for all further Equitation qualifications. **Note:** If you class is not specifically named in any of the other categories, it falls into Category I.

- a. Regional Qualifications:
  - i. Participate at one (1) AHA Qualifying Show to compete at one Regional Championship of your choice
  - ii. Participate at two (2) AHA Qualifying Shows to compete at any and as many Regional Championships

- b. National Qualifications:
  - i. Regional Top 5 or better or participate at three (3) AHA Approved Shows (one of which may be a participation from a Regional Championship)

2. Category II – Main Ring Exceptions/Sport Horse/Working Western (cannot receive a "no score")

- AAOTH Purebred Yearling Gelding - (Regional Yearling Sweepstakes classes do not count as a qualifying class for Nationals either as a Top Five or participation)
- Country Pleasure Driving
- Dressage Seat Equitation – Youth only (see QUAL 101.8.a for Youth Medal Classes)
- Junior Horses (unless affected by another category below)
- Park Horse
- Pleasure Driving
- Walk Trot - 10 and Under/11 & Over (Pleasure, Equitation, Ranch and other)
- Cutting
- Half-Arabian Yearling – Non-Sweepstakes (Regional Yearling Sweepstakes classes do not count as a qualifying class for Nationals either as a Top Five or participation)
- Hunter Seat Over Obstacles Equitation – Youth only (see QUAL 101.8.a for Youth Medal Classes)
- Ranch Cutting
- Ranch Rail
- Ranch Reining
- Ranch Riding
- Ranch Trail
- Versatility Ranch Riding

- Reining
- Trail
- Sport Horse In-Hand
- Sport Horse Under Saddle
- Sport Horse Show Hack

\*\*See Category IV for Youth cattle classes\*\*

- a. Regional Qualifications:
  - i. Participate at one (1) AHA Qualifying Show to compete at any and as many Regional Championships
- b. National Qualifications:
  - i. Regional Top 5 or better or participate at two (2) AHA Approved Shows or "Open Qualifying Shows" (for Working Western) (one of which may be a participation from a Regional Championship)
3. Category III – Program Enrollment
  - ABS Yearling Classes (Arabian Filly/Colt/Gelding) – Breeding Entry only and received a Top 10 from Regional ABS Yearling class (HA/AA Filly/Gelding offered at Regional only)
  - ABS Sport Horse Yearling Classes – Breeding Entry only
  - **ABS Canadian Yearling Classes – Breeding Entry only**
  - ABS AAOTR Jackpots (Arabian and HA/AA Western PI, County PI, Hunter PI, English PI, Reining) – Breeding or Original Entry
  - ABS AAO Performance Halter – Breeding Entry or Original Entry – must be adjudicated at Nationals in a Performance Class
  - ABS Sport Horse Under Saddle AO Jackpot – Breeding or Original Entry
  - ABS Dressage Prospect Incentive – Breeding or Original Entry
  - ABS Green Working Hunter Derby – Breeding or Original Entry
  - Performance Futurity/Maturity/Derby – program enrollment
  - Breeding/In-Hand Futurity – program enrollment
  - Sport Horse 3-Year-Old In-Hand Jackpot – pay to play
4. Category IV – Other - Regional and Nationals - No Qualifications
  - Carriage Driving (all)
  - Combined Training (all)
  - Dressage Seat Equitation – Adults only
  - Green Working Hunter
  - Herd Work (waived for competition years 2025 & 2026)
  - Hunter Hack (all)
  - Hunter Seat Equitation Over Fences – Adults only
  - Hunter Seat Over Obstacles ATR (waived for competition years 2025 & 2026)
  - **In-Hand Trail (English & Western) waived for competition years 2025 & 2026**
  - Jumpers
  - Limited Reined Cow Horse/Boxing (waived for competition years 2025 & 2026)
  - Prospect Performance Halter (2 years of age and under)
  - Reined Cow Horse
  - **Sport Horse Showmanship (waived for competition years 2025 & 2026)**
  - Versatility Ranch Horse Cow Work (waived for competition years 2025 & 2026)
  - Versatility Ranch Working Cow (waived for competition years 2025 & 2026)
  - Western Dressage Hack (waived for competition years 2025 & 2026)
  - Western Dressage Suitability (waived for competition years 2025 & 2026)
  - Working Cow Horse
  - Working Hunter
  - Youth Herd Work
  - Youth Reined Cow Horse and Limited Reined Cow Horse/Boxing
5. Category V – Dressage/Western Dressage - Horse/Rider must not be eliminated

- a. Regional Qualifications:
  - i. Participate at one (1) AHA Qualifying Show or "Open Qualifying Show" to compete at any and as many Regional Championships
- b. National Qualifications:
  - i. Regional Top 5 or better or participate at two (2) AHA Approved Shows or "Open Qualifying Shows" (for Working Western) (one of which may be a participation from a Regional Championship)
  - ii. For FEI - Regional Top 5 or better or participate at one (1) AHA Approved Shows or "Open Qualifying Show".
- c. Freestyle – Must have a Minimum Score of 63% in Fourth Level and below, and 60% for FEI Levels, in highest test in level or higher level.
- d. See Category IV for Western Dressage Hack and Suitability qualifications. (BOD 11/24)

**Note:** To qualify for:

- **Performance Halter**, the horse must have been entered and shown in a USEF/EC recognized or AHA recognized performance class (Under Saddle and/or Driving) at the same show as the one in which the performance halter class is being held or have completed an AHA sanctioned Endurance ride or a Competitive Trail Ride recognized by AHA or sanctioned by an AHA recognized Competitive Trail riding organization in the past 90 days.
- **Ranch Horse Conformation**, the horse must be shown in at least one riding class in that division at the same show.

#### QUAL 120. CLASS EXTENSIONS AND DEFINITIONS

1. **Governing Bodies and Rule Books** - AHA, USEF, USDF, NRHA, NRCHA, RSNC, ADS, NCHA, USTPA. Any show may hold any class that is governed by any of the Rule books or Association rules that AHA recognizes.
2. **Standard Definitions** - Breeding/In Hand - based on Horse Ages (May include specialty classes like Jackpot). All Ages, 2 & Over, 2 & Under, 1 & Under, or any age over and/or under. Yearling, 2 yrs, 3 yrs, 4 yrs, or any combination of years (2 & 3yrs, 3 & 4 yrs, etc.). Sport Horse may or may not be divided according to type - Dressage or Hunter.
3. **Divisions Based on Horse** - May be restricted by specific age or open to all. Open / Mares / Stallions / Geldings / Maiden / Novice / Limit Horse / Ladies / Gentleman /Junior Horse. Sport Horse Under Saddle may or may not be divided according to type - Dressage or Hunter.
4. **Divisions Based on Rider/Driver/Handler Status** - Amateur Owner / Amateur / Amateur Owner Trained & Shown / Select / Choice / Elite / Primetime / Maiden Rider / Novice Rider / Limit Rider. May be restricted by sex (Ladies, Gents) age groups or open to all. Note: Some descriptions above are only available to Rider's.
5. **Cutting** – Open, Non-Pro, Novice, Rookie.
6. **Performance Based on Seats** – All Seats may be run together, separate or a combination of types, i.e., Western, English, Country English, and Hunter.
7. **Carriage Pleasure Driving** - Divisions / Extensions can be based on Horse (HS), Driver (RS).
  - a. Pleasure (CD & PD) - Turnout, Working, Park, Reinsmanship, Freestyle Reinsmanship, Super Reinsmanship.
  - b. Combination (CD & COMB) - Drive & Ride, Hunter, Sport Tandem.
  - c. Obstacle (CD & OBST)
    - 1) Specialty Time Comp - Scurry, Town & Country, Double Jeopardy, Reverse Psychology, Pick Your Route, Your Route/My Route.
    - 2) High-Point Comp - Fault and out, Progressive, Gambler's Choice.
    - 3) Fault Competitions - Cross Country, Fault Obstacle.
  - d. Driven Dressage (DD) - Training, Preliminary, Intermediate, Arena Driving Trial.
8. **Combined Driving (DC)** USEF – Based on level, Intermediate, Preliminary, Training.
9. **Working Western** - All Horse / Rider Status classes plus Limited, Bitted / Bosal - Hackamore. May also include Freestyle.
10. **Working Hunter** – Provides the maximum fence height (Res. 8-15)

- Working Hunter Warm Up – 2' – 2'6"/Spread Max 2'6"
- Working Hunter – Open, JTR, ATR, AATR - 2'
- Combined Working Hunter - 2'3" and 2' 6" (Classes may be combined or run as separate classes – unrated class)
- Modified Working Hunter - Open – 2'9"
- Regular Working Hunter - Open – 3'0"
- Working Hunter Classic – Open
- Working Hunter Derby
- Green Working Hunter Open – 2'6" – See USEF for eligibility requirements
- Green Working Hunter Open - 2'9" - See USEF for eligibility requirements
- 14.2 HH and Under Working Hunter - Open – 2'6"
- 14.2 HH and Under Working Hunter JTR/AATR/ATR – 2'3"
- 14.2 HH and Under Green Hunter - Open – 2'3"
- Modified Working Hunter, JTR/ATR/AATR – 2'3"
- Junior/Amateur Working Hunter JTR/ATR/AATR – 2'6"
- Junior/Amateur Owner Working Hunter - JOTR/ACOTR/AAOTR – 2'9"
- Cross Rails not to exceed 18"
- 11. **Jumper** - Based on Fence Heights
  - Open - .8m, .9m, 1m, 1.1m
  - AO / AT (All ages) - .8m, .9m, 1m, 1.1m
  - Novice - .7m, .8m, .9m
- 12. **Eventing** – Based on level, Novice, Training, Preliminary or above, Combined.
- 13. **Western Dressage** – Based on levels = Intro, Basic, Level 1, Level 2, Level 3, Level 4, Level 5. Plus, may be based on Horse and/or Rider divisions.
- 14. **Ranch Sorting** – Based on rating system. See RSNC for rating definitions.

#### QUAL 121. AHA CLASS/SECTION LIST

See class list and sections on the AHA website under Competitions/Resources/Qualifications/Recognized Sections

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## CHAPTER 15. AHA OPEN QUALIFYING COMPETITIONS

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### STANDARD DEFINITIONS:

ACHA	American Cutting Horse Association
APHA	American Paint Horse Association
AQHA	American Quarter Horse Association
ARHA	American Ranch Horse Association
EC	Equestrian Canada
NCHA	National Cutting Horse Association
NRCHA	National Reined Cow Horse Association
NRHA	National Reining Horse Association
NVRHA	National Versatility Ranch Horse Association
RHAA	Ranch Horse Association of America
SHOT	Stock Horse Association of Texas
USCHA	United States Cutting Horse Association
USDF	United States Dressage Federation
USA	United States Eventing Association
USEF	US Equestrian Federation
WCHA	World Cutting Horse Association
WDAA	Western Dressage Association of America
WSDAC	Western Style Dressage Association of Canada

AHA programs and events deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means.

Exhibitors in Dressage, Working Hunter, Hunter Hack, Jumper, Eventing/Trials, Combined Driving, Carriage Pleasure Driving, Ranch Horse, Reining, Working Cow, Reined Cow and Cutting classes that are not recognized by AHA are permitted to qualify for Regional and National Events and participate in AHA programs if all the requirements are met for each event. Competitive Trail Rides/Drives and Endurance Rides are permitted to qualify in AHA programs if all the requirements are met for each program.

### MEMBERSHIP

For all Membership requirements refer to Chapter 7. Scores or participation for AHA Programs and Regional and National qualifications will only be recorded for horses shown by participants who have an AHA Competition Level Membership. (Adult, Youth, Life or Business).

### SCORES

References to scores throughout this chapter are for the requirements contained in Chapter 21 for the Achievement Award Program.

### **OPEN 101. DRESSAGE/WESTERN DRESSAGE/SPORT HORSE IN-HAND ENROLLMENT AND REPORTING FOR OPEN SHOWS (BOD 3/15, 11/24)**

1. Dressage/Western Dressage/Sport Horse In-Hand Qualification Enrollment. Horses must be enrolled in the program for USEF/EC and USDF recognized or USEF/EC and WDAA/WSDAC recognized Open Show scores/participation to be recorded toward AHA qualifications.
  - a. The annual enrollment fee for horses using USEF/EC/USDF/WDAA/WSDAC Open Show scores for AHA qualifications is \$50 per horse and scores must be

postmarked/electronic transaction dated to the AHA office within 30 days of the competition date. All USEF/EC/USDF Open Show scores/participation earned from the enrollment date until **December 31** of that competition year will be included in that enrollment year.

- b. The annual enrollment fee of \$80 will apply if the show results report is postmarked/electronic transaction dated after 30 days of the date of the competition. There will be an additional fee of \$80 per year to have scores/participation earned from the previous qualification year count toward AHA qualifications.
- c. For Dressage classes, refer to REQ 107, REQ 208 and QUAL 111.
- d. There is no enrollment required for horses that qualify at AHA Recognized Shows where Arabian; Half-Arabian/Anglo-Arabian Dressage, Western Dressage and/or Sport Horse In-Hand classes are included within the recognized list of classes.
2. The horse must be properly enrolled and qualifying scores/ participation must be properly reported on the Open Qualifying Show/Event Results Form.
3. The Open Qualifying Show/Event Results Form must include the judges' name, signature of the Show Secretary, Manager or Technical Delegate a copy of the prize list and the required information as stated on the form. (Res. 7-93)
  - a. For Dressage Walk-Trot 10 & Under through Third Level submit all participation, levels, and percentage scores of 55% or better. (BOD 11/15)
  - b. For Dressage classes Fourth Level and higher submit all participation, levels, and percentage scores of 50% or better. (BOD 11/15)
  - c. For results from open USEF/EC Dressage Sport Horse Breeding/In-Hand and Hunter Breeding/In-Hand report participation. (BOD 11/24)
4. Shows must have USEF or EC Recognition and judges must be USEF/EC rated in the Dressage Division. Refer to Subchapter WD-Officials in the USEF Rulebook for 'Western Dressage Judge' requirements.
5. Dressage scores/participation from Eventing will be accepted, providing the Dressage portion meets the requirements of the AHA Dressage program. Scores/participation from schooling shows, Kur, and Musical Freestyle do not count.
6. Introductory, Basic and Levels 1 through 5 scores/participation are counted for Western Dressage. Western Dressage Equitation, Hack and Suitability are counted.
7. When combination (multiple classes for one ride) classes are offered at open shows, AHA will acknowledge only one of those ride scores/participation. Declaration must be made at the time the score/participation is reported to AHA on Open Qualifying Show/Event Results Form indicating which one score/participation from the classes offered is to count.

### **OPEN 102. WORKING HUNTER, HUNTER HACK AND JUMPER ENROLLMENT AND REPORTING FOR OPEN SHOWS**

1. OPEN SHOW Qualification Enrollment. Horses must be enrolled in the program for USEF/EC AHA Programs and Open Show participation to be recorded toward AHA qualifications.
  - a. The annual enrollment fee for horses using USEF/EC Open Show participation for AHA qualifications is \$50 per horse and must be postmarked/electronic transaction dated to the AHA office within 30 days of the competition. All USEF/EC Open Show participation earned from the enrollment date until **December 31** of that competition year will be included in that enrollment year.
  - b. The annual enrollment fee of \$80 will apply if the show results report is postmarked/electronic transaction dated after 30 days of the date of the competition. There will be an additional fee of \$80 per year to have participation earned from the previous qualification year count toward AHA qualifications.
  - c. There is no enrollment required for horses that qualify at AHA Recognized Shows whose Arabian, Half-Arabian/Anglo Arabian Working Hunter, Hunter Hack and Jumper classes are included within the Recognized list of classes.

2. The horse must be properly enrolled, and participation must be properly reported on the Open Qualifying Show/Event Results Form.
3. The Open Qualifying Show/Event Results Form must include the judges' name(s) and signature of the Show Secretary or Show Manager. Copies of the applicable pages of the prize list must be included verifying the above information with show name and class list.
4. Shows must have USEF or EC Recognition and judges must be USEF or EC rated in the Working Hunter and Jumper Division for results to be recorded.
5. For Working Hunter and Jumper participation to count, unrated classes must be held at the corresponding fence heights or higher to the AHA Working Hunter, Hunter Hack, or Jumper Championship class for which qualification is being obtained. Any USEF/EC rated hunter class will qualify the horse for any AHA Working Hunter or Hunter Hack Championship class, and any USEF rated jumper class will qualify the horse for any AHA Jumper Championship class if the rider meets the requirements in COMP 208. (BOD 11/06)

**OPEN 103. CUTTING, WORKING COW HORSE, RANCH HORSE, REINING, REINED COW HORSE AND HERD WORK ENROLLMENT AND REPORTING FOR OPEN SHOWS (BOD 8/06, BOD 8/08, BOD 11/08 & BOD 11/17)**

1. Cutting, Herd Work, Working Cow, Ranch Horse, Reining and Reined Cow Qualification Enrollment: Horses must be enrolled in the program for Cutting and Herd Work - NCHA/ACHA/USCHA/WCHA/NRCHA, Working Cow - NRCHA, Reining - NRHA, Reined Cow - NRCHA, Ranch Horse Association - Breed Association or Discipline Association (i.e. NVRHA, RHA, SHOT, ARHA, AQHA, APHA, NRHA) Open Show scores/participation to be recorded toward AHA qualifications. Affiliate Club Shows using a licensed judge within that particular organization (discipline) are accepted for Open Show Results.
  - a. The annual enrollment fee for horses using Open Show scores/participation for AHA qualifications is \$50 per horse and must be postmarked/electronic transaction dated to the AHA office within 30 days of the competition. All Open Show scores/participation earned from the enrollment date until **December 31** of that competition year will be included in that enrollment year.
  - b. The annual enrollment fee of \$80 will apply if the show results report is postmarked/electronic transaction dated after 30 days of the date of the competition. There will be an additional fee of \$80 per year to have score/participation earned from the previous qualification year's count toward AHA qualifications.
  - c. There is no enrollment required for horses that qualify at AHA Recognized Shows whose Arabian; Half-Arabian/Anglo-Arabian classes are included within the recognized list of classes.
  - d. Refer to QUAL 102.8.
2. The horse must be properly enrolled, and scores/participation must be properly reported on the Open Qualifying Show/Event Results Form.
3. The Open Qualifying Show/Event Results Form must include the judges' name(s) and signature of the show secretary or show manager. Copies of the applicable pages of the prize list/flyer must be included verifying the above information with show name and class list.
4. Shows must have NCHA/ACHA/USCHA/WCHA/NRHA/NRCHA approval and judges must be rated. (See OPEN 103.1 for Affiliate Club Shows)
5. Ranch Horse shows must be recognized by a Ranch Horse Association (i.e. NVRHA, RHA, SHOT, ARHA).
6. For Cutting, all regular classes at an approved show will count toward qualifications, except for Limited Age Events.

**OPEN 104. EVENTING/TRIALS, COMBINED DRIVING, CARRIAGE PLEASURE DRIVING AND DRIVEN DRESSAGE ENROLLMENT AND REPORTING FOR OPEN SHOWS (BOD 3/06)**

1. OPEN SHOW Qualification Enrollment. Horses must be enrolled in the program for USEF/EC Open Show participation to be recorded toward AHA qualifications.
  - a. The annual enrollment fee for horses using USEF, and EC Open Show participation for AHA qualifications is \$50 per horse and must be postmarked/electronic transaction dated to the AHA office within 30 days

of the competition. All USEF, and EC Open Show participation earned from the enrollment date until **December 31** of that competition year will be included in that enrollment year.

- b. The annual enrollment fee of \$80 will apply if the show results report is postmarked/electronic transaction dated after 30 days of the date of the competition. There will be an additional fee of \$80 per year to have participation earned from the previous qualification year's count toward AHA qualifications.
- c. There is no enrollment required for horses that qualify at AHA Recognized Shows whose Arabian, Half-Arabian/Anglo-Arabian Eventing and Driving (Carriage) classes are included within the Recognized list of classes.
2. The horse must be properly enrolled, and participation must be properly reported on the Open Qualifying Show/Event Results Form.
3. The Open Qualifying Show/Event Results Form must include the judges' name(s) and signature of the Show/Event Secretary or Show/Event Manager. Copies of the applicable pages of the prize list must be included verifying the above information with Show/Event name and class list.
4. Shows must have USEF, or EC Recognition and judges must be USEF, EC, or rated in the Eventing Division, or USEF, or EC rated in the Driving Division for results to be recorded.
5. For Eventing/Trials, Combined Driving and Pleasure Carriage Driving participation, the only classes that will count are those listed in the USEF, or EC rulebooks as rated Eventing Division or Driving Division classes. Any USEF or EC rated Event will qualify the horse for any AHA Eventing Championship of the same level, and any USEF, or EC rated driving event or class will qualify the horse for any AHA Driving Championship of the same level or class if the rider meets the requirements in COMP 1209. Classes not rated by USEF, or EC will not count.

**OPEN 105. COMPETITIVE TRAIL OPEN RIDE/DRIVE RESULTS REPORTING (BOD 8/11)**

When a horse competes in an Open Competitive Trail Ride/Drive which is sanctioned by any of the AHA Approved CT Organizations but not recognized by AHA, the owner must submit their results on an Open Qualifying Ride/Drive Results Form, signed by the Ride Secretary, Manager or Judge. The Open Qualifying Ride Results Form must be postmarked/electronic transaction dated to the AHA office within 90 days of the ride date. Open results are used for AHA Award programs. (BOD 8/06)

Refer to AWD 106 for Distance Horse Program enrollment and rules.

**OPEN 106. ENDURANCE OPEN RIDE RESULTS REPORTING**

When a horse competes in an Open Endurance Ride which is sanctioned by AERC but not recognized by AHA, the owner must submit their results on an Open Qualifying Ride Results Form, signed by the Ride Secretary or Manager. The Open Qualifying Ride Results Form must be postmarked/electronic transaction dated to the AHA office within 90 days of the ride date. Alternatively, the owner may elect to submit their open AERC results on an Official AERC Horse Record along with the AHA Open Qualifying Ride Results Form. The Official AERC Horse Record must be postmarked/electronic submission transaction dated to the AHA office by **January 31** along with the AHA Open Qualifying Ride Results Form, for rides competed in the year prior. Owners must cross out any rides that have previously been submitted to AHA. (BOD 5/02) Open results are used for AHA Award programs.

Refer to AWD 106 for Distance Horse Program and enrollment rules.

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A Competitive Trail Ride (CTR) or Competitive Trail Drive (CTD) is a timed, judged event in which a horse and rider or driver compete over a marked trail within a specific period of time. Experienced judges evaluate the horse on condition, soundness, and manners. Judging begins in camp at the first pre-ride check-in, continues throughout the event, and concludes when the scoring is complete on the final day.

All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means.

In order to have ride or drive results count towards AHA programs or qualifications, membership requirements must be met. (Refer to Chapter 7)

**CT 101. AHA RECOGNIZED COMPETITIVE TRAIL RIDES OR DRIVES.**

1. A local competitive trail ride or drive must be conducted under AHA CTR/CTD rules or under the rules of a CTR/CTD sanctioning body approved by AHA. (Refer to CT 103) and be pre-approved by AHA to be recognized.
  - a. Competitive Trail Rides must be a minimum distance of 25 miles.
  - b. Competitive Trail Drives must be a minimum distance of 10 miles.
2. A completed recognition application form along with a \$25 non-refundable application fee for each distance is required.
  - a. If the completed form and fee are postmarked or electronic dated 60 days or more prior to the ride, then the normal fee will be applied (\$25). (BOD 11/10)
  - b. If the completed form is postmarked or electronic dated less than 60 but more than 30 days prior to the ride, a late fee (\$250) per event will be required in addition to the distance fees. (BOD 11/10)
  - c. If the completed form is postmarked or electronic dated 30 days or fewer prior to the ride, then the ride application will be denied. (BOD 11/10)
  - d. If a Competitive Trail Driving event is run in conjunction with a Competitive Trail Ride, one fee is required. Refer to fee structure outlined in CT 101.2.a-c.
3. Ride or Drive flyers (brochures or ad flyer) including entry forms, fee schedules and similar items must be included. (BOD 8/06)
4. Persons filing recognition application forms without the ride manager's approval or misrepresenting themselves on such forms will be subject to a \$100 fine. Repeated or blatant offenses will be referred to The Probable Cause Panel which may result in review and disciplinary action by the Ethical Practice Review Board. (BOD 8/10)
5. National Championships, Regional Championships, AHA Recognized and Open Qualifying Competitive Trail Ride or Drive participants may earn mileage towards qualifications and distance awards, as well as points in the AHA Horse

and Amateur Achievement Award programs. Refer to Chapter 18 for Sweepstakes program rules and enrollment fees.

6. All AHA Recognized Competitive Trail Rides or Drives are exempt from the Judges and Stewards 9-90 fee assessment (Res. 32-15) and the AHA per horse fee.

**CT 102. AHA OPEN RIDES OR DRIVES**

1. **ELIGIBILITY REQUIREMENTS FOR AHA OPEN RIDES OR DRIVES**
  - a. **Equine Eligibility.** The ride may be open to all members of the equine family (including donkeys and mules).
    - i. Breed registration is not required for entry but must be used for determining breed awards.
    - ii. An animal must be at least 48 months old for rides 25-30 miles, 60 months old for rides over 30 miles and/or multi-day rides. Age is defined as the actual age at ride date as defined on registration papers if available.
    - iii. An animal currently owned, managed, or trained by the judge(s), or ride steward or their immediate families may compete for completion mileage only.
    - iv. There is no prior mileage requirement.
  - b. **Rider or Driver must:**
    - i. Not be an immediate member of the judge's or steward's family. However, such a rider or driver can compete for miles only.
    - ii. Not be working in an official capacity for the ride or drive in the position of ride manager, trail master, judge, steward, or farrier.
    - iii. Helmets for drivers and grooms are mandatory.
  - c. **Youth rider or drivers:** (BOD 11/21)
    - i. An individual who has not reached his or her 19th birthday as of **December 1** of the current competitive year.
    - ii. Are required to wear protective headgear, securely fastened while mounted or in the carriage.
    - iii. May not ride or drive stallions.
    - iv. May participate in a ride or drive only with the written consent of a parent or guardian on their entry form.
    - v. Under the age of 16 (as of December 1 of the current competition year) must ride with a sponsor. For CTD, the youth driver must drive with a sponsor who will be considered a Groom and must be in the cart. The Sponsor (CTR and CTD) must be a competent adult (21 years or over as of December 1 of the current competition year) and must be duly entered as a competitor in the event and sponsorship must be documented on the youth's entry form, complete with the sponsor's signature. Youth and sponsor may suspend their sponsorship agreement only at a regular stated checkpoint and then only with the knowledge and consent of ride management. (BOD 11/16)
    - vi. Sponsorship (CTR) may change between check points only in the event that the sponsor's mount is unable to continue safely to a check point and another adult rider is willing to provide temporary sponsorship to the next check point. Sponsorship (CTD) may change between check points and another adult may ride that is willing to provide temporary sponsorship to the next check point. Ride Management must be notified at the next check point (CTR and CTD) and Ride Management approval is required for the youth to continue with a new sponsor. Youth and sponsor will ride together at all times, including entering and leaving all vet checks and judging areas at the same time, the only exception being that at the finish a youth may finish within the same minute or one minute on either side of the sponsor's finishing minute.
    - vii. In the event of an emergency, in order to remain in competition, the sponsor and youth must remain together until another sponsor is secured.
    - viii. Infraction of the sponsorship shall result in the sponsor and/or the youth being disqualified.

d. **Driving Divisions.** Single horses and multiple horses, adult and youth drivers may compete in a single division. This division may be split up if there are more entries of each (**example:** 3 single horse and driver teams and 3 multiple horse hitches). Post ride results will still be listed as one division.

- i. Single Drivers – may carry a groom whose duties include assisting the driver in hitching and unhitching, cooling out, holding and helping in the care of the horse. The groom is NOT allowed to share the driving. The groom may give the driver directions on the trail.
- ii. Pair or Multiple Carriages – must have a groom (Refer to CT 102.1.d.i. groom duties)
- iii. Youth Drivers under the age of 16 (Refer to CT 102.1.c.v.). The adult in the carriage assumes full responsibility of the turnout.

2. **MANAGEMENT OF RIDES OR DRIVES.**

- a. The Ride Management Committee shall consist of the Ride Manager, the Trail Master, and the Ride Secretary. Some of these positions may be combined.
- b. Horse shall be clearly identified for the duration of the competition with numbers clearly visible at all times. For CTR, satisfactory methods include but are not limited to: bibs, halter tags, hip markings, etc. For CTD, all multiple hitches (tandem, unicorn, pairs, or teams) must have markings that are different from horse to horse being used. Satisfactory methods include but are not limited to: bibs, numbered and lettered, barrel markings (i.e. 10A and 10B), etc.
- c. The course will be natural and native to the local terrain. The horse may not be asked to do anything an intelligent well-trained horse would not do.
- d. Trail markings will be distinct and obvious to the riders or drivers
- e. The Ride/Drive Management Committee may make alterations to advertised criteria if trail and/or weather conditions warrant it. Any changes will be announced prior to the day's ride or be announced at any point on the trail as long as they apply equally to all riders or drivers.
- f. Management may provide water for drinking or sponging along the trail or at rest or vet checks where natural water is not available. However, care should be taken to ensure that the quantity of water is equal for all (e.g. one bucket per entrant). Management may limit sponging when water is scarce. No hoses will be allowed unless available to all competitors. (BOD 3/21)
- g. Management may transport buckets, blankets, etc. to a vet check or lunch stop if the situation warrants. However, this will be announced at the rider's briefing and be done equally for all riders or drivers.
- h. The Ride/Drive Management Committee, along with the Ride/Drive Veterinarian(s), shall set the optimum ride or drive time for completing the course each day (refer to CT 102.2.i).
- i. The ride speed must average between four (4) and seven (7) mph. However, the time must be of sufficient speed and challenge to allow for stress factors to be utilized in judging. The pace for a drive is between five (5) and seven (7) mph. The pace will be determined by the drive management. Factors determining the pace will be heat and humidity, difficulty of the terrain and the conditions of the trail.
- j. It is necessary for the judging teams and the management to make arrangements among themselves to be prepared to handle medical emergencies. This will require that fluids, steroids, analgesics, etc. be available at the ride-site and that someone be available to handle emergencies during the entire course of the event.
- k. Distance. The AHA recognized ride (CTR) must be an accurately measured distance of 25-40 miles per day for one or two days. The AHA recognized drive (CTD) must be an accurately measured distance of 10-40 miles or less per day for one or two days.
- l. The ride or drive brochure must include:
  - i. The name of the ride or drive
  - ii. Date
  - iii. Location
  - iv. Names of the Ride Management Committee

- v. Names of the judges, if available
- vi. Name of the steward if available
- vii. List of awards to be presented
- viii. A liability clause to be signed by all entrants and volunteers. (A copy of which may be obtained by writing AHA.)
- ix. Provision for protest (Refer to CT 104.1)
- x. A statement regarding the availability of feed
- xi. Reference to ride or drive rules and scoring system in the AHA Handbook, and/or a reference to the Handbook at [www.ArabianHorses.org](http://www.ArabianHorses.org)
- xii. A short description of the ride or drive, days, miles, terrain, weather, and facility
- xiii. Type of stabling available

m. The entry form will provide the name of the sanctioning organization and include the AHA liability clause and the statement "The owner and rider or driver have read and will abide by the AHA rules."

n. The ride or drive will be judged and scored using AHA score cards.

o. For CTD, management must perform safety inspections of all turn-outs competing each day. Ride Management has the authority to disqualify a competitor if the horse, vehicle, or harness is deemed unsafe. Entry fees will be refunded in this instance.

3. **RIDERS OR DRIVERS MEETINGS.**

- a. A riders' or drivers' meeting must be held each evening and/or prior to the start of the next day's ride or drive to brief riders or drivers on the course, trail markings, available water, etc.
- b. Judges must discuss the judging methods and procedures.
- c. Judges must set and announce the pulse release parameters prior to the start of each day.
- d. Rules of trail etiquette and trail safety must be explained.
- e. Riders or drivers must be given maps and a schedule of estimated times and/or distances and elevations for various points on the ride/drive.
- f. The ride or drive speed or time must be announced publicly to all riders or drivers prior to the day's ride or drive.
- g. Riders or drivers are responsible for the information given.

4. **HANDLING, CARE AND STABLING.**

- a. Horse may be shod.
  - i. The type of shoe and/or use of pads is up to the discretion of the rider or driver.
  - ii. All types of hoof protection are permissible. Hoof boots with attachment strap, keeper or gaiter MAY NOT extend above the pastern. The judge(s) may request to observe the area covered by the attached strap, keeper, or gaiter at any time. Hoof boots with attachments will be removed after the final trot out for the judge(s) to examine the hoof and leg. (BOD 3/15)
- b. For CTR, any type of humane tack is permissible. For CTD, any type of humane harness is permissible. (BOD 3/15)
  - i. Breeching or a Brake is required unless using a racing type harness employing "quick hitch" couplers, which attach the shafts of the cart directly to the saddle pad of the harness. This type of racing harness may only be used with a lightweight two-wheeled cart.
  - ii. The driver must affirm to Ride/Drive Management via the Safety Check that the horse is accustomed to the harness and cart configuration on hills and rough terrain.
  - iii. Bicycle type wheels are not allowed. Traditional wood or metal wheels are recommended. Pneumatic tires on automobile or heavy duty spoke wheels, such as those used on motorcycles, are acceptable.
- c. Devices which serve strictly as leg protection are not permitted (a leg is defined as all structures above and including the coronet band). Examples of these devices include, but are not limited to: bell boots, splint boots, wraps or any device that was not manufactured to hold the hoof protection in place. (BOD 3/15)

- d. No drugs, external medications, medical, or mechanical devices (such as, but not limited to TENS Unit, Infra-Red machine, LED machine, etc.), or ice can be used. **Dessitin and non-medicated Vaseline are allowed but the horse must be presented without either one for vet in and vet out. (BOD 3/12) Ice and/or ice water only administered orally or topically is permitted. (BOD 3/25)**
- e. Use and choice of feed and supplements is at the discretion of the rider or driver.
  - i. All supplements must be administered via water, oral syringe, or feed.
  - ii. Any substance administered by injection, stomach tube or rectal lavage is prohibited.
- f. Horses need not be tied up all night. If a horse is walked, moved, or attended to, it is the contestant's or groom's responsibility.
- g. All riders/drivers are required to care for their own mounts during the competition with the following exceptions: having a horse held during a restroom break or having a designated trotter if the rider is physically unable. (BOD 1/24)
  - i. Feed, electrolytes, etc. for out-of-camp check points must be carried by the horse or rider(driver).
  - ii. Common courtesies such as transporting jackets or other articles back to camp or refilling water bottles are allowed.
  - iii. In the case of a physically handicapped person, the judges and management may make an adjustment to suit that particular handicap.
  - iv. Age is not considered a handicap.
  - v. In the interest of safety, it is recommended that any youth's girth or harness be checked by an adult.
- h. The driver must have a whip within reach at all times while in the cart. No whips of any kind are allowed during pre or post ride/drive examinations.
- i. It shall be the responsibility of each contestant to ensure that his or her horse has not been administered any drug that may alter the horse's performance within 72 hours of the pre-ride or pre-drive examination.
- j. Stallions will be flagged with a yellow ribbon in the forelock and tail and kickers will be flagged with red ribbons.
- k. There will be a safety check prior to the start of the drive to inspect the harness and vehicle. It is the responsibility of the driver to allow sufficient time at the Safety Check to complete the inspection and make any necessary adjustments prior to the start time.
- l. Spares Kit are required by all drivers. They include a knife, screwdriver, pliers, small hammer, leather punch, hoof pick, wheel wrench to fit axle, length of rawhide, string or wire, a hames strap if applicable, spare rein or splice, spare trace or splice. It must also include a halter and lead, cooler or quarter sheet for each horse. Other items such as duct tape, electrical tape, nuts, and bolts may be included. It is also suggested that the knife or box cutter be carried by the driver so that it is readily available in case of emergency.

## 5. ON THE TRAIL.

- a. The horse and rider or driver may travel at their own pace on the trail. Each rider or driver shall be responsible for his or her own time. Exceptions:
  - i. Riders or drivers may be asked for a forced trot to pulse check.
  - ii. Riders or drivers must maintain forward motion for the final two miles of each day. (BOD 3/15)
- b. Riders must remain in the saddle and drivers must remain in the cart when the horse is in forward motion over the course but may dismount and rest themselves and the horse at any time, provided they do not advance.
- c. At any location designated by the trail master as hazardous, riders may be instructed to dismount and proceed on foot for a specified distance. Drivers have the option to unhook to get through the hazard.
- d. The groom is NOT allowed to share the driving. The groom may give the driver directions on the trail. (Refer to CT 102.1.d)

- e. The same horse and rider/driver team must be maintained throughout the ride or drive, pass all check points, and stay on the trail as marked. Should the rider or driver become lost, they must return via horseback (CTR) or via the carriage (CTD) to that point at which they left the trail and then complete the trail as indicated.
- f. Any team (horse and rider/driver) disqualified from the competition while on the trail shall not advance on the trail but shall be trailered from an accessible point to the base camp, unless permitted by the veterinarian or other disqualifying official to return on foot by the shortest possible route. All drivers are responsible for recovery of their vehicle.
- g. All items used on the trail by the contestant for the horse must be transported or carried by the same (i.e. feed, water, electrolytes, etc.) (Exception refer to CT 102.2.g)
  - i. Except where provided by management, or carried by horse and rider or driver, only natural water is allowed for drinking and sponging along the trail.
  - ii. Riders or drivers may carry water with them on the trail if they so desire. This water may be used at any time as the rider or driver sees fit.
  - iii. Water at the rest stops may be used in any fashion and at any time the rider or driver sees fit unless otherwise specified by ride/drive management. (Exception refer to CT 102.2.f)
- h. There must be a minimum of two Pulse checks per day of actual on-trail competition. For CTD's, there will be at least one vet check every 15 miles. Riders should initial their pulse cards. (BOD 3/21)
  - i. At pulse checks, either stethoscopes or handheld heart rate monitors (HRM) must be used, but these may not be mixed at any one check point. The 15-second stethoscope count is recorded on the rider's or driver's pulse card. Do not multiply by 4. The actual HRM count at 15 seconds is recorded on the pulse card. Do not divide by 4. Fractional points shall be deducted as indicated. NOTE: Tabulators must be advised as to which method is used at each check.
  - ii. A rider or driver may request and receive an immediate pulse recount at a checkpoint. The second count shall be recorded and scored.
  - iii. All horses must pass parameters within 20 minutes for CTR and 25 minutes for CTD. The initial pulse check will be taken 10 minutes after arrival for CTR and 15 minutes for CTD. A horse that fails to pass pulse parameters must be held an additional 10 minutes for a recheck, with the deduction of an additional 5 points for this second pulse check. Failure to meet the pulse criteria at the second check (20 minutes for CTR and 25 minutes for CTD) will result in disqualification.
  - iv. If a horse is held for a second pulse check, the maximum and minimum riding or driving time will be extended by an additional 10 minutes.
  - v. A pulse check will be taken 10 minutes for CTR and 15 minutes for CTD after the horses finish the total mileage for the day. This may be counted as one of the two required pulse checks for the day.
- i. Horses that fail to complete the ride or drive in the specified ride or drive time frame (optimum ride or drive time, plus or minus 15 minutes) will be eligible for completion awards and mileage credit only, provided that they do not arrive earlier than 30 minutes prior to the minimum time or exceed the maximum time allowed by more than 30 minutes. This restriction applies to each day of a multiple day ride/drive. Optimum ride/drive time includes:
  - i. Actual riding or driving time spent in forward motion on the trail.
  - ii. Lunch break.
  - iii. For CTR, fifteen (15) minutes for each pulse check (to include 10-minute pulse time and 5 minutes for dismounting, remounting, etc.). For CTD, twenty-five (25) minutes for each pulse check (to include 15-minute pulse time and 10 minutes for hitching, unhitching, etc.)

- iv. Specific time allowance for any other required stops on the trail.
- v. For CTD, all horses must be unhitched from the vehicle during checks.
- j. A "Slow Moving Vehicle" sign must be on the rear of the carriage and displayed with the bottom of the sign at least 2 feet from the ground.

**6. JUDGING PROCEDURES.**

- a. Throughout the ride or drive, each judge must judge the same criteria on every horse (e.g. Judge A scores lameness and Judge B scores metabolics)
- b. Preliminary judging.
  - i. Horses will stand quietly for a pre-ride or pre-drive exam.
  - ii. Judges will verify the accuracy of the description of the horse being scored as to:
    - a. Name
    - b. Age
    - c. Sex
    - d. Color (including markings)
    - e. Division of competition.
  - iii. Judges will evaluate the equine's way of going. Any horse with a grade 3 lameness prior to the ride or drive must not be allowed to start.
  - iv. Judges will note any blemishes or previous injuries
- c. The post-ride or post-drive judging procedure shall be conducted exactly like the pre-ride exam.
- d. The horse and rider/.driver team shall be considered in competition from the moment the horse is presented to the judges for preliminary judging until the final scoring is complete.
- e. During the Competition.
  - i. Horses can be stopped for a veterinary check at any point during the ride or drive.
  - ii. Only pulse will be scored during these veterinary exams; however, horses that are not fit to continue may be disqualified based on any criteria.
  - iii. There must be a minimum of two vet checks per day.
  - iv. A pulse and veterinary check is required at the end of the day's ride or drive.
  - v. Manners deductions can be taken anytime during the ride/drive.
- f. End of Competition. All horses must pass mandatory rest stop examinations, and vet checks at the end of the ride or drive each day. Competition continues until scores have been finalized and management announces scoring is completed.
  - i. In the event of tie scores judges shall break the tie by:
  - ii. Re-examination of score cards for:
    - a. Mathematical errors
    - b. Comparing scored categories in the following priority: (BOD 3/21)
      - 1. Soundness
      - 2. Metabolics
      - 3. Pulse
      - 4. Fatigue
      - 5. Legs
      - 6. Tack
      - 7. Manners
    - iii. If placings are still unresolved, tied horses shall be re-examined.
    - iv. All ties must be broken for Top Ten.

**7. DISQUALIFICATION.**

- a. Any rider, driver, or owner who is in violation of these rules or deemed prejudicial to the best interests of the AHA shall be subject to such penalty of official warning, disqualification or other sanctions which may be deemed appropriate by the judges or the Ride/Drive Management Committee.

- b. Horse and rider or driver must be at the starting line at the time designated by Ride/Drive Management on the day of the ride or be disqualified.
- c. A horse, its owner, and, its rider or driver must be disqualified from the competition if said horse has been administered in any manner any stimulant, depressant, general pain killer, tranquilizers, drug, or local anesthetic which could affect the performance or well-being of said horse. Some drugs, while not illegal, do mask the presence of some illegal drugs. The presence of abnormally large amounts of these masking agents may subject a horse to the same penalty as if an illegal drug were found. Examples of masking agents include Vitamin B-1 (Thiamin), procaine penicillin, sulfa drugs, some worming preparations, and some diuretics. It shall be the responsibility of each contestant to ensure that his or her horse has not been administered any drug that may alter the horse's performance within 72 hours of the pre-ride or pre-drive examination. Random drug-testing may be implemented by the vet, judge, or ride/drive management without notice.
- d. Any action against a horse by an individual, which is deemed excessive by a judge, steward or Ride/Drive Management Committee anywhere on the competition grounds, or any act deemed improper, unethical, dishonest, unsportsmanlike, intemperate, or prejudicial to the best interests of AHA may subject the perpetrator to disqualification and being asked to leave the ride or drive and/or other sanctions that may be deemed appropriate by the Ride/Drive Management Committee or AHA.
- e. For CTR, a horse and rider are considered a team. For CTD, all horses in the hitch and the driver are considered a team. Disqualification of one automatically constitutes the disqualification of the other.
- f. A horse shall be disqualified from further competition when it is determined by the judges or management that a rider or driver has violated the rules to the extent that it would prevent comparative evaluation of the horse's performance.
- g. Unruly or unmannerly horses which are a hazard on the trail or hard to examine may be disqualified or penalized as per CT 102.8.a.vii.
- h. Horses that complete the ride or drive more than 30 minutes prior to the minimum ride or drive time or exceed the maximum ride or drive time by more than 30 minutes will be disqualified. This restriction applies to each day of a multiple day ride or drive.
- i. Failure to meet the pulse criteria at the second check (20 minutes for CTR, 25 minutes for CTD) must result in disqualification. (Refer to CT 102.5.h.iii)
- j. All decisions of the judges as to disqualification or suitability of the horse to continue are final.
- k. The head of a pulse team or Ride/Drive Manager in the absence of the vet may pull any horse that fails to recover to parameters or shows obvious signs of extreme stress to a point where it would be dangerous for the horse to continue the ride or drive or has an obvious injury or lameness that could become more severe or damage the horse.
- l. No equine will be hitched to a vehicle without a bridle and reins. Violators will be subject to immediate elimination and excluded from further driving at the event.
- m. Horses will not be left unattended while put to a carriage. They cannot be left tied to a trailer, fence, or other object unattended while put to a carriage.
- n. At no time during the competition may the groom, passenger, or other person along the route hold back the carriage going down a hill or push the carriage up a hill. Failure to comply will result in immediate elimination.

**8. SCORING.**

Contact the AHA Office for the current Competitive Trail Score Card. Competitive trail horses are evaluated on the following criteria: soundness, condition, and manners. Although horsemanship, including the ability to negotiate obstacles found along the trail, is an integral part of how well a horse will perform, it will NOT be judged as part of the horse's score. When horsemanship is involved, it is to be judged and awarded separately from the horse's performance.

a. Scoring – NOTE: For CTD, when scoring multiple driving horses, each horse will be scored individually. However, their individual scores are averaged to determine the final score. (**Example:** when driving a pair, the 2 horse's scores will be added together and then will be divided by 2)

i. **Pulse Recovery.** Maximum penalty, 20 points per day. The base pulse is 11 (44/minute). The count must be taken for 15 seconds. Points will be deducted at a rate of one point for every beat over base. When pulse is taken with a hand-held heart monitor points will be deducted at a .25 (quarter) point increment. Base pulse is 44 (0 points), 45 (.25 points), 46 (.50 points), etc. There will be one penalty point per minute or part thereof if a competitor does not present for pulse check at the proper official time. (BOD 3/12)

ii. **Metabolics** Maximum penalty, 20 points per day. The baseline for all metabolic categories for each day is the pre-ride or pre-drive examination. The evaluation of metabolics shall include but not be limited to the following: dehydration, capillary refill, anal tone, muscle tone, gut sounds, azoturia, thumps, sweat and salivation, eating and drinking.

- a) Dehydration. Dehydration is determined by pinching the skin on the point of the shoulder and evaluating the return to normal. Scoring is based on a 0-4 basis, 0 = no dehydration, 4 = severe dehydration.
- b) Capillary Refill. This is measured by pressing the thumb against the upper gum of the horse and counting the seconds for the color to return to normal. Scored on a 0-4 basis. 0 = normal refill, 4 = severely delayed refill
- c) Anal tone. Graded as a change from the pre-ride or pre-drive examination. Scored on a 0-2 basis from 0 for a strong reflex to 2 for flaccid tone.
- d) Muscle Tone. Graded as a change from pre-ride or pre-drive examination. Scored on a 0-4 basis from 0 for firm, warm, and contracting muscles to 4 for rigid or spastic muscle tone.
- e) Gut sounds. Graded as a change from pre-ride or pre-drive examination. Scored on a 0-4 basis, can be evaluated using the following scale (BOD 3/21):
  - 1. 0 = Strong both sides
  - 2. 1 = Moderate both sides
  - 3. 2 = Slight one side/slight or moderate on the other side
  - 4. 3 = Slight one side/none on the other side
  - 5. 4 = No gut sounds

iii. **Tack area.** Maximum penalty, 10 points per day. Baseline for tack area for day 1 is the pre-ride or pre-drive examination. The baseline for day 2 is day 1.

Examination of those parts of the horse touched by actual riding equipment such as saddle, girth, head stall, breast plate, crupper, etc. The judge's attention should be directed toward injuries such as abrasions, hair missing, heat bumps, and muscle soreness. Points are deducted for increase of severity of pre-existing conditions or appearance of new ones. The profile of the horse on back of the scorecard can be used at the pre-ride or pre-drive exam to note physical faults and be referred to at the post-ride or post-drive exam to make sure the horse is not penalized for something with which it checked in.

iv. **Legs.** Maximum penalty, 15 points per day. Baseline for leg categories for day 1 is the pre-ride or pre-drive exam. The baseline for day 2 is day 1.

This category covers any physical evidence of wear and tear or stress on the legs initiated or worsened during the event. The most common leg problems are swollen or sore tendons, lower leg filling, scratches, joint swelling or pain on flexion, sore splints, and interference marks. These conditions are best detected visually and by feeling. Stocking and swelling associated with the subcutaneous tissues need not be considered detrimental when found after an overnight layover if they regress after resuming

activity. However, when stocking occurs during the course of the ride or drive or does not regress after resuming activity, it should be considered significant. Use the profiles of the horse on the back of the score card to note and record any filling, abrasion, soreness, etc. at the pre-ride or pre-drive check. It is recommended to document any filling, abrasion, or soreness at this time. This is used for a post-ride or post-drive reference and assures that the horse is not penalized for something with which it checked in. Each leg should be picked up and palpated and flexed thoroughly but not excessively for both the pre- and post- ride or drive examination.

v. **Soundness.** Maximum penalty, 15 points per day. All horses start sound for scoring each day.

Each horse shall be trotted for a minimum distance of 50 feet and circled in both directions in a circle not less than 30 feet in diameter to determine soundness at initial check in and end of each day. (BOD 3/21) Riders or drivers may be permitted to longe their horses for the circling phase of the in-hand trot inspection. Any horse determined by the judges to have a grade 3 lameness according to the American Association of Equine Practitioners (AAEP) "Standards of Lameness" shall not be allowed to continue and will be disqualified. If a grade three lameness is found after crossing the finish line on the final day, a horse may receive completion points only. The judges need to be very careful to score each horse as an individual and the horse should not be penalized for a "different" way of going. Soundness checks may be taken at any time during each day to determine a horse's ability to continue in the competition. These soundness checks may be circles, straightaways or out and back. (BOD 3/21)

1. Grade 1 – Difficult to observe. Lameness is a deviation from the normal gait or posture due to pain or mechanical dysfunction not consistently apparent regardless of circumstances (1-5 points).
2. Grade 2 – Difficult to observe at a walk or trotting a straight line: consistently apparent under certain circumstances: e.g. hard surfaces, circles, etc. (6-15 points).
3. Grade 3 – Consistently observable at a trot under all circumstances. Completion only if observed at the end of ride or drive. Disqualification if at any earlier point.
4. Grade 4 – Obvious lameness, marked nodding, hitching, or shortening of stride. Mandatory disqualification at any point.

vi. **Fatigue.** Maximum penalty, 15 points per day. The baseline for fatigue each day is the pre-ride or pre-drive examination.

It is important at the pre-ride or pre-drive check to make note of the horse's way of going. This is in reference to impulsion, willingness, and coordination. Deductions for degree of changes or digressions in these areas will be deducted according to the scorecard.

vii. **Manners.** Maximum penalty, 5 points per day.

- a) The manners score can be utilized at any time. This is to allow for some deduction for the horse that is somewhat unruly but is not disruptive enough to be removed from the ride or drive. The horse should stand quietly for any examination. Manners judging is not to be used to evaluate the manner in which an obstacle is negotiated. However, behavior during the competition that is disruptive or dangerous may be penalized.
- b) All values are determined by the judges. It is important that a professional, courteous, efficient attitude is displayed. It is extremely important that the veterinary judging team realize that his or her primary responsibility is to ensure the health and safety of the horses involved.

## 9. RIDE OR DRIVE OFFICIALS.

The ride or drive manager must complete a recognition application form and send it to AHA at least 60 days prior to the CTR or CTD. They must designate personnel to conduct the ride or drive in accordance with the AHA Rules. A

person may have more than one ride or drive official position (e.g. the manager might also be the trail master). The judge and steward may not be members of the same immediate family.

- a. Ride/Drive Management Committee. It is the duty of this committee to ensure that the ride/drive is conducted and run in accordance with all applicable rules.
- b. Judges. The ride or drive must have two judges, one must be a veterinarian judge experienced in the care and treatment of horses. The second can be a lay person with experience and knowledge of CTR/CTD. All judges are required to score and judge the ride or drive in accordance with the AHA Competitive Trail Ride or Drive rules. The ride or drive must have two judges for the first 50 horses, and it is recommended to have one additional vet judge for every additional 25 horses. **Exception:** If a previous year's number of entries or the expected entries for an inaugural ride or drive is 20 horses or less, then a single vet-judge would be acceptable. (BOD 3/15)
- c. Manager. The Manager is responsible for the conduct of the ride or drive in accordance with all applicable rules and that each of the ride or drive personnel is doing his or her job. It is the manager's responsibility to have emergency treatment equipment available at camp and at all checkpoints. The Ride/Drive Manager must send a written report on the ride/drive to AHA within 15 days of the ride/drive with a copy to the AHA Distance Riding Committee Chair. The report should include pertinent data such as ride or drive participation, problems encountered, solution, and other items which could help increase the integrity of the next ride or drive. The manager will ensure that the judges are familiar with AHA rules and score cards. It is recommended that the ride/drive manager have an active AHA membership for local rides or drives. Regional and National Ride/Drive managers must have an active AHA membership. (BOD 3/15)
- d. Secretary. Sends out entry forms, receives, and acknowledges entries, and ensures that horses and riders/drivers are qualified. This person is responsible for initial information on scorecards (rider's or driver's name, horse, etc.), supervising the score tallying and placing of horses. The secretary must send the original copies of score cards, the Ride/Drive Results Report, and other necessary paperwork to AHA within 30 days after the ride or drive. This paperwork should be sent by certified mail or submitted electronically. (BOD 3/15) For Ride/Drive Results Reporting, refer to CT 105.
- e. Steward. A Certified Competitive Trail Ride/Drive Steward should be used. However, an AHA member, knowledgeable about AHA Competitive Trail Ride or drive rules, may serve as Steward if recognized by the AHA Judge and Stewards Commissioner. An AHA steward is not required at an open recognized ride or drive run under the rules of a recognized sanctioning organization.
- f. Timer. Must keep accurate records of starting and finishing times of all contestants and report any early or late finishers to the judges. A timer must also keep an accurate record of the vet check times.
- g. Trail master. Shall plan, measure, and mark the official trail.
- h. Judge's Recording Secretary. Assigned to a judge to quickly, quietly, and accurately record all pertinent data involved in the judging. Since this material significantly influences final scoring and point assignment, well organized, accurate, and legible records are indispensable. The work begins with the pre-ride or pre-drive exam and ends only after the scorecards have been tallied.
- i. Tabulator. Tabulates the scorecards including pulse penalties and manners deductions. These scores may be tabulated by the secretary or separate tabulators.
- j. Pulse Captain. Recruit, instruct, and organize all pulse teams and ensure that they are competent, efficient, and accurate in their findings and recordings. Pulse crews must use a 15-second count using stethoscopes or management-provided hand-held heart monitors. At any given pulse check, the same type of instrument (either stethoscope or heart monitor) must be used on all horses.
- k. Farrier. A farrier is recommended.
- l. Safety or Drag Riders. One or more riders or a vehicle are recommended to follow the competitors on the trail to assist any horse or rider or driver

in the event of an emergency. Local radio, CB, and REACT clubs may be used to coordinate with management and safety riders to ensure complete ride or drive safety coverage.

- m. Awards. Purchase of awards is the responsibility of the local sponsoring group.
  - i. Placing 1<sup>st</sup> through 6<sup>th</sup>, with Champion and Reserve Champion recognition is recommended.
  - ii. There should be a High Point Arabian, Half-Arabian/Anglo-Arabian Award. These awards are provided through the AHA Breed Recognition Award Program at no cost and are only available for CTR. (See AWD 105)
  - iii. Ride/Drive management may present other breed awards or special awards as pertinent. Special emphasis should be made for a youth award.

## 10. GUIDELINES FOR DRIVERS

- a. Often drivers share the trail with ridden horses that may be frightened by the appearance and sound of a horse and cart. In the interest of safety, the driver should pull over and stop when meeting riders. The driver should stand his horse as quietly as possible while talking to the riders and allow them to decide how to safely pass. When approaching riders from the rear, the driver should call out to them and get their input on how to safely pass them.
- b. Drivers are often confronted with hills and other conditions when heavy pulling or braking is necessary. Through proper harnessing the driver can give the horse as much advantage as possible.
  - i. The proper adjustment of the hip straps places the breeching (just below the big part of the hindquarters) neither too high nor too low. The proper adjustment of the hold back straps can eliminate a sloppy fitting breeching and will reduce the possibility of the cart or breeching banging into the horse when stopping or going downhill. A properly adjusted breeching will allow the fingers of both hands to be inserted between the horse and the breeching when traces are in draught (approximately 1 inch).
  - ii. Overchecks hinder the horse from naturally lowering its head when pulling through heavy sand, mud, or up hills and should be avoided.
- c. Nosebands are recommended for bridles with blinkers to help hold the blinkers in place.
- d. A kicking (bucking) strap may be used at the driver's discretion. If a kicking strap is used it should be properly fitted to effectively thwart unwanted behavior. It should pass over the rump just behind the highest point of the rump and be tight enough to allow no more than one hand width (3 to 4 inches) of slack above the hip. It should be attached in such a manner as to prevent slipping backwards toward the tail but should have freedom to move from side to side without pulling on the crupper.

## CT 103. APPROVED COMPETITIVE TRAIL RIDE OR DRIVE ORGANIZATIONS

- 1. Approved Competitive Trail Ride/Drive Organizations. Only Competitive Trail Ride/Drive competitions conducted by organizations designated in this article may be used to qualify for AHA recognized rides/drives. See AWD 105 for the list of recognized organizations for AHA awards.
  - a. CT organizations approved for the purposes of this chapter are:
    - 1. Arabian Horse Association (AHA)
    - 2. \* Eastern Competitive Trail Ride Association (ECTRA)
    - 3. North American Trail Ride Conference (NATRC)
    - 4. \* Southeastern Distance Riders Association (SEDRA)
    - 5. Ohio Arabian and All Breed Trail Society (OAATS)
    - 6. Ontario Competitive Trail Riding Association (OCTRA)
    - 7. Middle of the Trail Distance Riding Association (MOTDRA)
    - 8. \* Upper Midwest Endurance & Competitive Riders Association (UMECRA)
    - 9. Trail Riding Alberta Conference (TRAC)

**\*Note: Organizations approved for CTD are indicated by an asterisk.**

- b. Approval by AHA should not be mistaken for endorsement of a group. Non-approval does not mean disapproval of a group but indicates that their events are not appropriate.
- c. This list of approved CTR/CTD organizations shall be reviewed and updated each year.
- d. Approved organizations must:
  - i. Have a Board of Directors
  - ii. Be incorporated
  - iii. Have a clear, published set of rules
  - iv. Have insurance
  - v. Have vet supervision
  - vi. Have a method for protest
  - vii. Provide proof of the above to the Chair of the AHA Distance Committee requesting review by the committee or subcommittee to see if a request for action by the AHA Board of Directors is warranted.

#### **CT 104. PROTESTS AND FORMAL LETTERS OF COMPLAINT.**

A subcommittee to handle protests and formal letters of complaint shall be formed of 4 members appointed by the Distance Committee Chair and headed by the Vice Chair. The committee shall serve a 2-year term starting **December 1**.

Disagreements as to procedure and rules interpretation may be submitted to the Ride Steward for a ruling. If there is a disagreement after the Steward's ruling, or if the Steward did not make a ruling, Protest or Formal Letter of Complaint may be filed in accordance with the procedures outlined in these rules.

- 1. Protest will be:
  - a. Filed in writing within eight (8) days of the end of the ride.
  - b. Signed by the protester and submitted to AHA with a copy to the Ride Steward and Ride Management Committee for immediate referral to the AHA Distance Riding Sub-Committee for resolution.
  - c. Action to solve the protest will start within 30 days of receipt. Upon receipt of the properly filed protest, AHA will notify Awards, Sweepstakes, and any other concerned departments of the pending action. A \$100 fee will accompany the protest. The fee will be refunded if the protest is upheld. If the AHA Distance Riding Sub-Committee is unable to resolve the protest within 30 days, a note stating the status of the protest shall be sent by the Sub-Committee to the protester, with a copy to the Ride Manager and to the Judges and Stewards Commissioner. A similar note shall be sent every 30 days until the protest is resolved.
- 2. Formal Letter of Complaint will be:
  - a. Filed in writing within 14 days of the end of the ride.
  - b. Signed by the complainant and submitted to the AHA Distance Riding Sub-Committee.
  - c. Investigated and resolved by the AHA Distance Riding Sub-Committee in a fair and impartial manner as they deem appropriate.

#### **CT 105. AHA RECOGNIZED RIDE OR DRIVE RESULTS**

The results for an AHA Recognized Local Ride or Drive will be submitted to the AHA office by the Local Ride Secretary within 30 days of the ride or drive.

#### **CT 106. APPROVED OPEN RIDES**

The results for Open rides not recognized by AHA but sanctioned by an 'Approved Ride Organization' (Refer to CT 103.a) must be reported by the horse owner submitting a properly signed and complete Open qualifying Ride Results Form within 90 days of the ride.

#### **CT 107. MEMBERSHIP REQUIREMENTS FOR REGIONAL AND NATIONAL SHOWS AND RIDES.**

For all Regional and National Championship classes and rides, AHA Adult or Youth with Competition Card or Life or Business Membership is required as per MEM 105. Single event membership will not be accepted at Regionals or Nationals.

#### **CT 108. REGIONAL COMPETITIVE TRAIL RULES**

For Membership requirements refer to Chapter 7.

For Regional eligibility and qualifications refer to CT 110.

#### **CT 109. REGIONAL CHAMPIONSHIP RIDES.**

A Regional Championship CTR must be held within that Region or within an adjoining Region. It must be recognized by AHA. Regional Championship CTR's must be conducted under the same Rules and Regulations as the National Championship CTR with the following exceptions:

- 1. A Regional Competitive Trail Ride may be recognized by AHA upon receipt of a completed Application form.
  - a. The completed Regional Ride Application form must be postmarked at least 60 days (BOD 11/08) prior to the planned event. (BOD 11/10)
  - b. The completed Regional Ride Application form must be postmarked with the appropriate application fee for each ride (\$30). (BOD 11/10)
  - c. If the completed Regional Ride Application form is postmarked fewer than 60 days, but more than 30 days prior to the planned event, then the late application fee (\$250) will be charged in addition to the distance fees. (BOD 11/10)
  - d. No application will be accepted that is postmarked less than 30 days prior to the event. (BOD 11/10)
  - e. Any two Regions are allowed to combine their Regional Championship Competitive Trail Rides. This combined Regional Championship would be: (Res. 17-19)
    - i. Governed and managed by the two (2) Regions involved.
    - ii. Each division has one (1) set of judge/official(s), one (1) set of awards, and one (1) set of National mileage.
    - iii. Requires approval from each of the Regional Directors within a combined Regional Championship Ride.
- 2. Ride flyers (Brochures or ad flyers) including entry forms, fee schedules, and similar items must be sent to the AHA office no later than 30 days prior to the first day of the event.
- 3. Persons filing recognition application forms without the ride manager's approval or misrepresenting themselves on such forms will be subject at a \$100 fine. Repeated or blatant offenses will be referred to the Probable Cause Panel which may result in review and disciplinary action by the Ethical Practice Review Board. (BOD 8/10)
- 4. Distance. The Regional ride shall be an accurately measured distance of between 50 and 75 miles divided as equally as possible between two days of competition or a distance of 25 to 40 miles for a one-day competition.
- 5. Ride Steward. A ride steward is only required if the number of competitors exceeded ten (10) riders in the previous year. If a ride steward is required, then the ride steward must be from the approved AHA Ride Steward list. (BOD 11/11)
- 6. If the Regional Championship is held in conjunction with another competitive ride:
  - a. The horses competing in the Regional ride will have numbers or markings that clearly identify them as competing in the Regional ride.
  - b. Management may choose to follow the organization's rules when rules differ in stabling and shoeing if those rules are more restrictive. When such adjustments are made, descriptions will be stated in ride flyers and brochures.
  - c. Separate judges may be used, and separate recording secretaries must be used.
- 7. Judges. Two judges are required for the first 50 horses, one of which must be a veterinarian judge familiar with distance horses and their treatment, the other may be a lay judge experienced in distance sports. An extra judge is recommended for every additional 25 horses. There is no minimum distance of residence requirement. **Exception:** If the previous year's number of entries or the expected entries for an inaugural ride or drive is 20 horses or less, then a single vet-judge would be acceptable. (BOD 3/15)
- 8. Awards. Regional Championship Rides will offer a Champion, a Reserve Champion, and Top Five in two divisions: Arabian and Half-Arabian/Anglo-Arabian. Only one Regional Championship Competitive Trail Ride may be held in each Region each year.
- 9. Regional Rides may elect one of the following and shall clearly state in their Regional Ride Flyer whether an entire Top Five or 50% of the division rounded up method shall be used.

- a. Top Five: A horse finishing 1<sup>st</sup> through 5<sup>th</sup> in each division will be named Top Five. The top scoring horse in each division shall be named Regional Champion and the second highest scoring horse shall be named Regional Reserve Champion. If fewer than 10 entries start the ride (must have passed the pre-ride vet check) in a division, only enough Top Fives will be made to total 50% of the division rounded up. (e.g., a ride division consisting of 7 entries would be eligible for only four Top Five awards including the Champion and Reserve Champion.)
- b. Top Five Awards will be given regardless of how many horses compete in each division at the start of the ride. Points in the Horse Achievement Award, Amateur Achievement Award, High Point Horse Achievement Award, High Point Amateur Achievement Award and Distance Award Programs will be given to those horses enrolled in these programs equal to 50% of the division rounded up not to exceed five of those competing in the division at the beginning of the ride.
- 10. Ride Manager's Report, Steward's Report, Ride Results Report Form and Score Cards must be submitted as required for the National Championship Ride. For Ride Results Reporting, refer to CT 111.
- 11. All AHA Recognized Competitive Trail Rides or Drives are exempt from the Judges and Stewards 9-90 fee assessment (Res. 32-15) and the AHA per horse fee.

#### **CT 110. QUALIFICATIONS FOR REGIONAL COMPETITIVE TRAIL RIDES**

Horse qualifications for regional competitive trail rides are the same as for the national competitive trail ride with the following exceptions:

- 1. Qualifications. All horses ridden in a Regional Championship Competitive Trail Ride must have qualified in a Competitive Trail or Endurance Ride held prior to the closing of entries for the ride at which the Regional Championship Ride is held and will meet the following criteria:
  - a. Complete 50 miles of an AHA Recognized/Approved competition of no less than 25 miles per ride. At least 25 miles must be Competitive Trail miles. See CT 103.1.a. for the Approved List. (BOD 11/17)

#### **CT 111. AHA REGIONAL CHAMPIONSHIP RIDE RESULTS**

- 1. The results for the AHA Regional Championship rides will be submitted to the AHA office by the Ride Secretary or **Ride Manager** for the placings in the AHA divisions within 15 days of the ride. (BOD 3/25)
  - a. When AHA Regional Championship ride is held in conjunction with an 'Approved Ride Organization' Open ride, the results from the Open ride must be reported by the owner for each horse by submitting a properly signed and completed Open Qualifying Ride Results Form within 90 days of the ride.
- 2. Regional ride results are unofficial until posted on the AHA website. (BOD 8/15)

#### **CT 112. NATIONAL CHAMPIONSHIP COMPETITIVE TRAIL RIDE**

National Arabian and Half-Arabian/Anglo-Arabian Championship Competitive Trail Rides must be conducted pursuant to rules adopted by the Distance Riding Committee and the AHA Board of Directors. (BOD 3/21)

Administration and interpretation of such rules and authority to make arrangements for such rides shall be vested in the AHA National Distance Commission of AHA. (BOD 3/21)

The National Championship Competitive Trail Ride shall be run under the same rules as an AHA competitive ride with the following exceptions:

- 1. The Distance Riding Committee shall have the function of handling and resolving protests and formal complaints. (BOD 11/08)
- 2. The National Championship Competitive Trail Ride dates and locations must be recognized by the AHA National Distance Commission and the AHA Board of Directors. Every effort will be made to hold all the National Distance events together from 2009 forward and be referred to as the Distance Horse National Championships and continue to designate the AHA Championship rides within the overall Event as the AHA Distance Nationals, with the AHA Distance Nationals always the "top Billing" in all advertisements and where appropriate adding "Presented by the Arabian Horse Association". (BOD 11/16)
- 3. The distance shall be between 40 and 50 miles for a one-day event or between 60 and 100 miles if a two-day event or between 100 and 120 miles if a three-

day event. If the event is a multi-day ride, care should be taken to divide the total mileage as equally as possible between the days. (BOD 11/16)

- 4. Entry form will provide a place to list qualifying ride(s) and the name of the sanctioning organization and include the AHA liability clause and the statement "The rider and owner have read and will abide by the AHA rules".
- 5. The National Championship Competitive Trail Ride may be directly sponsored by AHA or may be assigned to the sponsorship of an AHA region, club, individual, or trail riding organization.
- 6. The sponsor (AHA) of the ride shall pay all expenses, furnish all facilities and personnel, and receive all entry fees and other income deemed feasible by AHA. The ride sponsor should communicate and coordinate ride activities such as judge's selections, awards, budget, etc. with the AHA National Distance Commission Chair.
- 7. It shall be the duty of the AHA Board of Directors and the AHA National Distance Commission to assure that appropriate awards are presented to the Champions, Reserve Champions, Top Ten Arabian and Half-Arabian/Anglo-Arabian, all finishers and the Highest Scoring Horse of the National Championship Competitive Trail Ride. These awards should be equivalent to the National Championship Performance Horse awards.
- 8. There will be two divisions offered: Arabian and Half-Arabian/Anglo-Arabian.
- 9. The ten highest scoring horses in each division will be listed as National Top Ten winners. The top scoring horse in each division shall be named National Champion, and the second highest scoring horse shall be named National Reserve Champion.
- 10. Top Ten. A horse finishing 1<sup>st</sup> through 10<sup>th</sup> in each respective division (two divisions: one for Arabian, one for Half-Arabian and Anglo-Arabian) will be named Top Ten. (BOD 8/15) The National Championship Competitive Trail Ride will award Champion, Reserve Champion and Top Ten ribbons in every class and ride, no matter how many entries per class or ride compete. Champions and Reserve Champions will receive respective placing ribbons. (BOD 8/15) All Top Ten Awards shall include qualifications, and all other AHA program benefits with the exception of the Arabian Breeders Sweepstakes, the Horse Achievement Award Program, Amateur Achievement Award Program, High Point Horse Achievement Award, High Point Amateur Achievement Award and Distance Horse Program, which programs shall remain as is awarding 50%. (Res. 18-09)
- 11. In addition, the Competitive Trail Ride Committee or ride sponsor may elect to offer special awards such as High Point Youth Rider, Horsemanship, Sportsmanship, High Point Gelding, etc.

#### **CT 113. ELIGIBILITY REQUIREMENTS FOR THE NATIONAL CHAMPIONSHIP COMPETITIVE TRAIL RIDE.**

Horse and rider eligibility requirements:

For Membership requirements refer to Chapter 7.

Only competitions conducted by AHA Approved Competitive Trail Ride Organizations may be used to qualify for National and AHA Regional Championship Rides. (Refer to CT 103.1.a for list of Organizations.)

- 1. A Horse must:
  - a. Be issued a Certificate of Registration from the Arabian Horse Association, the Canadian Arabian Horse Registry, or the Canadian Partbred Arabian Register. See USEF rules for Competition Visa.
  - b. Be at least five years actual age (60 months) by registration papers as of the first day of the ride.
  - c. Not currently owned, managed, or trained by the judge or ride steward.
  - d. Have qualified in a Competitive Trail or Endurance Ride that was held prior to the closing of entries for the ride in which a National Championship ride is held and will meet the following criteria:
    - i. Complete 100 miles of an AHA Recognized Competitive Trail Ride(s) or an Open Competitive Trail Ride(s) sanctioned by an AHA Approved Ride Organization or at ride(s) sanctioned by AERC of no less than 25 miles (of which at least 50 miles must be in an AHA Recognized Competitive Trail Ride(s) or an Open Competitive Trail Ride(s) sanctioned by an AHA Approved Ride Organization). (BOD 11/17)
- 2. Rider must:

- a. Not be a member of the Judge's or Steward's immediate family.
- b. Not be working in an official capacity for the ride as listed in CT 114 with the exception of CT 114.3, the ride publicity chair.
3. The AHA Distance Commission chair shall not enter the National Championship Ride, unless the Chair makes arrangements for other committee members to provide the following normal Chair functions: selection and approval of the ride judges, selection and approval of the ride steward, and selection and approval of the ride course.

#### **CT 114. RIDE OFFICIALS FOR THE NATIONAL CHAMPIONSHIP COMPETITIVE TRAIL RIDE.**

The ride manager must complete a recognition application form and send it to AHA at least 6 months prior to the National CTR. The ride official requirements are the same as AHA recognized rides with the following exceptions:

1. **Judges.** All judges must be veterinary judges. Two judges are required for the first 50 horses and one additional judge is recommended for each additional 25 horses. (BOD 11/17)
2. **Steward.** The steward will be selected from the recognized AHA Competitive Trail Ride Stewards list. The steward should be available to the judges, competitors, management, and staff at all times and shall interpret and explain the applicable rules of the ride when requested to do so. Other duties shall be: protecting the interest of competitors, judges and management, investigating and acting upon any alleged rule violations, and pointing out in a diplomatic manner any instance where applicable rules are not being enforced. The steward must file a written report to AHA within 15 days of the event with a copy to the AHA Distance Commission Chair and Ride Manager. The steward can have no other official ride duties.
3. **Ride Publicity Chair.** This person shall coordinate publicity with the Communications Department. This position requires creativity, dedication, and hard work. It starts several months before the ride, continues through the event, and ends with ride coverage being sent to appropriate publications. A ride photographer is an important asset to publicity.
4. **Farrier** – a farrier is required to be available during the ride.
5. **Safety or Drag Riders.** One or more riders or a vehicle must follow the competitors on the trail to assist any horse or rider in the event of an emergency. Local radio, CB, and REACT clubs may be used to coordinate with management and safety riders to ensure complete ride safety coverage.
6. **Treatment Veterinarian.** A veterinarian experienced in treating distance horses will be on call throughout the event and on the grounds from the time the first horse starts on trail until an hour after the last horse finishes each night to ensure safety of the competitors.

#### **CT 115. DISTANCE HORSE NATIONAL CHAMPIONSHIP RESULTS**

The results for the Distance Horse National Championship Ride will be submitted to the AHA office by the AHA Ride Secretary or **Ride Manager** within 15 days of the event. (BOD 3/25)

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## CHAPTER 17 ENDURANCE RIDES

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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means.

#### **END 101. MEMBERSHIP REQUIREMENTS**

To have ride results count towards AHA programs and/or qualifications, membership requirements must be met. (Refer to Chapter 7)

### DISTANCE HORSE NATIONAL CHAMPIONSHIP ENDURANCE RIDES

#### **END 102. ADMINISTRATION**

1. The National Championship Endurance Ride shall be conducted pursuant to rules adopted by the Distance Riding Committee and the AHA Board of Directors of the Arabian Horse Association. (BOD 3/21) Every effort will be made to hold all the National Distance events together from 2009 forward and be referred to as the Distance Horse National Championships and continue to designate the AHA Championship rides within the overall Event as the AHA Distance Nationals, with the AHA Distance Nationals always the "top Billing" in all advertisements and where appropriate adding "Presented by the Arabian Horse Association". (BOD 11/16) The results for the National Championship Ride will be submitted to the AHA office by the AHA Ride Secretary within 15 days of the event. National Ride Results are unofficial until posted on the AHA website. (BOD 8/15)
2. Administration and interpretation of such rules and authority to make arrangements for such rides shall be vested in the AHA National Distance Commission of AHA. (BOD 11/07)
3. Subject to these rules and to such further arrangements, interpretations, or decisions not inconsistent herewith as may be determined by said commission. The National Championship Endurance Ride will be directly administered by the AHA. Administration may be assigned to another ride organization to be determined by the Board of Directors of AHA. If assigned to another ride organization, the ride organization will:
  - a. Pay all expenses.
  - b. Furnish all facilities and personnel.
  - c. Receive all entry fees and other income deemed feasible by the AHA National Distance Commission.
4. The ride brochure/flyer must include:
  - a. Name and length of the ride.
  - b. Date.
  - c. Location.
  - d. Names of the Veterinarians and Ride Steward.
  - e. Awards presented.
  - f. A liability clause to be signed by all entrants. A copy of the liability clause may be obtained by contacting the AHA office.
  - g. Reference to ride rules in the AHA Handbook.
  - h. A short description of the ride: days, miles, terrain, weather.
  - i. It shall be the duty of the Board of Directors and the AHA National Distance Commission to assure that appropriate awards are presented to the Champions, Reserve Champions, Best Condition, and all finishers

of the Championship Endurance Ride. In addition, the Top Ten horses will receive Top Ten awards.

5. Any ride named as an AHA Championship Arabian, Half-Arabian and Anglo-Arabian Endurance Ride must be recognized by AHA. The AHA National Distance Commission and the AHA Board of Directors must approve the location and date of the AHA Championship Endurance Ride.
6. The ride must have a Steward. The Ride Steward must be from the approved AHA Endurance Ride Steward list. The steward shall interpret the AHA rules to the Ride Management and the Veterinarians and process any complaints and protests. Protests must be made in writing to the AHA National Distance Commission within eight (8) days from the end of ride. Protest must be accompanied by a \$100 fee which is returned if protest is upheld. (See Protest Procedures)
7. The ride must be an accurately measured distance. The AHA Championship Endurance Ride shall be 100 miles for a one-day competition or may be 100 miles divided between two consecutive days of competition. The 50-mile AHA Championship Endurance Ride shall be 50 miles as a one-day competition. (BOD 2/01)
8. It is recommended that both the Local Ride Secretary and Manager have active individual AHA Memberships. (BOD 5/09)
9. Regional and National Championship Ride managers must have an active AHA membership. (BOD 8/15)

#### **END 103. GENERAL RULES**

1. The current American Endurance Ride Conference (AERC) or Endurance Canada rules will be followed except where superseded by AHA rules as published in the current AHA Handbook. (BOD 5/12)

When the National Championship Endurance Ride is held in conjunction with another Recognized endurance event and, if that event's competing criteria are more stringent than the AHA requirements, then AHA will accede to the stricter criteria, including weight requirements.

2. To compete in a National Championship Endurance Ride, a horse must:
  - a. Be registered with the Arabian Horse Association, the Canadian Arabian Horse Registry, or the Canadian Partbred Arabian Register and a copy of registration papers filed with Ride Management prior to start of the ride.

#### **END 104. RIDE RULES**

1. Age to be determined by registration papers. All horses entered in a National Championship Endurance Ride must be at least 60 months of age at the time of qualifying rides.
2. The same horse and rider must pass all control points in the correct order specified by ride officials and stay on the trail as marked by the National Championship Endurance Ride officials in order to qualify for awards.
3. All horses and riders competing in the National Championship Endurance Ride must be at the starting line at the time designated in the rules on the day of the ride or be disqualified. Horses must be wearing the number assigned by the ride officials. Horses are to be marked on both hips with marking material that may be seen from a distance. If the National Championship Endurance Ride is held in conjunction with another Endurance Ride, hip markings must clearly differentiate by division those horses competing in the National Championship Endurance Ride.
4. National Championship Endurance Rides must be completed in the following times to qualify for awards:
  - a. AHA 100-mile Championship Ride:
    - 1) Twenty-four hours or fewer total elapsed time on a 100-mile National Championship Endurance Ride.
    - 2) For a two-day event of 50 miles a day on two consecutive days: total riding time not to exceed 12 hours elapsed time per day for a maximum of 24 hours. The winner to be determined by the least amount of total riding time.
  - b. National 50-mile Championship Ride:
    - 1) Must be completed within 12 hours or fewer total elapsed time to qualify for awards. (BOD 2/01)

5. All horses entered in the National Championship Endurance Ride will be presented to the Ride Veterinarians for examination, preferably the day before the ride begins. Ride Veterinarians' decision on the condition of horses regarding the ability to start or continue the ride will prevail at all times. Horses must stand veterinary inspections, and the opinions of the AHA Championship Endurance Ride Veterinarians will, in all cases, be final.
6. All horses entered in the National Championship Endurance Ride are subject to blood, saliva, and/or urine tests at the discretion of the National Championship Ride Veterinarians or Ride Management. Abuse of horses entered in a National Championship Endurance Ride, as determined by the Ride Veterinarians, will be cause for disqualification from the ride. It shall be the responsibility of each contestant to ensure that his/her horse has not been administered any drug, which may alter the horse's performance within 72 hours of the pre-ride examination. This includes, but is not limited to, stimulants, depressants, painkillers, or local anesthetics.
7. A violation of any of the National Championship Endurance Ride rules for National Championship Endurance Rides subjects the horse and rider to immediate disqualification. Those horses and riders disqualified will immediately remove themselves from the trail.
8. For both the 50-mile and 100-mile National Championship Endurance Rides, (BOD 2/01) the first ten horses in their respective divisions (two divisions: one for Arabians, one for Half-Arabian and Anglo-Arabians) to successfully complete the ride in accordance with AERC rules will be named as follows:
  - a. First place horse will be named National Champion Endurance Horse in their respective division for that year of competition. Winner being determined by the fastest time of the horses to be awarded a completion for the ride.
  - b. Second place horse in their respective division will be named National Reserve Champion Endurance Horse for that year of competition. Second place being determined by the second fastest time of the horses to be awarded a completion for the ride.
  - c. Top Ten. A horse finishing 1st through 10th in each respective division (two divisions: one for Arabians, one for Half-Arabian and Anglo-Arabians) will be named Top Ten.

The National Championship Endurance Ride will award Champion, Reserve Champion and Top Ten ribbons in every class and ride, no matter how many entries per class or ride compete. Champion and Reserve Champions will receive respective placing ribbons. (BOD 8/15) All Top Ten Awards shall include qualifications, and all other AHA program benefits except for the Arabian Breeders Sweepstakes, the Horse Achievement Award Program, Amateur Achievement Award Program, High Point Horse Achievement Award, High Point Amateur Achievement Award and Distance Horse Program, which programs shall remain as is awarding 50%. (Res. 18-09)

- d. Best Conditioned. There will be one Best Condition award available for each division of the ride (two divisions: one for Arabians and one for Half-Arabian and Anglo-Arabians). The horse chosen to receive the award will be determined by using the guidelines established by AERC. The ride veterinarians should be made familiar with the AERC guidelines in this respect and the riders should be made familiar with the requirements for best condition before the ride. The award does not have to be given if the control judge(s) feel that none of the Arabian/Half-Arabian/Anglo-Arabian(s) in contention for the award deserve to receive it. (BOD 3/12)
- e. There shall be no ties. A Finish Line Official shall be appointed by ride management to place the Top Ten horses for any single day ride. If the Finish Line Official is unable to decide placings all the ties shall be decided by a flip of the coin. Ties in a multi-day ride shall be decided by a flip of the coin.

## END 105. AWARDS

Awards will be made to those finishing in the Top Ten and shall be as follows:

1. Champion.
2. Reserve Champion.
3. Top Ten.
4. Best Condition.

5. All completing the National Championship Endurance Ride shall be presented a completion award. Ride Management has the option of providing additional awards.
6. A Youth Rider Award shall be presented for both the 100 mile and the 50-mile Endurance Rides (combined Arabian and Half-Arabian/Anglo-Arabian) to the first youth rider to cross the finish line in each distance. The age of a youth is determined by AHA rules. (BOD 8/05) (BOD3/12) (BOD 11/21)

## END 106. QUALIFYING RIDES

Qualifying Rides for National Championship Endurance Rides. All horses completing AHA Recognized Endurance Rides on registered Arabian, Half-Arabian, or Anglo-Arabian Horses will be eligible to compete in the annual National Championship Endurance Ride.

## END 107. QUALIFICATIONS FOR NATIONAL ENDURANCE RIDES

1. For the 100-mile Championship Ride, the horse must have successfully completed a minimum 300 lifetime miles of which 100 miles must consist of one (1) day or multi-day, one hundred (100) mile Endurance Ride or two consecutive rides of fifty (50) miles or greater over two consecutive days for a total of one hundred (100) miles or more.
2. For the 50-mile Championship Ride, the horse must have successfully completed 200 lifetime miles. (BOD 2/01)
3. Qualifying mileage must be from AHA, AERC, FEI or Endurance Canada Recognized/Sanctioned Endurance Events of a minimum 50 miles distance and be completed within maximum time limits of the Recognizing/Sanctioning body. (BOD 5/12)
4. Ride name, length, date, and location of qualifying ride(s) must be listed on entry blank for the National Championship Ride.
5. The horse is qualified rather than the rider. One or more riders may have ridden qualifying miles for a single horse and a single rider may qualify more than one horse.

## AHA REGIONAL CHAMPIONSHIP ENDURANCE RIDES

A Regional Championship Endurance Ride(s) cannot be held unless there has been at least one Endurance Ride of 50 miles or more recognized by either AHA, AERC, FEI or Endurance Canada in that Region within the previous year or that part of the calendar year prior to the date of the proposed Regional Championship Endurance Ride(s). The Regional Championship Ride(s) must be held within the proposed Region's geographic boundaries or within a contiguous Region's boundaries. (BOD 5/01) (BOD 5/12)

## END 108. ADMINISTRATION

1. For the purpose of AHA Regional Championship Endurance Rides, the United States and Canada shall be divided into geographical regions by the Arabian Horse Association.
2. If a Region does not sponsor its own AHA Regional Championship Endurance Ride(s), applications for the ride made by any AHA member, AHA member association, or any qualified organization will be considered by the Regional Director. Rides sponsored by a Member Organization of a Region shall be considered as a ride of that same Region regardless of the geographical location of the ride. (Refer to the first paragraph under AHA Regional Championship Endurance Rides.)
3. Any ride named as an AHA Regional Championship Endurance Ride must be recognized by AHA. The proposed AHA Regional Championship Endurance Ride(s) must be approved by the Regional Director. (BOD 11/10)
  - a. A Regional Endurance Ride(s) may be recognized by AHA upon receipt of a completed Regional Ride Application form.
    - i. The completed Regional Ride Application form must be postmarked at least 60 days (BOD 11/08) prior to the planned event. (BOD 11/10)
    - ii. The completed Regional Ride Application form must be postmarked with the appropriate application fee for each ride (\$30). (BOD 11/10)
    - iii. If the completed Regional Ride Application form is postmarked fewer than 60 days, but more than 30 days prior to the planned event, then the late application fee (\$250) will be charged in addition to the distance fees. (BOD 11/10)

- iv. No application will be accepted that is postmarked less than 30 days prior to the event. (BOD 11/10)
- b. Ride flyers including entry forms, fee schedules and similar items must be sent to the AHA office no later than 30 days prior to the first day of the event. (BOD 8/01)
- c. Persons filing recognition application forms without the ride manager's approval or misrepresenting themselves on such forms will be subject to a \$100 fine. Repeated and/or blatant offenses will be referred to The Probable Cause Panel which may result in review and disciplinary action by the Ethical Practice Review Board. (BOD 8/10)
- d. Any two Regions are allowed to combine their Regional Championship Endurance Rides. This Combined Regional Championship would be: (Res. 17-19)
  - i. Governed and managed by the two (2) Regions involved.
  - ii. Each division having one (1) set of judge/official(s), one (1) set of awards, and one (1) set of National mileage.
  - iii. Requires approval from each of the Regional Directors within a combined Regional Championship Ride.
- 4. AHA Regional Championship Endurance Rides shall be conducted pursuant to rules adopted by the AHA Board of Directors.
- 5. Administration and interpretation of such rules shall be vested in the Distance Riding Committee of AHA.
- 6. The sponsor of the ride(s) shall pay all expenses, furnish all facilities and personnel, and receive all entry fees and other income deemed feasible by the Distance Riding Committee.
- 7. The ride brochure/flyer must include:
  - a. Name and length of the ride.
  - b. Date.
  - c. Location.
  - d. Names of the Veterinarians and Ride Steward.
  - e. Awards presented.
  - f. A liability clause to be signed by all entrants. A copy of the liability clause may be obtained by contacting AHA.
  - g. Reference to ride rules in the AHA Handbook.
  - h. A short description of the ride: days, miles, terrain, weather.
- 8. It shall be the duty of the Board of Directors and the Distance Riding Committee of the respective Region to assure that appropriate awards are presented to the Regional Champions, Regional Reserve Champions, Best Condition, and all finishers of the Regional Championship Endurance Ride. In addition, the Top Five horses will receive Top Five awards.
- 9. A ride steward is only required if the number of competitors exceeded ten (10) riders in the previous year. If a ride steward is required, then the ride steward must be from the approved AHA Ride Steward list. If there is not a Ride Steward, then, the ride manager shall interpret the AHA rules to the ride management and the veterinarians and process any complaints and protests. (BOD 11/11) Refer to Protest Procedures END 113.
- 10. The ride must be an accurately measured distance. The AHA Regional Championship Endurance Ride(s) may hold either one ride or ~~two~~-more rides of ~~two~~ different distances. If one ride is held, the minimum distance shall be 50 miles ~~with riding time not to exceed 12 hours for a 50-mile ride.~~ If two rides are held, one ride must be a 50/55-mile ride and the other ride must be either a one-day 100-mile ride or a two-day 100-mile ride ~~or a two-day 100 mile or one-day 75-mile ride. If three rides are held, they are to be 50/55-mile, 75 mile and 100-mile rides.~~ (BOD 8/04, BOD 3/25)

#### END 109. GENERAL RULES

The current AERC or Endurance Canada rules will be followed except where superseded by AHA rules as published in the current AHA Handbook. (BOD 5/12) AERC is the only sanctioning body AHA recognizes for endurance in the United States. (BOD 11/17)

#### END 110. RIDE RULES

- 1. All horses entered in an AHA Regional Championship Endurance Ride(s) must be at least 60 months of age at the time of qualifying rides. Age to be determined by registration papers.

- 2. The same horse and rider must pass all control points in the order specified by ride management and stay on the trail as marked by the AHA Regional Championship Endurance Ride officials in order to qualify for awards.
- 3. All horses and riders competing in the AHA Regional Championship Endurance Ride must be at the starting line at the time designated in the rules on the day of the ride or be disqualified. Horses must be wearing the number assigned by the ride officials. Horses are to be marked on both hips with marking material that may be seen from a distance. If the AHA Regional Championship Endurance Ride is held in conjunction with another endurance ride, hip markings must clearly differentiate by division those horses competing on the AHA Regional Championship Endurance Ride.
- 4. All horses entered in the AHA Regional Championship Endurance Ride will be presented to the ride veterinarians for examination, preferably the day before the ride begins. Ride veterinarians' decision on condition of horses concerning the ability to start or continue will prevail at all times. Horses must stand veterinary inspections and the opinions of the AHA Regional Championship Endurance Ride veterinarians will, in all cases, be final.
- 5. All horses entered in the AHA Regional Championship Endurance Ride are subject to blood, saliva, and/or urine tests at the discretion of the AHA Regional Championship Ride veterinarians or ride management. Abuse of horses entered in an AHA Regional Championship Endurance Ride, as determined by the ride veterinarians, will be cause for disqualification from the ride. It shall be the responsibility of each contestant to ensure that his/her horse has not been administered any drug, which may alter the horse's performance within 72 hours of the pre-ride examination. This includes, but is not limited to, stimulants, depressants, painkillers, or local anesthetics.
- 6. A violation of any of the AHA Regional Championship Endurance Ride rules for AHA Regional Championship Endurance Rides subjects the horse and rider to immediate disqualification. Those horses and riders disqualified will immediately remove themselves from the trail.
- 7. Regional Rides may elect one of the following and shall clearly state in their Regional Ride Brochure/Flyer whether an entire Top Five or 50% of the division rounded up method shall be used: (Res. 20-03)
  - a. Top Five: A horse finishing 1<sup>st</sup> through 5<sup>th</sup>, in each division, will be named Top Five. The top scoring horse in each division shall be named Regional Champion and the second highest scoring horse shall be named Regional Reserve Champion. If fewer than 10 entries start the ride (must have passed the pre-ride vet check) in a division, only enough Top Fives will be awarded to total 50% of the division rounded up. (e.g., a ride division consisting of seven entries would be eligible for only four Top Five awards including the Champion and Reserve Champion (BOD 8/02)
  - b. Top Five Awards will be given regardless of how many horses compete in each division at the start of the ride. Points in the Horse Achievement Award, Amateur Achievement Award, High Point Horse Achievement Award, High Point Amateur Achievement Award and Distance Award Programs will be given to those horses enrolled in these programs equal to 50% of the division rounded up not to exceed five of those competing in the division at the beginning of the ride (e.g. A division of a ride of seven horses would be eligible for only four pay-outs even though a Top Five would be awarded) (Res. 20-03)
  - c. The first five horses in their respective divisions (two divisions: one for Arabians, one for Half-Arabian/Anglo-Arabians) to successfully complete the ride in accordance with AERC rules will be named as follows for the AHA Regional Championship Endurance Rides:
  - d. First place horse will be named AHA Regional Champion Endurance Horse in their respective division for that year of competition. Winner being determined by the fastest time of the horse's granted completion for the ride.
  - e. Second place horse in their respective division will be named AHA Regional Reserve Champion Endurance Horse for that year of competition. Second place being determined by the second fastest time of the horse's granted completion for the ride.

- f. Best Condition. There will be one Best Condition award presented for each division of the ride (two divisions: one for Arabians, one for Half-Arabian/Anglo-Arabians). This horse will be chosen by the guidelines established by AERC. The ride veterinarians should be familiar with the AERC guidelines in this respect, and the riders should be made familiar with the requirements for Best Condition before the ride.
- g. There shall be no ties. A Finish Line Official shall be appointed by ride management to place the Top Five horses for any single day ride. If the Finish Line Official is unable to decide placings all the ties shall be decided by a flip of the coin. Ties in a multi-day ride shall be decided by a flip of the coin.

#### **END 111. AWARDS**

Awards will be made to those finishing in the Top Five and shall be as follows:

- 1. Champion.
- 2. Reserve Champion.
- 3. Top Five.
- 4. Best Condition.
- 5. In addition to these awards, all completing the AHA Regional Championship Endurance Ride shall be presented with a Completion Award. Ride management has the option of providing additional awards.

#### **END 112. QUALIFICATIONS FOR REGIONAL ENDURANCE RIDES**

- 1. To compete in an AHA Regional Championship Endurance Ride, a horse must:
  - a. Be registered with the Arabian Horse Association, the Canadian Arabian Horse Registry or the Canadian Partbred Arabian Register, and a copy of registration papers filed with ride management prior to start of the ride.
  - b. Have successfully completed at least 100 lifetime miles of competition, of which up to 50 miles may be earned in an AHA Regional or National Championship Competitive Trail Ride. All endurance completion miles must be from AHA, AERC, FEI or Endurance Canada Recognized/Sanctioned accredited endurance rides of a minimum of 50 miles distance. (BOD 8/01) (BOD 5/12)
  - c. Ride name, length, date, and location of qualifying ride(s) must be listed on entry blank for the AHA Regional Championship Ride.
  - d. The horse is qualified rather than the rider. One rider may qualify more than one horse. A horse may be qualified by more than one rider.

#### **END 113. ENDURANCE RIDE PROTEST PROCEDURE (BOD 1/10)**

A subcommittee to handle protests and formal letters of complaint shall be formed of 4 members appointed by the Distance Committee Chair and headed by the Vice Chair. The committee shall serve for a 2-year term starting **December 1**.

Disagreements as to procedure and rules interpretation may be submitted to the Ride Steward for a ruling. If there is a disagreement after the Steward's ruling, or if the Steward did not make a ruling, Protest or Formal Letter of Complaint may be filed in accordance with the procedures outlined in these rules.

- 1. Protest will be:
  - a. Filed in writing within eight (8) days of the end of the ride.
  - b. Signed by the protester and submitted to AHA with a copy to the Ride Steward and Ride Management Committee for immediate referral to the AHA Distance Riding Sub-Committee for resolution.
  - c. Action to solve the protest will start within 30 days of receipt. Upon receipt of the properly filed protest, AHA will notify Awards, Sweepstakes, and any other concerned departments of the pending action. A \$100 fee will accompany the protest. The fee will be refunded if the protest is upheld. If the AHA Distance Riding Sub-Committee is unable to resolve the protest within 30 days, a note stating the status of the protest shall be sent by the Sub-Committee to the protester, with a copy to the Ride Manager and to the Judges and Stewards Commissioner. A similar note shall be sent every 30 days until the protest is resolved.
- 2. Formal Letter of Complaint will be:
  - a. Filed in writing within 14 days of the end of the ride.
  - b. Signed by the complainant and submitted to the AHA Distance Riding Sub-Committee.

- c. Investigated and resolved by the AHA Distance Riding Sub-Committee in a fair and impartial manner as they deem appropriate.

#### **END 114. AHA RECOGNIZED ENDURANCE RIDES**

- 1. A local endurance ride with a minimum distance of 25 miles may be recognized and be conducted by AHA Member Organizations or other interested groups or persons.
- 2. The current AERC or Endurance Canada rules will be followed except where superseded by AHA rules as published in the current AHA Handbook. (BOD 5/12)
- 3. A completed recognition application form along with a \$25 non-refundable application fee for each distance is required.
  - a. If the completed form and fee are postmarked 60 days or more prior to the ride, then the normal fee will be applied (\$25). (BOD 11/10)
  - b. If the completed form is postmarked less than 60 but more than 30 days prior to the ride, a late fee (\$250) per event will be required in addition to the distance fees. (BOD 11/10)
  - c. If the completed form is postmarked 30 days or fewer prior to the ride, then the ride application will be denied. (BOD 11/10)
- 4. Ride flyers (brochures or ad flyer) including entry forms, fee schedules and similar items must be included (BOD 8/06)
- 5. Persons filing recognition application forms without the ride manager's approval or misrepresenting themselves on such forms will be subject to a \$100 fine. Repeated and/or blatant offenses will be referred to the Probable Cause Panel which may result in review and disciplinary action by the Ethical Practice Review Board. (BOD 8/10)
- 6. All AHA Recognized Endurance Rides are exempt from the Judges and Stewards 9-90 fee assessment (Res. 32-15) and the AHA per horse fee.

#### **END 115. RESULTS REPORTING**

##### **NATIONAL CHAMPIONSHIP RIDE RESULTS**

- 1. The results for the National Championship Ride will be submitted to the AHA office by the AHA Ride Secretary.
  - a. When the National Championship ride is held in conjunction with an AERC/FEI/Endurance Canada Open ride, the results from the Open ride must be reported for each horse by submitting a properly signed and completed AHA Open Qualifying Ride Results Form within 90 days of the ride. (BOD 11/02) (BOD 5/12)
  - b. If the Open portion is also recognized by AHA, AHA reporting will prevail for results and Achievement Awards. (Refer to ACH 107.6)

##### **AHA REGIONAL CHAMPIONSHIP RIDE RESULTS**

- 2. The results for the AHA Regional Championship rides will be submitted to the AHA office by the Ride Secretary for the placings in the AHA divisions.
  - a. When the AHA Regional Championship ride is held in conjunction with an AERC/FEI/Endurance Canada Open ride, the results from the Open Ride must be reported for each horse by submitting a properly signed and completed AHA Open Qualifying Ride Results Form within 90 days of the ride. (BOD 11/02) (BOD 5/12)
  - b. If the Open portion is also recognized by AHA, AHA reporting will prevail for results and Achievement Awards.

##### **AHA RECOGNIZED RIDE RESULTS**

- 3. The results for an AHA Recognized Local Ride will be submitted to the AHA office by the Local Ride Secretary. (BOD 11/02)

##### **OPEN RIDES NOT RECOGNIZED BY AHA**

- 4. The results for rides sanctioned by AERC/FEI/Endurance Canada/USEF and not recognized by AHA must be reported by submitting a properly signed and complete AHA Open Qualifying Ride Results Form within 90 days of the ride or the Official AERC Horse Record must be submitted along with the AHA Open Qualifying Ride Results form. (BOD 11/02) (BOD 5/12) (BOD 1/22)

## CHAPTER 18: ARABIAN BREEDERS SWEEPSTAKES PROGRAM

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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet or other electronic means.



#### Definitions:

1. The term "Nominated Sire" within these rules refers to the below Nominated Sire categories (unless specified).
  - a. Arabian Nominated Sire
  - b. Non-Arabian Nominated Sire
2. The term "Nominated Dam" within these rules refers to the below Nominated Dam categories (unless specified).
  - a. Arabian Nominated Dam
  - b. Anglo-Arabian Nominated Dam
  - c. Half-Arabian/Non-Arabian Nominated Dam
3. The term "Breeder/Nominator" within these rules refers to the person(s) or entity who nominated the Breeding Entry.
4. The term "Breeders Incentive" refers to the payback awarded to the Sire Payback (Sire Payback only pertains to Arabian Nominated Sires enrolled prior to December 31, 2009) Program and the Breeder/Nominator Payback Program.
5. The term "Trustee" refers to the Trustee of the Arabian Breeders Sweepstakes.
6. The term "ABSC" refers to the Arabian Breeders Sweepstakes Commission.

### MEMBERSHIP

In order to have results count towards AHA programs and/or qualifications, membership requirements must be met. (Refer to Chapter 7)

An individual or Business Membership is required for enrollment into the Breeders Sweepstakes Program. It is also required for receipt of prize money for the Breeder Nominator and Nominated Sire payback.

### **SWP 101. INTRODUCTION**

The Arabian Breeders Sweepstakes (Sweepstakes) (ABS) was introduced by the International Arabian Horse Association to promote the Arabian horse, elevate its stature in the horse industry, provide a financial incentive for the breeding of

Arabian horses and broaden the income base of AHA. These Rules and Regulations define the terms and conditions under which persons and horses may participate in the Sweepstakes, pursuant to which AHA will award Sweepstakes prize money.

### **SWP 102. SWEEPSTAKES TRUST**

1. A Trust was established by IAHA to manage and protect all funds generated by the Sweepstakes. The Fifth Amended Trust agreement is available for review at the AHA office. The Trust generally provides that:
  - a. All monies received as Sweepstakes entry fees shall be placed in the Trust.
  - b. The principal (entry fees) held in Trust shall be used solely to provide prize money for the Sweepstakes.
  - c. The earnings (Interest, Dividends and Capital Gains on the Principal) from the investments of the Trust funds may be applied or expended on the payment of the Settlor's administrative expenses of the Trust, and the net balance of this interest income shall be retained by the Sweepstakes Trust. (BOD 11/20) The Sweepstakes contracted allocations will be paid to AHA from the Sweepstakes Trust near or the end of each fiscal year at an opportune financial time. The contracted allocation will be reviewed at the end of the fiscal year 2027. (SWP 2/24 & BOD 3/24)
  - d. An audit of all Trust accounts and transactions will be conducted on an annual basis.
2. No more than one-fifth (1/5) of the existing Trust principal at the beginning of a calendar year shall be used as Sweepstakes prize money during that calendar year. Since the longevity and long-term success of the Sweepstakes is ultimately dependent upon a number of factors beyond the control of the Arabian Breeders Sweepstakes Trustee, no representations or guarantees of any kind can be made concerning the anticipated life of the Sweepstakes. The Trustee shall have no responsibility or liability to pay out any prize money except from the principal held in the Sweepstakes Trust.
3. Special Meetings: Special meetings of the Trustee may be held at any time and place on the call of the President or of a majority of the Trustee, in either case upon ten (10) days' notice by first-class prepaid mail, or five (5) days' notice delivered personally or by telephone or electronic mail. Such notice shall state the time and place of the meeting and shall be deemed given at the time when personally delivered, deposited in the mail, sent by electronic mail or orally transmitted to recipient via telephone. (BOD 8/09)
4. The Trustees shall be made up of eight (8) individuals: the current AHA President, the current AHA Treasurer, the current chair of the Sweepstakes Commission, one other member of the Sweepstakes Commission (elected by the Sweepstakes Commissioners) and four (4) members elected by the AHA Board of Directors each year at the annual convention. (BOD 3/18) These four (4) members must be current or past members of the AHA Board of Directors and may not be concurrently serving on the Sweepstakes Commission. (BOD 8/18) (BOD 9/20) The Chair of the Trust will be appointed by the AHA President from one of the four (4) elected members. The Trustees:
  - a. Will meet during each calendar year in person or via conference call as needed to review the Arabian Breeders Sweepstakes Commission's recommendations for the Sweepstakes Program. The Trustees will also meet during the AHA annual convention. (SWP 11/23)
  - b. Will be responsible for monitoring the Trust finances through communication with the Sweepstakes Commissioners, the AHA Treasurer and the AHA Controller.
  - c. If at any time the operating expenses are not covered by the interest income, the Sweepstakes Trustees will be responsible to raise the additional funding needed either through approving use of funds from the Trust or through outside sources.
  - d. The Sweepstakes Commissioners will bring to the Sweepstakes Trustees any changes they wish to propose which will include a completed financial study related directly to the proposed changes.
  - e. Will report to the AHA BOD at each BOD meeting of any additions/changes to the current rules in the AHA handbook (Sweepstakes Division).

f. Any AHA handbook the changes will be noted with the correct abbreviation for the Sweepstakes Trustees and the date of the meeting where the addition(s) /change(s) were made.

### **SWP 103. GENERAL ENTRY REQUIREMENTS**

To participate in the Sweepstakes program each person must:

1. Be the recorded owner of the Arabian, Half-Arabian, or Anglo-Arabian in the AHA Registries, the Canadian Arabian Horse Registry or the Canadian Partbred Arabian Register or registered in a current recognized source registry (International Studbooks). For Nominated Sire entries that are not registered with AHA or CAHR, you must be the recorded owner for the Arabian in a source registry. See REG 101 for the definition of source registry.
2. For Non-Arabian Nominated Sires/Dams, you must be the recorded owner of the horse and provide a copy of the Certificate of Registration indicating ownership.
3. For Breeding Entries, you must list the ownership on the entry form as you intend to register the foal.
4. Submit a completed Official Sweepstakes Entry Form or complete an entry online via the AHA website with all required documentation to the AHA office on or before the designated deadline.
5. All participants must be a current member of AHA, See SWP 117 for Prize Money requirements.
6. Pay all required entry fees in full at the time of entry execute a Sweepstakes Deferred Billing Agreement with the required down payment in accordance with SWP 107 and be current on all required payments on the Deferred Billing Agreement.
7. If all entry requirements are not received at the time of enrollment, the owner will be notified identifying the additional requirements necessary in order to complete the entry. Two notices will be sent: one notice when the entry is being processed and the second notice 30 days after the first notice. If the entry requirements are not submitted within 30 days after the second notification, the entry will be dropped, and any payment submitted will be forfeited. (Refer to SWP 106.7) Upon re-submission, any new requirements and/or fees will apply.

### **SWP 104. CERTIFICATE OF REGISTRATION REQUIREMENTS FOR NON-ARABIAN NOMINATED SIRES/DAMS AND HORSES REGISTERED WITH CAHR OR CPAR**

A photocopy of the horse's Certificate of Registration must accompany the completed entry form. If the Certificate of Registration is unavailable at the time the entry form is sent or delivered to AHA, a photocopy must be provided to AHA as soon as possible. For Canadian registered Sweepstakes Entries, a photocopy of the Certificate of Registration must be submitted after the foal is registered. A Sweepstakes Certificate (for the Non-Arabian Nominated Sire/Dam and Canadian registered horses) entitling a horse to participate in the Sweepstakes will be issued only when all required documentation has been received by AHA. AHA registered horses will have a Sweepstakes symbol and classification on their Certificate of Registration only when all required documentation has been received by AHA.

### **SWP 105. ENTRY CLASSIFICATIONS**

1. Eligible horses may be enrolled in the Sweepstakes in the following classifications:
  - a. Breeding Entry
  - b. Nominated Sire
  - c. Nominated Dam
  - d. Non-Arabian Nominated Sire
  - e. Anglo-Arabian Nominated Dam
  - f. Half-Arabian/Non-Arabian Nominated Dam

### **SPECIFIC PROVISIONS**

2. A stallion or mare registered with AHA may be enrolled in more than one classification (i.e., as a Breeding Entry and Nominated Sire or Nominated Dam), provided that all fees are paid, and all entry requirements are fulfilled for each classification. A gelding may only be enrolled in a single-entry classification (i.e., as an Original or Breeding Entry).

### **SWP 106. FEES AND DEADLINES**

1. Breeding Entry: \$400

An unborn foal is one sired by a Sweepstakes Nominated Sire category entry, or out of a Nominated Dam category entry. All registration eligibility requirements must be met as outlined in REG 101-105 and/or 106. (Refer to SWP 106.3-106.6) It is the responsibility of the original nominator to verify that the foal is eligible for registration. Breeder/Nominator is nominating the in-utero foal at their own risk as to the eligibility for registration - acceptance of the nomination in no way guarantees that the resulting foal will be approved for registration and is non-refundable. When multiple embryo transfers (by the same sire/dam/owner/foaling year) are submitted, the first registration application(s) received for that particular cross by AHA will be linked to the in-utero foal record(s). In the event that the nominator makes application for registration at the exact same time for more embryo transfer foals than he/she has in-utero nominations available, then the nominator will be contacted for an official order in which he/she wishes the foals to be registered. (BOD 11/12) All breeding entries must be enrolled by **December 31** in the year in which they are conceived. Every fourth Breeding Entry enrolled in the same year by the same owner may be enrolled at one half price. The discount applies to each submission of at least four entries submitted at the same time. Late enrollments will be accepted postmarked/electronic transaction dated **January 1 through February 15** the year the foal is due with an additional \$150 late fee. Proof that the mare has not foaled prior to February 15 may be required along with the entry form. This entry is eligible to win Sweepstakes Prize Money in any Sweepstakes-designated payback classes for the life of that horse. This entry category allows for eligibility to compete in the Regional Yearling Sweepstakes Breeding classes. (Refer to SWP 109.16 for Deceased Foal Credit.)

2. Original Entry: (**Note: this category has been permanently closed as of June 1, 2011, Horses previously enrolled as Original Entries will continue to be awarded Sweepstakes prize money**) (BOD 2/11)

Eligible to win Prize Money in any Sweepstakes-designated payback classes for that horse's life.

3. Nominated Sire Categories: \$2,000. (BOD 8/18) (\$1,000 if colt or stallion is currently enrolled in Sweepstakes as a Breeding Entry.)

a. Arabian Nominated Sire - Open to any Arabian Stallion (living or deceased) registered with AHA, the Canadian Arabian Horse Registry or registered in a currently recognized source registry as defined in REG 105.1. (BOD 8/15) Foals must be eligible to be registered with AHA or CAHR.

b. Non-Arabian Nominated Sire - Open to any Non-Arabian Stallion (living or deceased). Enrollment makes all foals sired by the stallion and out of a registered Arabian, Anglo-Arabian or Thoroughbred mare, eligible to be enrolled in the Sweepstakes as Breeding Entries as long as registration requirements are met in REG 102 and 103 for Half-Arabian and Anglo-Arabian offspring. A copy of the Certificate of Registration for the Non-Arabian Stallion must be submitted with the enrollment application.

c. Payment of the one-time entry fee makes all foals sired by the enrolled stallion eligible to be entered in the Sweepstakes as Breeding Entries.

d. Enrollment as a Nominated Sire does not make the stallion eligible to win prize money in Sweepstakes-designated payback.

e. Late enrollments will be accepted postmarked **January 1 through February 15** of the year the foal is born with an additional late fee of \$150.

4. Nominated Dam Categories: \$1,000.

a. Arabian Nominated Dam - Open to any living Arabian mare registered with AHR or CAHR. Must be bred to either a non-Arabian stallion for the resulting Half-Arabian in utero foal to be eligible as a Breeding Entry or to an Arabian Stallion or Nominated Sire to have the resulting Arabian in utero foal to be eligible as a Breeding Entry.

b. Half-Arabian/Non-Arabian Nominated Dam - Open to any living Half-Arabian mare registered with AHR or CPAR or Non-Arabian mare whose breeding results in a Half-Arabian foal. Must be bred to either an

Arabian Stallion or a purebred Nominated Sire to have the resulting Half-Arabian in utero foal to be eligible as a Breeding Entry. (BOD 8/18)

c. Anglo-Arabian Nominated Dam - Open to any living Anglo-Arabian Mare registered with AHA or CPAR. Must be bred to a Nominated or non-nominated Thoroughbred or Anglo-Arabian Sire or Nominated Sire for the resulting in utero foal to be eligible as a Breeding Entry. In addition, the resulting foal must meet the registration requirements for an Anglo-Arabian. (Refer to REG 103)

Payment of the one-time enrollment fee makes all foals resulting from the above breeding combinations eligible to be enrolled in the Sweepstakes as Breeding Entries. Mare must be nominated by **December 31** of the year of conception. Late enrollments will be accepted postmarked from **January 1 through February 15** of the year the foal is born with an additional late fee of \$150. (BOD 10/14) Enrollment as a Nominated Dam does not make the mare eligible to win prize money in Sweepstakes-designated payback events.

### **GENERAL**

5. All fees must be paid in U.S. dollars and must be included with the submission of the enrollment(s). Refer to current fee schedule in AHA directory. All fees are non-transferable except for the deceased foal credit. See Breeding Entry.
6. All fees are non-refundable. (Refer to SWP 109)
7. Payment of entry fees must be postmarked/electronic transaction dated no later than the last applicable enrollment date provided for in SWP 106 in order to prevent the fee from increasing to the next higher fee level. If payment of entry fees is made pursuant to the Deferred Billing Agreement set forth in SWP 107 of these Rules and Regulations, the down payment and fully executed Deferred Billing Agreement must be postmarked/electronic transaction dated no later than the last applicable enrollment date provided for in SWP 106 in order to prevent the fee from increasing to the next higher fee level.
8. In order to compete for Sweepstakes Prize Money, all entry requirements as outlined in SWP 103 and 104 must be received by AHA prior to participation where Sweepstakes prize money is anticipated to be won. Any prize money won will be withheld until all entry requirements have been met. If the entry requirements are not completed in a satisfactory manner, SWP 103.4 will apply, and prize money won will be forfeited.
9. Payment of the total fee required to enroll a horse in an entry classification enrolls it in that entry classification for its lifetime. If payment is made on a Deferred Billing Agreement, all payments must be satisfied before the Sweepstakes logo will be printed on the horse's Certificate of Registration. If a Certificate of Registration (for horses registered with AHA) has already been issued, a replacement Certificate will be reprinted with the Sweepstakes logo upon return of the original Certificate of Registration and payment of the replacement fee. Upon determination that a Certificate of Registration has been issued with a Sweepstakes logo in error, the recorded owner will be contacted. The Certificate must be returned (or destroyed – a photo of the torn Certificate must be submitted) and a new Certificate reflecting the change will be issued. Verification of Sweepstakes enrollment can be confirmed by contacting the AHA office. In the event the Certificate of Registration is not returned to the AHA office or proven to be destroyed, a Duplicate Certificate of Registration will be issued. Once a Duplicate Certificate of Registration is issued, the original will become null and void.
10. It is the responsibility of the Breeder/Nominator of a Breeding Entry to verify that the unborn foal is by a Nominated Sire or out of a Nominated Dam. However, Breeding Entry fees will be refunded (less a processing fee) if, after making payment, an owner learns that the one of the parents was not on ABS's current list of Nominated Sires or Nominated Dams at the time payment was made.
  - a. If the Sweepstakes nomination of a Nominated Sire is canceled for failure to complete the payments agreed to be made under SWP 107, Deferred Billing Agreement, the Nominated Sire shall be removed from the ABS's current list of Nominated Sires but the offspring of that stallion conceived prior to the publication date of the fact that the stallion has been removed shall be eligible for enrollment as Breeding Entries unless

otherwise stated. A copy of the Certificate of Registration for the Non-Arabian Mare must be submitted with the enrollment application.

b. If the Sweepstakes nomination of a horse in SWP 105.c., d., e. f. is canceled because its registration has been canceled by the registry in which it is registered, horse shall be removed from the ABS's current list of eligible Sires or Dams, and the offspring of that stallion or mare shall not be eligible for enrollment as Breeding Entries in the Sweepstakes Program. Sweepstakes enrollments for living offspring of such a stallion or mare enrolled as Breeding Entries in the Sweepstakes Program shall be canceled. Breeding Entry fees will not be refunded if the Sweepstakes enrollment of the Breeding Entry is canceled as a result of the cancellation of the Sweepstakes nomination of its Nominated Sires or Nominated Dams because its registration has been canceled by the registry in which it is registered. However, if the Nominated Sire's or Dam's Sweepstakes nomination is subsequently reactivated, and the stallion or mare is reinstated on ABS's list of Nominated Sires or Dams, the offspring of the stallion or mare shall be eligible for re-enrollment and enrollment as Breeding Entries.

11. The ABS list of Nominated Sires/Dams will be designated with a symbol indicating that the mare or stallion has tested clear for SCID (if applicable) if your mare or stallion tests SCID Clear and proper documentation is received by the AHA office. (ABS 2/98)

### **SWP 107. DEFERRED BILLING AGREEMENT**

1. Payment of the entry fees due for Breeding Entry, Nominated Sire or Nominated Dam may be made pursuant to the following Deferred Billing Agreement:
  - a. A properly executed Deferred Billing Agreement must be submitted with an Official Sweepstakes Entry Form along with the initial payment of all entry fees (total of all entries plus the 10% administrative fee) divided by the number of payments. Deferred Billing Agreement must be executed in the name of the recorded owner (**Exception: Breeding Entries**). If the horse is jointly owned, all owners of the horse must sign the Deferred Billing Agreement. If the owner of the horse is under 18 years of age or not of full legal age in the state in which they reside, a parent or guardian must sign the Deferred Billing Agreement and be a current member of AHA. If the above requirements are not submitted, SWP 103.7 will apply.
  - b. Payments must be made in accordance with the terms of the properly executed Deferred Billing Agreement.
  - c. If full and timely payment is not made or if a default occurs pursuant to the terms of the Deferred Billing Agreement, all payments made, and prize money, sire payback and Breeder/Nominator money won by the horse shall be forfeited and the entry will be dropped from the program. Terms state that if payment is not received within 60 days of the billing date the entry will be dropped from the program and all monies paid will be forfeited. The dropped applicant(s) is not entitled to submit another entry on a deferred billing agreement for a period of 5 (five) years.
  - d. If the entry fees for a horse are being paid on the Deferred Billing Agreement, the payments are current, and all other entry requirements have been met, that horse is eligible to compete for Sweepstakes prize money.
  - e. Sweepstakes Deferred Billing Agreements will be non-transferable and non-assignable unless there is an existing Deferred Billing Agreement covering a number of horses and a new or divided ownership is known to the AHA, the obligation relating to the new ownership may be assumed by the new owner as long as all payments are current. In this event, the existing Deferred Billing Agreement may be paid in full or new Deferred Billing Agreement may be set up reflecting the change to the original Deferred Billing Agreement. A non-refundable office fee will be charged to set up each new Deferred Billing Agreement.
  - f. ABS reserves the right to eliminate and/or change this Deferred Billing Agreement at any time.
  - g. Late fees may not be financed on the Deferred Billing Agreement and must be paid in full at the time of enrollment. (Refer to SWP 106)

- h. Credits due to castration or the death of an enrolled horse will be applied to a deferred billing agreement when all requirements are met. Credits cannot be used as a down payment but will be evenly distributed towards the number of payments indicated and granted on the deferred billing agreement. The administrative fee is determined on the original amount due on the entry.

#### **NOMINATED SIRE/ DAM**

- 2. If a Nominated Sire on Deferred Billing Agreement is gelded, the balance owing must be satisfied before any consideration of a credit will be made. (Refer to SWP 109.)
- 3. If a default occurs pursuant to the terms of the Deferred Billing Agreement for a Nominated Sire or Nominated Dam, that horse's name shall be removed from the current list of Nominated Sires or Nominated Dams and the horse's and owner's name shall be published as a deletion from the current Nominated Sire or Nominated Dam list; the horse's Sweepstakes Certificate shall not be issued or the Sweepstakes enrollment status shall not be noted on the Registration Certificate; and the owner shall be liable to ABS for an amount equal to all prize money paid and due, or which shall become due, to any horses enrolled in the Sweepstakes which were sired or produced by the horse.

#### **SWP 108. CERTIFICATE AND AHA REGISTRATION PAPERS**

- 1. The person enrolling a horse can receive a new Certificate of Registration with the Sweepstakes classification in which the horse has been enrolled (original Certificate of Registration must be submitted to AHA with a replacement Certificate fee along with a written request). A horse shall not be deemed enrolled in the Sweepstakes and shall not be entitled to receive any prize money or sire payback money until all requirements are met under SWP 103 and 104. A horse's enrollment in the Sweepstakes shall be deemed to be pending until receipt of full payment of all amounts due in accordance with the terms of the Deferred Billing Agreement.
- 2. If the payment of entry fees is made pursuant to Deferred Billing Agreement provided for in SWP 107 of these Rules and Regulations, the person enrolling the horse can receive a new Certificate of Registration with the Sweepstakes classification in which the horse has been enrolled (original Certificate of Registration must be submitted to AHA with a replacement Certificate fee along with a written request) upon receipt of all amounts due in accordance with the terms of the Deferred Billing Agreement. A horse whose enrollment is pending may participate in the Sweepstakes, except as otherwise provided in these rules.
- 3. In the event that an enrolled horse is sold that is registered with AHA, the Sweepstakes enrollment will automatically be transferred to the new Recorded Owner of the horse upon transfer of the Certificate of Registration (providing the enrollment is currently not a Deferred Billing Agreement). In the event that an enrolled horse is sold that is registered with CAHR or CPAR or is enrolled as a Non-Arabian Nominated Sire (except Half-Arabian Stallions), the Sweepstakes Certificate may be transferred to the new owner or purchaser. The transfer will be made when the new owner or purchaser submits a copy of the Certificate of Registration verifying the new ownership. Once the Sweepstakes transfer has been completed and a new Sweepstakes Certificate has been sent to the new owner, all Sweepstakes Certificates previously issued shall be considered null and void. A transfer fee per entry is applicable when ownership of an enrolled horse changes. Prize money, if applicable, will be paid to the recorded owner of the program at the time of the event. If a horse is sold and the transfer date is during the dates of the show, the prize money will be issued to the new recorded owner of the horse.

#### **SWP 109. STERILITY, CASTRATION, DEATH OF AN ENROLLED HORSE**

##### **STERILITY**

- 1. If a Nominated Sire is proven to be sterile within 12 months of the date of Sweepstakes enrollment as a Nominated Sire, and proper documentation from a veterinarian, including castration certificate, is submitted to AHA substantiating that no foals have been conceived from a breeding by this stallion, the Nominated Sire fee paid for that stallion will be issued in the form

of a voucher and the Nominated Sire enrollment will be canceled. All rights and privileges as a Nominated Sire will cease.

##### **CASTRATION**

- 2. When a Nominated Sire is gelded, the amount paid as a Nominated Sire will be provided as a credit voucher to the Nominated Sire owner request. The credit amount shall be reduced by 25% for each year or part thereof that the Nominated Sire was on the Arabian Breeders Sweepstakes Nominated Sire list. If the horse is registered by AHA, the castration must be officially recorded before any consideration of a credit is made. For horses not registered with AHA, a copy of the Certificate of Registration stating "gelding" must be submitted. Once a Nominated Sire is gelded and a credit is applied, no Sire Payback will be paid (Sire Payback only pertains to Arabian Nominated Sires enrolled prior to December 31, 2009). Deferred Billing obligations must be paid in full before a Nominated Sire Entry credit can be issued in the form of a voucher.
- 3. In the event a Nominated Sire is gelded and was in good standing at the time of his castration, offspring of that stallion may be enrolled in all eligible Sweepstakes categories as if the sire were still a breeding stallion.

##### **DEATH**

- 4. The recorded owner of any horse registered with AHA that is enrolled in the Sweepstakes must notify the AHA office in the event of the horse's death by sending to the AHA office a certificate of death from an accredited veterinarian. For horses registered with CAHR or CPAR, a photocopy of the horse's canceled Certificate of Registration, and the horse's original Sweepstakes Certificate must be submitted.
- 5. If a Nominated Sire dies within 12 months of the date of Sweepstakes enrollment, the Nominated Sire entry fee received for that horse will be provided as a credit voucher to the Sweepstakes nominator if requested in writing within 90 days of the date of the horse's death. If the Nominated Sire entry fees are credited, the unborn offspring of such a horse may be enrolled in the Sweepstakes.
- 6. If a Nominated Dam dies within 12 months of the date of Sweepstakes enrollment and has not produced a live foal prior to her death, the Nominated Dam fee paid will be issued in the form of a voucher if requested in writing within 90 days of the date of the horse's death.
- 7. In the event that a Nominated Sire or Nominated Dam dies within 12 months after it is enrolled in the Sweepstakes as a Nominated Sire or Nominated Dam, the Nominated Sire or Nominated Dam Entry fee being paid on a Deferred Billing Agreement, and there is an outstanding balance remaining on the entry fee on the Deferred Billing Agreement at the time of the horse's death, the portion of the entry fee that has been paid prior to the date of the horse's death shall be issued in the form of a voucher. A credit for the Nominated Sire or Nominated Dam will only be considered if the sire or mare has not produced a live foal prior to their death. In such event, the unborn offspring of the horse may be enrolled in the Sweepstakes as a Breeding Entry.
- 8. In the event that a Nominated Sire dies after 12 months in which it was enrolled in the Sweepstakes, the entry fee for that sire shall not be credited. The unborn offspring of the deceased sire may be enrolled in the Sweepstakes as a Breeding Entry.

##### **LIVE FOAL GUARANTEE**

- 9. In the event that a breeding between a Nominated Sire or a Nominated Dam does not result in a live foal (i.e., abortion, death of mare, etc.), the fee paid may be transferred to another unborn foal in any following year, provided that a death certificate for the foal or mare, signed by an accredited veterinarian on letterhead or official documentation from the veterinarian practice, is submitted to the AHA office. If applicable, a photocopy of the dead mare's canceled Certificate of Registration should be provided to the AHA office. A new Breeders Sweepstakes Entry Form must be completed and returned with the Dead Foal Credit voucher when you nominate a future unborn foal using the Dead Foal Credit as payment. (Refer to SWP 106) Under the live foal guarantee, the \$400 Breeding Entry fee may be transferred for any following year to a foal of any mare under the same ownership, providing a death

certificate signed by a veterinarian is submitted. For purposes of this provision, a live foal is any foal that stands and nurses without assistance 30 days after parturition. A \$200 Breeding Entry fee credit may be issued if the death of the foal occurs more than 30 days after parturition but before **December 31** of the foaling year. If the Breeding Entry was submitted on a Deferred Billing Agreement either the balance owing for that Agreement must be satisfied before any consideration of a credit will be made, or the balance owing for that entry will be forgiven and the money paid for that entry will be forfeited.

#### **SWP 110. AGE DETERMINATION**

For the purposes of these Rules and Regulations, a horse's year of age shall be determined by the USEF rules.

#### **SWP 111. JUDGING**

The judging of classes at the National, Regional and Local Shows shall be done in accordance with the rules set forth in the United States Equestrian Federation (USEF) Rule Book, the Equestrian Canada (EC) Rule Book, and the AHA Handbook as those rule books may be amended from time to time.

#### **SWP 112. EXAMINATION OF ENTRIES AND VERIFICATION OF IDENTITIES**

1. By enrolling a horse in the Sweepstakes, the owner grants ABSC the right to have the enrolled horse examined or inspected for any purpose, including but not limited to the purpose of verifying its identity, by AHA officials, staff, or any other person that ABSC request to make such examination or inspection.
2. In the event that doubt should arise regarding the identity of any horse enrolled in the Sweepstakes, ABSC shall make every effort to verify that horse's identity, including but not limited to blood typing or DNA testing. ABSC shall have the right to withhold any Sweepstakes prize money or Breeders Incentive money that would otherwise be due and payable pending the outcome of an investigation to verify a horse's identity. In the event the horse's identity cannot be satisfactorily verified, the horse's Sweepstakes enrollment shall be canceled, and no further prize money shall be paid to the party whose name appears on the Certificate of Registration or to the Breeder/Nominator.

In the event the horse's identity cannot be satisfactorily verified and the horse is enrolled as a Non-Arabian Nominated Sire (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, the horse's Sweepstakes Certificate shall be cancelled, and no further prize money shall be paid to the party whose name appears on the Sweepstakes Certificate or to the Breeder/Nominator.

3. The AHA office will advise the recorded owner in writing of any proposal to cancel the Sweepstakes enrollment of a horse and the reasons supporting this proposal.

#### **SWP 113. AHA NOT LIABLE**

The AHA, its directors, officers, employees, representatives, agents, members of the ABSC, the Trustee and the Trust will attempt to obtain true and complete information in connection with registration of horses, transfers of Sweepstakes Certificates for Non-Arabian Nominated Sire/Dam (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, and all other matters relating to AHA and ABSC activities. Neither the AHA nor its directors, officers, employees, representatives, agents, nor members of the ABSC, the Trustee and the Trust shall be liable in any way, whether in damages or otherwise, in connection with the issuance of any Sweepstakes Certificates for Non-Arabian Nominated Sire/Dam (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, for the transfer of any Sweepstakes Certificates and/or enrollments, for the refusal to issue a Sweepstakes Certificate for Non-Arabian Nominated Sire/Dam (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, for the refusal to transfer any Sweepstakes Certificate and/or enrollment, for the cancellation of any Sweepstakes Certificate and/or enrollment, or for any other activities engaged in by, or on behalf of, the AHA or the ABSC in connection with the Sweepstakes. Further, the AHA, Trustee or the Trust shall not be liable to pay out any funds for the administration or award of prizes for the Sweepstakes except from the principal and interest of the funds placed in the Trust which has been set up to handle the Sweepstakes funds.

#### **SWP 114. NOTICES**

Any and all notices required or permitted under these Rules and Regulations will be deemed given on the date such notice is mailed/electronic transacted to a person's last known address/email as reflected in the AHA records as of the date the notice is mailed/transacted.

#### **SWP 115. DISPUTE RESOLUTION AND AMENDMENTS TO RULES**

1. In the event that any dispute or issue should arise regarding the implementation of the Sweepstakes which cannot be resolved solely by reference to these rules, or which requires that they be interpreted, the dispute or issue shall be resolved by decision of the ABSC, upon a written petition submitted by an interested party to Sweepstakes at AHA. The petition should not be submitted to an ABS Commissioner(s), as all cases are reviewed on an anonymous basis. All decisions by the ABSC on disputes or issues raised under the provisions of this section shall be consistent with the purpose of the Sweepstakes Trust, which is to preserve and protect the principal of the Trust for use in maintaining the Sweepstakes. Written notice of the decision of the ABSC stating the basis for the decision shall be sent to the petitioning party. All decisions made by the ABSC will be final.
2. ABSC may recommend changes to these rules with final approval by the Trustee.
3. Recovery of Sweepstakes Prize Money Wrongfully Received. If, in accordance with applicable protest or disciplinary procedures of AHA, USEF, or EC, a determination by any of these entities or an error in reported event results, establishes, expressly or implicitly, that a person or horse was not qualified to participate in the Sweepstakes program and prize money, or Breeders Incentive Payback was received, all such money shall be refunded to AHA. In the event that AHA is required to refer any such matter for collection to an attorney or collection agency, or to institute legal proceedings, AHA shall, in addition to the Sweepstakes prize money, or Breeders Incentive Payback wrongfully received, be entitled to recover from the person receiving said money interest at the rate of 12% per annum compounded annually from the date the money was received, as well as all costs of collection, including reasonable attorney's fees and collection agency fees.

#### **SWP 116. CLASSES**

1. On or before **August 30**, of each year, the ABSC shall recommend Sweepstakes classes available for the following calendar year. ABSC may recommend adding or deleting classes and non-show events from any list in effect for the current or any other calendar years.
2. In order for a horse to be eligible to compete for prize money in any designated class or non-show event, a Sweepstakes enrollment must have been made as an Original or Breeding Entry or must be pending as a Breeding Entry and the owner must be a current AHA member.
3. The list of classes and events receiving Sweepstakes prize money will be published annually. (Refer to SWP 118) Any exempt Sweepstakes regional classes added as a TBA/Added class, are not eligible for Sweepstakes prize money. (Refer to COMP 302.4)
4. All shows must denote Sweepstakes classes in their prize list(s). (Refer to SWP 118) Each Sweepstakes class may not be duplicated (held more than once) within an AHA Recognized Show. Sweepstakes classes are eligible to be split into multiple age divisions and types may do so as long as they include each age division and type once within their AHA Recognized Show.
5. For classes that have the option to be split refer to SWP 118.
6. All horse show classes shall be conducted in accordance with the applicable USEF or EC Rules and Regulations.
7. Entry fees for Sweepstakes classes must not be greater than entry fees for non-Sweepstakes classes.
8. The ABS Dressage Prospect Incentive Championship will be held at Sport Horse Nationals and is open to Arabian and Half-Arabian/Anglo-Arabian horses which are nominated as a Breeding Entry or Original Entry. The 50% rule does not apply to this class.

9. Two Arabian Breeders Sweepstakes AO Jackpot events will be held at Sport Horse Nationals in which each competitor will contribute a non-refundable \$500 to a prize money jackpot, and the Sweepstakes Program will add \$1,000 per horse, up to a maximum of \$15,000 to each of the two purses. (SWP 11/23) The events will be for Arabian and Half-Arabian/Anglo-Arabian Amateur Owners and will consist of;

- Arabian Sport Horse Under Saddle AO
- Half-Arabian/Anglo-Arabian Sport Horse Under Saddle AO

These events will be for horses 7 years of age and older and are open to horses who have been nominated as a Breeding Entry or Original Entry. Sweepstakes prize money and the additional Jackpot prize money will be awarded 20% to the Champion, 10% to the Reserve Champion, and 8.75% to each of the remaining Top Ten. The payback percentages and implementation may be adjusted as deemed appropriate by the Arabian Breeders Sweepstakes Commission. Any Jackpot prize money not distributed shall be equally divided among all placings. Sweepstakes prize money not awarded will be retained in the Sweepstakes principal fund. The cost of the awards (trophies, plaques, and ribbons) will be covered by the Sport Horse Nationals class entry fees. (SWP1/22) The 50% rule does not apply to these classes.

10. Ten Arabian Breeders Sweepstakes AAO Jackpot events will be held at U.S. Nationals in which each competitor will contribute a non-refundable \$500 to a prize money jackpot, and the Sweepstakes Program will add \$15,000 to each of the ten purses. (SWP 11/23) The events will be for Arabian and Half-Arabian/Anglo-Arabian Amateur Owners and will consist of;

- Western Pleasure AAO
- Country Pleasure AAO
- Hunter Pleasure AAO
- English Pleasure AAO
- Reining AAO

These events will be for horses 6 years of age and older and are open to horses who have been nominated as a Breeding Entry or Original Entry. Sweepstakes prize money and the additional Jackpot prize money will be awarded 20% to the Champion, 10% to the Reserve Champion, and 8.75% to each of the remaining Top Ten. The payback percentages and implementation may be adjusted as deemed appropriate by the Arabian Breeders Sweepstakes Commission. Any Jackpot prize money not distributed shall be equally divided among all placings. Sweepstakes prize money not awarded will be retained in the Sweepstakes principal fund. The cost of the awards (trophies, plaques, and ribbons) will be covered by the U.S. Nationals class entry fees. (BOD 11/15) The 50% rule does not apply to these classes.

11. The ABS \$15,000 Green Working Hunter Derby Championship will be held at the annual Sport Horse Nationals for Arabian and Half-Arabian/Anglo-Arabian horses. The Championship class is for Green Working Hunters A/HA/AA which are nominated as a Breeding Entry or Original Entry. No qualifications are required, and the class is open to any age horse who are eligible to compete as a Green Working Hunter as outlined in the Arabian Working Hunter rules of USEF. This class is exempt from the 50% rule. **Refer to the USEF AR Working Hunter Section for conduct, class specifications, appointments, and ties.**

12. Five ABS AAO Performance Halter classes will be offered at U.S. Nationals. Classes for Arabian Stallion, Mare and Gelding will receive \$25,000 and Half-Arabian/Anglo-Arabian Mare and Gelding will receive \$15,000. Horses must be enrolled as a Breeding Entry or Original Entry in order to be shown in these classes. The horse must have been entered, shown, and judged in any Performance Class at U.S. Nationals. Showmanship Classes do not count as Performance Classes. Performance Halter rules, specifications and procedures will be strictly enforced, including the use of the Performance Halter Scorecard. See rules in the USEF Arabian Division and reference to REQ 112. A horse that is co-owned by two distinct non-family members cannot be shown in the ABS AAO Performance Halter Classes. This class is exempt from the 50% rule.

13. Four Sweepstakes designated classes will be offered at Mid-Summer Nationals. Classes for Arabian and Half-Arabian/Anglo-Arabian Ranch Horse Rail Pleasure AAOTR and Ranch Horse Riding AAOTR will receive \$10,000 each. This class is open to Sweepstakes and non-Sweepstakes horses and

only those enrolled as Breeding or Original Entries will receive payback if placed in the top 50% of the class. This class will be restricted to Sweepstakes enrolled horses in the year 2026. (SWP 2/24)

#### **SWEEPSTAKES YEARLING QUALIFICATIONS**

14. Nomination or enrollment in the Sweepstakes Program does not qualify a horse for a Regional or National competition, except entries in Regional and National Yearling Sweepstakes classes.

- There are no qualification requirements for the following four classes to be held in conjunction with each Regional Championship Horse Show other than that horses competing in these classes must have been nominated as Breeding Entries.
  - Arabian Yearling Colt/Gelding Sweepstakes.
  - Arabian Yearling Filly Sweepstakes.
  - Half-Arabian/Anglo-Arabian Yearling Colt/Gelding Sweepstakes.
  - Half-Arabian/Anglo-Arabian Yearling Filly Sweepstakes.
- Regional Arabian and Half-Arabian/Anglo-Arabian yearlings that have won a 1<sup>st</sup> place in a Sweepstakes Class in one Regional Show cannot compete in the same class in any other Regional Show.
- To qualify for U.S. Nationals, a Sweepstakes Breeding Entry must have placed as a Top Ten from a Regional Yearling Sweepstakes class. For **Canadian and** Sport Horse Nationals, no regional qualifications are required, the only requirement is that the yearlings must be enrolled as Breeding Entries.

#### **SWEEPSTAKES DISTANCE PAYOUT**

15. Sweepstakes prize money for Endurance Rides and Competitive Trail Rides will be paid to the following:

- Each region may hold up to two recognized Regional Championship Endurance Rides which will be eligible for Sweepstakes prize money payout.
  - One Championship ride must be at least 50 miles (or more) in length, not to exceed 72.5 miles.
  - The second Championship ride must be 100 miles in length (where the actual measured distance is greater than 97.5 miles).
- Each region may hold a recognized Regional Championship Competitive Trail Ride which must be at least 25 miles (or more) in length.
- Sweepstakes payout at the National Championship Distance Rides will be paid as follows:
  - Must be at least 50 miles (or more) in length, not to exceed 72.5 for one of the Championship Endurance Rides.
  - Must be 100 miles in length (where the actual measured distance is greater than 97.5 miles) for the second Championship Endurance Ride.
  - 40 and 50 miles for a one-day event or between 60 and 100 miles if run as a two-day event or between 100 or 120 miles if run as a three-day event for the Championship Competitive Trail Ride.

(SWP 6/17)

#### **SWP 117. PRIZES**

Prize money will be reviewed annually based on nomination and enrollment fees. Any ties for placings will be awarded the same amount based off the tied placing. (i.e., horses tied for third place in a ten-horse class will each receive the same amount of Prize money for third place)

**50% Rule at Regionals** – If fewer than nine horses are shown in a class, 50% rounded up will be eligible for prize money.

**50% Rule at Nationals** – If fewer than nineteen horses are shown in a class, 50% rounded up will be eligible for prize money.

#### **GENERAL RULES**

Only Sweepstakes nominated horses will be eligible for Sweepstakes awards.

1. On or before **August 30**, of each year the ABSC shall recommend the amounts to be awarded at the National and Regional shows/events designated to receive Sweepstakes prize money for the following calendar year. The number of awards and the amounts paid may change from year to year.
2. Prize Money Payout:
  - a. Prize money at the National and Regional shows will be paid only to the party whose name appears on a horse's Certificate of Registration for horses registered with AHA or whose name appears on the Sweepstakes Certificate for horses registered with CAHR or CPAR during the time of the show. If a horse is sold and the transfer date is during the dates of the show, the prize money will be issued to the new recorded owner of the horse. Checks for prize money shall be mailed no later than 60 days upon receipt of complete results. (BOD 2/11) All prize money checks will be issued in U.S. funds.
  - b. The Sweepstakes Commission reserves the right to pay the dollar amounts printed in the AHA Handbook (or online version) in the advent the incorrect dollar amount is announced or presented in center ring. Any and all disputes which arise regarding the Sweepstakes program will be presented to the Sweepstakes Commission for resolution and their determination will be final.
  - c. Prize money checks for amounts less than \$25 will not be issued and will remain in the Sweepstakes principle. (SWP 2/16)
  - d. In the event that a horse earns prize money, and the recorded owner is deceased, or the business/farm is defunct, the prize money cannot be reissued to another individual/entity and will be retained by the Sweepstakes principal fund.
3. The Social Security Number or Federal Taxpayer Identification Number and all Sweepstakes requirements must be on file with AHA before prize money is released. If this information is not on file or is not provided within 120 days after notification, all Sweepstakes prize money will be forfeited. Foreign residents winning prize money at an event held in the United States will be subject to income tax of 30% per Internal Revenue Service regulations. This amount will be withheld from total winnings. To obtain more information on this matter, contact the Internal Revenue Service as the Arabian Horse Association is not able to render advice on matters of taxation. In addition, if a prize money check is issued and not presented for payment by **December 31** of the year following the year in which the prize money was won, the prize money shall be forfeited.
4. Sweepstakes ribbons and prize money will be awarded to Sweepstakes designated classes at the Regional and National level according to the 50% rule. Receipt of a Sweepstakes ribbon does not guarantee Sweepstakes Prize money.
5. When all Sweepstakes requirements are met, horses are automatically eligible to receive Prize Money in designated Regional and National Sweepstakes classes (**Canada's National Arabian Championship**, East Coast Championships, Pacific Slope Championships, East and West Canadian Breeders Championships do not receive Prize Money).
6. For those classes eligible to be split into multiple divisions, the available Prize Money will be divided equally between the multiple divisions, i.e., age splits, types and levels.
7. The Regional Arabian and Half-Arabian/Anglo-Arabian Yearling Sweepstakes classes will continue to receive ribbons up to a Top Ten. (If there are ten or more horses, ribbons will be awarded to ten horses, if there are eight horses, ribbons will be awarded to eight horses etc.) Effective January 1, 1994, providing there are at least ten horses in the class, the first five places in the class will be eligible for Sweepstakes prize money. If fewer than nine horses are shown in the class, 50% of the class, rounded up, will be eligible for Sweepstakes prize money.
8. Results will be recorded for both the Arabian and Half-Arabian/Anglo-Arabian Divisions for Regional and National Competitive Trail Rides and Endurance; payout will be according to the 50% rule.
9. A horse that is co-owned by the two distinct nonfamily members cannot be shown in Jackpot classes at any AHA National Championship show. See USEF AR110. (Res. 4-17)

#### SWP 118. DISTRIBUTION

The payout amounts will be determined by the Sweepstakes Commission on an annual basis.

#### REGIONAL & NATIONAL CLASSES

(BOD 10/14)

ARABIAN SWEEPSTAKES CLASSES	USN	CAN	SHN	Reg.	Mid Summer
Arabian Yearling Colt	\$25,000	-			
Arabian Yearling Gelding	\$25,000	-			
Arabian Yearling Colt/Gelding	-	\$10,000	\$10,000	\$2,000	
Arabian Yearling Filly	\$25,000	\$10,000	\$10,000	\$2,000	
Arabian Colts 2 Years Old		\$2,000	\$1,500		
Arabian Geldings 2 Years Old		\$2,000	\$1,500		
Arabian Fillies 2 Years Old		\$2,000	\$1,500		
Arabian Geldings AOTH				\$1,000	
Arabian Mares AOTH				\$1,000	
Arabian English Pleasure Junior Horse	\$15,000	\$1,500		\$1,000	
Arabian English Pleasure AAOTR				\$1,000	
ABS Arabian English Pleasure AAOTR Jackpot	\$15,000 +				
Arabian Country English Pleasure Junior Horse	\$15,000	\$1,500		\$1,000	
Arabian Country English Pleasure AAOTR				\$1,000	
ABS Arabian Country English PI AAOTR Jackpot	\$15,000 +				
Arabian Hunter Pleasure Junior Horse	\$15,000	\$1,500		\$1,000	
Arabian Hunter Pleasure AAOTR				\$1,000	
ABS Arabian Hunter PI AAOTR Jackpot	\$15,000 +				
Arabian Western Pleasure Junior Horse	\$15,000	\$1,500		\$1,000	
Arabian Western Pleasure AAOTR				\$1,000	
ABS Arabian Western PI AAOTR	\$15,000 +				
Arabian Reining Horse Junior Horse	\$15,000	\$1,500		\$1,000	
Arabian Reining Horse AAOTR				\$1,000	
ABS Arabian Reining Horse AAOTR Jackpot	\$15,000 +				
ABS Arabian Stallion AAO Performance Halter	\$25,000				
ABS Arabian Mare AAO Performance Halter	\$25,000				
ABS Arabian Gelding AAO Performance Halter	\$25,000				
Arabian Western Trail Junior Horse	\$1,500	\$1,000		\$600	
Arabian Western Trail AAOTR				\$600	
Arabian Working Cow Junior Horse	\$1,000			\$350	
Arabian Working Cow AAOTR				\$350	
Arabian Cutting Junior Horse				\$350	
Arabian Cutting Non-Pro				\$350	
Arabian Sport Horse Under Saddle AOTR				\$350	

*Arabian Dressage Second Level AOTR			\$350	
ABS Dressage Prospect Incentive Championship		\$15,000		
ABS Green Working Hunter Derby Championship		\$15,000		
Arabian Working Hunter AOTR			\$350	
ABS Arabian Sport Horse Under Saddle AO Jackpot		Up to \$15,000 +		
Arabian Ranch Horse Rail Pleasure AAOTR				\$10,000
Arabian Ranch Riding AAOTR				\$10,000
HA/AA Yearling Colt/Gelding		\$10,000	\$10,000	\$2,000
HA/AA Yearling Filly		\$10,000	\$10,000	\$2,000
HA/AA Gelding 2 Years Old		\$1,500		
HA/AA Colt/Gelding 2 Years Old			\$1,500	
HA/AA Fillies 2 Years Old		\$1,500	\$1,500	
HA/AA Gelding AOTH				\$1,000
HA/AA Mares AOTH				\$1,000
HA/AA English Pleasure Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA English Pleasure AAOTR				\$1,000
ABS HA/AA English Pleasure AAOTR Jackpot	\$15,000 +			
HA/AA Country English Pleasure Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA Country English Pleasure AAOTR				\$1,000
ABS HA/AA Country English PI AAOTR Jackpot	\$15,000 +			
HA/AA Hunter Pleasure Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA Hunter Pleasure AAOTR				\$1,000
ABS HA/AA Hunter PI AAOTR Jackpot	\$15,000 +			
HA/AA Western Pleasure Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA Western Pleasure AAOTR				\$1,000
ABS HA/AA Western PI AAOTR Jackpot	\$15,000 +			
HA/AA Reining Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA Reining AAOTR				\$1,000
ABS HA/AA Reining AAOTR Jackpot	\$15,000 +			
ABS HA/AA Mare AAO Performance Halter	\$15,000			
ABS HA/AA Gelding AAO Performance Halter	\$15,000			
HA/AA Western Trail Junior Horse	\$1,500	\$1,000		\$600
HA/AA Western Trail AAOTR				\$600
HA/AA Working Cow Junior Horse	\$1,000			\$350
HA/AA Working Cow AAOTR				\$350
HA/AA Cutting Junior Horse				\$350
HA/AA Cutting Non-Pro				\$350
HA/AA Sport Horse Under Saddle AOTR				\$350
*HA/AA Dressage Second Level AOTR				\$350

HA/AA Working Hunter AOTR				\$350	
ABS HA/AA Sport Horse Under Saddle AO Jackpot			Up to \$15,000 +		
HA/AA Ranch Rail Pleasure AAOTR					\$10,000
HA/AA Ranch Riding AAOTR					\$10,000

#### NATIONAL PAYOUT

PLACING	\$25,000	\$15,000	\$10,000	\$3,500	\$2,500	\$2,000	\$1,500	\$1,000
Champion	\$5,000	\$4,000	\$2,500	\$850	\$500	\$450	\$400	\$250
Reserve Champion	\$3,200	\$3,000	\$1,500	\$650	\$400	\$350	\$300	\$150
Remaining Top Ten	\$2,100	\$1000	\$750	\$250	\$200	\$150	\$100	\$75

#### REGIONAL PAYOUT (BOD 10/14)

PLACING	\$2,000	\$1,000	\$600	\$350
Champion	\$750	\$350	\$250	\$125
Reserve Champion	\$500	\$200	\$125	\$75
Remaining Top Five	\$250	\$150	\$75	\$50

**Note:** Exception: 50% rule does not apply to the National Yearling classes, the ABS AAOTR Jack Pot classes, ABS AAO Performance Halter Classes, ABS Sport Horse Under Saddle AO Jackpot, ABS Green Working Hunter and the ABS Dressage Prospect Incentive class. These National classes will pay the eligible Sweepstakes foals/horses placing in the Top Ten.

Sweepstakes AAOTR classes are eligible to be split into multiple age divisions (must be all inclusive). Splitting AAOTR classes is not recommended as this reduces the Sweepstakes payout for each split. When Leveling AAOTR class(es) are offered for a discipline, they will receive the prize money in place of the AAOTR class(es).

\*Highest test will be used for prize money.

\*\*Bonus to be paid to the Breeder/Nominator if the horse is a valid Breeding Entry in the Arabian Breeders Sweepstakes Program

See SWP 116.9 & 116.10

#### DISTANCE PAYOUT (BOD 1/18)

PLACING	\$1500 - National	\$600 - Regional
Champion	\$400	\$250
Reserve Champion	\$300	\$125
Remaining Top Ten/Five	\$100	\$75

#### BREEDING INCENTIVE PROGRAM

##### **SWP 119. SIRE PAYBACK PROGRAM**

1. The owner of a Nominated Sire shall be awarded a payback equal to 5% of the Prize Money awarded to each of the sire's offspring enrolled as a Breeding or Yearling Entries at National and Regional events, provided that the Nominated Sire is enrolled in the Sweepstakes as a Nominated Sire at the time of the shows or events (Sire Payback only pertains to Nominated Sires enrolled prior to December 31, 2009) (BOD 2/11).
  - a. Nominated Sire owners are required to report all of the mare's bred by that sire, to be sent to the AHA office by **November 1**.
  - b. A random winner for a free Breeding Entry will be selected from those stallion owners who submit their Sweepstakes Breeding Reports to the AHA office each year.
2. Sire Payback money will be paid only to the party whose name appears on a Nominated Sire's Sweepstakes Certificate or AHA Certificate of Registration

on **December 31**. The recorded owner of the Nominated Sire must have a current membership as of **December 31** to receive Nominated Sire Payback.

3. Each year the Sweepstakes recognizes the Top Ten Nominated Sires in two categories. Those are:
  - a. Top Ten Juvenile Sires. Calculated by totaling the winnings of each Nominated Sire's Yearling offspring in the following classes over a period of one year:
    - 1) Arabian Yearling Colt/Gelding Sweepstakes.
    - 2) Arabian Yearling Filly Sweepstakes.
    - 3) Half-Arabian/Anglo-Arabian Yearling Colt/Gelding Sweepstakes.
    - 4) Half-Arabian/Anglo-Arabian Yearling Filly Sweepstakes.
  - b. Top Ten Overall Nominated Sire Pay out. Calculated by totaling the Nominated Sire's offspring earnings in all Sweepstakes designated pay out classes and non-show ring events over a period of one year.

#### **SWP 120. BREEDER/NOMINATOR PAYBACK PROGRAM**

The original nominator of the Breeding Entry shall be awarded a payback equal to 15% of the Prize Money awarded to that entry at National and Regional events, provided that all Sweepstakes requirements have been met. The recorded owner of the Nominated Sire must have a current membership as of **December 31** to receive Breeder/Nominator Payback. (BOD 2/11) (BOD 8/14)

In the event that a horse earns breeder/nominator payback, and the breeder/nominator is deceased, or the business/farm is defunct, the prize money cannot be reissued to another individual/entity and will be retained by the Sweepstakes principal fund.

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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means.

Note: Owners must submit U.S. funds when entering the U.S. or Canadian Futurities.

(NOTE: the 2025 competition year will be the last year for the Canadian National Futurity class)

MEMBERSHIP

For all membership requirements refer to Chapter 7.

1. An individual or Business Membership is required for enrollment and renewal in the Halter Futurity Program.
2. Each participant at an AHA Regional and National Championship Show, Ride or Event must submit a valid AHA Competition Card or join AHA (Membership with Competition Card). Proof of membership with a Competition Card must accompany Show/Competition results when the member joins at the show. (BOD 8/04) (Refer to MEM 105 and 107)
3. Single Event Membership is not acceptable at Regional or National Shows, Rides or events.

**FUT 101. GENERAL PROVISIONS**

1. The U.S. and Canadian National Futurities (Res. 10-80) are progressive programs for three-year-old Arabian colts, fillies, and geldings, and Half-Arabian/Anglo-Arabian fillies and geldings. (Res. 73-71) Horses (sires, dams, and foals) must be registered with the Arabian Horse Association Registries, the Canadian Arabian Horse Registry, or the Canadian Partbred Arabian Register.
2. The U.S. and Canadian National Show Commissions will be responsible for: (BOD 12/15)
  - a. Dividing classes
  - b. Class entry fees
  - c. Close of entry date
  - d. Scheduling classes

**FUT 102. ELIGIBILITY**

1. Nominations – **Note:** The Canadian Halter Futurity has been suspended and will be reviewed on an annual basis. (BOD 1/23)
  - a. The nomination must be made on the dam of the foal postmarked/electronic transaction dated on or before **December 31** of the year prior to birth, and the fee of \$30 per mare per country paid to the Arabian Horse Association. Nominations postmarked/electronic transaction dated after **December 31** will be accepted through **February 15** of the year the foal is born with an additional \$100 fee per horse per country. (BOD 11/05)
  - b. Nominations must be made on an official National Breeding & In-Hand Futurity form and information shall include name and number of dam; name and number of sire; and year foal is due.
  - c. There is a \$45 processing fee per mare per country to be paid at the time of original nomination. (BOD 3/17) This is in addition to your nomination fee and is a one-time charge only. The \$100 late fee for a new nomination will be retained by the AHA office.
  - d. No fees shall be refunded if nomination requirements are not fulfilled, the mare nominated does not produce a foal if the nominee dies or if the nominee is gelded or spayed before the date of the show in which he or she would be eligible to show as an entire colt or filly.
  - e. All fees are non-transferable with the following **exception:** Upon presentation of a veterinary certificate stating that a mare has not

produced a live foal (defined as a foal that stands and nurses within 30 days after parturition) the nominated mare's nomination fee will be applied to a new nomination in the consecutive year. The nomination fee for the consecutive year may be transferred to another mare having the same ownership. This consecutive year's transaction will require payment of an additional \$30 processing fee. (Res. 24-94)

- f. If a transfer is to be made to a succeeding year's foal, a new entry form must be submitted by the nominator.
- g. When multiple embryo transfers (by the same sire/dam/owner/foaling year) are submitted, the first registration application(s) received for that particular cross by AHA will be linked to the in-utero foal record(s). In the event that the nominator makes application for registration at the exact same time for more embryo transfer foals than he/she has in-utero nominations available, then the nominator will be contacted for an official order in which he/she wishes the foals to be registered. (BOD 11/12)

2. Renominations – **Note:** Foals entered into the Canadian Halter Futurity Program that were born in 2021 and 2022 (to be shown in 2024 and 2025) will be allowed to compete if renominations are made. (BOD 1/23)
  - a. In order to show, a Futurity entrant must pay the following fees:
    - 1) Nomination fee is \$30 per mare per country postmarked/electronic transaction dated on or before **December 31** prior to year of birth, along with a \$45 processing fee. (BOD 3/17)
    - 2) \$35 per horse/per country postmarked/electronic transaction dated on or before **December 31** of year of birth (weanling).
    - 3) \$35 per horse/per country postmarked/electronic transaction dated on or before **December 31** of first year after year of birth (yearling).
    - 4) \$35 per horse/per country postmarked/electronic transaction dated on or before **December 31** of second year after birth (two-year-old).
    - 5) \$100 before closing of show entries for the show holding the National Futurity classes the third year after birth (three-year-old).
  - b. A copy of the Certificate of Registration must be submitted at the time the first renomination and payment are submitted. If a change in ownership occurs for a CAHR or CPAR registered horse, a copy of the transferred Certificate of Registration must be submitted to AHA with a transfer fee. A transfer fee will be assessed if the owner on the Certificate of Registration differs from the owner listed on the original Nomination Form. If a futurity nominee is sold that is registered with CAHR or CPAR, it becomes the responsibility of the new owner to inform AHA of the change of ownership and the new owner will be responsible for paying a transfer fee as well as paying the renomination fees as they become due.
  - c. AHA shall not be responsible for any disputes arising through change of ownership.
  - d. Late Penalty (See FUT 104.2). A penalty of \$100 per horse per country plus the renomination fee will be accepted for RENOMINATIONS only if postmarked/electronic transaction dated between **January 1** and **March 31** of each year. If the penalty fee and renomination fee are not paid, nominee will be dropped from the program without recourse. If an entry has been dropped from the program due to non-payment, it may be reinstated by paying the following penalty fees:
    - 1) Arabians - \$500 per horse/per country for each year missed in addition to the \$35 renomination fee per horse/per country for each year missed.
    - 2) Half-Arabians/Anglo-Arabians - \$250 per horse/per country for each year missed in addition to the \$35 renomination fee per horse/per country for each year missed. (BOD 11/06)

Reinstatement and buyback must be done by the original closing date for that show. Horses not reinstated into the program by this date will be notified (owners) and will be given seven (7) days to buy back into the program. (BOD 3/22)

- e. Fees already paid will not be refunded if renomination requirements are not fulfilled, if deadline is missed or if a complete payment is missed. All fees are non-transferable.
- f. Renominations will be sent to the owner of record each year. It is the responsibility of the owner to renominate their horses prior to the stated deadlines even if the owner does not receive the renomination forms from AHA for any reason.
- g. A colt upon being gelded may be transferred to the gelding class at any time prior to the close of entries for the show holding the National Championship Futurity classes the third year after birth (three-year-old). A castration report or a copy of the Certificate of Registration of a CAHR or CPAR horse showing "gelding" must be submitted to update the record.
- h. Nomination fees do not include show entry fees, drug fees, stall fees, etc. Nomination into the Halter Futurity Program does not constitute entry into the U.S. National Show. A separate U.S. National Show entry form must be submitted with the appropriate entry fees prior to the close of entries in order for the horse to be shown in the appropriate Halter Futurity Class.
- i. Half-Arabians must have DNA type of the horse and horse's purebred Arabian parent placed on permanent record with the AHA Registry and the horse has been shown to qualify as an offspring of the stated purebred sire or purebred dam through DNA type testing in order to compete in the Halter Futurity classes at Canadian and U.S. Nationals. DNA testing will be at the owner's expense and must be on file with the AHA office before the horse will be eligible to compete in the Halter Futurity classes. If the DNA is not on file at that time, the horse is ineligible to be shown and all nomination fees will be forfeited. (BOD 3/15)

#### FUT 103. JUDGING

National Futurity classes shall be judged utilizing the same judging system as employed by the National Championship Breeding and In-Hand classes. A Top Ten, National Champion, and Reserve National Champion will be selected using this system, however up to a Top Ten will be selected and not 50% of the class. If the Futurity classes are held in conjunction with the National Show, they may show in any National class, if eligible. If the Futurity classes are held at a show other than the National Show, they may show in any of the classes at that show.

#### FUT 104. PRIZE MONEY

- 1. Prize Money Pay-out:
  - a. **Arabians:** Original nomination fees will be divided equally between the filly, colt, and gelding classes. The renomination fees and show entry fees will go into the jackpot of the classes the nominee will show in.
  - b. **Half-Arabian/Anglo-Arabians:** Original nomination fees will be divided equally between the filly and gelding classes. The renomination fees will go into the jackpot by gender that the nominee will show in. The show entry fees will go into the jackpot of the classes the nominee will show in. (BOD 1/02)
  - c. Breeding/In-Hand Futurity Prize money for each class shall be divided as follows: Champion 25%, Reserve Champion 15%, remaining Top Ten 7.5%. Any money not distributed shall be equally divided among all placings. This prize money shall be effective for foals conceived and entered in 1997 and thereafter. (BOD 12/15)
  - d. **Recovery of Halter Futurity Prize Money Wrongfully Received:** If, in accordance with applicable protest or disciplinary procedures of AHA, USEF, or EC, a determination by any of these entities establishes, expressly or implicitly, that a person or horse was not qualified to participate in the Halter Futurity program and prize money was received, all such money shall be refunded to AHA within 60 days after a written demand is made by AHA. In the event that AHA is required to refer any such matter for collection to an attorney or collection agency, or to institute legal proceedings, AHA shall, in addition to the Halter Futurity prize money wrongfully received, be entitled to recover from the person receiving said money interest at the rate of 12% per annum compounded annually from the date the money was received, as well as all costs of

collection, including reasonable attorney's fees and collection agency fees.

- e. The Futurity Commission reserves the right to pay the dollar amount as calculated and verified at the AHA office after all Vet scratches and approved refunds are taken into consideration for final payout. Prize money checks presented, and prize money received may differ due to the circumstances outlined in this paragraph.
- 2. Beginning in 1990, all late fees collected from horses entered in the U.S. and Canadian National Futurities will be added to the purses of the appropriate classes for which the accompanying renominating fees were paid. (Res. 45-89) Late fees received on new nominations will be retained by the AHA office, see FUT 102.1.c.

#### FUT 105. PRIZE MONEY PAY-OUT PROCEDURES

- 1. Prize money will be paid only to the recorded owner at the time of the show. Checks for prize money shall be mailed within 60 days after completion of the show. All prize money checks will be issued in U.S. funds.
- 2. The Social Security Number or Federal Taxpayer Identification Number must be on file with AHA before prize money is released. If this information is not on file or is not provided within 120 days after notification, all prize money will be forfeited. Foreign residents winning prize money at an event held in the United States will be subject to income tax of 30% per Internal Revenue Service regulations. This amount will be withheld from total winnings. To obtain more information on this matter, contact the Internal Revenue Service as the Arabian Horse Association is not able to render advice on matters of taxation. In addition, if a prize money check is issued and not presented for payment by **December 31** of the year following the year in which the prize money was won, the prize money shall be forfeited. All forfeited prize money will be divided equally between the filly, colt, and gelding classes and added to the jackpot for the following futurity year.

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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means.

MEMBERSHIP

1. Each participant at an AHA Regional and National Championship Show, Ride or Event must submit a valid AHA Competition Card, join AHA (Membership with Competition Card). Proof of membership with a Competition Card must accompany Show/Competition results when the member joins at the show. (BOD 8/04) (Refer to MEM 105 and 107)
2. Single Event Membership is not acceptable at Regional or National Shows, Rides or events.

**PERF 101. INTRODUCTION**

The Performance Futurity program is for 3-year-old Arabian, Half-Arabian/Anglo-Arabian performance horses and 5-year-old and under Reining Horses, Working Cow Horse, Ranch Riding and Trail Horses and 6 and under Herd Work horses. (BOD 3/20) The Performance Maturity AAOTR program is for 4 or 5-year-old Arabian, Half-Arabian/Anglo-Arabian performance Western and Hunter horses and 5 or 6-year-old Arabian Reining Horses, and Arabian, Half-Arabian/Anglo-Arabian Country English Pleasure, English Pleasure and AOTR Sport Horse Under Saddle Horses. (BOD 8/02) (BOD 2/11) The Reining Derby program is for 6- to 8-year-old Arabian and Half-Arabian/Anglo-Arabian horses. (BOD 3/16)

**PERF 102. GENERAL RULES**

1. Showing in any of these Futurity/Maturity/Derby classes does not prohibit a horse from being entered in any other U. S. National class for which it is qualified, except for:
  - a. Arabian and Half-Arabian/Anglo Arabian Western Pleasure Futurity are prohibited from showing in the corresponding Open Western Pleasure class. (BOD 11/23)
  - b. Arabian and Half-Arabian/Anglo-Arabian Hunter Pleasure Futurity are prohibited from showing in the corresponding Open Hunter Pleasure class. (BOD 11/23)
  - c. Arabian and Half-Arabian/Anglo-Arabian English Pleasure Futurity are prohibited from showing in the corresponding Open English Pleasure class. (BOD 11/23)
  - d. Arabian and Half-Arabian Reining Futurity 3- and 4-year-olds are prohibited from showing in the corresponding Open Reining class. (BOD 11/23, 3/24)
  - e. Arabian and Half-Arabian/Anglo-Arabian Country English Pleasure Futurity are prohibited from showing in the corresponding Open Country English Pleasure class. (BOD 11/23)
  - f. Arabian and Half-Arabian/Anglo-Arabian Working Cow Horse Futurity 3- and 4-year-olds are prohibited from showing in the corresponding Open Working Cow Horse class. (BOD 11/23, 3/24)

g. Arabian and Half-Arabian/Anglo-Arabian Western Trail Futurity 3-year-olds are prohibited from showing in the corresponding Western Open Trail class. (BOD 11/23, 3/24)

h. Arabian and Half-Arabian/Anglo-Arabian Herd Work Futurity 3- and 4-year-olds are prohibited from showing in the corresponding Open Herd Work Class. (BOD 8/22, 3/24)

i. Arabian/Half-Arabian/Anglo-Arabian Ranch Riding Futurity 3-year-olds are prohibited from showing in the corresponding Open Ranch Riding Class. (BOD 8/22, 3/24)

2. National qualifications are not required for entry into these Futurity/Maturity/Derby classes, and no National or Regional qualifications may be earned by participating in these Futurity/Maturity/Derby classes.
3. These classes are to be considered National Championship Performance Futurity/Maturity/Derby Classes.
4. The U. S. and Sport Horse National Show Commissions will be responsible for:

- a. Dividing classes
- b. Class entry fees
- c. Close of entry date
- d. Scheduling classes

5. Half-Arabs must have DNA type of the horse and horse's purebred Arabian parent placed on permanent record with the AHA Registry and the horse has been shown to qualify as an offspring of the stated purebred sire or purebred dam through DNA type testing in order to compete in the Performance Futurity/Maturity/Derby classes at AHA National Championships. DNA testing will be at the owner's expense and must be on file with the AHA office before the horse will be eligible to compete in the Futurity/Maturity/Derby classes. If the DNA is not on file at that time, the horse is ineligible to be shown and all nomination fees will be forfeited. (BOD 3/15)

6. The Reining Derby classes will fall under the rules outlined in this chapter for the Futurity and/or Maturity AAOTR program, unless otherwise stated. (BOD 3/16)
7. A horse that is co-owned by the two distinct nonfamily members cannot be shown in Maturity classes at any AHA National Championship show. See USEF AR110. (Res. 4-17)

**PERF 103. EXAMINATION OF ENTRIES AND VERIFICATION OF IDENTITIES**

1. By enrolling a horse in the Performance Futurity/Maturity/Derby the owner grants AHA the right to have the nominated horse examined or inspected for any purpose, including but not limited to the purpose of verifying its identity, by AHA officials, staff, or any other person that AHA requests to make such examination or inspection.
2. If doubt should arise regarding the identity of any horse nominated in the Performance Futurity/Maturity/Derby AHA shall make every effort to verify that horse's identity, including but not limited to DNA or other or testing. AHA shall have the right to withhold any Performance Futurity/Maturity/Derby prize money which would otherwise be due and payable pending the outcome of an investigation to verify a horse's identity. In the event the horse's identity cannot be satisfactorily verified, no further prize money shall be paid to the recorded owner of the horse at the time of the show. If a horse is sold and the transfer date is during the dates of the show, the prize money will be issued to the new recorded owner of the horse.
3. AHA will advise the recorded owner in writing of any proposal to cancel the Performance Futurity/Maturity/Derby nomination application of a horse and the reasons supporting this proposal.

**PERF 104. LIMIT OF LIABILITY**

1. The AHA, its directors, officers, employees, representatives, agents, and members of the Futurity Commission will attempt to obtain true and complete information in connection with registration of horses, transfers, and all other matters relating to AHA and Futurity Commission activities. Neither the AHA, its directors, officers, employees, representatives, agents nor members of the Futurity Commission shall be liable in any way, whether in damages or otherwise, in connection with nomination into the Performance Futurity/Maturity/Derby, transfer of nomination, refusal of nomination or

transfer, cancellation of nomination, the operation or administration of the Performance Futurity/Maturity/Derby, or for any other activities engaged in by, or on behalf of, the AHA or Futurity Commission in connection with the Performance Futurity/Maturity/Derby.

- Participants in the Performance Futurity/Maturity/Derby agree that damages for any successful claim against AHA and/or Futurity Commission which is based upon the way a class is run, the judging thereof, the scheduling, the placing of the participants, or the interpretation of the applicable rules shall be limited to liquidated damages in the amount of the nomination and entry fees.
- If, notwithstanding the release signed by a participant in the program and the above provision of the program rules, any liability to a participant should arise on the part of AHA or Futurity Commission, such liability shall be limited as liquidated damages, to an amount equal to the nomination fees paid by the participant.

#### PERF 105. DISPUTE RESOLUTION AND AMENDMENTS TO RULES

- If any dispute or issue should arise regarding the implementation of the Performance Futurity/Maturity/Derby program which cannot be resolved solely by reference to these rules, or which requires that they be interpreted, the dispute or issue shall be resolved by decision of the Futurity Commission, upon a written petition submitted by an interested party to the Futurity Commission. The petition should not be submitted to a Commissioner(s), as all cases are reviewed on an anonymous basis. All decisions by the Futurity Commission on disputes or issues raised under the provisions of this section shall be consistent with the purpose of the Performance Futurity/Maturity/Derby Rules and shall be final. Written notice of the decision of the Futurity Commission stating the basis for the decision shall be sent to the Petitioning party.
- The Futurity Commission may amend these rules from time to time as it deems necessary with the approval of the AHA Board of Directors.
- Recovery of Performance Futurity/Maturity/Derby Prize Money Wrongfully Received:** If, in accordance with applicable protest or disciplinary procedures of AHA, USEF, or EC, a determination by any of these entities establishes, expressly or implicitly, that a person or horse was not qualified to participate in the Performance Futurity/Maturity/Derby program and prize money was received, all such money shall be refunded to AHA within 60 days after a written demand is made by AHA. In the event that AHA is required to refer any such matter for collection to an attorney or collection agency, or to institute legal proceedings, AHA shall, in addition to the Performance Futurity/Maturity/Derby prize money wrongfully received, be entitled to recover from the person receiving said money interest at the rate of 12% per annum compounded annually from the date the money was received, as well as all costs of collection, including reasonable attorney's fees and collection agency fees.
- The Futurity Commission reserves the right to pay the dollar amount as calculated and verified at the AHA office after all Vet scratches and approved refunds are taken into consideration for final payout. Prize money checks presented, and prize money received may differ due to the circumstances outlined in this paragraph.

#### PERF 106. ELIGIBILITY

- Horses must be registered with AHA, CAHR, or CPAR and possess a current Certificate of Registration.
- Horses must be owned by a member of AHA. To nominate horses to the Performance Futurity/Maturity/Derby program, only one of the owners shown on the current registration papers must be a member of AHA and be in good standing. See MEM 105 for Competition membership requirements.
- For the purposes of these Rules, a horse's age shall be determined by the USEF age standards.
- AHA shall not be responsible for any disputes arising through change of ownership.
- It is the responsibility of the owner to verify that their horse is properly enrolled (including the payment of all applicable fees) in the Performance Futurity/Maturity/Derby Program to compete in the designated Performance Futurity/Maturity/Derby classes at the AHA National Championships.

#### PERF 107. ANNUAL NOMINATION

- Nominations are required for all participants each year they are eligible to compete. Nominations include an entry (either online or submitted on an official form) and includes the required nomination fee, processing fee, and any applicable late fees. A class must be designated at the time of original nomination. Nominations without a class indicated will not be processed. **Nomination must be submitted by the close of entries for the National Show you are entering.** Nomination in an identified class will serve as the qualification for that class. A request to change classes postmarked/electronic transaction dated after **July 1** and prior to the **original** close of Sport Horse National entries and **August 1** and prior to the **original** close of U.S. National entries will be allowed with a written request and a \$100 change fee. (BOD 11/05) A request to change classes after the original close of U.S. Entries will be allowed with a written request and a \$250 change fee, until **October 1<sup>st</sup>**. (BOD 11/23) The class change fees will be retained by AHA for administrative fees. (BOD 11/12)

- There is a \$45 one-time processing fee per class/per year you are nominating to be included with the first payment. (BOD 3/17)
- Nominations must be made on the official nomination form. All nominations must be made prior to the close of entries for either Sport Horse or U.S. Nationals. Nominations will be entered under the current recorded owner of the horse.
- For U.S. Nationals:** A Nomination fee of \$700 per class (Western Pleasure Futurity/Maturity, English Pleasure Futurity/Maturity, Hunter Pleasure Futurity/Maturity, Country English Pleasure Maturity, Working Cow Horse Futurity, Trail Horse Futurity, Ranch Riding, Herd Work Futurity, Reining Horse Futurity/Maturity/Derby) may be paid in full, (BOD 2/01, 3/17, 3/19, 3/24) postmarked/electronic transaction dated to AHA prior to **June 1, OR**

The Nomination fee per class may be paid as follows:

1 <sup>st</sup> Payment due <b>June 1</b>	\$300
After <b>June 1</b> , add late fee of	\$30
2 <sup>nd</sup> Payment due <b>July 1</b>	\$200
After <b>July 1</b> , add late fee of	\$50
3 <sup>rd</sup> Payment due <b>August 1</b>	\$200
After <b>August 1</b> , add late fee of	\$80

- For Sport Horse Nationals:** A Nomination fee of \$700 per class (Sport Horse Under Saddle AOTR Maturity) may be paid in full, postmarked/electronic transaction dated to AHA prior to **May 1, OR**

The Nomination fee per class may be paid as follows: (BOD 1/22)

1 <sup>st</sup> Payment due <b>May 1</b>	\$300
After <b>May 1</b> , add late fee of	\$30
2 <sup>nd</sup> Payment due <b>June 1</b>	\$200
After <b>June 1</b> , add late fee of	\$50
3 <sup>rd</sup> Payment due <b>July 1</b>	\$200
After <b>July 1</b> , add late fee of	\$80

- Nominations must be made prior to the original close of entries for the National Show you are entering, entries postmarked/electronic transaction dated after the original close of entries must pay the total nomination fee of \$745 (\$700 nomination and \$45 office fee) plus an additional \$910 late fee per performance class (\$750 to be included in the prize money pot for that particular class and \$160 to go toward AHA administrative costs. Nominations are allowed with the additional \$750 late fee up until the first Post Entry Close date (BOD 8/12) (BOD 11/23)

- Nomination fee does not include show entry fees, post entry fees, drug fees, stall fees, etc. Nomination into the Performance Futurity/Maturity/Derby Program does not constitute entry into the National Show. A separate National Show Entry form must be submitted with the appropriate entry fees prior to the close of entries for the horse to be shown in the specified Performance Futurity/Maturity/Derby Class.

- Substitution of horses is prohibited.

9. All nomination payments are final and non-refundable. Any portion of a nomination payment not postmarked/electronic transaction dated by the due dates above will cause that entry to be considered a late entry.
10. Transfers may be made to the consecutive year for horses which are injured, provided that a written request from the recorded owner and veterinarian statement is received within 10 days prior to the first day of the show. This transfer can only be applied to the same horse with a transfer fee of \$100. Only the Performance Futurity/Maturity/Derby fees will transfer from one year to the next. (BOD 11/10)
11. Nominations for the Performance Futurity/Maturity/Derby may be transferred upon sale of a nominated horse. The AHA Show Secretary must receive the notice of sale and request for transfer in writing before the horse is shown. Refer to AHA Handbook for transfer fees. For Performance Maturities AAOTR/AOTR, the transfer must be completed in the appropriate registry and the Adult/ Amateur Owner must be listed as the recorded owner on the Certificate of Registration before the nomination can be transferred. (BOD 8/02)
12. There will be no refund of the nomination fee, any portion of a nomination fee or processing fee.

#### **PERF 108. TACK AND APPOINTMENTS**

1. Martingales, tie-downs, running rings, and/or any illegal artificial devices used with the bit are prohibited in all classes except in the Arabian and Half-Arabian/Anglo-Arabian English Pleasure and Country English Pleasure Futurity classes, where a running martingale is optional. (See the current USEF Rule Book.) The tongue stabilizer is allowed for the Arabian, Half-Arabian/Anglo-Arabian Country English Pleasure Performance Futurity and the Arabian, Half-Arabian/Anglo-Arabian English Pleasure Performance Futurity classes at U.S. Nationals. (BOD 8/24)
2. Horses must be shown in the same type of hackamore, snaffle or bridle throughout the class, i.e. from section through finals.
3. For Hunter Pleasure and Sport Horse Under Saddle classes, a Snaffle Bit is defined as a snaffle bit of at least 3/8" diameter as measured 1/2" from the ring. The snaffle bit may be jointed, double jointed or unjointed. The snaffle bit must have a round, egg butt or "D" shaped ring with no attachments to the headstall or reins through a hook (except for keepers for a full cheek snaffle). Full cheek (with or without keepers), French and Dr. Bristol snaffle bits are permitted. (BOD 3/18) If a curb strap is used in Hunter Pleasure, it must be attached below the reins. A curb strap is not allowed in Sport Horse Under Saddle. (Maturity class specifications may differ from Futurity requirements, refer to PERF 110 and USEF Rule Book). (BOD 11/12)
4. For bit requirements in Western Pleasure, Reining, Working Cow Horse and Trail classes refer to the USEF Arabian Rules for Junior Horse Classes in their respective divisions (Maturity class specifications may differ from Futurity requirements, refer to PERF 110 and USEF Rule Book). (BOD 11/12)
5. In the Country English Pleasure Futurity and the English Pleasure Futurity horses will be shown in a snaffle defined as a smooth, rounded snaffle bit that is at least 3/8" in diameter as measured 1/2" from the ring. The snaffle will be either single or double jointed. The rings may be 2" to 4" diameter and may be loose ring, egg-butt or dee ring (half cheeks permitted). Should a double-jointed snaffle be used, the center piece must be smooth, round, or flat. The snaffle bit may be wrapped with Guardtex, Latex, Sealtex or similar materials. (BOD 3/18) The tongue stabilizer is allowed for the Arabian, Half-Arabian/Anglo-Arabian Country English Pleasure Performance Futurity and the Arabian, Half-Arabian/Anglo-Arabian English Pleasure Performance Futurity classes at U.S. Nationals. (BOD 8/24)
6. Hackamores (bosals) shall be round in shape and constructed of braided rawhide or leather and must have a flexible rawhide or leather core. No other material of any kind is to be used in conjunction with a hackamore, i.e., steel, metal, or chains. (Exception: smooth plastic electrical tape is acceptable; mechanical or rope hackamores are prohibited.) See USEF Rule Book.
7. For Herd Work horses, entries are to be shown under the class specifications set forth in the current NRCHA Rule Book (see exceptions in PERF 110.8). (BOD 3/20)

#### **PERF 109. JUDGING**

1. All Performance Futurity/Maturity/Derby classes herein shall be judged utilizing the same judging system as employed by the National Championship Show with a Top Ten, Champion and Reserve Champion being named. (Refer to PERF 111 for prize money payout) The rules and specifications set forth in the current USEF Rule Book will be utilized unless otherwise specified and noted hereinafter. Note: Tack appointment requirements may deviate from specific USEF rulings but will be so specified herein. Tack and appointments will be checked by the USEF Steward in all classes. All rules not covered herein will be subject to the prevailing rules set forth by the current USEF Rule Book.
2. All Reining Horses will be video recorded. Video recording may be used by the judge(s) to review if a major penalty (a penalty which results in a no score, a zero (0), or a five (5) point penalty) is unclear. A) The video recording must be provided by an "Official Show Videographer," and the judge must have reason to believe all horses have been video recorded; B) Whether or not to review the video recording is the sole discretion of the judge(s) and the decision of the judge(s) in this regard is non-protestable; C) The judge's decision to review the video recorded performance must be made prior to announcing the official results of the class; D) If a judge decides to review any video recorded performance in a class, the official results of the class must be delayed until after the review and a decision has been made. (Refer to NRHA Rule Book for complete use of video review)

#### **PERF 110. CLASS SPECIFICATIONS**

1. Western Pleasure Futurity (Arabian Open and Half-Arabian/Anglo-Arabian Open)
  - a. Entries will be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Western Pleasure Junior Horse. Entries must be shown in a snaffle bit or hackamore (Refer to PERF 108.4, 108.6).
  - b. Entries must be three years old and must never have been shown in a bridle. Bridle is defined as any bit that has a shank.
2. Country English Pleasure Futurity (Arabian Open and Half-Arabian /Anglo-Arabian Open) (BOD 8/10)
  - a. Entries will be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Country English Pleasure Junior Horse except entries must be shown in a single snaffle (Refer to PERF 108.5); cavessons are required. A running martingale is optional.
  - b. Entries must be three years old and must never have been shown in a bridle. Bridle is defined as any bit that has a shank.
  - c. The tongue stabilizer is allowed. (BOD 8/24)
3. English Pleasure Futurity (Arabian Open and Half-Arabian /Anglo-Arabian Open)
  - a. Entries will be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for English Pleasure Junior Horse except entries must be shown in a single snaffle (Refer to PERF 108.5); cavessons are required. A running martingale is optional.
  - b. Entries must be three years old and must never have been shown in a bridle. Bridle is defined as any bit that has a shank.
  - c. The tongue stabilizer is allowed. (BOD 8/24)
4. Hunter Pleasure Futurity (Arabian Open and Half-Arabian/Anglo-Arabian Open)
  - a. Entries will be shown and judged under class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Hunter Pleasure Junior Horse except entries must be shown in a single snaffle (Refer to PERF 108.3); cavessons are required.
  - b. Entries must be three years old and must never have been shown in a bridle. Bridle is defined as any bit that has a shank.
5. Reining Horse Futurity (Arabian Open and Half-Arabian/Anglo-Arabian Open)

- a. Entries to be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for reining, except entries must be shown in a snaffle bit or hackamore (Refer to PERF 108.4, 108.6).
- b. Entries must be five years old and under (BOD 8/07)
- c. **Number of go-rounds will be determined by the National Show Commission. (BOD 1/24)**

6. Working Cow Horse Futurity (Arabian Open and Half-Arabian/Anglo-Arabian Open) (BOD 11/07)

- a. Entries to be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Working Cow Horse. Entries must be shown in a snaffle bit or hackamore (Refer to PERF 108.4, 108.6).
- b. Entries must be five years old and under.
- c. **Number of go-rounds will be determined by the National Show Commission. (BOD 1/24)**

7. Trail Horse Futurity (Arabian Open and Half-Arabian/Anglo-Arabian Open)

- a. Entries to be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Trail. Entries must be shown in a snaffle bit or hackamore (Refer to PERF 108.4, 108.6).
- b. Entries must be five years old and under.
- c. All entries will compete in two go-rounds. The final results to be based on an accumulative score from both go-rounds. Order of go for both go-rounds will be drawn. (BOD 3/09)
- d. Arabians and Half-Arabians/Anglo-Arabians are combined into one class. (BOD 3/16)

8. Herd Work Futurity (Arabian Open and Half-Arabian/Anglo-Arabian Open) (BOD 3/20)

- a. Entries to be shown and judged under the classifications set forth in the NRCHA Rule Book.
- b. Entries must be 6 years old and under.
- c. Entries must be shown in a snaffle bit or hackamore. (Refer to PERF 108.4 – will follow requirements for Working Cow Horse Division and PERF 108.6)
- d. All entries in the Herd Work Futurity will compete in 1 go round.

9. Western Pleasure Maturity AAOTR (Arabian AAOTR and Half-Arabian/Anglo-Arabian AAOTR)

- a. Entries will be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Western Pleasure Junior Horse.

10. Country English Pleasure Maturity AAOTR (Arabian AAOTR and Half-Arabian/Anglo-Arabian AAOTR)

- a. Entries will be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Country English Pleasure Junior Horse.

11. English Pleasure Maturity AAOTR (Arabian AAOTR and Half-Arabian/Anglo-Arabian AAOTR)

- a. Entries will be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for English Pleasure Junior Horse.

12. Hunter Pleasure Maturity AAOTR (Arabian AAOTR and Half-Arabian/Anglo-Arabian AAOTR)

- a. Entries will be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Hunter Pleasure Junior Horse.

13. Reining Horse Maturity AAOTR (Arabian AAOTR)

- a. Entries will be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Reining.

- b. Six-year-olds must be shown in a bridle, i.e. bit. Five-year-old's must be shown in a snaffle or hackamore per current USEF Rule Book. Cross entries in the Reining Horse Futurity are not allowed.
- c. **Number of go-rounds will be determined by the National Show Commission. (BOD 1/24)**

14. Reining Horse Derby (Arabian and Half-Arabian/Anglo-Arabian Open) (BOD 3/16)

- a. Entries will be shown and judged under the Open class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Reining.
- b. Horse must be shown in a bridle.
- c. **Number of go-rounds will be determined by the National Show Commission. (BOD 1/24)**
- d. Entries must be six to eight years old.

15. Western Trail Horse Derby (Arabian Open and Half-Arabian/Anglo-Arabian Open) (BOD 2/18)

- a. Entries to be shown and judged under the Open class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Trail. Entries must be shown in a bridle.
- b. Entries must be six to eight years of age.
- c. All entries will compete in two go-rounds. The final results to be based on an accumulative score from both go-rounds. Order of go for both go-rounds will be drawn.
- d. Arabians and Half-Arabians/Anglo-Arabians are combined into one class.

16. Ranch Riding Futurity (Arabian/Half-Arabian/Anglo-Arabian Open) (BOD 3/20)

- a. Entries will be shown and judged under the open class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Ranch Horse Riding. Entries must be shown in a snaffle bit or hackamore (Refer to PERF 108.4 – will follow requirements for Western Pleasure Division, and PERF 108.6).
- b. Entries must be five years old and under.
- c. Arabians and Half-Arabian/Anglo-Arabians may be combined into one class.
- d. **Number of go-rounds will be determined by the National Show Commission. (BOD 1/24)**

17. Sport Horse Under Saddle Maturity AOTR (Arabian AOTR and Half-Arabian/Anglo-Arabian AOTR) (BOD 1/22)

- a. Entries will be shown and judged under the class specifications set forth in the Arabian, Half-Arabian/Anglo-Arabian Division of the USEF Rule Book for Sport Horse Under Saddle Junior Horse.
- b. Entries must be five or six years old.

#### PERF 111. PRIZE MONEY

All nomination fees and the \$750 and \$1,000 late fees will go into the prize money for that class each year. (BOD 12/15) (BOD 8/12) Nomination fees not designated will be distributed by percentage of the number of horses shown in each class in which the horse was eligible to be entered (**example**: Arabian funds to Arabians and Maturity funds to Maturity classes). (BOD 8/12) Prize money will be paid thus:

- Champion 25% of prize money for that class
- Reserve Champion 15% of total prize money for that class
- Remaining Top Ten 7.5% of total prize money for that class

If fewer than nineteen horses are shown in the class, 50% of the class rounded up, will be eligible for Performance Futurity/Maturity/Derby prize money.

Any remaining Top Ten money will be divided equally between all Top Ten placings. For disqualifications at Nationals, refer to REQ 402. Prize money for tied placing(s) involved will be added together and equally split between the respective exhibitors. For additional information on ties in Working Western disciplines, see USEF Arabian Division, Working Western Section, General Scoring. (BOD 8/12) Since all

nomination fees are paid out each year, new nomination fees are required for any year the horse participates in the Performance Futurity/Maturity/Derby classes.

**PERF 112. PRIZE MONEY PAY-OUT PROCEDURES**

1. Prize money will be paid only to the party who is listed as the recorded owner of the horse at the time of the show. If a horse is sold and the transfer date is during the dates of the show, the prize money will be issued to the new recorded owner of the horse. Checks for prize money shall be mailed within 60 days after completion of the competition. All prize money checks will be issued in U.S. funds.
2. The Social Security Number or Federal Taxpayer Identification Number must be on file with AHA before prize money is released. If this information is not on file or is not provided within 120 days after notification, all prize money will be forfeited. Foreign residents winning prize money at an event held in the United States will be subject to income tax of 30% per Internal Revenue Service regulations. This amount will be withheld from total winnings. To obtain more information on this matter, contact the Internal Revenue Service as the Arabian Horse Association is not able to render advice on matters of taxation. In addition, if a prize money check is issued and not presented for payment by **December 31** of the year following the year in which the prize money was won, the prize money shall be forfeited. All forfeited prize money will be divided equally between the Performance Futurity/Maturity/Derby classes and added to the prize money for the following Futurity/Maturity/Derby year.

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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet or other electronic means.

**ACH 101. ACHIEVEMENT AWARD PROGRAMS - GENERAL RULES**

- 1. For all membership requirements refer to Chapter 7. All horses must be enrolled by the current recorded owner Points or placings for AHA Programs will only be recorded for horses owned and shown by participants who hold an AHA Competition Card.
- 2. The AHA Awards Committee shall resolve any questions concerning the application of these rules, subject to ratification by the AHA Board of Directors. Horse program effective January 1, 1960. Amateur Effective November 19, 2008, rules for the Amateur Achievement Award Program be modified to mirror the changes to the Horse Achievement Award Program. (BOD 11/08) Amateur Achievement Award Program effective January 1, 2002.
- 3. All horses must be registered by the Arabian Horse Registry of America, Inc., the Canadian Arabian Horse Registry, the Half-Arabian Registry, or the Anglo-Arabian Registry or the Canadian Partbred Arabian Register.
- 4. The titles or terms "Legion of Honor Champion," "Legion of Supreme Honor Champion," "Legion of Excellence Champion," "Legion of Merit Champion," "Legion of Supreme Merit Champion" and "Legion of Masters Champion" will be awarded to individual stallions, mares, and geldings, which meet the requirements, set out in the following sections. These titles are reserved by AHA for its express use.
- 5. The Amateur Achievement Awards program is open to adult amateurs and junior exhibitors 18 and under, which are referred to as "amateurs" in these rules. Riders/Drivers/Handlers will be referred to as "riders" in these rules.
- 6. Amateurs must be current AHA members and must ride horses registered by the Arabian Horse Association, the Canadian Arabian Horse Registry, or the Canadian Partbred Arabian Register.
- 7. The titles or terms "Rider of Honor Champion", "Rider of Supreme Honor Champion", "Rider of Excellence Champion" "Handler of Honor Champion" "Handler of Supreme Honor Champion", "Handler of Excellence Champion", "Horsemanship of Merit Champion", "Horsemanship of Supreme Merit Champion" and "Master Horsemanship Champion" will be awarded to individuals who meet the requirements set out in the following sections. These titles are reserved by AHA for its express use.
- 8. Participation in the Amateur Achievement Award program is limited to junior members or adult amateur individual members of AHA.
- 9. Deceased horses/riders/drivers/handlers may receive awards for which they are eligible, providing all applicable fees are paid.

- 10. Arabians, Half-Arabians, and Anglo-Arabians may compete together in classes/disciplines that have a A/H/A/AA class code assigned to it. (BOD 3/15)
- 11. Horse/Amateur Achievement Award presentation guidelines:
  - a. If the presentation is to be made at a competition, it may only be made after the conclusion of a session or during a break in the schedule. The Judge(s) must not be present. (Refer to ACH 101.7.c) (BOD 1/02 Amateur)
  - b. If an Achievement Award is to be presented to a horse or rider entered in the competition, no advance publishing or advertising of the Achievement Award can be included in the show's prize list or used in show or event publicity.
  - c. Horse/Amateur Achievement Awards may not be presented at the National Shows within the show arena.
- 12. References to "Breeding" and "In-Hand" include Sport Horse In-Hand, Performance Halter and Ranch Horse Conformation classes.

**ACH 102. ENROLLMENT**

- 1. Initial enrollments are effective as of the date they are postmarked/electronic transaction dated to the AHA office.
- 2. Renewals are effective as of the date they are postmarked/electronic transaction dated to the AHA office. This renewal is the sole responsibility of the horse owner/individual member.
- 3. Retroactive fees must be paid for each year to pick up points earned prior to the horse's/individual's initial enrollment or prior to the annual renewal fee being paid to the AHA office. Points are earned (verified and recorded), based on these dates. Any points accrued prior to said dates require the retroactive fee. (BOD 11/04) For Amateurs, retroactive points will not be permitted prior to the initial starting date of the program, i.e., January 1, 2002.
- 4. Horses who are presented Legion of Honor and Legion of Merit Champions may proceed in the program for further awards but must continue to pay the annual renewal fee.
- 5. Amateurs who are presented Rider or Handler of Honor, Rider or Handler of Supreme Honor and Rider or Handler of Excellence Champions may proceed in the program for further awards but must continue to pay the annual renewal fee before the participant's first show or event of each year.
- 6. There will be a transfer fee for horses registered with CAHR or CPAR, who are enrolled in the Horse Achievement Awards Program and change ownership. (Refer to fee schedule)
- 7. Owners of horses currently enrolled in the Horse Achievement Awards Program/Amateurs currently enrolled in the Amateur Achievement Awards Program can view their horse's/their points on the web. Physical copies of Event Records can be purchased. (See fee schedule)

**ACH 103. ACHIEVEMENT AWARD PROGRAMS' SHOWS AND EVENTS**

- 1. A class that is USEF/EC rated and/or AHA Recognized.
- 2. Classes must use USEF or EC class specifications.
- 3. Shows must also meet all other requirements for AHA Show or Division Recognition. (Refer to Chapter 11)
- 4. An AHA Recognized Competitive Trail Ride or Endurance Ride sanctioned by AERC (American Endurance Ride Conference), USEF or an AHA approved Competitive Trail Ride Association as listed in Competitive Trail Ride Chapter. An official of the competitive or endurance ride must send a signed copy of the ride results on official AHA ride completion forms to AHA. (BOD 1/22)
- 5. An AJC (Arabian Jockey Club) Recognized race.
- 6. Points are awarded for AHA Dressage and Western Dressage Divisions and Dressage and Western Dressage Open Shows in USEF, USDF, and/or the Canadian equivalent system in EC for:
  - a. Dressage Training Level through Grand Prix and
  - b. Western Dressage Basic Level through Level 5 according to ACH 106.
  - c. Introductory Dressage/Western Dressage (including 10 & Under Walk/Trot) awards points according to the point table in ACH 105.

- d. All open Dressage and Western Dressage report forms must be postmarked/electronic transaction dated to the AHA office within 30 days of the competition. (Refer to Chapter 15, ACH 106.) (BOD 3/15)
- 7. AHA accepts USEF/EC Recognized Dressage Competitions and USEF/EC Recognized Western Dressage Competitions retroactively for the purpose of accumulating points as follows: (BOD 3/15)
  - a. The competitor must submit the retroactive fee. (Refer to ACH 102.3.)
  - b. Dressage scores not currently recorded by the AHA, regardless of the year in which they were earned, may be submitted to the AHA for the Achievement Award program by one of the following means:
    - 1) Submission of the AHA Open Qualifying Show/Event Results Form signed by the show manager or secretary; or
    - 2) Submission of the AHA Open Qualifying Show/Event Results Form (unsigned and without copy of prize list) accompanied by a printout from the USEF or USDF or EC or Dressage Canada websites of the horse's competition record with Dressage scores listed; (BOD 3/15) or
    - 3) Submission of the AHA Open Qualifying Show/Event Results Form (unsigned and without copy of prize list) accompanied by a copy of the Dressage test score sheet with attached verification of show recognized by USEF, USDF or EC. (Res. 6-05)
  - c. It is the sole responsibility of the horse's owner and/or Amateur to provide proof of those scores not recorded by AHA in accordance with the Achievement Award rules and fees in place at the time of submission. (Res. 6-05)
- 8. Gymkhana Classes. The following classes are eligible for points:
  - a. Pole-bending (See USEF Arabian, Half-Arabian/Anglo-Arabian Rules)
  - b. Barrel Competition (See USEF Arabian, Half-Arabian/Anglo-Arabian Rules)
- 9. Events/Trials must be recognized by USEA (United States Eventing Association), EC or USEF. (Res. 5-03)
- 10. Combined Driving Events/Trials must be recognized by EC or USEF. (Res. 5-03)
- 11. Carriage Pleasure Driving must take place in competitions recognized by EC or USEF. (Res. 5-03)

#### ACH 104. IN-ELIGIBLE CLASSES

- 1. Futurity, Maturity, Derby, Jackpot, and restricted Sweepstakes classes.
- 2. Showmanship, Equitation and Walk-Trot/Jog Equitation classes **do not count for Horse.** (Exception: These classes are eligible for Amateur Achievement Awards)
- 3. No points will be awarded to mixed gender Breeding or In-Hand classes and/or mixed gender Breeding or In-Hand Championships. (Exception: Arabian Most Classic and Arabian Most Classic Head.)
- 4. Gymkhana (Except barrels and poles in AHA Recognized Shows – see ACH 103.8).
- 5. Get of sire, produce of dam.
- 6. Pro/Am.
- 7. Local classes.
- 8. Restricted classes (except classes restricted to Maiden, Novice, and Limit Rider/Driver/Horse and Leveled classes).
- 9. Mixed breed classes that include breeds other than Arabians, Half-Arabians, and Anglo-Arabians (Exception: Open Events for Dressage, Western Dressage, Endurance Rides, Competitive Trail Rides, Eventing/Trials, Carriage Pleasure Driving, Combined Driving, Hunter/Jumper, and Working Western). (BOD 3/15)
- 10. "Fun" classes.

#### ACH 105. POINT TABLE FOR SHOWS

- 1. Points shall be awarded on the following basis:

Number of Horses in Class	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
2-3	1/2				
4-7	1				
8-11	2	1			
12-15	3	2	1		
16-19	4	3	2	1	
20 +	5	4	3	2	1

(Res. 4-03 for Horse) (BOD 12/03 for Amateur)

- 2. No class of fewer than 2 horses/riders shall receive points. (Exception: ACH 105.7, 105.8)
- 3. Points for Championship Breeding, In-Hand, and Performance classes (Equitation and Showmanship for Amateur) of a show shall be awarded on the basis as above but doubled. In Championship Breeding, In-Hand, and Performance classes of 4-7 horses, the Reserve Champion shall receive one point (Effective 12/3/89 for Horse). (Note: Sport Horse In-Hand Championships and Reserve Championships will have the number in the class determined by two horses in each lead-in class to that Championship. Example: 2 & Under Filly class, 3 through 5 yr. Mare class, and 6 & Over Mare class will have 6 horses in the Championship & Reserve Championship class. 3 & Under Stallion class and 4 & Over Stallion class will have 4 horses in the Championship and Reserve Championship class.) (BOD 1/03)
- 4. Horse show Working Hunter or Jumper Championships are not actual classes and do not count toward Horse Achievement Awards. These Championships are an accumulation of points from the regular classes. These Championships are actually a "high point" award. If a specific Hunter or Jumper Championship Class were offered, then it would receive points for Riders.
- 5. In Amateur, Breeding and In-Hand classes, "Grand Champions" that are chosen by the Champion mare competing against the Champion stallion and/or gelding will receive no additional points. No points will be awarded to handlers in mixed sex Breeding and In-Hand classes. (An exception is made when considering Most Classic Arabian and Classic Head classes.)
- 6. In Amateur, Junior Champion handlers will receive points, as indicated above (3). Junior, in this case, refers to the horse, not the handler.
- 7. In Amateur, Senior Champion handlers will receive points, as indicated above (3). Senior, in this case, refers to the horse, not the handler.
- 8. In Amateur, no points will be awarded to handlers in mixed gender Breeding/In-Hand classes. Exception: Showmanship.
- 9. Model and Most Classic Arabian and Classic Arabian Head classes shall be given points as in ACH 105 and shall be considered Breeding and In-Hand classes.
- 10. At least 50% of the Breeding and In-Hand points earned for Legion of Merit, Legion of Supreme Merit, and Legion of Masters awards must be earned in AHA Recognized regular Breeding and In-Hand classes which fall within the Horse Achievement Awards guidelines.
- 11. **AHA Regional Championships**, Pacific Slope Championships, East Coast Championships, East and West Canadian Breeders Championships shall receive the following points (Refer REQ 2012.b.):
  - a. 12 points, Champion.
  - b. 6 points, Reserve Champion.
  - c. 4 points, remaining of Top Five or Top Six.
 Scores will not count; only final placings will determine points. If a regional show places a full Top Five or Top Six, then points will be awarded to 50% of the class not to exceed five or six. (i.e., If 6 horses are in the class, points will be awarded to the Champion, Reserve Champion, and the highest placing Top Five horse)
- 12. **AHA National Championship points:**
  - a. 30 points, Champion.
  - b. 20 points, Reserve Champion.
  - c. 10 points, remaining eight of the Top Ten or remaining four of the Top Six at CNAC.
 Scores will not count; only final placings will determine points. If a national show places a full Top Ten, then points will be awarded to 50% of the class not to exceed ten. (i.e., If 7 horses are in the class, points will be awarded to the Champion, Reserve Champion and two of the highest placing Top Ten horses)

Canada's National Arabian Championship will be adjusted for a Top Six and the 50% rule applies.

13. When the final placings result in a tie, points will be awarded for the highest place in the tie situation to each of those tied for the same places.

**ACH 106. POINT TABLES FOR DRESSAGE AND WESTERN DRESSAGE**  
(BOD 3/15)

- For all Regional & National Competitions, points shall be accumulated pursuant to ACH 105. Introductory Dressage/Western Dressage (including 10 & Under Walk/Trot) will have points accumulated according to the point table in ACH 105.
- For all other shows, (Refer to ACH 103.6.) points shall be accumulated as follows: (Res. 5-07 for Horse) (BOD 11/08 for Amateur)

**DRESSAGE (includes Musical Freestyle):**

a. USEF/EC Training Level (BOD 3/24)

60 % - 63.999%	1 point
64% - 67.999%	2 points
68% - 70.999%	3 points
71% & above	4 points

b. USEF/EC First Level (BOD 3/24)

60 % - 63.999%	1 point
64% - 67.999%	2 points
68% - 69.999%	3 points
70% & above	4 points

c. USEF/EC Second & Third Levels

58% - 60.999%	1 point
61% - 63.999%	2 points
64% - 66.999%	3 points
67% & above	4 points

d. USEF/EC Fourth Level

55% - 57.999%	1 point
58% - 60.999%	2 points
61% - 63.999%	3 points
64% & above	4 points

e. Prix St Georges & Int. I

55% - 56.999%	1 points
57% - 58.999%	2 points
59% - 60.999%	3 points
61% & above	4 points

f. Int. II, & Grand Prix

55% - 55.999%	1 point
56% - 56.999%	2 points
57% - 57.999%	3 points
58% & above	4 points

**(Note:** That points earned beginning December 1, 2008 will be accumulated with the above listing. Points earned prior to December 1, 2008 will remain as awarded. Points earned in Musical Freestyle Dressage from Open Competitions in 2015 and 2016 will be grandfathered in with a 2017 Achievement Award enrollment or renewal.) (BOD 8/16)

**WESTERN DRESSAGE: (BOD 3/15)**

g. USEF Basic Level (BOD 3/24)

60 % - 63.999%	1 point
64% - 67.999%	2 points
68% - 70.999%	3 points
71% & above	4 points

h. USEF Level 1 (BOD 3/24)

60 % - 63.999%	1 point
----------------	---------

64% - 67.999%	2 points
68% - 69.999%	3 points
70% & above	4 points
i. USEF Level 2 and 3	
58 % - 61.999%	1 point

62% - 65.999%	2 points
66% - 68.999%	3 points
69% & above	4 points
j. USEF Level 4 and 5	
58% - 60.999%	1 point

61% - 63.999%	2 points
64% - 66.999%	3 points
67% & above	4 points

**THE FOLLOWING POINT TABLE APPLIES FOR ENDURANCE RIDES, COMPETITIVE TRAIL RIDES, COMPETITIVE TRAIL DRIVING, EVENTING, COMBINED DRIVING, CARRIAGE PLEASURE DRIVING, AND HUNTER/JUMPER COMPETITIONS**

*	1 <sup>ST</sup>	2 <sup>ND</sup>	3 <sup>RD</sup>	4 <sup>TH</sup>	5 <sup>TH</sup>	6 <sup>TH</sup>	7 <sup>TH</sup>	8 <sup>TH</sup>	9 <sup>TH</sup>	10 <sup>TH</sup>
2-3	1									
4-7	2	1								
8-11	4	2	1							
12-15	6	4	2	1						
16-19	8	6	4	2	1					
20-23	10	8	6	4	2	1				
24-27	12	10	8	6	4	2	1			
28-31	14	12	10	8	6	4	2	1		
32-35	16	14	12	10	8	6	4	2	1	
36-39	18	16	14	12	10	8	6	4	2	1
40+	20	18	16	14	12	10	8	6	4	2

\* Number of Horses/Riders Starting Event (Res. 5-03 for Horse) (BOD 12/03 for Amateur) – for Distance Rides, count all breeds participating when reporting results from Open Rides.

**ACH 107. ENDURANCE RIDE POINTS**

Note: Limited Distance Rides of 25 - 49 miles and Endurance Rides of 50 miles and more are eligible to count for Horse Achievement Award Points. (Res. 7-05) Amateur Achievement Award Points. (BOD 5/05)

- Six points for winning "Best Condition."
- Four points for "Completion."
- Figuring Point Value: Placing points from the above table are added to six "Best Condition" points and four "Completion" points and then are multiplied by the mileage percentage: the product is your point score for that ride. (See example below.) (Refer to ACH 107.5 and 107.6 for Regional and National Championship Rides.)

**Example:** 1<sup>st</sup> place on a 50-mile endurance ride with seven horses; the horse also won "Best Condition" on the same ride.

Placing Points from Table	2
Completion Points	+ 4
Best Condition	+ 6
Total Points for Ride	12
50 Mile Ride = 50% or 0.5	× 0.5
Point Score	= 6

Note: 100 Mile rides will not need to be multiplied.

65 Miles = 65%, etc.

25 Miles = 25%, etc. (Res. 7-05 for Horse) (BOD 5/05 for Amateur)

4. Points for Endurance Rides are earned by placing in the Top Ten, winning "Best Condition" and/or by "Completion" of a ride (fulfilling the requirements of the ride; i.e., time, veterinarian, parameters, etc.). (Refer to ACH 103.4)

5. **AHA Regional Championship Endurance Ride** winners shall receive points equal to the amount of Regional Championship Performance class winners, (Refer to ACH 105.11) plus, all horses/Amateurs who have completed a Regional Championship Endurance Ride will receive two completion points.

When an AHA Regional Championship Endurance Ride is held with an AERC/FEI Open ride, both rides are eligible for achievement award points. Refer to END 115 for procedures for Endurance Ride results reporting. (Res. 8-02 for Horse) (BOD 11/08 for Amateur)

6. **AHA National Championship Endurance Ride** winners shall receive points equal to the amount of National Championship Performance class winners, (Refer to ACH 105.12) plus all horses/Amateurs who have completed a National Championship Endurance Ride will receive four completion points.

When an AHA National Championship Endurance Ride is held with an AHA/AERC/FEI Open ride, both rides are eligible for achievement award points (Refer to END 115.b.). Open ride report forms are not required if the open portion is recognized by AHA. (Res. 8-02 for Horse) (BOD 11/08 for Amateur)

7. To earn points for Open endurance rides, either the Open Qualifying Ride Results Form must be completed and returned to AHA within 90 days of the ride date, or the Official AERC Horse Record must be submitted along with the AHA Open Qualifying Ride Results Form to AHA by **January 31** for rides completed the prior year. (BOD 5/02) (Refer to Chapter 15)

#### **ACH 108. COMPETITIVE TRAIL RIDE OR DRIVE POINTS (BOD 8/11)**

Note: Ride must be minimum of 25 miles to count for Competitive Trail/Drive points. Refer to ACH 103.4.

1. Four points for "Completion."

2. Figuring Point Value: Placing points from the above table are added to four "Completion" points and then are multiplied by the mileage percentage: the product is your point score for that ride. (See example below.) (Refer to ACH 108.5 and 108.6. for Regional and National Championship rides.)

**Example:** 1<sup>st</sup> place on a 50-mile Competitive Trail Ride/Drive with 10 horses in the division.

Placing Points from Table	4
Completion Points	+ 4
Total Points for Ride/Drive	8
50 Mile Ride/Drive = 50% or 0.5	× 0.5
Point Score	= 4

Note: 100 Mile rides will not need to be multiplied.

65 Miles = 65%,

25 Miles = 25%, etc.

3. Points for Competitive Trail Rides/Drives are earned by placing in a division, winning "Grand Champion" or "Reserve Champion" on a ride/drive, and/or by "Completion" of a ride/drive (fulfilling the requirements of the ride as to time, veterinarian parameters, etc.). If placed in a division and also placed as Champion or Reserve Champion on the same ride, ONLY the Championship placing will be counted.

a. Points for divisions are based on the number of horses starting in the division, by using the competitive point table. Some examples of divisions are: Light Weight, Middle Weight, Heavy Weight.

b. Points for Grand and Reserve Champion are based on the total number of horses starting on the ride/drive, by using the competitive point table.

4. To earn points for Open Competitive Trail/Drive, the proper AHA report forms must be completed and returned to AHA within 90 days of the ride date. (Refer to Chapter 15)

5. **AHA Regional Championship Competitive Trail** winners shall receive points equal to the amount of Regional Championship performance class winners, (Refer to ACH 105.7) plus, all horses/Amateurs who have completed a Regional Championship Competitive Trail Ride will receive 2 (two)

completion points. (ACH 108.1 - 3 and chart not applicable for AHA Regional Championship Competitive Trail Rides).

When an AHA Regional Championship Competitive Trail Ride is held with an 'Approved Ride Organization' Open ride, both rides are eligible for achievement award points.

6. **AHA National Championship Competitive Trail** winners shall receive points equal to the amount of National Championship performance class winners, (Refer to ACH 105.8) plus, all horses/Amateurs who have completed the National Championship Competitive Trail Ride will receive 4 (four) completion points." (ACH 108.1 - 3 and chart not applicable for AHA National Championship Competitive Trail Rides.)

#### **ACH 109. EVENTING POINTS FROM ALL BREED COMPETITIONS**

1. Eventing:

a. For successful completion of all phases of an event or trial (Dressage, Cross Country, Stadium Jumping). For points to be awarded, horses shall not have been eliminated, retired or withdrawn.

1) Beginner Novice Level	= 1 point (BOD 8/11)
2) Novice Level	= 2 points
3) Training Level	= 3 points
4) Preliminary or Intermediate Level	= 4 points (BOD 8/11)
5) Advanced or FEI Levels	= 5 points

b. In addition, points for placing from the above table (all horses/riders entered and shown to be counted).

c. Percentage of points given per level:	
1) Beginner Novice Level	15% of points on table (BOD 8/11)
2) Novice Level	25% of points on table
3) Training Level	50% of points on table
4) Preliminary or Intermediate Level	75% of points on table (BOD 8/11)
5) Advanced and/or FEI Levels	100% of points on table

#### **ACH 110. COMBINED DRIVING POINTS FROM ALL BREED COMPETITIONS**

1. Combined Driving:

a. For successful completion of all phases of a competition (Dressage, Marathon, Obstacles). For points to be awarded, horses/drivers shall not have been eliminated, retired or withdrawn.

1) Training Level	= 3 points
2) Preliminary Level	= 4 points
3) Intermediate/Advanced or FEI Levels	= 5 points

b. In addition, points for placing in the above table. (All horses/drivers entered and shown to be counted.)

c. Percentage of points given per level:	
1) Training Level	50% of points on table
2) Preliminary Level	75% of points on table
3) Intermediate/Advanced or FEI Levels	100% of points on table

#### **ACH 111. CARRIAGE PLEASURE DRIVING POINTS FROM ALL BREED COMPETITIONS**

1. Carriage Pleasure Driving:

a. Completion and placing in Carriage Pleasure Driving classes will be awarded points from table in ACH 107.

- 1) Classes include, but are not limited to:
  - a) Pleasure Driving, Turnout
  - b) Pleasure Driving, Working
  - c) Drive & Ride
  - d) Obstacle Driving, Pick Your Route
  - e) Obstacle Driving, Double Jeopardy
  - f) Obstacle Driving, Fault & Out
  - g) Obstacle Driving, Progressive
  - h) Obstacle Driving, Gambler's Choice
  - i) Obstacle Driving, Cross Country
  - j) Timed Obstacles

- k) Pleasure Marathon, Turnout
- l) Pleasure Marathon, Timed
- m) Pleasure Marathon, Pace
- n) Pleasure Driving, Reinsmanship

#### ACH 112. HUNTER/JUMPER POINTS FROM ALL BREED COMPETITIONS

1. Hunters: (Res. 8-08 for Horse) (BOD 11/08 for Amateur)
  - a. Points for placings from the above table (all horses entered and shown to be counted).
  - b. Exhibitor will send a completed form with proper information and signature for record keeping to the AHA office.
2. Jumpers: (Res. 8-08 for Horse) (BOD 11/08 for Amateur)
  - a. Points for placings from the above table (all horses entered and shown to be counted).
  - b. Exhibitor will send a completed form with proper information and signature for record keeping to the AHA office.

#### ACH 113. RACING TABLE (for Horse)

Racing Points shall be awarded to horses three years old or older on the following table. No race of fewer than four horses shall receive points. Points will be awarded based on distance and number of horses in a race in order of finish.

Number of Starters	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>
<u>Fewer than 4 furlongs</u>				
4-10+	0	0	0	0
<u>4 to fewer than 5 furlongs</u>				
4-6	3	2	0	0
7-9	4	3	2	1
10+	5	4	3	2
<u>5 to fewer than 6 furlongs</u>				
4-6	4	3	0	0
7-9	5	4	3	2
10+	6	5	4	3
<u>6 to fewer than 7 furlongs</u>				
4-6	5	4	0	0
7-9	6	5	4	3
10+	7	6	5	4
<u>7 to fewer than 8 furlongs (1mi.)</u>				
4-6	6	5	0	0
7-9	7	6	5	4
10+	8	7	6	5
<u>1 mi. to fewer than 1-1/8</u>				
4-6	7	6	0	0
7-9	8	7	6	5
10+	9	8	7	6
<u>1-1/8 mi. to fewer than 1-1/4 mi.</u>				
4-6	8	7	0	0
7-9	9	8	7	6
10+	10	9	8	7
<u>1-1/4 mi. to fewer than 1-3/8 mi.</u>				
4-6	.9	8	0	0
7-9	10	9	8	7
10+	11	10	9	8
<u>1-3/8 mi. to fewer than 1-1/2 mi.</u>				
4-6	10	9	0	0
7-9	11	10	9	8
10+	12	11	10	9
<u>1-1/2 mi. &amp; Over</u>				
4-6	11	10	0	0
7-9	12	11	10	9
10+	13	12	11	10

#### ACH 114. POINT TABLE FOR WORKING WESTERN (BOD 11/15)

Achievement Award points at AHA Recognized qualifying shows (USEF/EC/AHA One Day Shows/AHA Value Shows/Open Results Reporting) will accrue points either by the number of horses in the class, as presently designated (50% rule applies) or by points using the following tables for both Horse and Amateur. (Points will be awarded by whichever system is higher)

#### REINING/RANCH REINING, WORKING COW HORSE/RANCH COW HORSE, CUTTING, HERD WORK, RANCH TRAIL

68 - 68 1/2	1/2 point
69 - 69 1/2	1 point
70 - 71 1/2	2 points
72 - 73 1/2	3 points
74 - 75 1/2	4 points
76 +	5 points

#### REINED COW HORSE

136 - 137 1/2	1/2 point
138 - 139 1/2	1 point
140 - 143 1/2	2 points
144 - 147 1/2	3 points
148 - 151 1/2	4 points
152 +	5 points

#### TRAIL, RANCH RIDING

70 - 71 1/2	1/2 point
72 - 73 1/2	1 point
74 - 75 1/2	2 points
76 - 77 1/2	3 points
78 - 79	4 points
80 +	5 points

#### ACH 115. HORSE AWARDS AND THEIR SYMBOLS

1. In the event the title Legion of Honor, Legion of Supreme Honor, Legion of Excellence, Legion of Merit, Legion of Supreme Merit, Legion of Masters is awarded, a proper letter of certification and a plaque shall be prepared and forwarded to the owner of that horse and also a proper notation shall be made on the records of AHA and published. To receive an award and symbol, the horse must be currently enrolled in the Achievement Awards program and the owner must be a current AHA member.
2. The following are the awards and their symbols. These symbols should be written after the horse's name.
  - a. Legion of Honor = “+”
  - b. Legion of Supreme Honor = “+/-”
  - c. Legion of Merit = “++”
  - d. A horse that wins a Legion of Supreme Honor and a Legion of Merit = “++/”
  - e. Legion of Supreme Merit = “+++”
  - f. A horse that wins a Legion of Supreme Honor and a Legion of Supreme Merit = “+++/”
  - g. Legion of Excellence = “+/-”
  - h. A horse that wins a Legion of Merit and a Legion of Excellence = “++/-”
  - i. A horse that wins a Legion of Supreme Merit and a Legion of Excellence = “+++/”
  - j. Legion of Masters = “++++”
  - k. Legion of Masters and a Legion of Supreme Honor = “++++/”
  - l. A horse that wins a Legion of Masters and a Legion of Excellence = “++++/”
  - m. No horse may use any designated award symbol until verified by AHA. All offenders will be published.

\* No additional plaque awarded for this symbol level.

#### ACH 116. LEGION AWARDS AND REQUIREMENTS (BOD 11/23)

Refer to ACH 103 for additional information.

1. Legion of Honor Champion.

Awarded to any individual Arabian/Half-Arabian/Anglo-Arabian Horse after it has won a total of 75 or more points.

Points must be earned in any one or more of the following: Breeding and/or In-Hand, Performance classes, Dressage, Western Dressage, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail, or Endurance rides.

2. Legion of Supreme Honor Champion.

Awarded to any individual Arabian/Half-Arabian/Anglo-Arabian Horse after it has won a total of 150 or more points.

Points must be earned in any one or more of the following: Breeding and/or In-Hand, Performance classes, Dressage, Western Dressage, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail, or Endurance rides.

3. Legion of Excellence Champion.

Awarded to any individual Arabian/Half-Arabian/Anglo-Arabian Horse after it has won 300 or more points.

Points accumulated in any combination of Breeding and/or In-Hand classes, Performance classes, Dressage, Western Dressage, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail, or Endurance rides. Included within these points must be two quality achievements (quality achievement is defined as the top 50% of the class, see ACH 105.11) which are an AHA Regional Top Five (or better) or an AHA National Top Ten (or better) in any combination of Breeding and In-Hand, Performance classes, Dressage, Western Dressage, Racing, Competitive Trail, or Endurance rides. One of these two quality achievements (quality achievement is defined as the top 50% of the class, see ACH 105.12) must be at the AHA National level.

4. Legion of Merit Champion.

Awarded to any individual Arabian/Half-Arabian/Anglo-Arabian Horse after it has won a total of 75 points. At least 30 of the points must have been won in Breeding and/or In-Hand classes, and 30 of the points must have been won in any one or more of the below list of classes.

Performance classes, Dressage, Western Dressage, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail, or Endurance rides.

5. Legion of Supreme Merit Champion.

Awarded to any individual Arabian/Half-Arabian/Anglo-Arabian Horse after it has won a total of 150 points. Sixty (60) of the points must have been won in Breeding and/or In-Hand classes, and 60 of the points must have been won in any one or more of the below list of classes.

Performance classes, Dressage, Western Dressage, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail, or Endurance rides.

6. Legion of Masters Champion.

Awarded to any individual Arabian/Half-Arabian/Anglo-Arabian Horse after it has won 300 or more points. At least 120 points in Breeding and/or In-Hand classes, and at least 120 points in any combination of the below list of classes. Performance classes, Dressage, Western Dressage, Combined Training, Racing, Competitive Trail, or Endurance rides. Included within these points must be two quality achievements (quality achievement is defined as the top 50% of the class, see ACH 105.11) which are an AHA Regional Top Five (or better) or an AHA National Top Ten (or better) in Breeding and/or In-Hand, and a Regional Top Five (or better) or National Top Ten (or better) in Performance classes, Dressage, Western Dressage, Combined Driving, Eventing, Carriage Pleasure Driving, Competitive Trail, or Endurance rides. One of these two quality achievements (quality achievement is defined as the top 50% of the class, see ACH 105.12) must be at the National level.

#### ACH 117. AMATEUR AWARDS

1. In the event the title Handler of Honor, Handler of Supreme Honor, Handler of Excellence, Rider of Honor, Rider of Supreme Honor, Rider of Excellence, Horseman of Merit, Horseman of Supreme Merit or Master Horseman is awarded, a proper letter of certification and a plaque shall be prepared and

forwarded to the member/participant in the program. A proper notation shall be made on the records of AHA.

2. To receive an award, the participant must be current in the Amateur Achievement Awards program and must be a current AHA member.
3. The following are the awards contained within the Amateur Achievement Awards Program.
  - a. Handler of Honor Champion
  - b. Handler of Supreme Honor Champion
  - c. Handler of Excellence Champion
  - d. Rider of Honor Champion
  - e. Rider of Supreme Honor Champion
  - f. Rider of Excellence Champion
  - g. Horseman of Merit Champion
  - h. Horseman of Supreme Merit Champion
  - i. Master Horseman Champion

#### ACH 118. AMATEUR ACHIEVEMENT AWARDS AND REQUIREMENTS (BOD 3/15)

1. **Handler of Honor Champion:** Awarded to any amateur individual AHA member participant who has accumulated 75 or more points exhibiting in Showmanship, Breeding and/or In-Hand classes.
2. **Handler of Supreme Honor Champion:** Awarded to any amateur individual AHA member participant who has accumulated 150 or more points exhibiting in Showmanship, Breeding and/or In-Hand classes.
3. **Handler of Excellence Champion:** Awarded to any amateur individual AHA member participant who has accumulated 300 or more points exhibiting in Showmanship, Breeding and/or In-Hand classes. Included within these 300 points must be two quality achievements, (quality achievement is defined as the top 50% of the class, see ACH 105.11) which are a Regional Top Five (or better) or a National Top Ten (or better) in Showmanship, Breeding and/or In-Hand class. One of these two quality achievements (quality achievement is defined as the top 50% of the class, see ACH 105.12) must be at the AHA National level. (BOD 3/06)
4. **Rider of Honor Champion:** Awarded to any amateur individual AHA member participant who has accumulated 75 or more points exhibiting in Performance classes (includes Driving), Dressage, Western Dressage, Equitation, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail rides/drives or Endurance rides.
5. **Rider of Supreme Honor Champion:** Awarded to any amateur individual AHA member participant who has accumulated 150 or more points exhibiting in Performance classes (includes Driving), Dressage, Western Dressage, Equitation, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail rides/drives or Endurance rides.
6. **Rider of Excellence Champion:** Awarded to any amateur individual AHA member participant who has accumulated 300 or more points exhibiting in Performance classes (includes Driving), Dressage, Western Dressage, Equitation, Combined Training, Racing, Competitive Trail or Endurance rides. Included within these 300 points must be two quality achievements, (quality achievement is defined as the top 50% of the class, see ACH 105.11) which are a Regional Top Five (or better) or a National Top Ten (or better) in Performance classes, Dressage, Western Dressage, Equitation, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail rides/drives or Endurance rides. One of these two quality achievements (quality achievement is defined as the top 50% of the class, see ACH 105.12) must be at the AHA National level. (BOD 3/06)
7. **Horseman of Merit Champion:** Awarded to any amateur individual AHA member participant who has accumulated 75 or more points. At least 30 of the points must have been won in Showmanship, Breeding, and In-Hand classes and 30 of the points must have been won in any one or more of the following: Performance classes (includes Driving), Dressage, Western Dressage, Equitation, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail rides/drives or Endurance rides.
8. **Horseman of Supreme Merit Champion:** Awarded to any amateur individual AHA member participant who has accumulated 150 or more points. At least 60 of the points must have been won in Showmanship, Breeding, and In-Hand classes and 60 of the points must have been won in any one or more of the

following: Performance classes (includes Driving), Dressage, Western Dressage, Equitation, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail rides/drives or Endurance rides.

9. **Master Horseman Champion:** Awarded to any amateur individual AHA member participant who has accumulated 300 or more points. At least 120 of the points must have been won in Showmanship, Breeding and In-Hand classes and 120 of the points must have been won in any one or more of the following: Performance classes (includes Driving), Dressage, Western Dressage, Equitation, Combined Training, Racing, Competitive Trail or Endurance rides. Included within these 300 points must be two quality achievements (quality achievement is defined as the top 50% of the class, see ACH 105.11) which are a Region Top Five (or better) or a National Top Ten (or better) in Showmanship, Breeding and In-Hand and a Regional Top Five (or better) or a National Top Ten (or better) in Performance classes, Dressage, Western Dressage, Equitation, Combined Driving, Eventing, Carriage Pleasure Driving, Racing, Competitive Trail rides/drives or Endurance rides. One of these quality achievements (quality achievement is defined as the top 50% of the class, see ACH 105.12) must be at the National level.

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All AHA programs and events deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means.

**PRESIDENT AND DIRECTOR RECOGNITION AWARDS**

**AWD 101. EARL E. HURLBUTT PRESIDENT'S AWARD**

This award is given in honor of E. E. Hurlbut, a founding member and the first President (1950-1955) of the Arabian Horse Association. This award is an original Edwin Bugouki bronze sculpture that was commissioned by Mr. Hurlbut's home Region 2 to honor Mr. Hurlbut and all recipients of this award.

1. Purpose. This award is given to an individual for outstanding contributions made to the Arabian breed, the Arabian Horse Association and to our members.
2. Procedure. The President of AHA selects the recipient of this award.
3. Description. The recipient award is a cast bronze piece of art, not a trophy.

**AWD 102. DIRECTOR'S AWARD**

1. Purpose. This award recognizes those members of AHA who have made contributions worthy of special recognition. This contribution can be in any of the copious activities that AHA encompasses.
2. Procedure. The directors and officers of AHA select the recipient of this award. To receive the award, a nominee must receive 15 votes from the Board of Directors. Previous recipients are not eligible for subsequent awards. (BOD 11/24)
3. A suitable award will be presented to each recipient.

**EQUINE RECOGNITION AWARDS**

**AWD 103. AHA/USDF ALL BREED DRESSAGE AWARD PROGRAM**

AHA/United States Dressage Federation (USDF) All Breed Award Program. The owner of the horse must be a current member of AHA. To participate in the AHA/USDF All Breed Awards program horses with scores earned through AHA Recognized Shows or USEF/USDF/EC Open Shows must enroll with AHA and pay a \$45 enrollment fee before September 1 of each year. (BOD 1/10) (Contact USDF for any additional requirements.)

The fee must be postmarked/electronic transaction dated prior to or within 30 days of the first competition. If after 30 days, the fee is \$55.

**AWD 104. AMBASSADOR AWARD (BOD 8/04)**

1. Purpose. This award is to recognize the registered Arabian, Half-Arabian, or Anglo-Arabian Horse(s) for outstanding achievement in representing the Arabian Horse to the general public. To be eligible the horse must have achieved a unique accomplishment(s) that showcase(s) our breed. A show

record is not considered for this award. Deceased horses are not eligible to be nominated for and/or receive the Ambassador Award (**Exception:** Horses nominated by the **September 1** deadline that die before the Awards Committee meets at that year's Convention may be eligible to be considered for that year only). (BOD 5/05) (BOD 3/07)

2. Persons who wish to have their horse considered for this award:
  - a. Must submit a letter of nomination which includes the horse's bio (front and back) and may include one page of photos (front and back).
  - b. Must include recommendation letters from three (3) current AHA members, including their member numbers.
  - c. Submit it to the AHA office by **September 1** of the current year.
  - d. Each recommendation letter is limited to one (1) written/typed page front and back. (BOD 3/08)

All applications will be reviewed by the Awards Committee at each Annual Convention where the winner(s) will be determined. The award recipient(s) will be published in the next possible issue of AHA's publication. (BOD 3/12) As this is a special, significant recognition award there will be a maximum of two (2) awarded each year. There may be years when there are no recipients.

3. A suitable trophy will be presented to each recipient. The owner of the horse selected has the option to purchase a duplicate award if the rider/driver/handler is different. (BOD 1/10) Maximum effort will be made to publicize the award recipients through all appropriate horse related periodicals.

**AWD 105. COMPETITIVE TRAIL RIDE AWARDS**

AHA offers annual year-end awards (not to exceed \$1500 for each Fiscal Year) to distance riding organizations for the High Point Arabian and the High Point Half-Arabian/Anglo-Arabian Competitive Trail Horses within that organization.

The following distance ride organizations may apply for an AHA High Point Competitive Trail Ride Breed Award:

- 1) Arabian Horse Distance Riding Association
- 2) Biltmore Estate Equestrian Center
- 3) Eastern Competitive Trail Ride Association (ECTRA)
- 4) Great Lakes Distance Riding Association
- 5) Hooves & Company Distance Riders
- 6) Indiana Arabian Horse Club Distance Program
- 7) Manitoba Trail Riding Club
- 8) Middle of the Trail Distance Riding Association (MOTDRA)
- 9) North American Trail Ride Conference (NATRC)
- 10) Ohio Arabian and All Breed Trail Society (OAATS)
- 11) Ontario Competitive Trail Riding Association (OCTRA)
- 12) Saskatchewan Long Riders
- 13) South Eastern Distance Riders Association (SEDRA)
- 14) Upper Midwest Endurance & Competitive Riders Association (UMECRA)
- 15) Trail Riding Alberta Conference (TRAC) (BOD 5/09)

The above organizations may apply for an AHA High Point Competitive Trail Ride Breed Award in accordance with the following: (BOD 8/06)

1. The organization may request year-end highpoint awards for one or both of the following divisions: High Point Arabian, High Point Half-Arabian/Anglo-Arabian
2. Only one set of awards per organization per year will be presented.
3. The organization must approve/sanction a minimum of five competitive trail rides each year at a minimum of 25 miles in length.
4. The organization must have its own year-end high point system in place and must supply AHA the list of top three competitive trail horses in each division, including registered name of horse, registry of horse, name and address of owner and rider at the time of application.
5. To be considered for a year-end high point award, the horse must have completed a minimum of five Competitive Trail Rides sanctioned by this organization. Only competitive trail rides/miles may be counted. (BOD 8/01)

6. The winning horse must meet the registry and ownership requirements, be registered with AHA, CAHR or CPAR and be owned by a member of AHA.
7. Each organization approved for Highpoint awards will receive like awards for that year not to exceed \$150 per each organization. (BOD 8/01)
8. The Organization must submit the application form at least 45 days prior to the presentation date for the award. The application must be complete and accurate and submitted to AHA Distance Ride Committee. The Distance Ride Chair and the Awards Committee Chair will approve all awards to be given after verifying that the organization and the winners meet all requirements.
9. Completion in one ride season (January 1 to December 31), of any and all AHA Regional Championship Competitive Trail Rides as well as the AHA National Championship Competitive Trail Ride shall earn bonus points at the rate of one point per mile, to be added to the Point score calculated from each organizations own scoring system. (BOD 8/01)

#### **AWD 106. DISTANCE HORSE PROGRAM (BOD 8/01)**

##### **GENERAL RULES**

1. The horse must be registered with either the Arabian Horse Association, the Canadian Arabian Registry or the Canadian Partbred Arabian Register.
2. All horses must be enrolled by the current recorded owner. The owner and rider must have Competition level AHA membership.
3. The ride must be sanctioned by an AHA Approved ride organization (AERC/FEI/Endurance Canada/USEF or a CTR sanctioned organization listed in CT103) of no fewer than 25 miles. (BOD 1/22)
4. The horse must finish the ride and fulfill all requirements of the ride; i.e., time, veterinarian parameters, etc.
5. The AHA Open Qualifying Ride Results Form signed by the Ride Manager, or Ride Secretary, must be submitted to the AHA office and postmarked/electronic transaction dated within (90) days of the ride date or the Official AERC Horse Record must be submitted along with the AHA Open Qualifying Ride Results form to AHA by **January 31** for AERC Sanctioned Endurance Rides completed the prior year. (See END 112) (Res. 7-93) (BOD 5/02)
6. The Award will be given at 500 miles. Additional recognition is given at every increment of 500 miles, thereafter (1000, 1500, 2000) based on the actual number of miles completed in competitive and/or endurance rides.
7. Miles completed in the Novice Division will count.
8. When an AHA Regional Championship Competitive Trail ride is held with an 'Approved Ride Organization' Open ride, both rides are eligible for the Distance Award program.
9. When an AHA National or Regional Championship Endurance Ride is held with an AERC/FEI Open ride, both rides are eligible for the Distance Award program. Refer to AHA END 115 for procedures for Endurance Ride results reporting. (BOD 11/02)

##### **ENROLLMENT AND RENEWALS**

10. An enrollment fee for each horse must be postmarked/electronic transaction dated for initial enrollment prior to the first event of the year. (BOD 11-04)
11. After initial enrollment, each horse owner shall pay a renewal fee annually before the horse's first ride each year to remain current in the program. This renewal is the sole responsibility of the horse owner. (BOD 11/04)
12. Nomination is transferable upon change of ownership.

##### **BACK MILEAGE FEE AND REQUIREMENTS**

13. A retroactive fee must be paid for each year to pick up mileage that was earned prior to the horse being enrolled in the program or the annual renewal fee being received by the AHA office. Miles are earned (verified and recorded), based on these dates. Anything prior to said dates necessitates the retroactive fee. (BOD 11/04)
14. Credit for back mileage will only be accepted from AHA approved ride organizations.
15. Mileage from any prior years will be accepted only if it can be verified.
16. Mileage must be documented and signed by an official of the ride sanction association or for AERC Sanctioned Endurance Rides; the Official AERC

Horse Record may be submitted along with the AHA Open Qualifying Ride Results form as proof without a signature. (BOD 5/02)

17. The person applying for back mileage shall be responsible for obtaining all necessary documents and reports needed to verify the horse's back mileage.
18. To be retroactive and immediate to all past and present participants in the Distance Program. (Res. 22-92)

#### **AWD 107. DISTANCE HORSE OF THE YEAR AWARD (BOD 2/04)**

1. Purpose. This award is to recognize the significant accomplishment of an Arabian, Half-Arabian, or Anglo-Arabian Horse in the distance sports. As this is a special, significant recognition award there may be years when there is not a recipient.
2. Procedure.
  - a. The owner of the nominated horse must be a current Competition Card member of AHA in the nomination year. (BOD 2/11)
  - b. A nominated horse must be registered with the Arabian Horse Association, Canadian Arabian Horse Registry, or the Canadian Partbred Arabian Registry.
    - 1) The horse must be living at the time of nomination.
    - 2) The horse to have competed in the year it was nominated.
    - 3) There is no requirement for the horse to have competed in an AHA regional and/or national events.
    - 4) Emphasis to be placed on the current year accomplishments. Previous accomplishments may be considered.
    - 5) Endurance and CTR both count. (BOD 2/11)
  - c. Any AHA member can nominate a horse by completing the official nomination form available on the AHA website or the AHA office.
  - d. A member's horse may be nominated more than once but may be selected for the award only once.
  - e. Nomination deadline is **November 1** of each calendar year. (BOD 3/15)
  - f. The Award Sub-Committee (Awards, AHA Distance Ride Commission and Distance Ride Committee Chair plus two at-large from the Distance Committee for a total of 5 members) will make the selection at the annual AHA Convention. (BOD 2/11)
  - g. The owner of the chosen horse agrees to allow AHA to use a picture of the horse and his winning criteria both within AHA publications (magazine, website & brochures) as well as publications outside AHA.
3. Description. A bronze sculpture, designed by Joe Staheli, with a wooden base that includes plates for the engraving of the annual winners was purchased by AHOF and will be displayed in the AHA office. The award for each annual winner will be a suitable award presented to each recipient.

#### **AWD 108. ENDURANCE RIDE AWARDS (AERC)**

The Arabian Horse Association offers annual year end awards to the American Endurance Ride Conference (AERC) highpoint Arabian and to the highpoint Half-Arabian/Anglo-Arabian in the middle-distance category of 50-99 miles in addition to highpoint awards given to the 100-mile highpoint Arabian and highpoint Half-Arabian/Anglo-Arabian. The awards are given to the horses with the most points at the end of the AERC ride season (December 1 – November 30). The points are calculated for mid distance awards from AERC Sanctioned ride of 50 or more miles but fewer than 100 miles in one day. (Clarification: if the rider enters a multi-day 100-mile event, that ride will count for the middle-distance awards. Only one-day 100-mile events do not count). A bonus of one point per mile will count for all AHA Regional/National Rides of 50 miles or more, but fewer than 100 miles. If the Regional/National ride were a one-day 100-mile ride, then the distance would be counted in the highpoint 100-mile award.

1. The highpoint horses must be registered with AHA, CAHR or CPAR and be owned by a current member of AHA.
2. The owner must apply to AHA on the official AHA form to be eligible for these awards. Along with the form, the owner must submit a copy of the horse's AERC record showing the rides claimed on the AHA form. The form must be submitted to AHA's office no later than **December 30**.

3. The points for mid distance awards are calculated using AERC's point formula plus any bonus points for AHA Regional Rides of 50 miles or more but fewer than 100 miles in one day.
4. Any ties at the end of the year will be broken first by the number of Best Condition awards earned and second by the least number of rides ridden to earn the points.

#### **AWD 109. HIGH-POINT HORSE ACHIEVEMENT AWARD**

Awarded to the horse with the highest points accumulated within a calendar year. Points are accumulated from the date of enrollment or renewal (January 1 or later, through December 31)

Horse must be enrolled in the Horse Achievement Award Program. For rules and requirements, refer to Chapter 22. Previous High-Point Horse Achievement Award winners are not eligible to participate.

In the event of a tie to determine the High Point Horse the following criteria will be used: (BOD 8/12)

1. The Horse with the most National Championship points, including Open Competition (as stated in ACH 104.9).
2. The Horse with the most Reserve National Championship points, including Open Competition (as stated in ACH 104.9).
3. The Horse with the most National Championship Top Ten points, including Open Competition (as stated in ACH 104.9).
4. Flip a Coin.

#### **AWD 110. OPEN COMPETITION AWARD (BOD 3/09)**

1. Purpose. This award is to recognize the Arabian, Half-Arabian or Anglo-Arabian Horse(s) for outstanding achievement in representing the Arabian Horse community in areas of Open Competition such as but **NOT LIMITED** to: open reining, competitive trail, endurance, combined driving, hunter, eventing, jumping, carriage pleasure driving, working western, and dressage.
2. This award is to recognize those horse(s) that have received acknowledgement at the higher levels of competition such as but **not limited** to regional championships, national competitions and international competitions open to all breeds and/or shown progressive and consistent accomplishment within the discipline.
3. To be considered for this award the Arabian, Half-Arabian or Anglo-Arabian Horse(s) must have acknowledged and promoted the fact that they are a representative of the Arabian breed in some form; publications, demonstrations, or other public forums.
4. Deceased horses are not eligible to be nominated and/or receive this award (**Exception:** Horses nominated by the **September 1** deadline that die before the Awards Committee meets at that year's Convention may be eligible to be considered for that year only).
5. Persons who wish to have their horse considered for this award or persons who know of an outstanding horse to be considered for this award must prepare a letter of particulars along with the recommendation letters from a minimum of three (3) AHA members, including their AHA member numbers and submit it to the AHA office by **September 1**. All applications will be reviewed by the Awards Committee at each annual convention. The Committee will select the recipient(s) at that time. As this is a special, significant recognition award there will be a maximum of two (2) awarded each year. There may be years when there are no recipients.
6. A suitable trophy will be presented to each recipient. The owner of the horse selected has the option to purchase a duplicate award if the rider/.driver/handler is different. Maximum effort will be made to publicize the award recipients through all appropriate horse related periodicals or other forms of communication such as the AHA website.
7. The cost of the trophy will be covered by sponsorships from various individuals and regional supporters and will be the responsibility of the Awards Committee. If this monetary support is not provided in any given year, this award will not be presented.

8. Should nominations be made in a year when financial support is not provided, those nominations will be carried over to the next year that the financial support is available.

#### **MEMBER AWARD PROGRAMS**

##### **AWD 111. DRESSAGE/WESTERN DRESSAGE RIDER AWARD PROGRAM (BOD 3/15)**

1. The Dressage/Western Dressage (WD) Rider Award is presented to those riders that satisfy the following requirements:
  - a. The one-time rider nomination fee is \$55 for each level of competition. No scores will be recorded before the nomination form and fee are received by AHA. The nomination forms and fees may be sent to AHA along with the first scores if they are included in the same envelope. (BOD 11/04)
  - b. Riders must receive two scores of 60% or better at each level to qualify. The scores must be from two different judges for two different rides. If there are two or more judges per ride, the average scores will count. These scores are accumulative and do not have to be earned in one year. The rider must be a current member of AHA.
  - c. Riders must compete on horses registered in the Arabian Horse Association Registry the Canadian Arabian Horse Registry or the Canadian Partbred Arabian Register.
  - d. All Open Qualifying Show/Event Results Forms must be signed by the Show Secretary, Show Manager, or technical Delegate and postmarked/electronic transaction dated within 30 days of the competition. Effective January 1, 1993, recordings for AHA Recognized competitions will no longer require a show report form but will be automatic. (Res. 7-93)

2. Certificates and Lapel Pins to be awarded as follows:

- a. Basic level (WD), certificate only.
- b. Training level (Dressage), certificate only.
- c. First level (Dressage/WD), pin and certificate.
- d. Second level (Dressage/WD), pin and certificate.
- e. Third level (Dressage/WD), pin and certificate.
- f. Fourth level (Dressage/WD), pin and certificate.

These awards will be a one-time only per level presentation.

##### **AWD 112. HIGH-POINT ADULT AND YOUTH AMATEUR ACHIEVEMENT AWARDS (BOD 3/07)**

Awarded to the Adult and Youth amateurs with the highest points accumulated within a calendar year. Points are accumulated from the date of enrollment or renewal (January 1 or later, through December 31)

Amateurs must be enrolled in the Amateur Achievement Award Program. For rules and requirements, refer to Chapter 22. Previous High-Point Amateur Achievement Award winners are not eligible to participate.

In the event of a tie to determine the High Point Adult Amateur or Youth Amateur the following criteria will be used: (BOD 8/12)

1. The Amateur with the most National Championship points, including Open Competition (as stated in ACH 104.9)
2. The Amateur with the most Reserve National Championship points, including Open Competition (as stated in ACH 104.9)
3. The Amateur with the most National Championship Top Ten points, including Open Competition (as stated in ACH 104.9)
4. Flip a Coin.

#### **MEMBER RECOGNITION**

##### **AWD 113. CERTIFICATE OF APPRECIATION**

These certificates are used by AHA Committees who wish to give a formal "Thank You" to other than AHA members.

#### **AWD 114. VOLUNTEER SERVICE AWARD**

1. Selection Guidelines for Member Organization, Regional and/or National level awards. These selection guidelines may be used for each level and adjusted appropriately. The member:
  - a. Contributed significant service,
  - b. Has made significant contribution,
  - c. Promoted the breeds in some manner,
  - d. Promoted the Member Organization, the Region and/or AHA by volunteering their time, expertise, or resources,
  - e. Made a unique or creative contribution.

#### **MEMBER ORGANIZATION LEVEL AWARDS**

If a Member Organization or Region already has a guideline for selecting recipients, the Member Organization or Region is encouraged to continue to use that guideline.

2. The yearly nomination form will be available to Member Organizations in July. This form is to be filled out by anyone in the Member Organization who would like to nominate a member for recognition at the Member Organization level. Before the form can be passed out to the members to be filled out, the Member Organization should decide the following:
  - a. The deadline for returning the form for selection of the recipients (which should then be put on the form in the space allotted).
  - b. The manner in which the Member Organization will select the recipients (i.e., executive decision, selection committee, etc.). These decisions are entirely up to the Member Organization.
3. The number of awards per Member Organization depends on the number of Adult AHA members in that Member Organization.
  - a. If a Member Organization has 100 or fewer, then the Member Organization may select one recipient.
  - b. Should the Member Organization have more than 100, the Member Organization may select up to two recipients.

After selection of Member Organization level recipients, the Member Organization will forward the winning nomination form for each recipient to AHA, either postmarked/electronic transaction dated or faxed by **September 15**.

AHA will distribute letters of congratulations, award certificates, and lapel pins to the winners. The certificate will state the Member Organization name as well as the name of the recipient.

#### **REGIONAL LEVEL AWARDS**

Regions are allowed two Regional level recipients.

4. Each Member Organization should select two nominations to send to the Regional Director for the Regional level.
  - a. These two need not include those of the recipients at the Member Organization level but may include them if deemed appropriate.
  - b. At the point of nominating a member for the Regional level, the Member Organization president (or whoever has been appointed to handle nominations) should check the box on the nomination form to indicate a nomination for the Regional level. Nomination forms may be obtained from AHA.
  - c. The deadline to forward Regional level nominations from Member Organizations to the Regional Director is **August 31**.
5. Regional Directors, prior to receipt of nominations from Member Organizations, will need to decide how the selection of the two Regional recipients will be made (i.e., executive decision, selection committee).
  - a. The person(s) in charge of handling this program for each Region will need to notify the AHA office of the two Regional recipients, postmarked/electronic transaction dated or faxed by **October 31**.
  - b. The certificates will state both the Region's number and the recipient's name.
  - c. Letters of congratulations, award certificates and lapel pins will be given out during a ceremony at the AHA Annual Convention.

#### **NATIONAL LEVEL AWARDS**

6. National level nominations must be postmarked/electronic transaction dated or faxed to the AHA office by **October 15**.
  - a. The National Level Award nominations must be generated from:
    - 1) Member Organizations, or
    - 2) Regional recipients, or
    - 3) Original nominations suggested by the Regional Director, or
    - 4) Appointed person.
    - 5) President or Executive Board of AHA
7. The designated person(s) from each Region may forward up to three nominations. The box at the bottom of all nomination forms designating that the nomination is for the National level must be checked.
8. The President of AHA and the rest of the Executive Committee, upon receipt of the nomination forms will select National level recipients to be awarded at the AHA Annual Convention.
  - a. The number of National recipients will be approximately 25. This allows at least one recipient per Region, plus any additional individuals that the President or Executive Board of AHA feel are deserving.
  - b. The National recipients will receive a brass and wood plaque and lapel pin at the AHA Annual Convention.

#### **ANNUAL AWARD LEVEL DEADLINES**

**August 31**, Regional Level Volunteer Service Award nominations from Member Organizations due to Regional Directors.

**September 15**, Member Organization Level Volunteer Service Award recipient names from Member Organizations due to AHA.

**October 15**, National Level Volunteer Service Awards nominations from Regions due to Executive Committee.

**October 31**, Regional Level Volunteer Service Award recipient names from Regions due to AHA.

#### **ANNUAL AWARD LEVEL DESCRIPTIONS**

**Member Organization:** The Member Organization level recipients receive a letter of congratulations, an award certificate and lapel pin with the stylized AHA logo. To denote recipients at the Member Organization level, the certificate and the pin contain a single horse head from the stylized AHA logo.

**Regional:** The Regional level recipients receive a letter of congratulations, an award certificate and lapel pin with the stylized AHA logo. To denote recipients at the Regional level, the certificate and pin contain a double horse head from the stylized AHA logo.

**National:** The National level recipients receive a plaque and lapel pin, both with the triple horse head from the stylized AHA logo.

#### **MEMBER ORGANIZATION RECOGNITION**

#### **AWD 115. AHA CLUB EXCELLENCE AWARD PROGRAM (BOD 8/15)**

1. Award Categories.
  - a. Breed Promotion/Community Involvement – Judged on overall presentation, success of activity (people reached, memberships, follow-up contact) and creativity.  
Breed Promotion/Community Involvement category may include any outreach activity that goes beyond the Arabian Horse Community.  
**Examples:** farm tours, demonstrations at all-breed events, clinics for 4-H, FFA, Boy Scouts, Parades, Mini-Seminars, etc.
  - b. Membership Recruitment or Retention – Judged on overall presentation and success of activity (how many people reached, members gained, members retained).  
Membership Recruitment or Retention category may include membership recruitment and retention campaigns.
  - c. Club Projects – Involvement of club members, success of activity, creativity, participants' reactions/thoughts.  
Club Projects category includes projects that are specific to that individual club and its members.

2. Rules.

- a. All entries must be postmarked/electronic transaction dated by **August 15** of the current year.
- b. The only activities eligible for entry are those produced and implemented between **August 1** of the preceding year and **August 1** of the current year. Club entry must include the result of the activity or project.
- c. Clubs may submit more than one entry in each category; however, each entry can only represent a separate and distinct activity or project. Clubs may also submit entries into any number of award categories.
- d. Entry form, cover sheet or summary, and examples or more detailed information are required (pictures, statistics, people's testimonies, brochures, details from the event). Entries that do not include all required information will not be judged.
- e. Complete a separate entry form for each activity or project.
- f. Send one copy of each entry.
- g. Entries become the property of AHA and will not be returned. AHA has the right to display your entry at any AHA Event or reprint the text in any AHA publications.
- h. Visual information (photos, videos, etc.) is optional for any entry and encouraged.

3. Judging.

- a. Profit motive is not necessarily a judging criterion.
- b. A panel of three judges selected by the Membership Committee will select the winners.
- c. All decisions of the judges are final.
- d. Awards might not be given in each category.
- e. AHA will notify all entrants by **October 1**.

4. Awards.

- a. All entrants will receive a certificate of participation.
- b. All awards will be presented at the AHA Membership Convention.
- c. First place will be named in each category and awarded a certificate.
- d. Club of the Year will be given a special award. The Club of the Year award will be selected from those clubs that were placed in the Top Five of a minimum of 2 of the 3 categories.

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**CHAPTER 23: ARABIAN HORSE YOUTH ASSOCIATION CONSTITUTION  
(AHYA) (BOD 8/95)**

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**INTRODUCTION**

The Arabian Horse Youth Association (AHYA) was established at the 1994 Annual Convention.

**CONSTITUTION OF THE  
ARABIAN HORSE YOUTH ASSOCIATION**

**ARTICLE I**  
**NAME AND PURPOSE**

**Section 1.**

The organization will be a division of the Arabian Horse Association and shall conduct business within the scope of the by-laws, Rules and Regulations of the Arabian Horse Association (AHA) and shall be called the Arabian Horse Youth Association.

**Section 2.**

The objectives and purposes of the Arabian Horse Youth Association hereafter referred to as the AHYA, shall be as follows:

- a. To acquaint youth association members with the organization, activities, and function of AHA.
- b. To promote the Arabian Horse.
- c. To provide an area for youth to develop their knowledge and capabilities in working with each other and Arabian Horses.
- d. To encourage scholarship, leadership and community interest as young citizens.
- e. To enable Youth Members to work in conjunction with the AHA Youth Advisory Board.

**ARTICLE II**  
**MEMBERSHIP**

**Section 1. Types of Memberships**

This association shall have the following classes of members:

- a. AHYA Member Organization members: Consisting of active members of the AHA Youth Member Organizations. The designation "AHYA Member Organization" shall be defined as one youth Member Organization per AHA Member Organization, or any youth Member Organization acceptable to and approved by the AHYA and its Advisory Board.
- b. Individual members: Youth Membership is for individuals under the age of nineteen as of December 1 of the previous calendar year. (Res. 1-11)

**Section 2. Member Organizations**

The AHYA shall be composed of those Member Organizations which are affiliated with a regular member AHA Member Organization, or those youth Member Organizations acceptable to AHYA. These clubs shall be referred to as Member Organizations.

**Section 3. Admission of Member Organizations**

An AHYA Member Organization when not affiliated with an AHA Member Organization is eligible for membership with the AHYA when it:

- a. Submits application for membership with the AHYA. The application shall include:
  - 1) Name of Member organization.
  - 2) Aims and purposes, which shall not be contrary to those of the AHA or AHYA in any way.
  - 3) Names and addresses of the officers of the Member Organization.
  - 4) Name and address of each member in good standing of such Member Organization, which shall not be fewer than seven in number.

- 5) A written agreement of such Member Organization to be bound by the Articles of Incorporation and Constitution of the AHYA and Bylaws of the AHA, heretofore and hereafter duly adopted.
- 6) A written explanation as to why it cannot affiliate with an AHA Member Organization.
- 7) A copy of the Member Organization's Constitution.

**Section 4.**

An AHYA Member Organization is considered in good standing with the AHYA when the following provisions are met:

- a. Annually, a minimum of seven individual membership fees be sent to AHYA along with a Member Organization membership list. AHYA is to be notified of any amendments made to the initial Member Organization constitution.
- b. No provision of the local AHYA Member Organization may be in conflict with the AHYA Constitution, AHA Bylaws, or general rules or regulations.
- c. Membership must be open to all youth under the age 19 as of December 1 of the previous calendar year and under interested in the Arabian Horse.

**ARTICLE III**

**OFFICERS, DIRECTORS,  
AND EXECUTIVE COMMITTEE**

**Section 1.**

The business and property of the organization shall be managed and controlled by the AHYA Board and the AHA Youth Advisory Board in conjunction with the AHA Youth Activities Committee under the direction of the AHA Youth Department.

**Section 2. Youth Delegates Representation**

- a. Any AHA/AHYA Youth member may be a delegate to the AHYA convention. (BOD 11/13)
- b. Each prospective delegate must be a member as of the **first day of June** of that convention year. (BOD 11/13)

**Section 3. Youth Board of Directors**

Each of the eighteen (18) Regions will elect one Youth Member to serve as a Regional Youth Director on the Youth Board of Directors. In order to serve as Director, a youth must be a current member of a member organization located in the Region that he/she would represent, and be not fewer than 14 or more than 18 years of age as of December 1 of the first year of his/her two-year term. Upon election of their two-year term, they must not be less than 14 or older than 18 on December 1 of their two-year term.

**Appointment/Elections of Regional Youth Directors:**

Regional Youth Directors may be chosen as follows: by appointment per the Regional Youth Coordinator and Regional Director, or by other Regional officers at a Regional meeting; by a special selection committee composed of adult Regional officers; or by special election within that Region. Regions may choose to utilize the AHYA Youth Director Application. (BOD 8/04)

- a. Term of office: The term of office for each AHYA Regional Youth Director shall be a minimum of one year and a maximum of two years based on Regional discretion. This term will commence immediately following the annual AHYA Convention. No Regional Youth Director shall be eligible to hold office for more than two terms. Regional Youth Directors who have not completed their term and reach ineligible youth age may pay their AHA membership dues and finish their term with voting privileges. This will not entitle them to any other AHYA privileges, such as youth programs.
- b. Vacancy: In case of any vacancy, the vacancy shall be filled utilizing the procedures chosen by the Region which the Director represents, found in Section 3. (BOD 8/14)
- c. Quorum: A majority of the number of Youth Directors shall constitute a quorum for the transaction of business.

- d. Powers of the Board of Directors: The Youth Board of Directors shall have the power to take any action consistent with the current policy and purpose of AHA, subject to the approval of the AHYA Executive Committee.
- e. When a Regional Director is elected to an AHYA office, that director shall be replaced in his/her Region utilizing the procedures chosen by the Region which the Director represents, found in Section 3. (BOD 8/14)
- f. The Regional Youth Vice-Director may attend any open AHYA Board of Directors meeting when the Regional Youth Director is present or absent. The Regional Youth Vice-Director shall be entitled to attend any AHYA Board of Directors meeting and vote and shall count in determining a quorum of the AHYA Board of Directors if, and only if, the Regional Youth Director is absent.

#### Section 4. Officers

The officers of the organization shall be the President, Vice President, Secretary, Treasurer, and Immediate Past President. Such officers shall hold that office for a period of one (1) year and until their successors are elected and qualified. Officers and Regional Directors shall take office at the close of the annual AHYA Convention.

- a. President: The President shall preside at all meetings of the members of the Youth Board. The President shall appoint all committees subject to the approval of the AHA Youth Advisory Board. The President shall report to all members annually on the status of the association and its activities during the preceding year. No director may serve more than two (2) terms of one year as President, unless to fill the unexpired term of the preceding President.
- b. Vice President: The Vice President shall, in the absence of the President, perform the duties and exercise the powers of the President and shall perform the duties as the President may prescribe.
- c. Secretary: The Secretary shall attend all meetings of the Youth Board and shall record or cause to be recorded all votes taken. The Secretary shall record the minutes of all proceedings in a minute book of the association to be kept for that purpose.
- d. Treasurer: The Treasurer shall keep or cause to be kept a full and accurate account of all receipts and disbursements in books belonging to the association. The Treasurer shall coordinate the collection of dues with the AHA Youth Department.
- e. Immediate Past President: The Immediate Past President shall serve as an advisor to the President.

#### Section 5. Election Procedures

- a. The elected officers of the AHYA shall consist of the President, Vice President, Secretary, and Treasurer.
- b. Election.  
The officers shall be elected by the Youth Delegates in attendance at the annual AHYA Convention.
- c. Qualifications.  
Candidates for office must be at least 14 years of age as of **December 1** of the previous year. The candidates for office of President must have served at least 1 year on the AHYA Board of Directors. (BOD 8/14) The candidates for office must be a current Youth Member of the AHYA and have a minimum of 1 full year previous membership at time of election. In addition, candidates for the office of each officer shall at all times during his/her continuance in office, be a qualified member of the AHYA or AHA. (BOD 8/14)
- d. Application.  
Potential candidates must submit an application to the AHA office by a specified due date. All candidates must be present at the annual meeting of the AHYA, and will be required to give an introductory speech at the General Session.

#### ARTICLE IV

##### ANNUAL YOUTH BOARD MEETINGS

The Youth Board will have a minimum of one annual meeting. The time and place of the annual meeting(s) will be announced at the time of the annual meeting(s) of the previous year.

#### ARTICLE V

##### ADVISORY BOARD

The Advisory Board of the AHYA shall consist of the President of the AHA, Vice President, Executive Director, the Chair of the AHA Youth Activities Committee, a member of the AHA Youth Department, and any additional members appointed by the Executive Committee of the AHA. This Advisory Board shall consist of at least four adults to assist the officers and Board of Directors of the AHYA in directing the activities of the AHYA. All actions and activities of the AHYA are subject to the approval of the AHYA Executive Committee and the AHA Board of Directors.

#### ARTICLE VI PLACE OF BUSINESS

##### Section 1.

The place of business of this association shall be the AHA office in Greenwood Village, Colorado.

##### Section 2.

All correspondence regarding the AHYA shall be directed to the Youth Department of the AHA in Greenwood Village, Colorado.

#### ARTICLE VII

##### AMENDMENTS

The constitution of this organization may be amended at any time by the Youth Board with the approval of the AHA Youth Advisory Board and the AHA Board of Directors.

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- YTH 103 Horse Judging & Contest Junior Division Eligibility**
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- YTH 106 Horse Judging Contest General Rules**
- YTH 107 Youth Team Tournament**
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- YTH 110 Point System Used for the Youth Team Tournament**
- YTH 111 Submitting Results of the Youth Team Tournament**
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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet, or other electronic means.

**YTH 101. YOUTH MEMBERSHIP**

For membership requirements refer to Chapter 7.

1. In addition to a youth newsletter included in member eblasts detailing all upcoming youth events, a member will receive a Membership Card, information on youth programs and activities, and availability of educational material and programs. This membership does not count toward determining AHA Delegates nor does it carry voting rights for AHA.
2. Contact your nearest AHA Member Organization for information on Youth Membership. If your local Member Organization is unknown, contact the Membership Division at AHA.

**YTH 102. ARABIAN HORSE JUDGING CONTEST**

This contest abides by the National Horse Judging Team Coaches Association (NHJTCA) judging contest rules. It is held in conjunction with the U.S. National Championship Horse Show and continues to be one of the most prestigious youth events in the country. Teams will have the chance to evaluate the conformation and quality of some of the finest Arabians. Awards will be available to all winning participants. Contact the AHA office for program entry forms, Rules and Regulations, and any further information.

**YTH 103. HORSE JUDGING & CONTEST JUNIOR DIVISION ELIGIBILITY**

Junior divisions to be 4-H and FFA teams or AHA teams.

1. Age. This competition is open to youth who have not passed their 19th birthday prior to **January 1** of the current year and who have not participated in a collegiate horse judging contest. Junior members can be enrolled in any year of college if they have not competed on a college judging team and are eligible in all other ways. Contestants must be bona fide members of a state 4-H or state FFA team/individual or be a member of a team/individual sponsored by an AHA Member Organization.

**TEAM ENTRY**

2. Team Entry. Each state is invited to enter **one or two** 4-H and **one or two** FFA teams, **and these teams must be recognized by their respective state's 4-H or FFA office**. AHA Member Organizations are invited to sponsor and enter **one or two** teams. Two separate categories will compete in the AHA Junior Division of the contest: (1) AHA Member Organization junior teams, and (2) 4-H and FFA teams. **Many states already have state wide 4-H and FFA judging competitions and select their teams from the winning team or Top Ten individuals. We urge states that do not have state wide competition for 4-H and FFA to set up such a program for the benefit of their respective organization in order to be able to select their eligible team.** A team may be composed of three or four contestants. If four contestants are entered as a team, the three highest scores will constitute that team's total score. Team coaches will verify the eligibility of each contestant as to age, school status, and membership in their respective organization at time of entry.
3. **All past high overall individuals in the 4-H/FFA or Junior AHA categories are not eligible to compete in the same category of the Junior Division but may subsequently compete in another category.**

3. All Junior AHA Team contestants must be current members of AHA at the time of the contest.

**INDIVIDUAL ENTRY**

4. Individuals from any 4-H, FFA or Membership Organization are eligible for individual awards, however they must be members in good standing with 4-H, FFA or an AHA Membership Organization. Any individuals competing with 4-H or FFA must be recognized by their respective state's 4-H or FFA office. (BOD 1/18)

**YTH 104. HORSE JUDGING CONTEST SENIOR DIVISION ELIGIBILITY**

Senior division to be collegiate teams and AHA teams combined.

1. Age. This competition is open to both collegiate teams/individuals and senior AHA teams/individuals. Individuals who are currently enrolled in the college or university they are representing as undergraduate students are eligible. Members in good standing of an AHA Member Organization who have passed their 19th birthday, but whose 23rd birthday was not prior to **January 1** of the current year, are also eligible to compete. (Res. 5-73)

**TEAM ENTRY**

2. Team Entry. Each college, university, or AHA Member Organization is invited to enter **one or two** senior teams. A team is to be composed of five contestants. The top four scores constitute that team's total score. Team coaches will verify the eligibility of each contestant as to age and school status, or AHA Member Organization and AHA membership on entry forms.
3. All Senior AHA Team contestants must be current members of AHA at the time of the contest.

**INDIVIDUAL ENTRY**

4. Individuals from any college, university, or AHA Membership Organization are eligible for individual awards; however, they must be enrolled in the college or university they are representing as an undergraduate student or be a member in good standing of an AHA Membership Organization. (BOD 1/18)

**YTH 105. HORSE JUDGING CONTEST INELIGIBILITY**

A contestant is ineligible to compete who holds a recognized judge's card. **All past high overall individuals in the Senior Division are not eligible to compete in the contest. (BOD 5/23)**

**YTH 106. HORSE JUDGING CONTEST GENERAL RULES**

1. Entry forms with an entry fee must be postmarked/electronic transaction dated no later than **September 22** of the current year. Team entry fee is \$150, post entry fee is \$175. Team Member Adjustment Fee, \$10. Individual entry fee is \$50, post entry fee is \$75. (BOD 1/18)
2. All registered contestants will report to the contest locations promptly at 8:00 a.m.
3. Contestants will be assigned to groups and will remain with their respective groups throughout the contest. During registration, each team must hand in the provided registration card or envelope. The placing cards will be the standard 4-H and FFA judging cards, which list all 24 placings for a class. Detailed instructions will be given on the use of these cards during the registration period.
4. In case of ties, the team or individual having the highest score on combined reasons will be listed first. If the tie still exists, the team or individual having the highest Reasons Score overall will be listed first. Additional tie-breaking classes will be designated by the official judges before scores are tabulated.
5. Official judges will place the classes while the contestants are judging. When all cards have been turned in for tabulation and all oral reasons have been presented by the contestants, the official judges will explain their placings of each class.
6. A judging contest is an examination, and it should be treated as such. Contestants will not be allowed to use or refer to any printed material or electronic devices at any time during the contest. The contestants are allowed to have only blank paper in their notebooks, clipboards, pads, etc. Any violation of this rule may result in immediate disqualification.
7. During the entire judging contest, contestants may not wear pins, badges, lettering, or any article of clothing that will reveal their identity or will identify the state or club that they represent. Matching blazers, articles of clothing, etc., are

permissible. No hats will be allowed during this judging contest. Neatness and appropriateness of attire will be taken into consideration.

8. Contestants using notes while giving oral reasons will be penalized.
9. While the contest is in progress, there shall be no conversation or signals between contestants, or between contestants and other individuals. Failure to abide by this regulation may result in immediate disqualification of the contestant. The contestant should direct any questions to their group leaders.
10. Classes will be judged according to standards set forth by the current USEF rules for the Arabian, Half-Arabian/Anglo-Arabian Horse. Ten classes will be judged: four Breeding and In-Hand and six performance. Horses will not be handled by contestants. Any class from the USEF Arabian, Half-Arabian/Anglo-Arabian Division may be considered for this contest. In the Breeding and In-Hand classes, all horses are to be judged as sound of limb, mouth, and for breeding. In performance classes, horses are to be judged as they appear (all tack is to be considered legal).
11. Each class will consist of four Arabian or four Half-Arabian/Anglo-Arabian Horses. Contestants will be notified one minute before the time is up. When the final time is called, the contestants will hand their completed scorecard to their group leader immediately. Oral reasons will be required in two Breeding and In-Hand and two performance classes. Two minutes will be allowed to give oral reasons.
12. Members of the top teams in both the Junior 4-H/FFA and Junior AHA categories and members of the top teams in the Senior Division will be awarded ribbons. Various awards, as well as ribbons will be awarded to high teams and high individuals for Breeding and In-Hand judging, performance judging, reasons and overall.
13. The Highest Placing Overall Individual in the 4-H/FFA, the Junior AHA, and the Senior Division will be awarded an appropriate prize, such as a jacket, saddle, or a bronze and a scholarship. (BOD 11/15) The Second Highest Placing Overall Individual in each category will be awarded a ribbon and a scholarship.
14. Each member of the High Overall Team in each category will receive a scholarship. Each member of the second highest team in each division will receive a ribbon.
15. All contestants will have the opportunity to watch the U.S. National Championship Horse Show and visit with the exhibitors. There will be an Awards Breakfast on the morning following the contest. Winners must be present for this and the ceremonies during the afternoon performance of the show that same day.

#### **YTH 107. YOUTH TEAM TOURNAMENT (BOD 1/24)**

This program offers youth the opportunity to compete in shows and rides, develop a feeling of camaraderie, and sportsmanship. Team points are accumulated throughout the year with awards being presented to the Overall Champion, Reserve Champion and remaining top eight to round out the top ten in each division. (BOD 8/19) Contact the AHA office for program entry forms, Rules and Regulations, and any further information.

1. Entry fee \$20 (US Funds) per team, per section (AHA Recognized or non-AHA Recognized). (BOD 8/19)
2. AHA Recognized Section.
  - a. Points can be accumulated at all USEF recognized/rated classes and Local Competition Member Shows or EC Recognized Show. (BOD 8/19)
  - b. Points can be accumulated at all AHA Recognized Competitive and Endurance rides.
  - c. Only one Regional Show may be counted. (BOD 8/19) No National Shows will be counted. (BOD 8/01)
3. Non-AHA Recognized Section.
  - a. Points can be accumulated at any non-AHA Recognized Show (4-H, schooling, fun shows etc.). (BOD 8/19)
  - b. Points can be accumulated at all non-AHA Recognized Competitive and Endurance rides. (BOD 8/19)

#### **YTH 108. ELIGIBILITY**

1. Each contestant must be a current AHA youth member in good standing. (BOD 8/19)
2. Each group is invited to enter as many teams as possible by **April 1** or before their first show of the current year. (BOD 8/02) (BOD 8/19)
3. Each team is made up of the following:
  - a. Coach. To give assistance to the team and to supply all information needed to the AHA Youth Department. It is the coach's responsibility to pre-register the team and assure show results are sent. (Refer to YTH109.)
  - b. Not more than **four** AHA youth members. (BOD 8/19) Each youth member may hold only one space per team. (BOD 8/04) Note: A team may not consist of fewer than **two** members.

#### **YTH 109. YOUTH TEAM TOURNAMENT RULES (BOD 1/24)**

1. **Each contestant must designate one horse.** Each horse entered must be a registered Arabian, Half-Arabian, or Anglo-Arabian and registration number must accompany team entry.
2. Ownership of horse is not required. Entrants in the equitation/showmanship division need not declare an individual horse. In the event of injury to a horse preventing further competition in the tournament, a substitute may be made if approved by the **AHA Youth Department** and Coach.
3. If a rider becomes ill and is unable to compete in the tournament a substitute can be made if approved by the **AHA Youth Department**.
4. It is not mandatory that each team compete in each event or that the entire team be present at a show to count points towards the Youth Team Tournament.
5. All teams must be registered with AHA on or before **April 1** of the calendar show year and their entry fees paid in full. (BOD 8/19)
6. No shows held prior to the registration of the teams will be considered. All points will be tabulated up to **December 31** of the tournament year. (BOD 8/03)
7. All Youth Team Tournament Result forms must meet the deadline of **January 15** of the following calendar year. Any points received after **January 15** of the following calendar year will not be recorded.
8. All team contestants on the same team may compete in the same class and count points toward the Youth Team Tournament. (BOD 8/19)
9. Each team contestant may compete in unlimited classes per show; however, only the four best classes will count for points in the Youth Team Tournament.
10. It is the responsibility of the team coach to send the team's results into the **AHA Youth Department** within 45 days of the show. (BOD 8/19)
11. The Champion, Reserve Champion, and top eight teams will be selected based on points accumulated in shows attended by the team in that tournament year. (BOD 8/19) The highest scoring four shows will be totaled and used to determine the winner. (Send the results from all shows attended by your team in that calendar year and the highest scoring four will be selected at the end of the tournament)

#### **YTH 110. POINT SYSTEM USED FOR THE YOUTH TEAM TOURNAMENT**

1. Points will be awarded on the following basis: (BOD 8/19)
  - a. 6 points: 1st place.
  - b. 5 points: 2nd place.
  - c. 4 points: 3rd place.
  - d. 3 points: 4th place.
  - e. 2 points: 5<sup>th</sup> place
  - f. 1 point: 6<sup>th</sup> place
2. Regional Champions from Regional Championship classes recognized by the AHA Board of Directors shall receive the following points:
  - a. 12 points: Champion.
  - b. 10 points: Reserve Champion.
  - c. 8 points: Top Five.

3. Competitive Trail and Endurance Trail Divisions earn 1 point for every 5 miles completed.
4. Competitive Trail and Endurance Trail Divisions points will be awarded on the following basis:
  - a. 25-mile ride
 

1st	= 2 points.
2nd	= 1.5 points.
3rd	= 1 point.
4th	= .5 point.
  - b. 50-mile ride
 

1st	= 4 points.
2nd	= 3 points.
3rd	= 2 points.
4th	= 1 point.
  - c. 75-mile ride
 

1st	= 6 points.
2nd	= 4.5 points.
3rd	= 3 points.
4th	= 1.5 points.
  - d. 100-mile ride
 

1st	= 8 points.
2nd	= 6 points.
3rd	= 4 points.
4th	= 2 points.

receive recognition at the annual Youth National Championship Show and be presented with a perpetual trophy at the AHA Annual Convention. (BOD 8/04)

5. All information will be subject to publication by AHA and all applications and supporting materials will become the property of AHA and cannot be returned.
6. Official nomination forms are available from AHA.

#### **YTH 111. SUBMITTING RESULTS OF THE YOUTH TEAM TOURNAMENT**

1. A Youth Team Tournament Tally Sheet/form is to be used for results from each show. The Youth Team Tournament Coach will return the tally sheets to the AHA Youth Department.
2. Awards for Overall Champion, Reserve Champion, and remainder of the Top Ten youth teams in the Recognized and Non-Recognized divisions will be provided by AHA. (BOD 8/19)

#### **YTH 112. YOUTH OF THE YEAR AWARD**

To further promote and recognize the AHA youth programs, one (1) outstanding youth, per calendar year, will be selected for his/her achievements and contributions to the Arabian Horse industry, AHA and his/her community.

1. Nominees. All nominees must be current AHA Youth Members in the nomination year.
2. Nomination procedures. The following guidelines have been established for Youth of the Year nominations:
  - a. Anyone may nominate an AHA Youth Member for the Youth of the Year Award. Regional Director and/or Director-At-Large for that Region must approve any Youth of the Year nominees. Regions may put forth unlimited nominees. (BOD 8/04)
  - b. A person may be nominated more than once but may be selected for the award only once.
  - c. Nominations will be submitted to, and a winner will be selected by, the AHA Youth of the Year Award Committee, a Sub-Committee, consisting of five members from the Youth Activities Committee, who will serve for a term of two years.
  - d. All nomination applications must be accompanied by a current 3" x 5" photo of the nominee, which includes only the head and shoulders.
  - e. Only activities which occur in the nomination year will be considered for the award.
3. Nomination Deadline. Nominations must be submitted to the AHA office, postmarked/electronic transaction dated on or before **September 1** of the nomination year. (BOD 8/04)
4. Awards. Recipients of the Youth of the Year Award may be featured on the AHA website the following year of their nominations. In addition, winners will